



# CSE 332: Data Abstractions Lecture 15: Topological Sort / Graph Traversals

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# Today

- Graphs
  - Representations
  - Topological Sort
  - Graph Traversals

Disclaimer: Do not use for official advising purposes! (Implies that CSE 332 is a pre-req for CSE 312 – not true)

# **Topological Sort**

Problem: Given a DAG G= (V, E), output all the vertices in order such that if no vertex appears before any other vertex that has an edge to it



Example output:

142, 126, 143, 311, 331, 332, 312, 341, 351, 333, 440, 352



#### Valid Topological Sorts:

### Questions and comments

• Why do we perform topological sorts only on DAGs?

• Is there always a unique answer?

• What DAGs have exactly 1 answer?

• Terminology: A DAG represents a partial order and a topological sort produces a total order that is consistent with it

### Questions and comments

- Why do we perform topological sorts only on DAGs?
  - Because a cycle means there is no correct answer
- Is there always a unique answer?
  - No, there can be 1 or more answers; depends on the graph
- What DAGs have exactly 1 answer?
  - Lists
- Terminology: A DAG represents a partial order and a topological sort produces a total order that is consistent with it

# **Topological Sort Uses**

- Figuring out how to finish your degree
- Computing the order in which to recompute cells in a spreadsheet
- Determining the order to compile files using a Makefile
- In general, taking a dependency graph and coming up with an order of execution

# A First Algorithm for Topological Sort

- 1. Label ("mark") each vertex with its in-degree
  - Think "write in a field in the vertex"
  - Could also do this via a data structure (e.g., array) on the side
- 2. While there are vertices not yet output:
  - a) Choose a vertex **v** with labeled with in-degree of 0
  - b) Output **v** and *conceptually* remove it from the graph
  - c) For each vertex u adjacent to v (i.e. u such that (v,u) in E), decrement the in-degree of u



 Node:
 126 142 143 311 312 331 332 333 341 351 352 440

 Removed?
 In-degree:
 0
 0
 2
 1
 1
 2
 1
 1
 1
 1

Output:

### Example

Output: 126



Node: 126 142 143 311 312 331 332 333 341 351 352 440 Removed? x In-degree: 0 0 2 1 2 1 1 2 1 1 1 1 1



126 142 143 311 312 331 332 333 341 351 352 440 Node: Removed? Χ Χ 2 1 2 1 1 2 In-degree: 0 0 1 1 1 1 1  $\mathbf{0}$ 



126 142 143 311 312 331 332 333 341 351 352 440 Node: Removed? Χ Χ Х In-degree:  $\mathbf{0}$  $\mathbf{0}$ 



126 142 143 311 312 331 332 333 341 351 352 440 Node: Removed? Χ Χ Х Х In-degree:  $\mathbf{0}$  $\mathbf{0}$ 



126 142 143 311 312 331 332 333 341 351 352 440 Node: Removed? Χ Χ Х Х Х In-degree:  $\mathbf{0}$  $\mathbf{0}$ 



Node: 126 142 143 311 312 331 332 333 341 351 352 440 Removed? Χ Χ Х Χ Х Χ In-degree: 2 0 1 2 1 1 2 1 0 1 1 1 0 0 0 1 1 1 0 0  $\cap$  $\mathbf{0}$  $\mathbf{O}$ 



Node: 126 142 143 311 312 331 332 333 341 351 352 440 Removed? Χ Χ Х Х Χ Χ Х In-degree:  $\cap$  $\mathbf{0}$ 



Node: 126 142 143 311 312 331 332 333 341 351 352 440 Removed? Χ Χ Х Х Χ Χ Х Х In-degree: 2 1 1 2 1 2 1 0 0 1 1 1 0 0 0 1 1 1 0 0  $\cap$  $\mathbf{0}$ 0



Node: 126 142 143 311 312 331 332 333 341 351 352 440 Removed? Χ Χ Х Χ Χ Χ Х Х Χ In-degree: 2 1 2 1 2 1 0 0 1 1 1 1 0 1 0 0 0 0 1 0 0 1  $\mathbf{0}$ 0  $\mathbf{O}$ 



# A couple of things to note

- Needed a vertex with in-degree of 0 to start
  - No cycles
- Ties between vertices with in-degrees of 0 can be broken arbitrarily
  - Potentially many different correct orders

### Topological Sort: Running time?

```
labelEachVertexWithItsInDegree();
for(ctr=0; ctr < numVertices; ctr++){
  v = findNewVertexOfDegreeZero();
  put v next in output
  for each w adjacent to v
  w.indegree--;
```

}

### Topological Sort: Running time?

```
labelEachVertexWithItsInDegree();
for(ctr=0; ctr < numVertices; ctr++){
    v = findNewVertexOfDegreeZero();
    put v next in output
    for each w adjacent to v
      w.indegree---;
}
```

- What is the worst-case running time?
  - Initialization O(|V| + |E|) (assuming adjacency list)
  - Sum of all find-new-vertex  $O(|V|^2)$  (because each O(|V|))
  - Sum of all decrements O(|E|) (assuming adjacency list)
  - So total is  $O(|V|^2 + |E|)$  not good for a sparse graph!

# Doing better

The trick is to avoid searching for a zero-degree node every time!

- Keep the "pending" zero-degree nodes in a list, stack, queue, box, table, or something
- Order we process them affects output but not correctness or efficiency provided add/remove are both O(1)

Using a queue:

- 1. Label each vertex with its in-degree, enqueue 0-degree nodes
- 2. While queue is not empty
  - a) v = dequeue()
  - b) Output **v** and remove it from the graph
  - c) For each vertex **u** adjacent to **v** (i.e. **u** such that (**v**,**u**) in **E**), decrement the in-degree of **u**, if new degree is 0, enqueue it

# Topological Sort(optimized): Running time?

```
labelAllAndEnqueueZeros();
for(ctr=0; ctr < numVertices; ctr++){
    v = dequeue();
    put v next in output
    for each w adjacent to v {
        w.indegree---;
        if(w.indegree==0)
            enqueue(w);
    }
}
```

# Topological Sort(optimized): Running time?

```
labelAllAndEnqueueZeros();
for(ctr=0; ctr < numVertices; ctr++){
    v = dequeue();
    put v next in output
    for each w adjacent to v {
        w.indegree--;
        if(w.indegree==0)
            enqueue(w);
    }
}
```

- What is the worst-case running time?
  - Initialization: O(|V|+|E|) (assuming adjacenty list)
  - Sum of all enqueues and dequeues: O(|V|)
  - Sum of all decrements: O(|E|) (assuming adjacency list)
- So total is O(|E| + |V|) much better for sparse graph!  $\frac{2}{09}/2015$

# Graph Traversals

Next problem: For an arbitrary graph and a starting node **v**, find all nodes *reachable* (i.e., there exists a path) from **v** 

- Possibly "do something" for each node (an iterator!)
  - E.g. Print to output, set some field, etc.

Related:

- Is an undirected graph connected?
- Is a directed graph weakly / strongly connected?
  - For strongly, need a cycle back to starting node

Basic idea:

- Keep following nodes
- But "mark" nodes after visiting them, so the traversal terminates and processes each reachable node exactly once

### Graph Traversal: Abstract Idea

```
traverseGraph(Node start) {
   Set pending = emptySet();
   pending.add(start)
   mark start as visited
   while(pending is not empty) {
     next = pending.remove()
     for each node u adjacent to next
        if(u is not marked) {
          mark u
          pending.add(u)
        }
```

# Running time and options

- Assuming add and remove are O(1), entire traversal is O(|E|)
  - Use an adjacency list representation
- The order we traverse depends entirely on how add and remove work/are implemented
  - Depth-first graph search (DFS): a stack
  - Breadth-first graph search (BFS): a queue
- DFS and BFS are "big ideas" in computer science
  - Depth: recursively explore one part before going back to the other parts not yet explored
  - Breadth: Explore areas closer to the start node first

# Recursive DFS, Example : trees

• A tree is a graph and DFS and BFS are particularly easy to "see"



Order processed: A, B, D, E, C, F, G, H

- Exactly what we called a "pre-order traversal" for trees
- The marking is not needed here, but we need it to support arbitrary graphs, we need a way to process each node exactly once

2/09/2015

### DFS with a stack, Example: trees



DFS2(Node start) {
 initialize stack s to hold start
 mark start as visited
 while(s is not empty) {
 next = s.pop() // and "process"
 for each node u adjacent to next
 if(u is not marked)
 mark u and push onto s
 }

Order processed:

• A different but perfectly fine traversal

### DFS with a stack, Example: trees



DFS2(Node start) {
 initialize stack s to hold start
 mark start as visited
 while(s is not empty) {
 next = s.pop() // and "process"
 for each node u adjacent to next
 if(u is not marked)
 mark u and push onto s
 }
}

Order processed: A, C, F, H, G, B, E, D

• A different but perfectly fine traversal

### BFS with a queue, Example: trees



BFS(Node start) {
 initialize queue q to hold start
 mark start as visited
 while(q is not empty) {
 next = q.dequeue()// and "process"
 for each node u adjacent to next
 if(u is not marked)
 mark u and enqueue onto q
 }

Order processed:

• A "level-order" traversal

### BFS with a queue, Example: trees



BFS(Node start) {
 initialize queue q to hold start
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 while(q is not empty) {
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Order processed: A, B, C, D, E, F, G, H

• A "level-order" traversal

# DFS/BFS Comparison

Breadth-first search:

- Always finds shortest paths, i.e., "optimal solutions
  - Better for "what is the shortest path from **x** to **y**"
- Queue may hold O(|V|) nodes (e.g. at the bottom level of binary tree of height h, 2<sup>h</sup> nodes in queue)

Depth-first search:

- Can use less space in finding a path
  - If *longest path* in the graph is p and highest out-degree is d then DFS stack never has more than d\*p elements
- A third approach: *Iterative deepening (IDDFS)*:
  - Try DFS but don't allow recursion more than  $\kappa$  levels deep.
  - If that fails, increment  $\kappa$  and start the entire search over
- Like BFS, finds shortest paths. Like DFS, less space.

# Saving the path

- Our graph traversals can answer the "reachability question":
  - "<u>Is there</u> a path from node x to node y?"
- Q: But what if we want to *output the actual path*?
  - Like getting driving directions rather than just knowing it's possible to get there!
- A: Like this:
  - Instead of just "marking" a node, store the <u>previous node</u> along the path (when processing u causes us to add v to the search, set v.path field to be u)
  - When you reach the goal, follow path fields backwards to where you started (and then reverse the answer)
  - If just wanted path *length*, could put the integer distance at each node instead

# Example using BFS

What is a path from Seattle to Austin

- Remember marked nodes are not re-enqueued
- Note shortest paths may not be unique



# Example using BFS

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- Remember marked nodes are not re-enqueued
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