## CSE 332

## JULY 3RD - DICTIONARY ADT

## TODAY'S SCHEDULE

- Dictionary ADT
- Binary Search Trees
- Height, Balance and the AVL property


## DICTIONARY ADT

- New abstract data type


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- Dictionary (aka Map)
- Data - Keys and Values


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- Example (Store inventory):


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- Dictionary (aka Map)
- Data - Key and Value pairs
- Keys: must be comparable, used for lookup
- Values: the actual data itself
- Example (Store inventory):
- Keys: IDs (barcodes)
- Values: Product information


## DICTIONARY ADT

- Operations


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- insert(key, value): inserts the key, value pair into the dictionary. Overwrites the value if the key is already in the dictionary


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- find (key) : returns the stored value for a particular key in the dictionary, returns null if not found.


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- insert(key, value): inserts the key, value pair into the dictionary. Overwrites the value if the key is already in the dictionary
- find (key) : returns the stored value for a particular key in the dictionary, returns null if not found.
- delete (key) : removes the key and its corresponding value from the dictionary.


## SET ADT

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- Find, insert and delete have few differences
- Possible to implement other functions from sets
- Union, intersection, difference

APPLICATIONS

- Store information in key, value pairs
- Very common usage pattern

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- Store information in key, value pairs
- Very common usage pattern
- Phone directories
- Indexing
- OS page tables
- Databases


## IMPLEMENTATIONS

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- Keys and Values should be stored together in some way
- Both objects in one node
- Paired arrays (one stores keys and the other values)


## IMPLEMENTATIONS

- Simple implementations


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Unsorted linked-list

Unsorted array

Sorted linked list

Sorted array

## IMPLEMENTATIONS

- Simple implementations

| Unsorted linked-list | insert | find | delete |
| :--- | :--- | :--- | :--- |
| Unsorted array | $O(n)^{*}$ | $O(n)$ | $O(n)$ |
| Sorted linked list | $O(n)^{*}$ | $O(n)$ | $O(n)$ |
| Sorted array | $O(n)$ | $O(n)$ | $O(n)$ |
|  | $O(n)$ | $O(\log n)$ | $O(n)$ |

* Because we need to check for duplicates


## IMPLEMENTATIONS

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- Binary Search Tree (BST)
- Sort based on keys (which have to be comparable)
- How do we implement this?


## BINARY SEARCH TREE

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- What is a binary search tree?
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- All, subtrees must also be binary search trees


## BINARY SEARCH TREE

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- What is a binary search tree?
- A rooted tree, where each node has at most two children
- All elements less than the root are in the left subtree and all elements larger than the root are in the right subtree
- All, subtrees must also be binary search trees
- With this property, all binary search trees have sorted in-order traversals


## IMPLEMENTATIONS

- Other implementations?
- Binary Search Tree (BST)
- Sort based on keys (which have to be comparable)
- How do we implement this?
- What changes need to be made?


## IMPLEMENTATIONS <br> - BST Node:

- Before:


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- Before:
- Node left
- Node right
- Value data


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- Now?


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- Key k
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- Insert() and find() remain similar
- Key is the primary comparison
- Value is attached to the key
- Dictionary fact: All values have an associated key
- For now, assume all keys are unique, i.e. each key only has one value


## IMPLEMENTATIONS

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- What is our time for the three functions?


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- Consider best and worst-case.
- What are the inputs for best and worst-case?


## IMPLEMENTATIONS

- BST Analysis:
- Insert():


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- Insert():
- Worst case: $\mathrm{O}(\mathrm{n})$. What is this worst case?


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- Best case: O(log n)
- What is the general case here?
- What does the runtime for a particular insert depend on?
- O(height)


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- How do you calculate the height of a large tree?
- Height $=1+\max ($ height(left),height(right))


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- Find():
- Worst-case: O(n)
- What is this case? When the tree is linear
- Best-case: $\mathrm{O}(1)$ When the item is the root
- Generally, however: $O(\log n)$ when the tree is balanced


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- Remove the pointer to that node


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- Case 3: The node has two children


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- Delete():
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- Are there any cases where deleting is easy?
- Case 0: The element is not in the data structure
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- Case 1: The key is a leaf in the tree
- Remove the pointer to that node
- Case 2: The node has one child
- Replace that node with its child
- Case 3: The node has two children
- What are some possible strategies?


## IMPLEMENTATIONS

- Deleting nodes with 2 children
- How do we delete 12?



## IMPLEMENTATIONS

- Deleting nodes with 2 children
- How do we delete $12 ?$
- Can we replace 12 with one of it's children?



## IMPLEMENTATIONS

- Deleting nodes with 2 children
- How do we delete 12?
- Can we replace 12 with one of it's children?
- Need to find candidate to replace 12



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- Deleting nodes with 2 children
- If a node has 2 children, then we can "delete" it by over writing the node with a different <key, value> pair
- In order to avoid changing the shape and doing too much work, it must be either the predecessor (the element just before it in sorted order) or the successor (the element just after it in sorted order)


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- Deleting nodes with 2 children
- What are the predecessor and successor of 12 ?



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- Delete():
- Worst case(): O(n), finding the predecessor/successor takes time. What is this case?
- Best case(): $O(1)$ if we're deleting the root from a degenerate tree
- "Degenerate" trees are those that are very unbalanced.

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- Height
- Many of our worst cases are when trees are poorly balanced
- Can we enforce this balance?
- What are some possible balance conditions?
- Number of elements on the left = number on right?
- What we really care about though is the height of the tree
- Height of the left = height on the right?


## ANALYSIS

- This doesn't help much



## ANALYSIS

- This doesn't help much
- Need the definition to be recursive
- Let height(left) = height(right) for all nodes



## ANALYSIS

- Now what's wrong?



## ANALYSIS

- Now what's wrong?
- Only perfect trees (with $2^{\mathrm{k}}$ children) can exist



## ANALYSIS

- For each node in the tree, the height of its left and right subtrees can differ by at most one


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- |(height(left) - height(right)| $\leq 1$


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- For each node in the tree, the height of its left and right subtrees can differ by at most one
- |(height(left) - height(right) $\leq 1$
- This is the AVL property, and we can use it to create self balancing trees


## NEXT CLASS

- AVL Trees and implementation

