

# CSE 332

## Data Abstractions

## P vs. NP: Efficient Reductions Between Problems

### More Graph Problems

1

Let's consider the **longest path** problem on a graph.

Remember, we were able to do **shortest paths** using Dijkstra's.

Take a few minutes to try to solve the **longest path** problem.

### Decision Problems

2

#### Definition (Decision Problem)

A **decision problem** (or **language**) is a set of strings ( $L \subseteq \Sigma^*$ ).  
An algorithm ( $f: \Sigma^* \rightarrow \text{boolean}$ ) solves a decision problem iff it only outputs true if the input is in the set.

PRIMES	
<b>Input(s):</b>	Number $x$
<b>Output:</b>	true iff $x$ is prime

#### An Algorithm that solves PRIMES

```

1 isPrime(x) {
2   for (i = 2; i < x; i++) {
3     if (x % i == 0) {
4       return true;
5     }
6   }
7   return false;
8 }
```

In this lecture, we'll be talking about **efficient reductions**. So, naturally, we have to answer two questions:

- What is an efficient algorithm?
- What is a reduction?

### Efficient Algorithm

We say an algorithm is **efficient** if the worst-case analysis is a **polynomial**. Okay, but...

- $n^{10000000...}$  is polynomial
- $3000000000000000n^3$  is polynomial

Are those really efficient?

Well, no, but, in practice...

when a polynomial algorithm is found the constants are actually low

**Polynomial** runtime is a **very** low bar, if we can't even get that...

This lecture is about exposing **hidden** similarities between problems.

We will show that problems that are **cosmetically different** are **substantially the same!**

Our main tool to do this is called a **reduction**:

### Reductions

We have two **decision problems**, **A** and **B**. To show that **A** is "at least as hard as" **B**, we

- Suppose we can solve **A**
- Create an algorithm that calls **A** as a method that solves **B**

To show they're the same, we have to do both directions.

### Two New Computational Problems

#### LONG-PATH

**Input(s):** Unweighted Graph  $G$ ; Number  $k$   
**Output:** true iff  $G$  has a path with  $k$  edges

#### HAM-PATH

**Input(s):** Unweighted Graph  $G$   
**Output:** true iff  $G$  has a path using all vertices

Suppose we could solve **LONG-PATH**...

#### "Algorithm"

```
1 HAM-PATH(G) {
2   return LONG-PATH(G, |V| - 1)
3 }
```

Suppose we could solve **HAM-PATH**...

#### "Algorithm"

```
1 LONG-PATH(G, k) {
2   for ( $G' = (v_1, v_2, \dots, v_k)$  in  $G$ ) {
3     if (HAM-PATH( $G'$ )) {
4       return true;
5     }
6   }
7   return false;
8 }
```

### Definition ( $k$ -coloring)

A  $k$ -**coloring** of a graph  $G$  is an assignment of  $k$  colors to vertices such that no two adjacent vertices have the same color.

#### 2-COLOR

**Input(s):** Graph  $G$   
**Output:** true iff  $G$  has a valid 2-coloring

Can we solve this?

### Algorithm For 2-COLOR

Try all  $2^n$  possible colorings of the input graph!

Can we solve this efficiently?

### Efficient Algorithm For 2-COLOR

Do a dfs on the graph! Every time we hit a vertex, assign it the opposite color from the vertex we just visited. If there's a color conflict, output false. If we finish with no color conflict, output true.

## A 3-CRAYOLA Question

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### Definition ( $k$ -coloring)

A  $k$ -**coloring** of a graph  $G$  is an assignment of  $k$  colors to vertices such that no two adjacent vertices have the same color.

#### 3-COLOR

**Input(s):** Graph  $G$

**Output:** true iff  $G$  has a valid 3-coloring

### Inefficient Algorithm For 3-COLOR

Try all  $3^n$  possible colorings of the input graph!

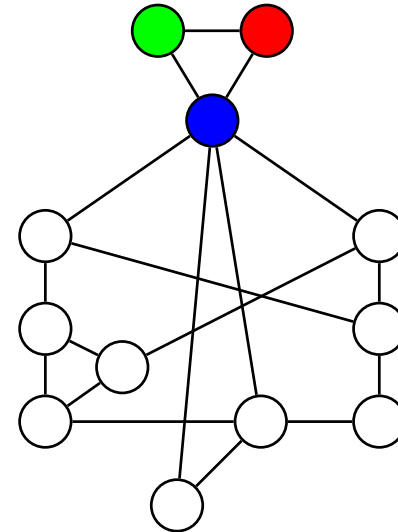
### Efficient Algorithm For 3-COLOR

**UNKNOWN**

## A Graph Called "Gadget"

8

Find a valid 3-coloring of this graph. To orient ourselves, I've started it:



## Another Decision Problem!

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#### CIRCUITSAT

**Input(s):**  $n$ -Input/1-Output Circuit  $C$

**Output:** true iff  $C$  has a satisfying assignment

### Inefficient Algorithm For CIRCUITSAT

Try all  $2^n$  possible assignments of variables

### Efficient Algorithm For CIRCUITSAT

**UNKNOWN**

## Suspicious...

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#### CIRCUITSAT

X Y Z

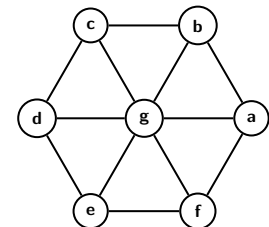
OR

NOT

OR

OUT

#### 3-COLOR

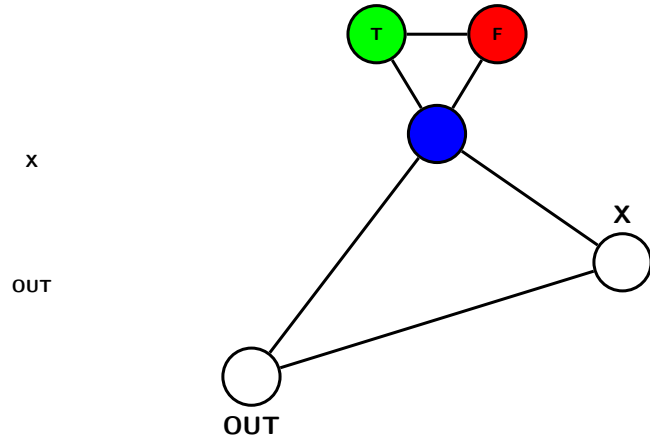


We don't know how to solve either of these problems...

**Could they be the same problem in disguise?**

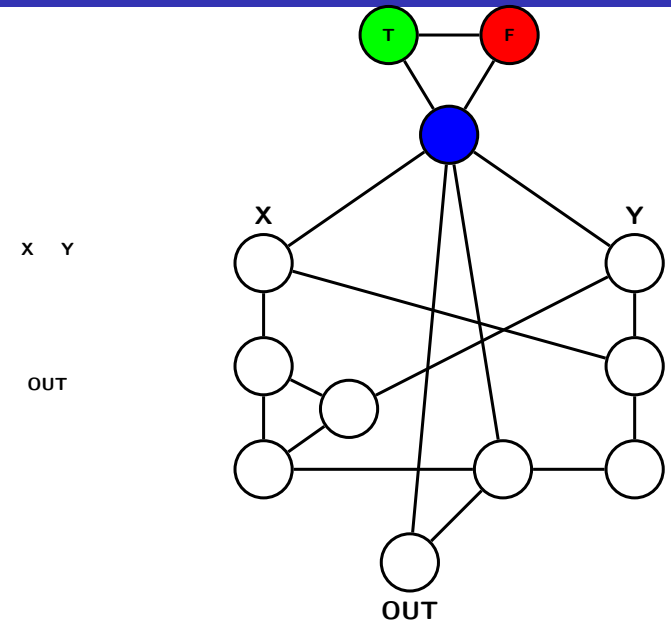
Not Gadget with Labels

11



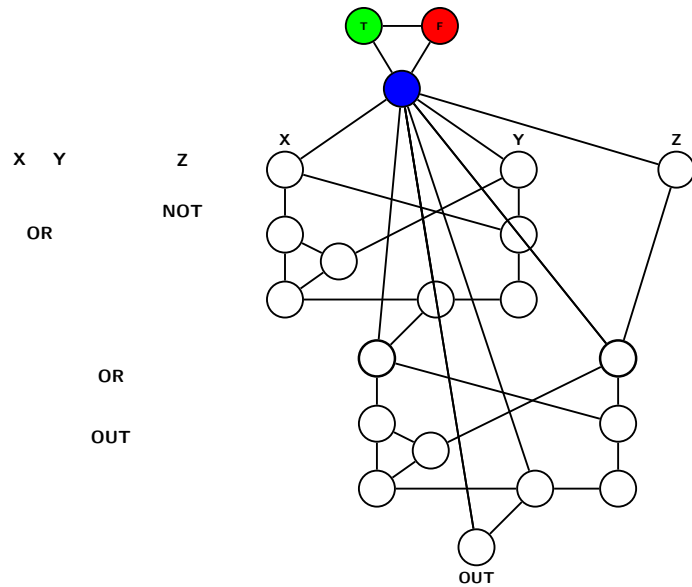
Or Gadget with Labels

12



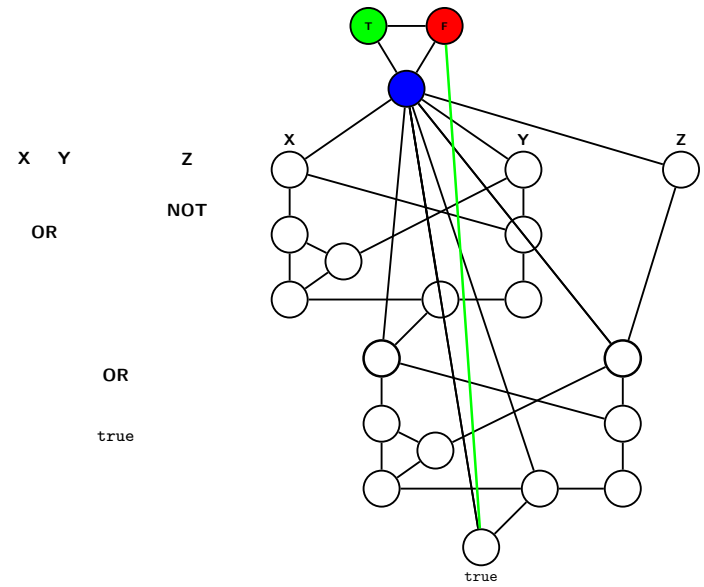
Circuit

13



SATISFIABLE Circuit

14



We found a way to “emulate” circuit satisfiability using three coloring!

If we can find a solution to **3-COLOR**, we can solve **CIRCUITSAT** quickly.

**These problems are substantially the same**

# CSE 332

## Data Abstractions

## P vs. NP: The Million \$ Problem

### Complexity Classes

1

#### Definition (Complexity Class)

A **complexity class** is a set of problems limited by some resource constraint (time, space, etc.)

Today, we will talk about three: P, NP, and EXP

### The Class P

2

#### Definition (The Class P)

P is the set of **decision problems** with a polynomial time (in terms of the input) algorithm.

We've spent pretty much this entire course talking about problems in P.

For example:

CONN	
<b>Input(s):</b>	Graph $G$
<b>Output:</b>	true iff $G$ is connected

#### CONN $\in$ P

`dfs` solves **CONN** and takes  $\mathcal{O}(|V| + |E|)$ , which is the size of the input string (e.g., the graph).

#### 2-COLOR $\in$ P

We showed this earlier!

How About These? Are They in P?

- 3-COLOR?
- CIRCUITSAT?
- LONG-PATH?
- FACTOR?

**We have no idea!**

There are a lot of open questions about P...

But Is There Something NOT in P?

**YES:** The Halting Problem!

**YES:** Who wins a game of  $n \times n$  chess?

As one might expect, there is another complexity class EXP:

Definition (The Class EXP)

EXP is the set of **decision problems** with an exponential time (in terms of the input) algorithm.

Generalized **CHES**  $\in$  EXP.

Notice that  $P \subseteq \text{EXP}$ . That is, all problems with polynomial time worst-case solutions also have exponential time worst-case solutions.

But a digression first...

Remember **Finite State Machines**?

You studied two types:

- DFAs (go through a single path to an end state)
- NFAs (go through **all possible paths** simultaneously)

NFAs "try everything" and if any of them work, it returns true. This idea is called **Non-determinism**. It's what the "N" in NP stands for.

Definition #1 of NP:

Definition (The Class NP)

NP is the set of **decision problems** with a **non-deterministic** polynomial time (in terms of the input) algorithm.

Unfortunately, this isn't particularly helpful to us. So, we'll turn to an equivalent (but more usable) definition.

Definition (Certifier)

A **certifier** for problem **X** is an algorithm that takes as input:

- A String  $s$ , which is an instance of **X** (e.g., a graph, a number, a graph and a number, etc.)
- A String  $w$ , which acts as a "certificate" or "witness" that  $s \in \mathbf{X}$

And returns:

- false (regardless of  $w$ ) if  $s \notin \mathbf{X}$
- true for **at least one** String  $w$  if  $s \in \mathbf{X}$

Definition #2 of NP:

Definition (The Class NP)

NP is the set of **decision problems** with a polynomial time **certifier**.

A consequence of the fact that the certifier must run in polynomial time is that the valid "witness" must have **polynomial length** or the certifier wouldn't be able to read it.

## Okay, this makes no sense, example plx?

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We claim **3-COLOR**  $\in$  NP. To prove it, we need to find a **certifier**.

### Certificate?

We get to choose what the certifier interprets the certificate as. For **3-COLOR**, we choose:

An assignment of colors to vertices (e.g.,  $v_1 = \text{red}, v_2 = \text{blue}, v_3 = \text{red}$ )

### Certifier

```
1 checkColors(G, assn) {
2   if (assn isn't an assignment or G isn't a graph) {
3     return false;
4   }
5   for (v : V) {
6     for (w : v.neighbors()) {
7       if (assn[v] == assn[w]) {
8         return false;
9       }
10  }
11  return true;
12 }
```

For this to work, we need to check a couple things:

- 1 Length of the certificate?  $\mathcal{O}(|V|)$
- 2 Runtime of the certifier?  $\mathcal{O}(|V| + |E|)$

## FACTOR

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CONN

**Input(s):** Number  $n$ ; Number  $m$   
**Output:** true iff  $n$  has a factor  $f$ , where  $f \leq m$

We claim **FACTOR**  $\in$  NP. To prove it, we need to find a **certifier**.

### Certificate?

Some factor  $f$  with  $f \leq m$

### Certifier

```
1 checkFactor((n, m), f) {
2   if (n, m, or f isn't a number) {
3     return false;
4   }
5   return m % f == 0;
6 }
```

For this to work, we need to check a couple things:

- 1 Length of the certificate?  $\mathcal{O}(\text{bits of } m)$
- 2 Runtime of the certifier?  $\mathcal{O}(\text{bits of } n)$

## Proving $P \subseteq NP$

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Let  $X \in P$ . We claim  $X \in NP$ . To prove it, we need to find a **certifier**.

### Certificate?

We don't need one!

### Certifier

```
1 runX(s, _) {
2   return XAlgorithm(s)
3 }
```

For this to work, we need to check a couple things:

- 1 Length of the certificate?  $\mathcal{O}(1)$ .
- 2 Runtime of the certifier? Well,  $X \in P$ ...

In other words, if  $X \in P$ , then there is a polynomial time algorithm that solves  $X$ .

So, the "verifier" just runs that program...

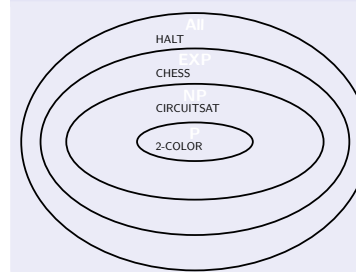
## P vs. NP

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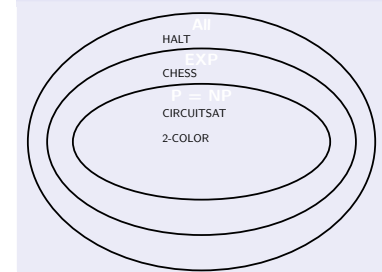
Finally, we can define P vs. NP...

**Is finding a solution harder than certification/verification?**

If  $P \neq NP$



If  $P = NP$



Another way of looking at it. If  $P = NP$ :

- We can solve **3-COLOR**, **TSP**, **FACTOR**, **SAT**, etc. efficiently
- If we can solve **FACTOR** quickly, there goes RSA...oops



## Cook-Levin Theorem

Three Equivalent Statements:

- **CIRCUITSAT** is “harder” than any other problem in NP.
- **CIRCUITSAT** “captures” all other languages in NP.
- **CIRCUITSAT** is **NP-Hard**.

But we already proved that **3-COLOR** is “harder” than **CIRCUITSAT**!  
So, **3-COLOR** is **also NP-Hard**.

## Definition (NP-Complete)

A decision problem is **NP-Complete** if it is a member of NP and it is **NP-Hard**.

Is there an **NP-Hard** problem, **X**, where **X** is **not NP-Complete**?

Yes. The halting problem!

Some **NP-Complete** Problems

**CIRCUITSAT**, **TSP**, **3-COLOR**, **LONG-PATH**, **HAM-PATH**,  
**SCHEDULING**, **SUBSET-SUM**, ...

Interestingly, there are a bunch of problem we don't know the answer for:

Some Problems Not Known To Be **NP-Complete**

**FACTOR**, **GRAPH-ISOMORPHISM**, ...