



# ***CSE 332 Data Abstractions: Graphs and Graph Traversals***

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# *Last Time*

We introduced the idea of graphs and their associated terminology

Key terms included:

- Directed versus Undirected
- Weighted versus Unweighted
- Cyclic or Acyclic
- Connected or Disconnected
- Dense or Sparse
- Self-loops or not

These are all important concepts to consider when implementing a graph data structure

# *Graph Data Structures*

The two most common graph data structures

- Adjacency Matrix
- Adjacency List

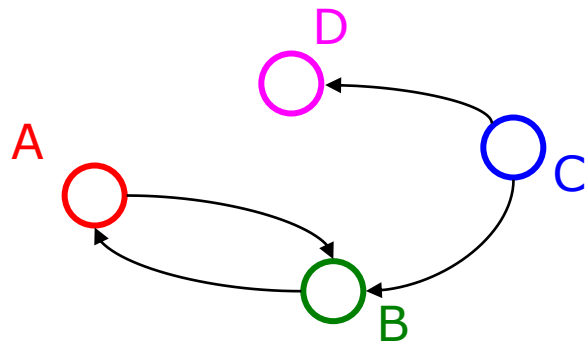
Whichever is best depends on the type of graph, its properties, and what you want to do with the graph

# Adjacency Matrix

Assign each node a number from 0 to  $|V|-1$

A  $|V| \times |V|$  matrix of Booleans (or 0 versus 1)

- Then  $M[u][v] == \text{true}$   $\rightarrow$  an edge exists from  $u$  to  $v$
- This example is for a directed graph



|      |   | To |   |   |   |
|------|---|----|---|---|---|
|      |   | A  | B | C | D |
| From | A | F  | T | F | F |
|      | B | T  | F | F | F |
|      | C | F  | T | F | T |
|      | D | F  | F | F | F |

# Adjacency Matrix Properties

Run time to get a vertex  $v$ 's out-edges?

$O(|V|)$  → iterate over  $v$ 's row

Run time to get a vertex  $v$ 's in-edges?

$O(|V|)$  → iterate over  $v$ 's column

Run time to decide if an edge  $(u,v)$  exists?

$O(1)$  → direct lookup of  $M[u][v]$

Run time to insert an edge  $(u,v)$ ?

$O(1)$  → set  $M[u][v] = \text{true}$

Run time to delete an edge  $(u,v)$ ?

$O(1)$  → set  $M[u][v] = \text{false}$

Space requirements:

$O(|V|^2)$  → 2-dimensional array

Best for sparse or dense graphs?

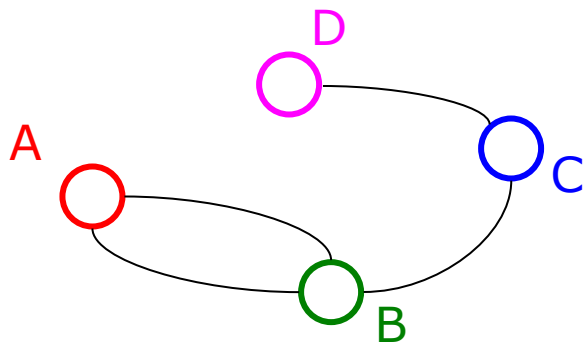
**Dense** → We have to store every possible edge!!

|      |   | To |   |   |   |
|------|---|----|---|---|---|
|      |   | A  | B | C | D |
| From | A | F  | T | F | F |
|      | B | T  | F | F | F |
|      | C | F  | T | F | T |
|      | D | F  | F | F | F |

# Adjacency Matrix: Undirected Graphs

How will the adjacency matrix work for an undirected graph?

- Will be symmetric about diagonal axis
- Save space by using only about half the array?



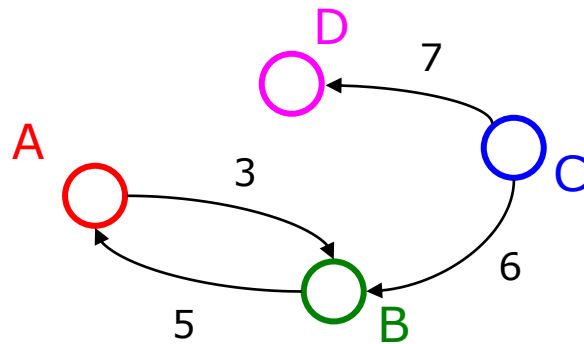
|   | A | B | C | D |
|---|---|---|---|---|
| A | F | T | F | F |
| B | T | F | F | F |
| C | F | T | F | T |
| D | F | F | T | F |

- But how would you "get all neighbors"?

# Adjacency Matrix: Weighted Graphs

How will the adjacency matrix work for a **weighted graph**?

- Instead of Boolean, store a number in each cell
- Need some value to represent 'not an edge'
  - 0, -1, or some other value based on how you are using the graph
  - Might need to be a separate field if no restrictions on weights

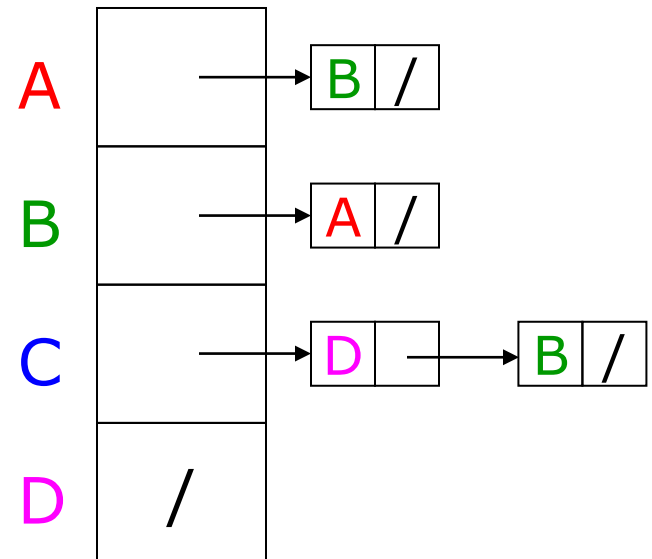
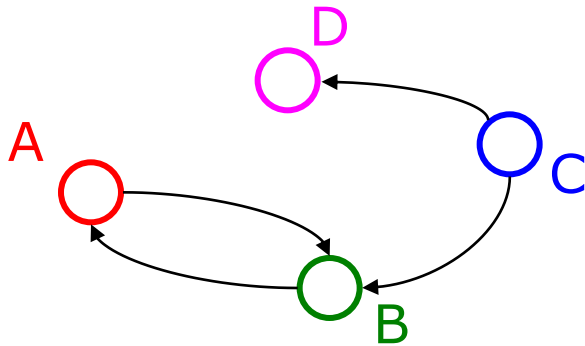


|   | A | B | C | D |
|---|---|---|---|---|
| A | 0 | 3 | 0 | 0 |
| B | 5 | 0 | 0 | 0 |
| C | 0 | 6 | 0 | 7 |
| D | 0 | 0 | 0 | 0 |

# Adjacency List

Assign each node a number from 0 to  $|V|-1$

- An array of length  $|V|$  in which each entry stores a list of all adjacent vertices (e.g., linked list)
- This example is again for a directed graph





# Adjacency List Properties

Run time to get a vertex  $v$ 's out-edges?

$O(d)$  → where  $d$  is  $v$ 's out-degree

Run time to get a vertex  $v$ 's in-edges?

$O(|E|)$  → check every vertex list (or keep a second list for in-edges)

Run time to decide if an edge  $(u,v)$  exists?

$O(d)$  → where  $d$  is  $u$ 's out-degree

Run time to insert an edge  $(u,v)$ ?

$O(1)$  → unless you need to check if it's already there

Run time to delete an edge  $(u,v)$ ?

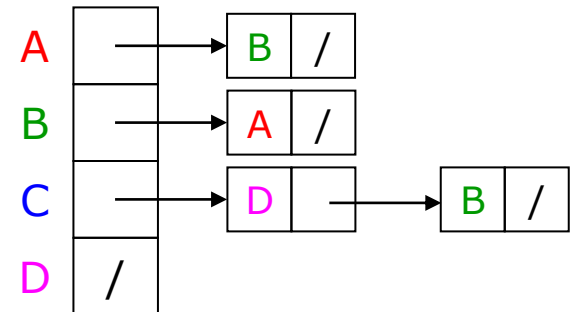
$O(d)$  → where  $d$  is  $u$ 's out-degree

Space requirements:

$O(|V|+|E|)$  → vertex array plus edge nodes

Best for sparse or dense graphs?

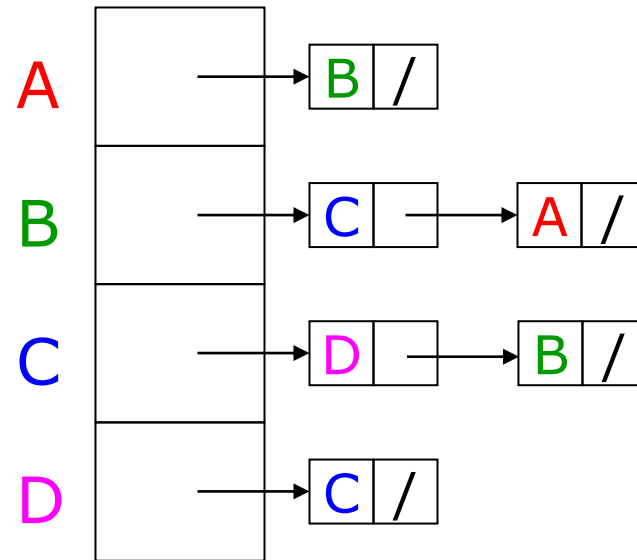
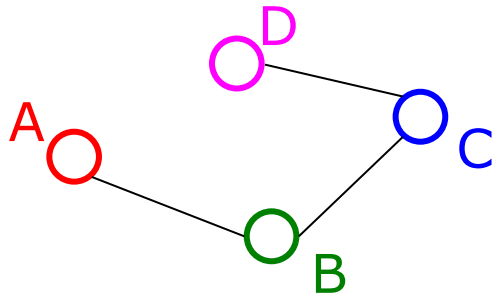
**Sparse** → Only store the edges needed



# Adjacency List: Undirected Graphs

Adjacency lists also work well for **undirected graphs** with one caveat

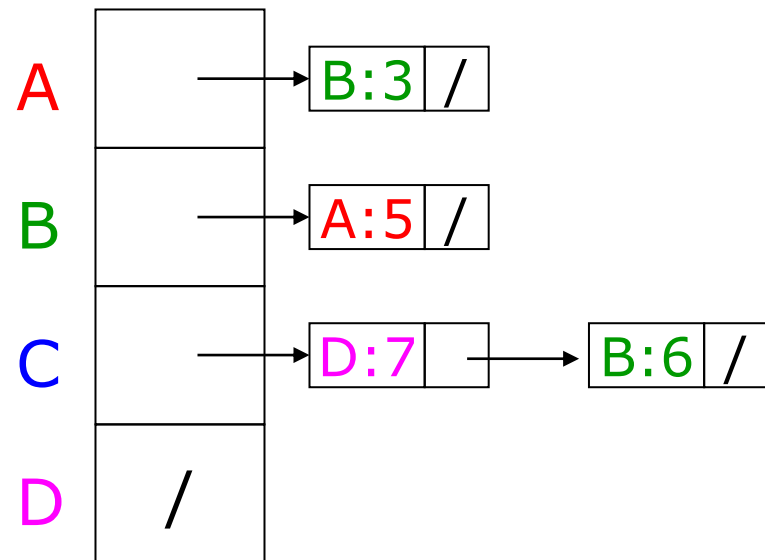
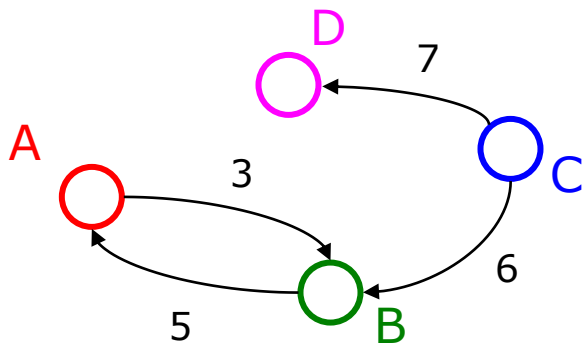
- Put each edge in two lists to support efficient "get all neighbors"
- Only an additional  $O(|E|)$  space



# Adjacency List: Weighted Graphs

Adjacency lists also work well for **weighted graphs** but where do you store the weights?

- In a matrix?  $\rightarrow O(|V|^2)$  space
- Store a weight at each node in list  $\rightarrow O(|E|)$  space



# *Which is better?*

Graphs are often sparse

- Streets form grids
- Airlines rarely fly to all cities

Adjacency lists generally the better choice

- Slower performance
- **HUGE** space savings

# How Huge of Space Savings?

Consider this 6x6 city street grid:

$$|V| = 36$$

$$|E| = 6 \times 5 \times 2 + 6 \times 5 \times 2 = 120$$

Adjacency Matrix:  $O(|V|^2)$

$$\rightarrow 36^2 = 1296$$

Adjacency List:  $O(|E| + |V|)$

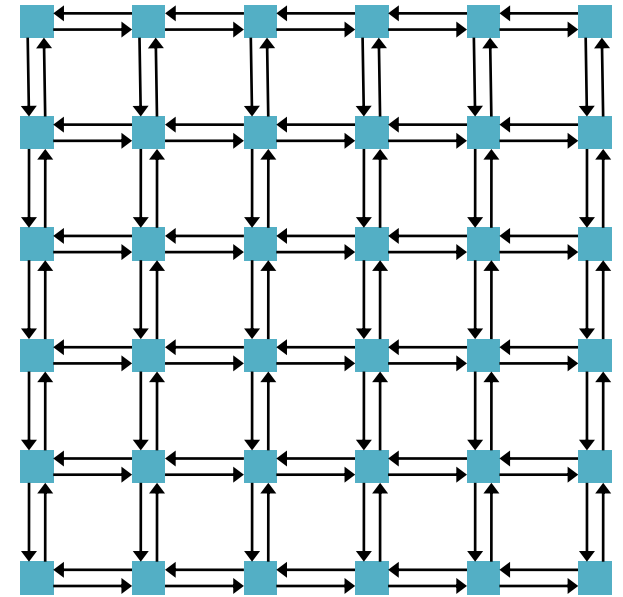
$$\rightarrow 36 + 2 \times 120 = 276 \quad (\text{we'll store both in and out-edges})$$

Savings Factor =  $276/1296 = 23/108 \approx 21\%$  of the space

In general, savings are:

$$\frac{V + E}{V^2} = \frac{1}{V} + \frac{E}{V^2}$$

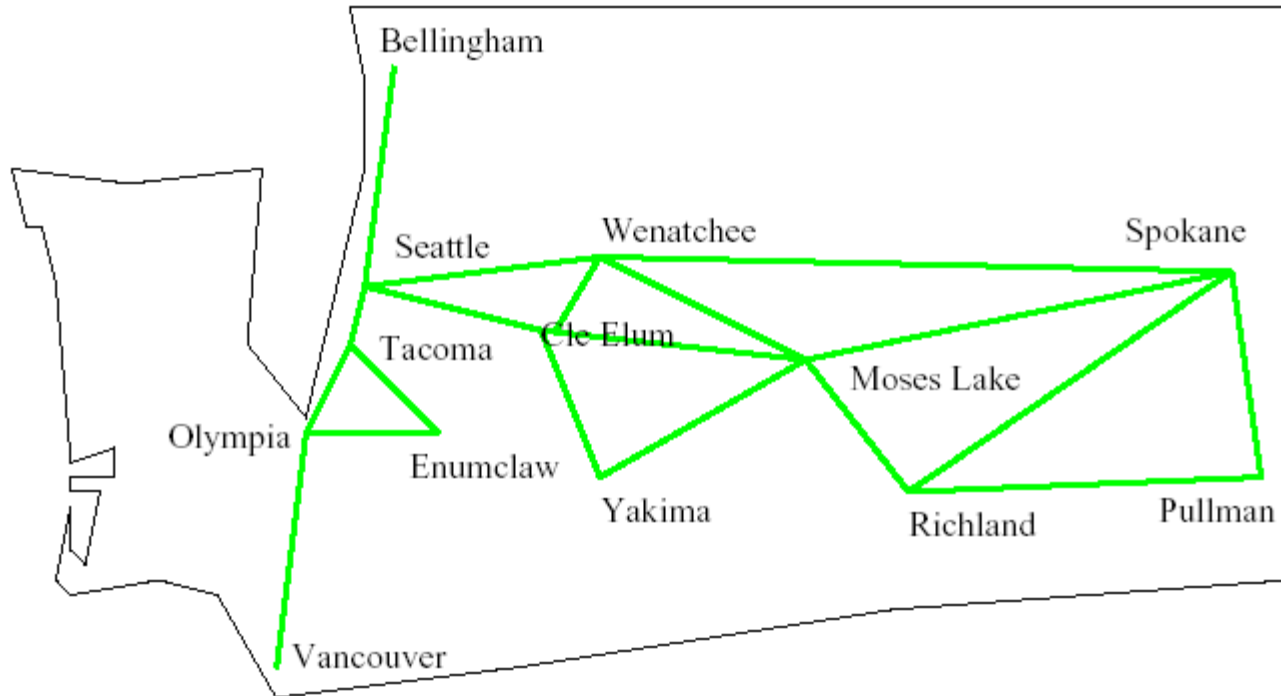
Recall that a sparse graph has  $|E| = o(|V|^2)$ , strictly less than quadratic



Might be easier to list what isn't a graph application...

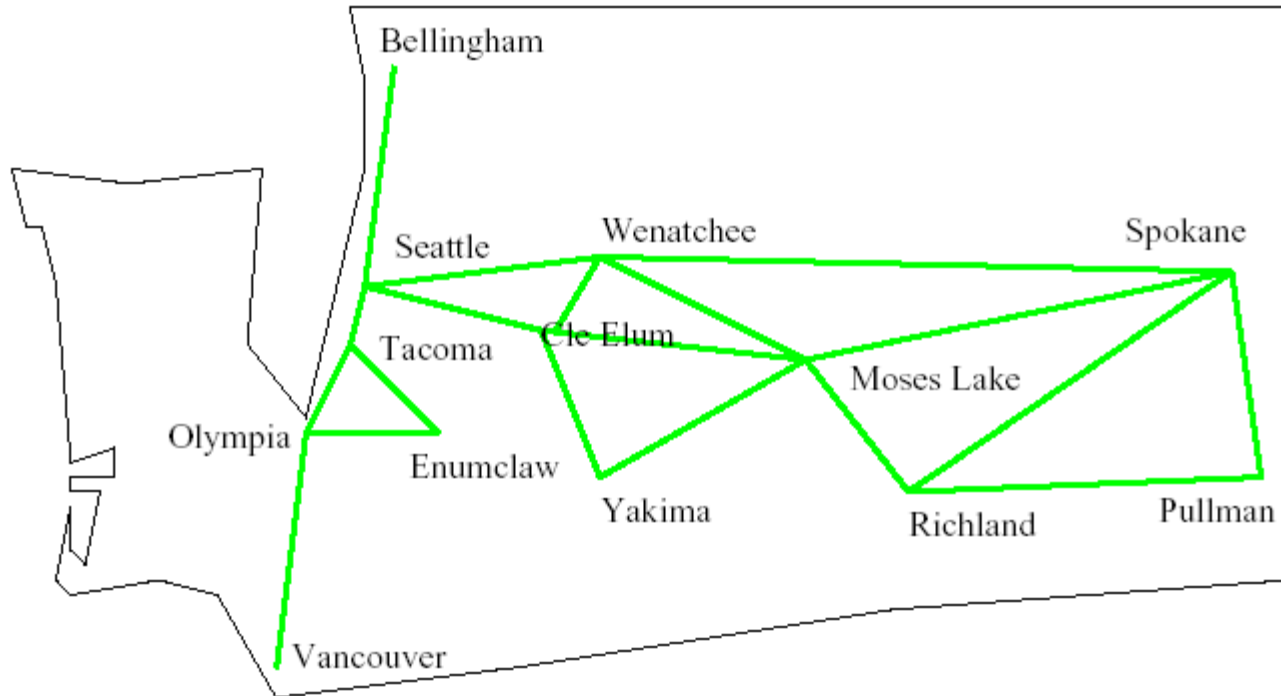
# ***GRAPH APPLICATIONS: TRAVERSALS***

# Application: Moving Around WA State



What's the *shortest way* to get from Seattle to Pullman?

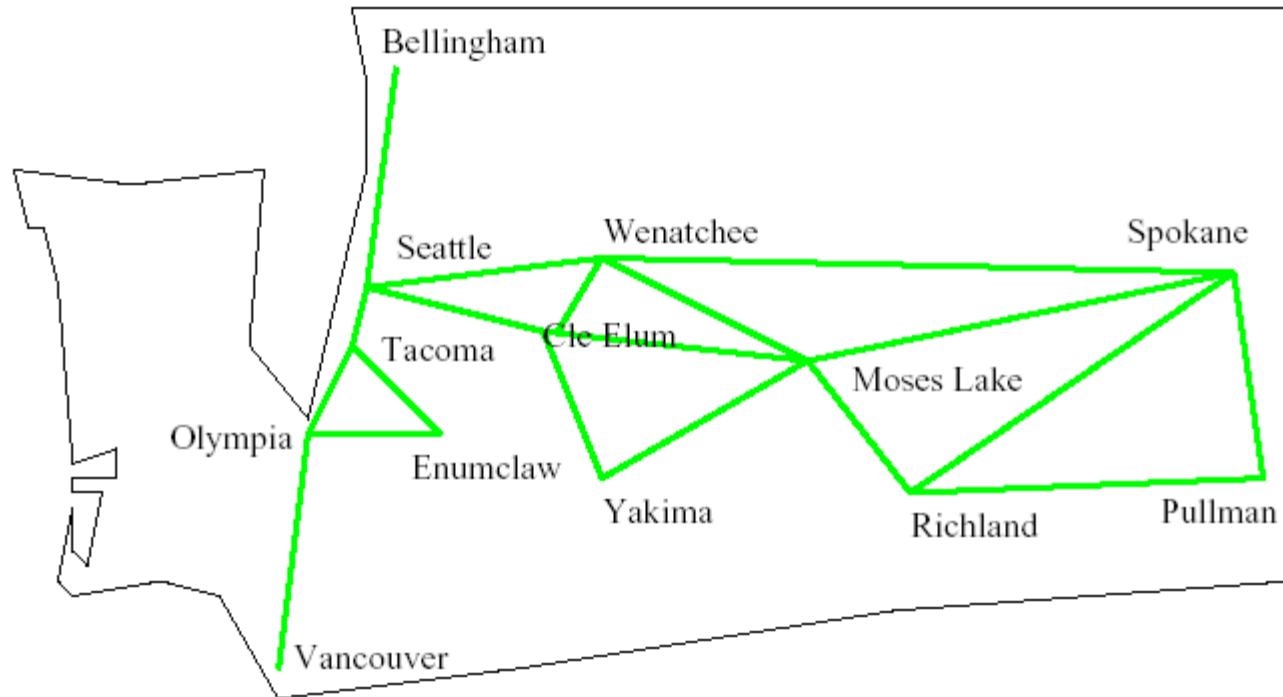
# Application: Moving Around WA State



What's the *fastest way* to get from Seattle to Pullman?

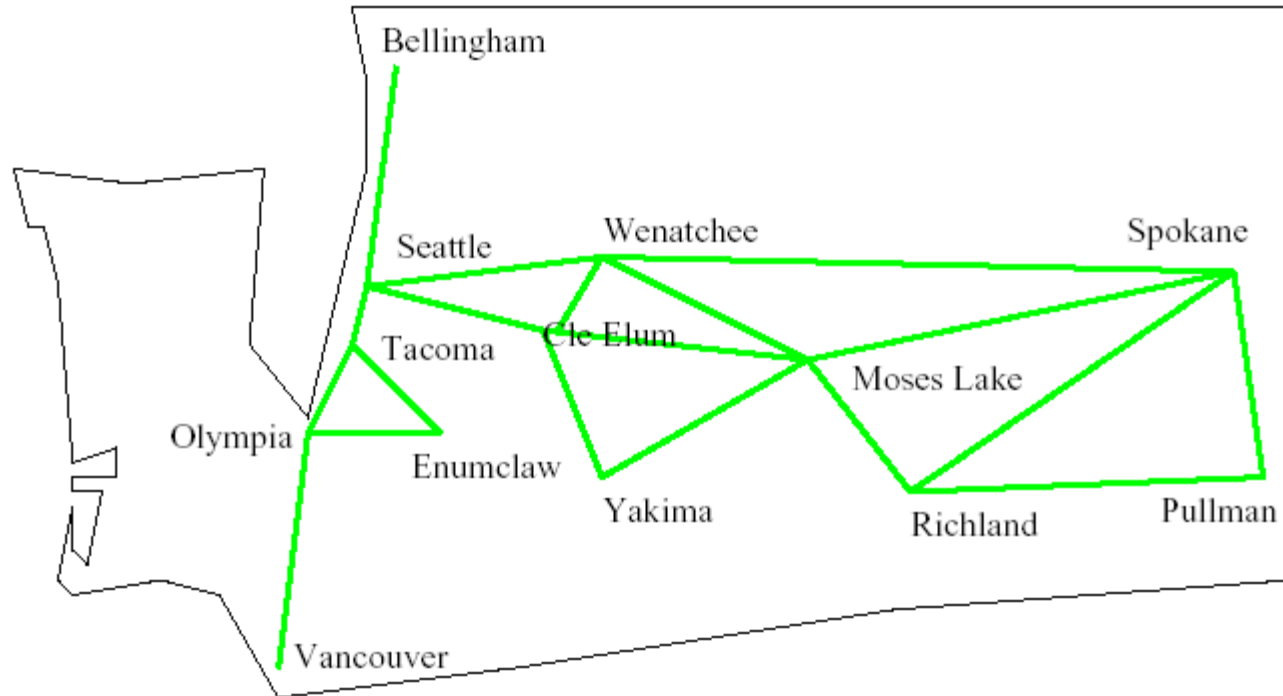


# Application: Communication Reliability



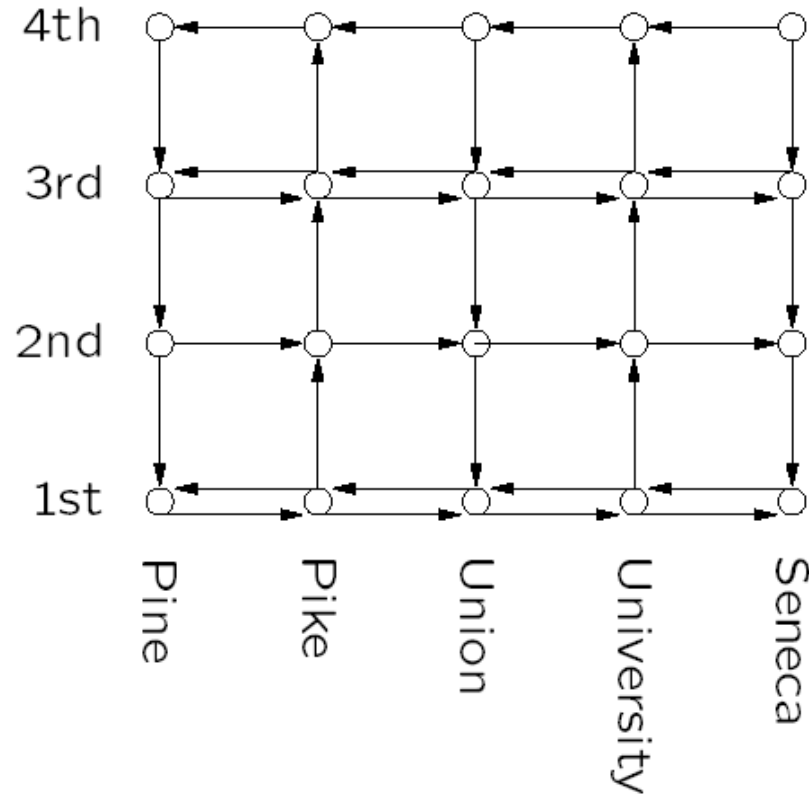
If Wenatchee's phone exchange *goes down*,  
can Seattle still talk to Pullman?

# Application: Communication Reliability



If Tacoma's phone exchange *goes down*,  
can Olympia still talk to Spokane?

# Applications: Bus Routes Downtown



If we're at 3rd and Pine, how can we get to 1st and University using Metro?  
How about 4th and Seneca?

# Graph Traversals

For an arbitrary graph and a starting node  $v$ , find all nodes reachable from  $v$  (i.e., there exists a path)

- Possibly "do something" for each node (print to output, set some field, return from iterator, etc.)

Related Problems:

- Is an undirected graph connected?
- Is a digraph weakly/strongly connected?
  - For strongly, need a cycle back to starting node

# *Graph Traversals*

## Basic Algorithm for Traversals:

- Select a starting node
- Make a set of nodes adjacent to current node
- Visit each node in the set but "mark" each nodes after visiting them so you don't revisit them (and eventually stop)
- Repeat above but skip "marked nodes"

# *In Rough Code Form*

```
traverseGraph(Node start) {
  Set pending = emptySet();
  pending.add(start)
  mark start as visited
  while(pending is not empty) {
    next = pending.remove()
    for each node u adjacent to next
      if(u is not marked) {
        mark u
        pending.add(u)
      }
  }
}
```

# *Running Time and Options*

BFS and DFS traversal are both  $O(|V| + |E|)$  if using an adjacency list

- Queue/stack insert/removes are generally  $O(1)$
- Adjacency lists make it  $O(|V|)$  to find neighboring vertices/edges
- We will mark every node  $\rightarrow O(|V|)$
- We will touch every edge at most twice  $\rightarrow O(|E|)$

Because  $|E|$  is generally at least linear to  $|V|$ , we usually just say BFS/DFS are  $O(|E|)$

- Recall that in a connected graph  $|E| \geq |V| - 1$

# *The Order Matters*

The order we traverse depends entirely on how add and remove work/are implemented

- DFS: a stack "depth-first graph search"
- BFS: a queue "breadth-first graph search"

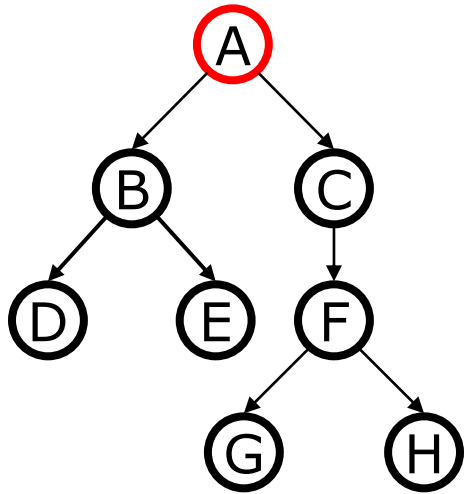
DFS and BFS are "big ideas" in computer science

- Depth: recursively explore one part before going back to the other parts not yet explored
- Breadth: Explore areas closer to start node first



# Recursive DFS, Example with Tree

A tree is a graph and DFS and BFS are particularly easy to "see" in one

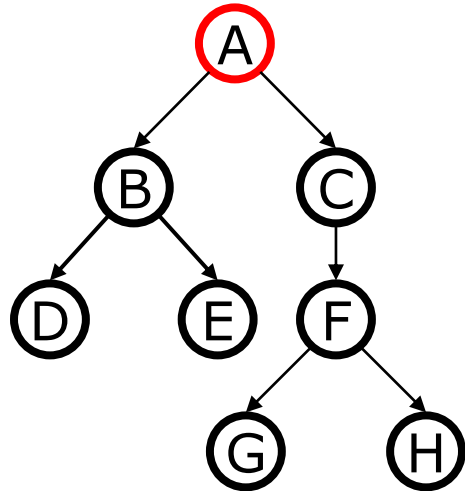


```
DFS(Node start) {  
    mark and process start  
    for each node u adjacent to start  
        if u is not marked  
            DFS(u)  
}
```

Order processed: A, B, D, E, C, F, G, H

- This is a "pre-order traversal" for trees
- The marking is unneeded here but because we support arbitrary graphs, we need a means to process each node exactly once

# DFS with Stack, Example with Tree

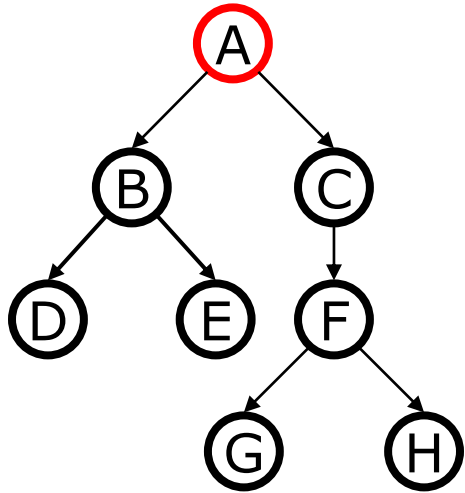


```
DFS2(Node start) {  
  initialize stack s to hold start  
  mark start as visited  
  while(s is not empty) {  
    next = s.pop() // and "process"  
    for each node u adjacent to next  
      if(u is not marked)  
        mark u and push onto s  
  }  
}
```

Order processed: A, C, F, H, G, B, E, D

- A different order but still a perfectly fine traversal of the graph

# BFS with Queue, Example with Tree



```
BFS(Node start) {  
  initialize queue q to hold start  
  mark start as visited  
  while(q is not empty) {  
    next = q.dequeue() // and "process"  
    for each node u adjacent to next  
      if(u is not marked)  
        mark u and enqueue onto q  
  }  
}
```

Order processed: A, B, C, D, E, F, G, H

- A "level-order" traversal

# DFS/BFS Comparison

BFS always finds the shortest path/optimal solution from the start vertex to the target

- Storage for BFS can be extremely large
- A  $k$ -nary tree of height  $h$  could result in a queue size of  $k^h$

DFS can use less space in finding a path

- If longest path in the graph is  $p$  and highest out-degree is  $d$  then DFS stack never has more than  $d \cdot p$  elements

# *Implications*

For large graphs, DFS is more memory efficient, *if we can limit the maximum path length to some fixed  $d$ .*

If we *knew* the distance from the start to the goal in advance, we could simply *not add any children to stack after level  $d$*

But what if we don't know  $d$  in advance?

# *Iterative Deepening (IDFS)*

## Algorithms

- Try DFS up to recursion of K levels deep.
- If fail, increment K and start the entire search over

## Performance:

- Like BFS, IDFS finds shortest paths
- Like DFS, IDFS uses less space
- Some work is repeated but minor compared to space savings

# *Saving the Path*

Our graph traversals can answer the standard *reachability* question:

"Is there a path from node  $x$  to node  $y$ ?"

But what if we want to actually output the path?

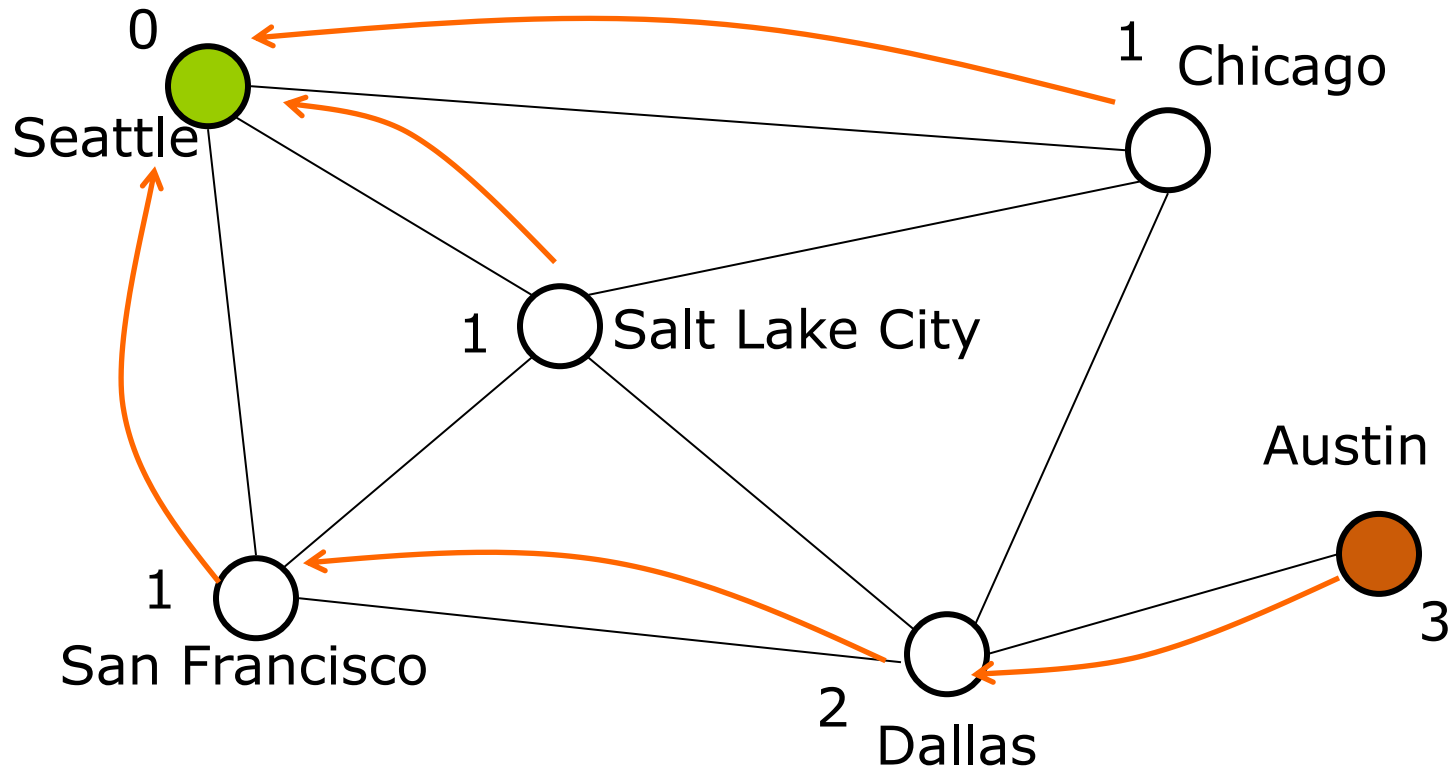
Easy:

- Store the previous node along the path:  
When processing  $u$  causes us to add  $v$  to the search, set  $v.path$  field to be  $u$ )
- When you reach the goal, follow path fields back to where you started (and then reverse the answer)
- What's an easy way to do the reversal? **A Stack!!**

# Example using BFS

What is a path from Seattle to Austin?

- Remember marked nodes are not re-enqueued
- Note shortest paths may not be unique

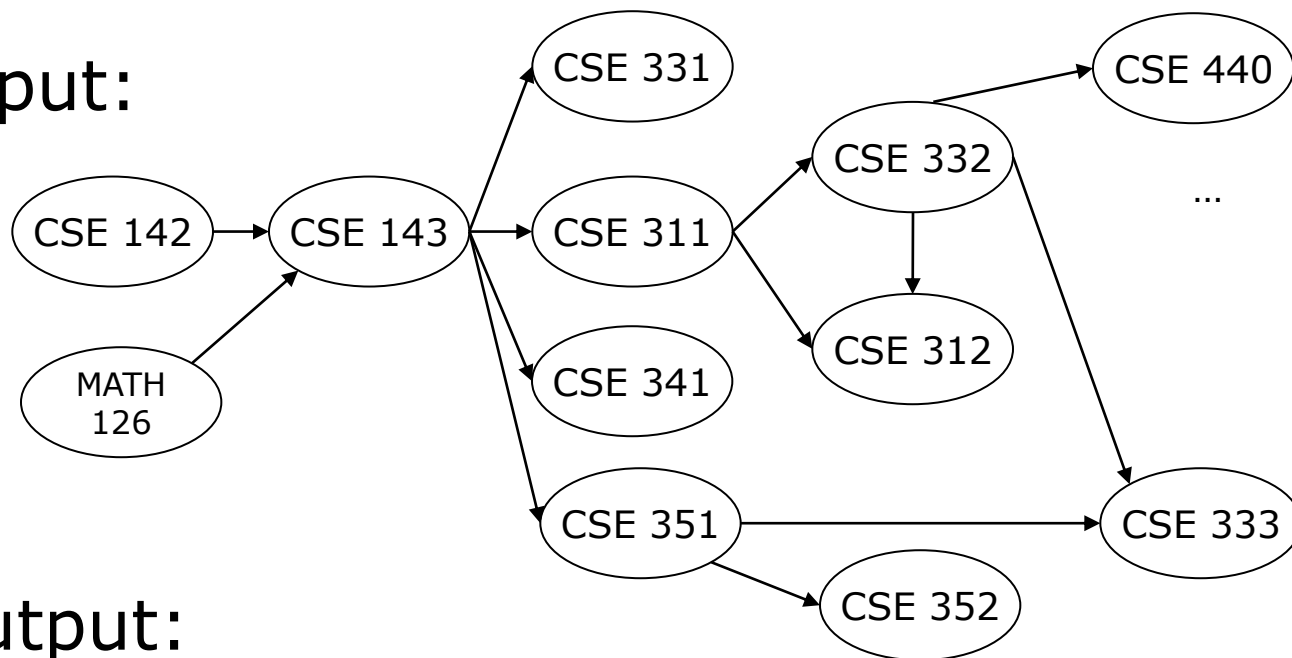




# Topological Sort

Problem: Given a DAG  $G=(V, E)$ , output all the vertices in order such that if no vertex appears before any other vertex that has an edge to it

Example input:



Example output:

- 142, 126, 143, 311, 331, 332, 312, 341, 351, 333, 440, 352

Disclaimer: Do not use for official advising purposes!  
(Implies that CSE 332 is a pre-req for CSE 312 – not true)

# Questions and Comments

## Terminology:

A DAG represents a **partial order** and a topological sort produces a **total order** that is consistent with it

Why do we perform topological sorts only on DAGs?

- Because a cycle means there is no correct answer

Is there always a unique answer?

- No, there can be one or more answers depending on the provided graph

What DAGs have exactly 1 answer?

- Lists

# *Uses Topological Sort*

Figuring out how to finish your degree

Computing the order in which to recalculate cells in a spreadsheet

Determining the order to compile files with dependencies

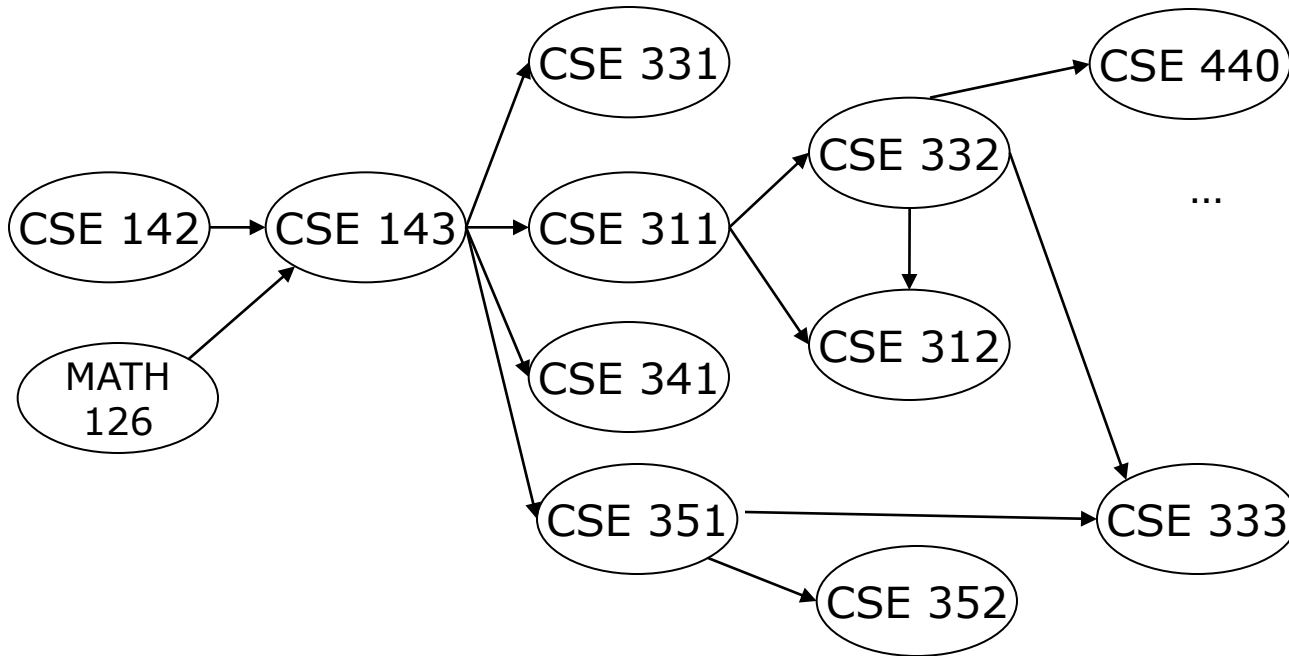
In general, use a dependency graph to find an allowed order of execution

# Topological Sort: First Approach

1. Label each vertex with its in-degree
  - Think "write in a field in the vertex"
  - You could also do this with a data structure on the side
  
2. While there are vertices not yet outputted:
  - a) Choose a vertex  $\mathbf{v}$  labeled with in-degree of 0
  - b) Output  $\mathbf{v}$  and "remove it" from the graph
  - c) For each vertex  $\mathbf{u}$  adjacent to  $\mathbf{v}$ , **decrement in-degree** of  $\mathbf{u}$ 
    - (i.e.,  $\mathbf{u}$  such that  $(\mathbf{v}, \mathbf{u})$  is in  $\mathbf{E}$ )

# Example

Output:



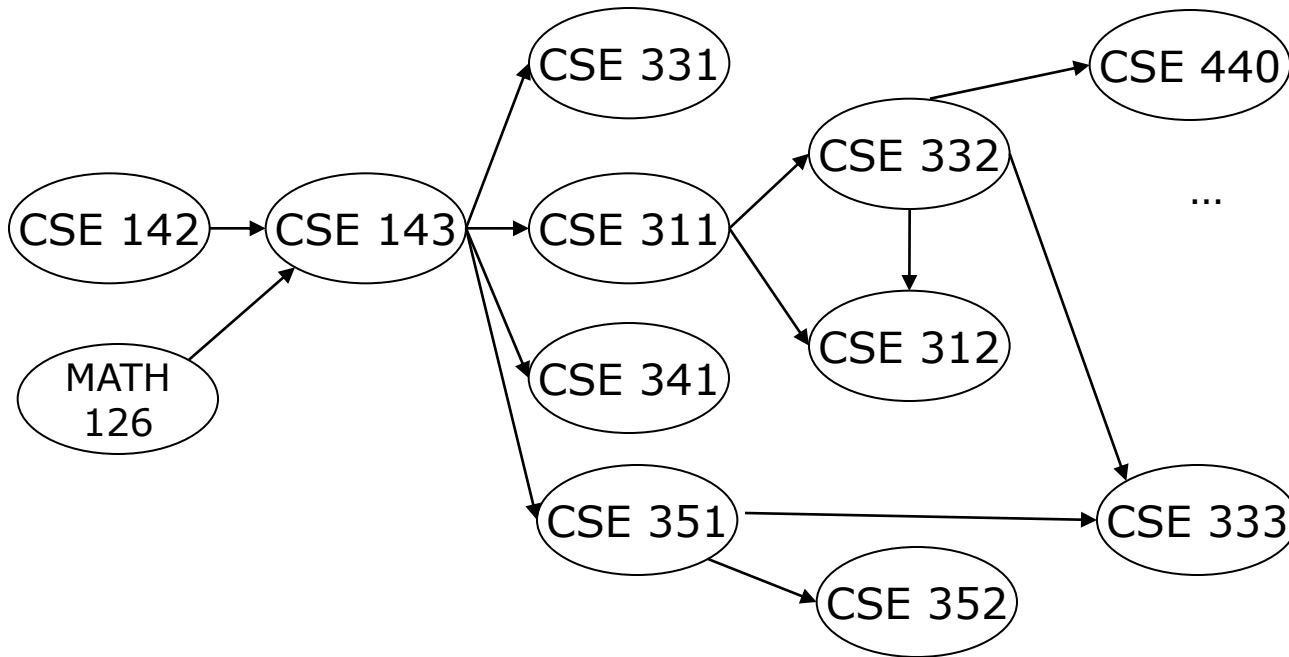
Node: 126 142 143 311 312 331 332 333 341 351 352 440

Removed?

In-deg:

# Example

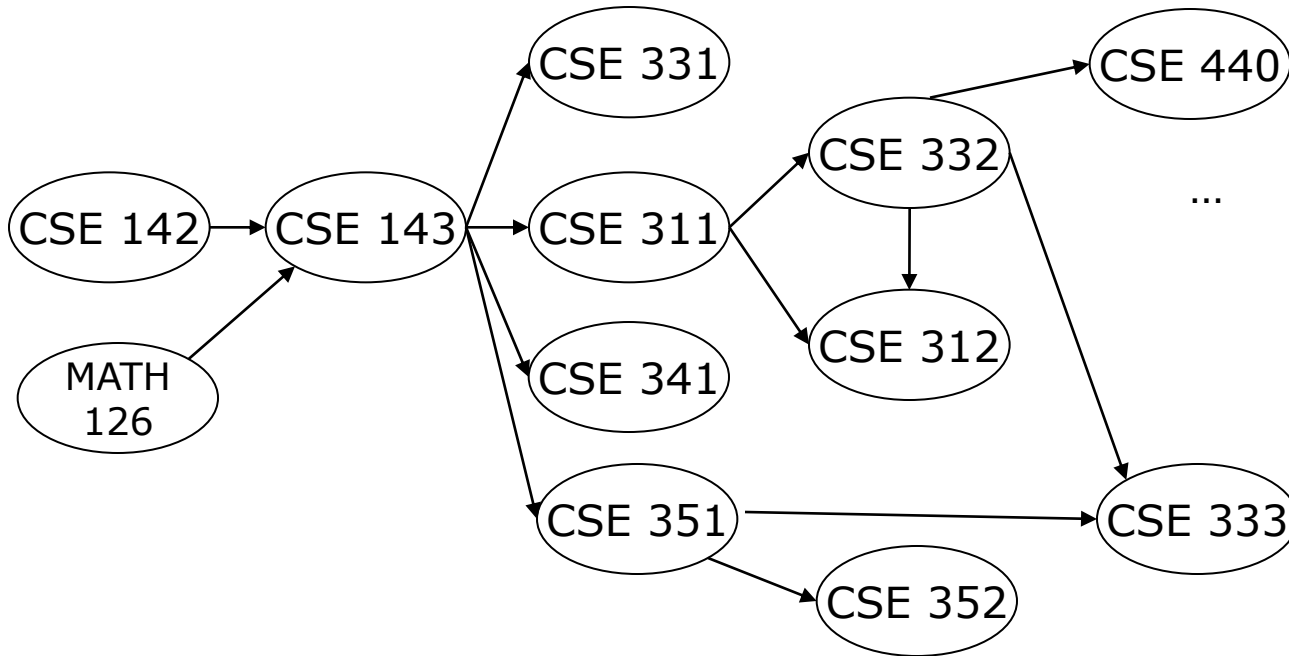
Output:



|          |     |     |     |     |     |     |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
| Removed? |     |     |     |     |     |     |     |     |     |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |

# Example

Output:  
126



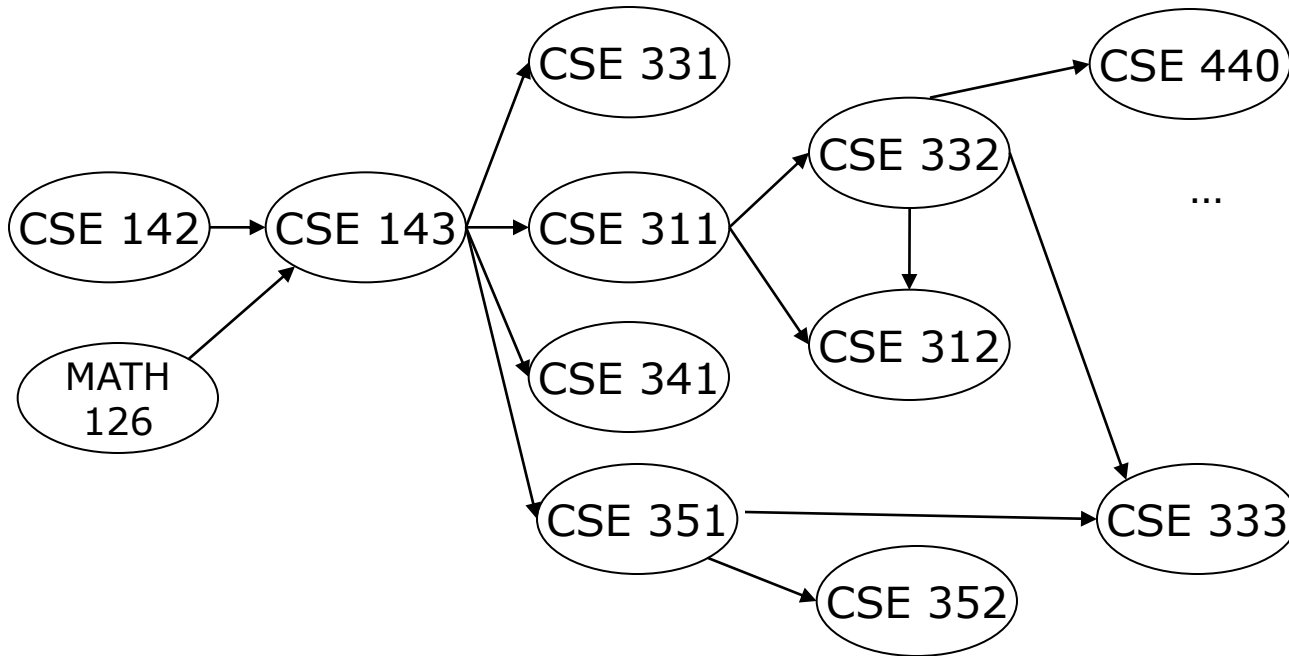
|          |     |     |     |     |     |     |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
| Removed? | x   |     |     |     |     |     |     |     |     |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   |     |     |     |     |     |     |     |     |     |

# Example

Output:

126

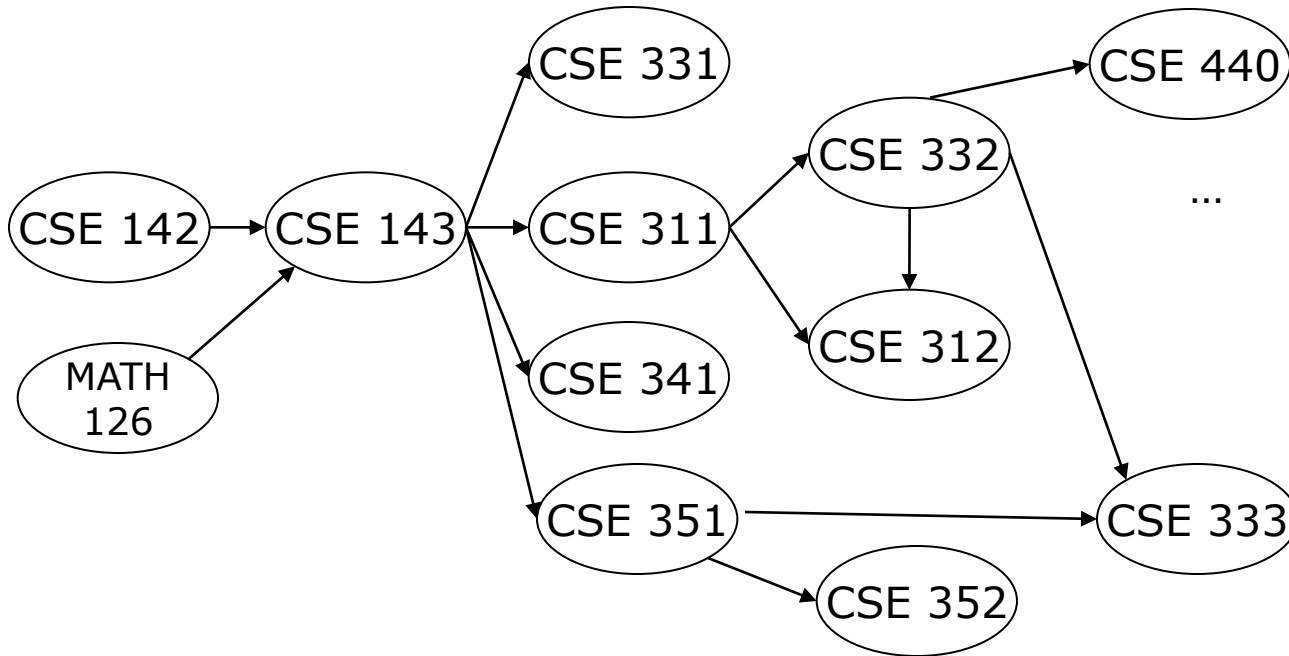
142



|          |     |     |     |     |     |     |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
| Removed? | x   | x   |     |     |     |     |     |     |     |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   |     |     |     |     |     |     |     |     |     |
|          |     |     | 0   |     |     |     |     |     |     |     |     |     |



# Example



Output:

126

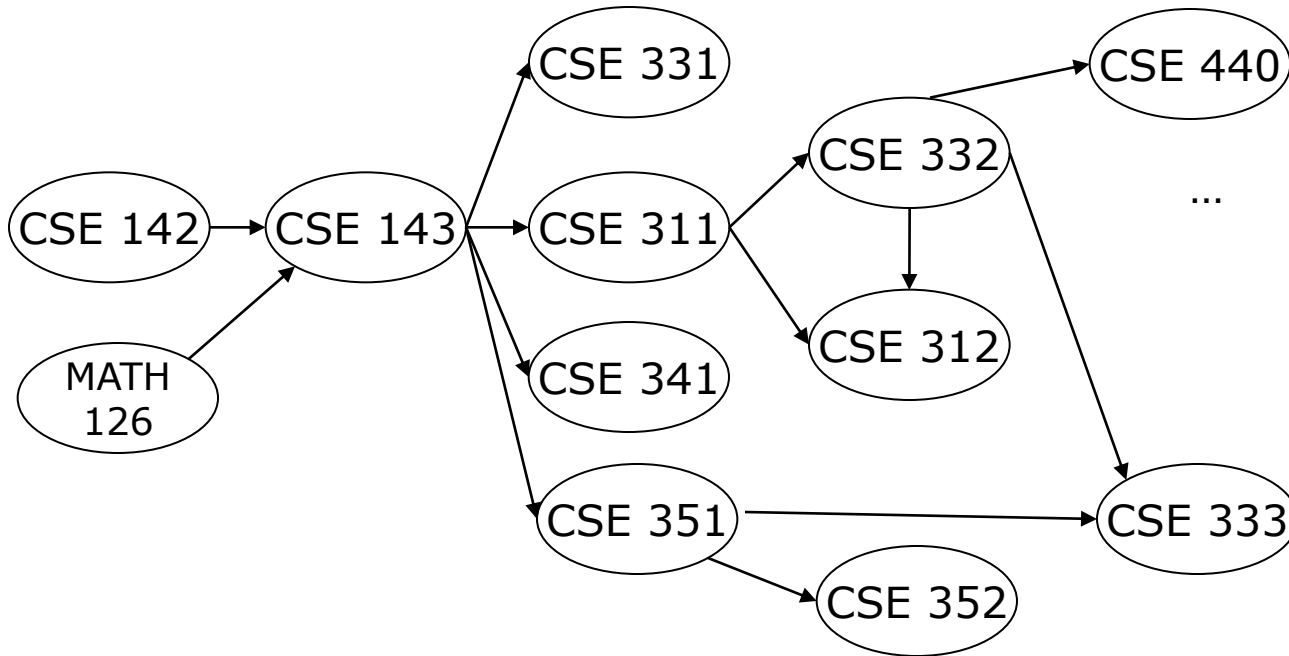
142

143

...

| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   |     |     |     |     |     |     |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   |     | 0   |     |     | 0   | 0   |     |     |
|          |     |     | 0   |     |     |     |     |     |     |     |     |     |

# Example



Output:

126

142

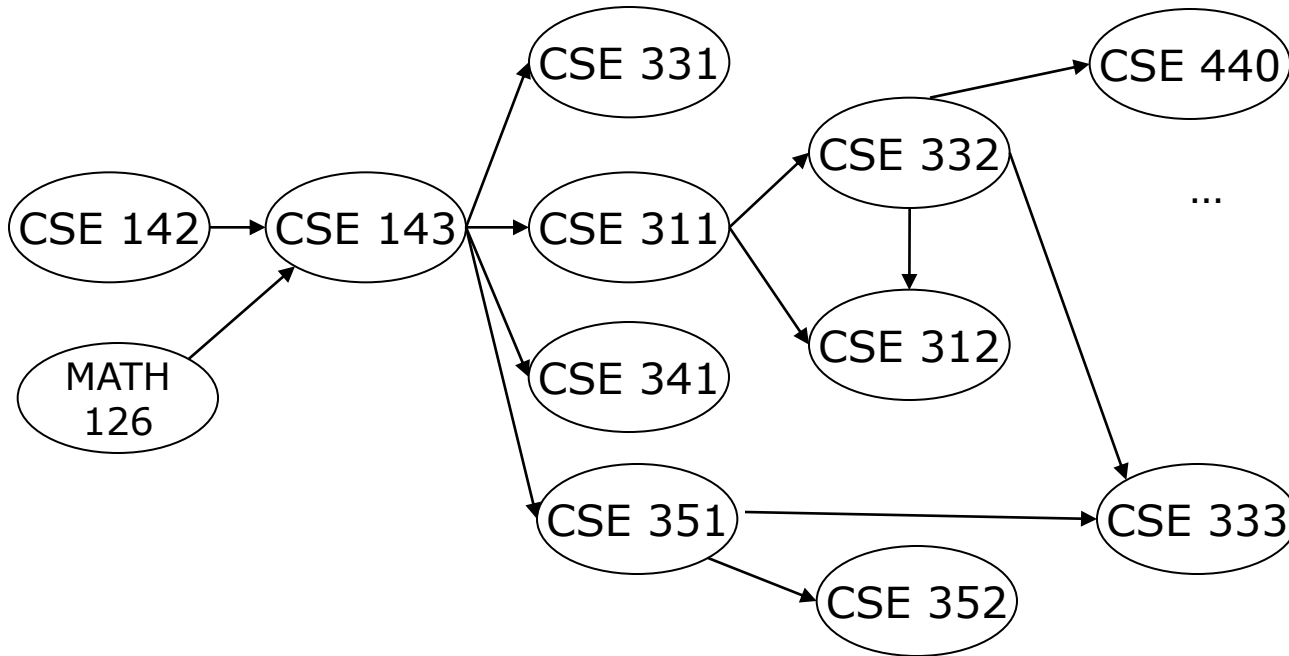
143

311

...

| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   | x   |     |     |     |     |     |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   |     | 0   | 0   |     |     |
|          |     |     | 0   |     |     |     |     |     |     |     |     |     |

# Example

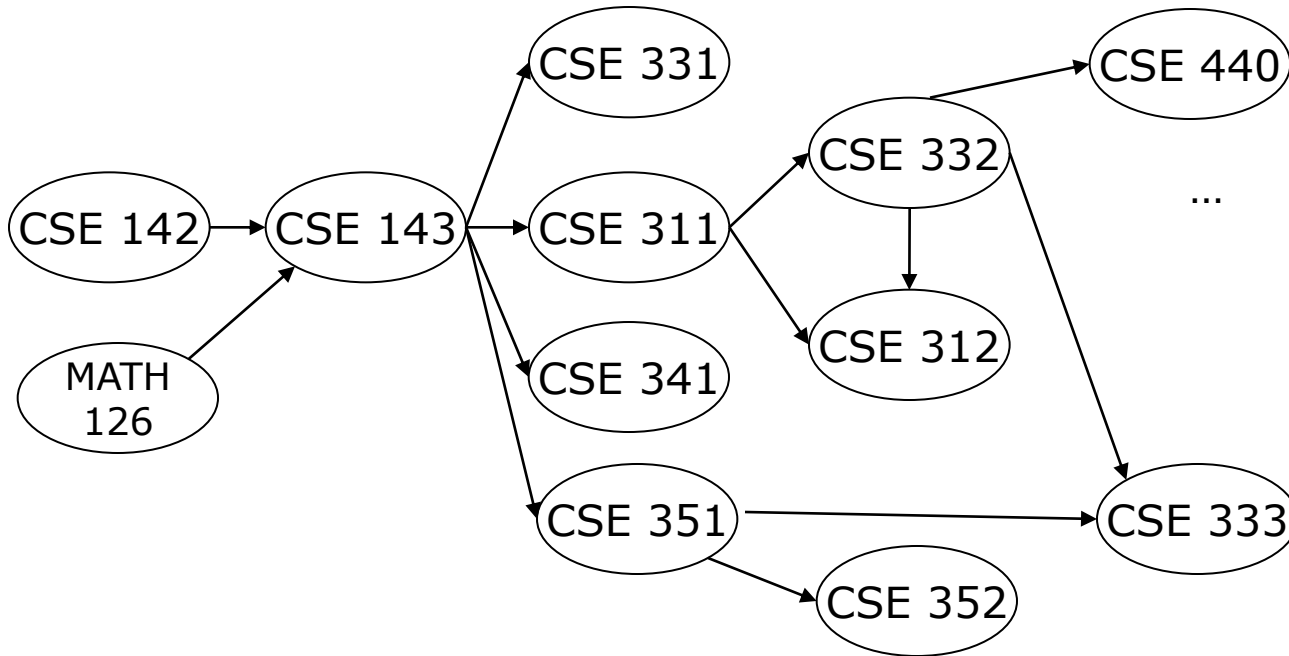


Output:

126  
142  
143  
311  
331

| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   | x   |     | x   |     |     |     |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   |     | 0   | 0   |     |     |
|          |     |     | 0   |     |     |     |     |     |     |     |     |     |

# Example

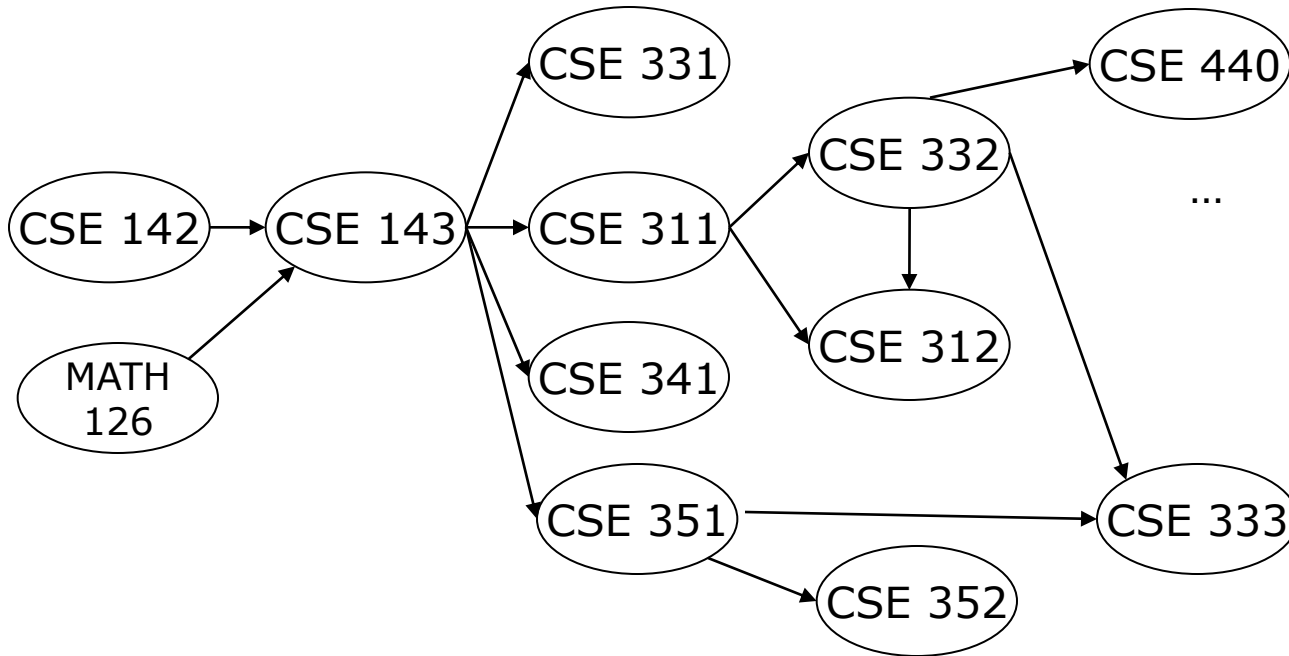


Output:

126  
142  
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| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   | x   |     | x   | x   |     |     |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   | 1   | 0   | 0   |     | 0   |
|          |     |     | 0   |     | 0   |     |     |     |     |     |     |     |

# Example

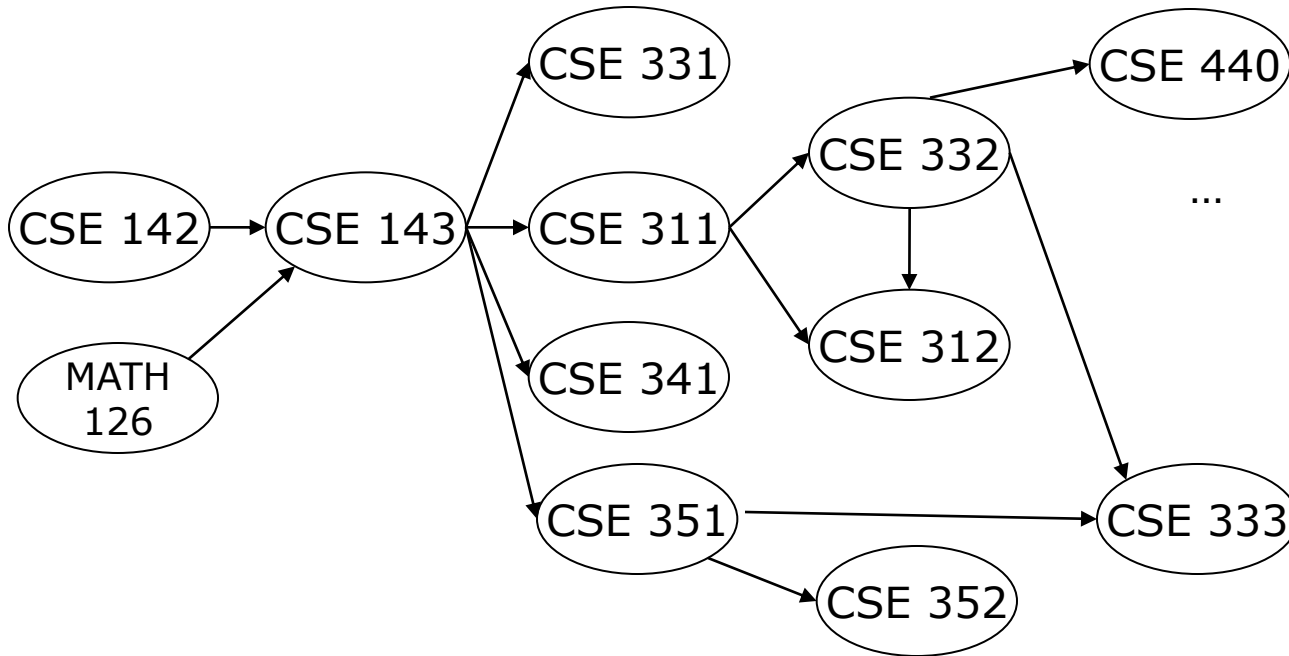


Output:

126  
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332  
312

| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   | x   | x   | x   | x   |     |     |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   | 1   | 0   | 0   |     | 0   |
|          |     |     | 0   |     | 0   |     |     |     |     |     |     |     |

# Example

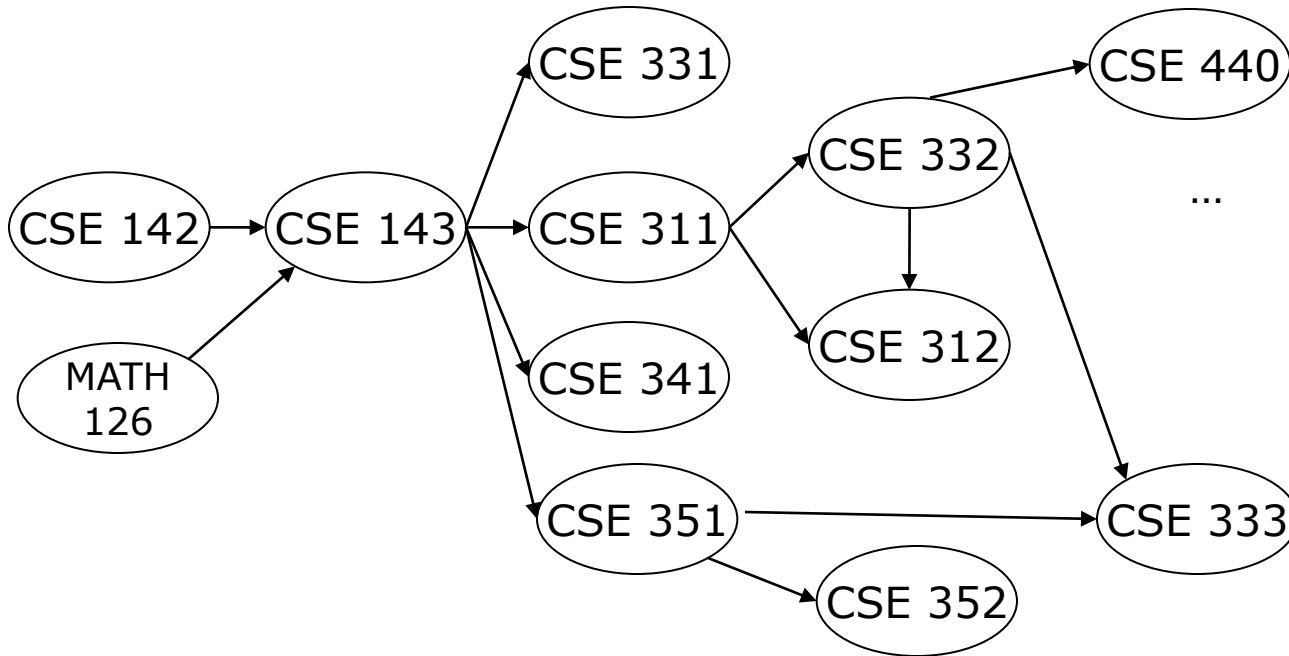


Output:

126  
142  
143  
311  
331  
332  
312  
341

|          |     |     |     |     |     |     |     |     |     |     |     |     |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
| Removed? | x   | x   | x   | x   | x   | x   | x   |     | x   |     |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   | 1   | 0   | 0   |     | 0   |
|          |     |     | 0   |     | 0   |     |     |     |     |     |     |     |

# Example

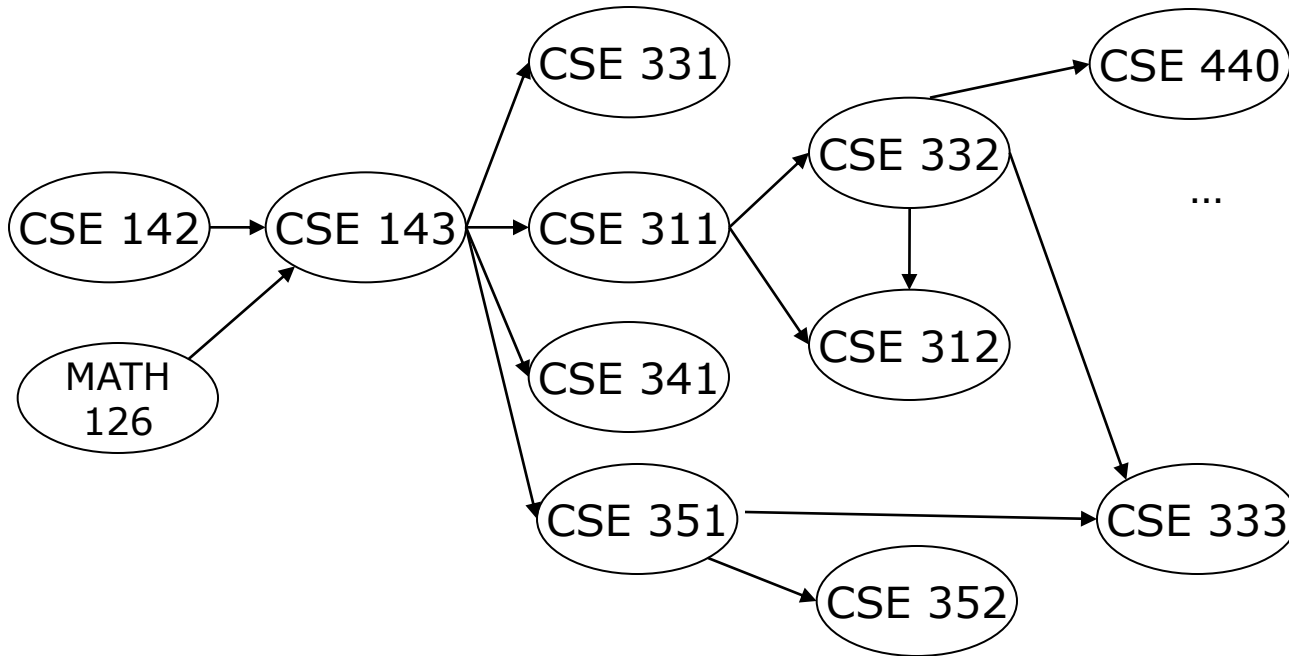


Output:

126  
142  
143  
311  
331  
332  
312  
341  
351

| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   | x   | x   | x   | x   |     | x   | x   |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   | 1   | 0   | 0   | 0   | 0   |
|          |     |     | 0   |     | 0   |     |     | 0   |     |     |     |     |

# Example



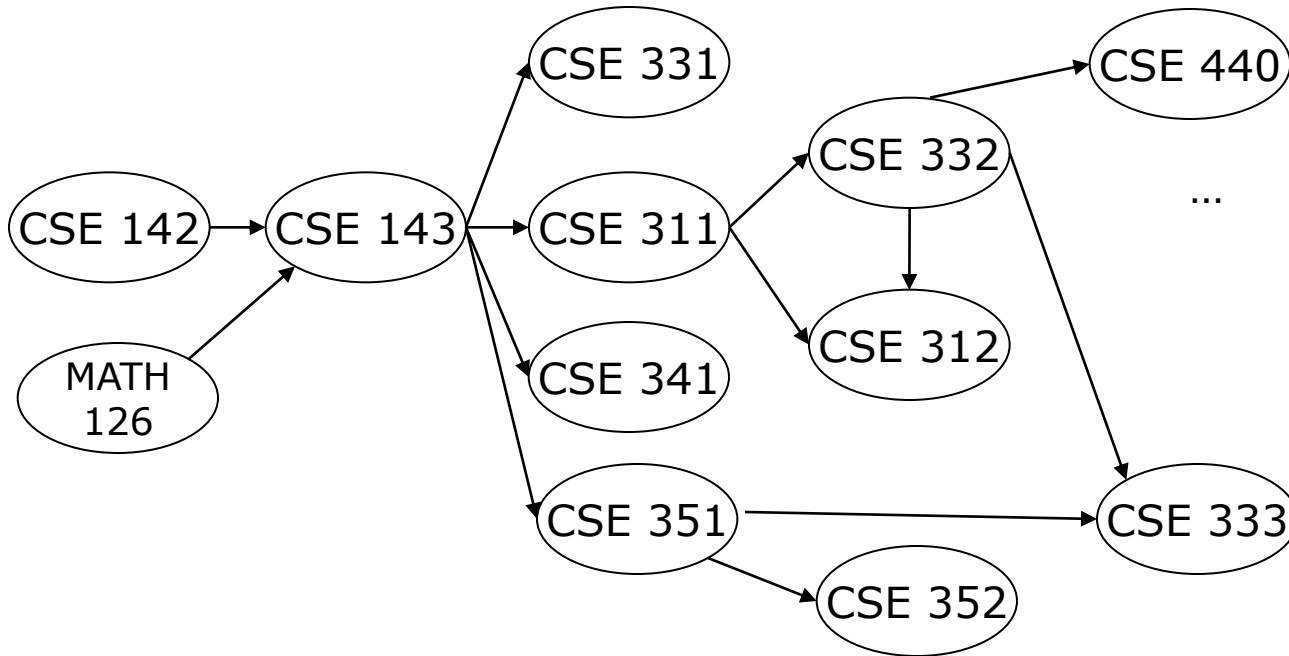
Output:

126  
142  
143  
311  
331  
332  
312  
341  
351  
333

| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   | x   | x   | x   | x   | x   | x   | x   |     |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   | 1   | 0   | 0   | 0   | 0   |
|          |     |     | 0   |     | 0   |     |     | 0   |     |     |     |     |



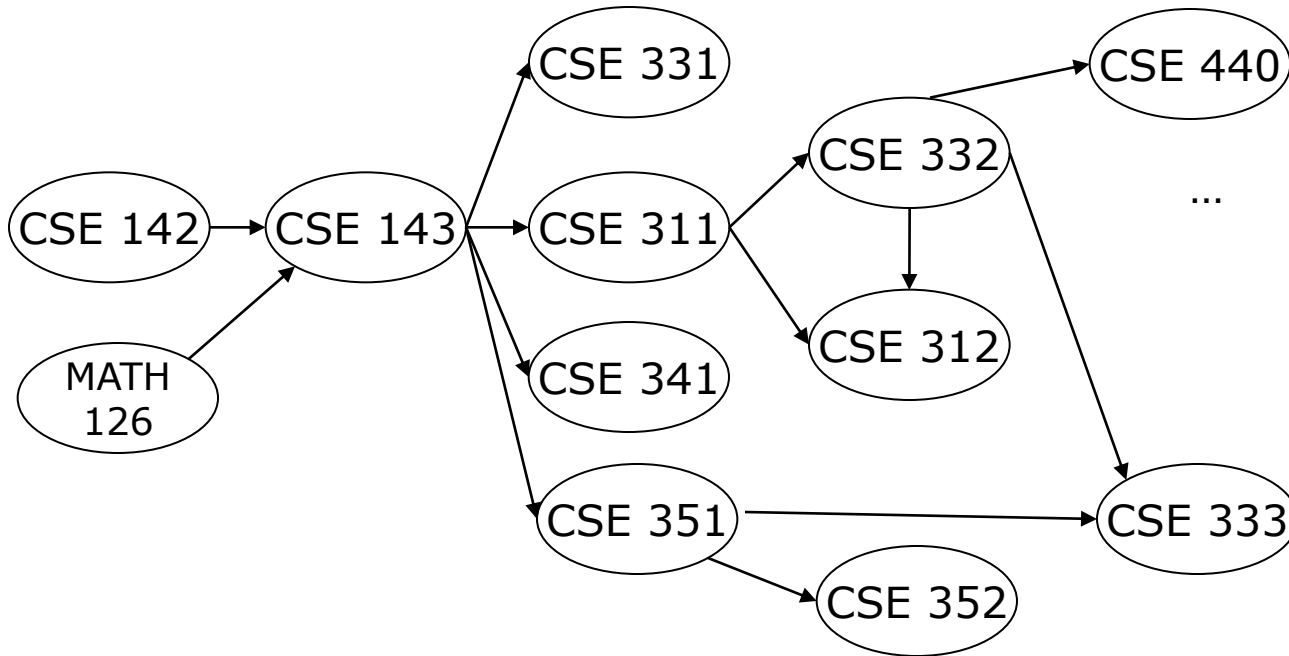
# Example



Output:  
 126 352  
 142  
 143  
 311  
 331  
 332  
 312  
 341  
 351  
 333

| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   | x   | x   | x   | x   | x   | x   | x   | x   |     |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   | 1   | 0   | 0   | 0   | 0   |
|          |     |     | 0   |     | 0   |     |     | 0   |     |     |     |     |

# Example



Output:

126 352  
 142 440  
 143  
 311  
 331  
 332  
 312  
 341  
 351  
 333

| Node:    | 126 | 142 | 143 | 311 | 312 | 331 | 332 | 333 | 341 | 351 | 352 | 440 |
|----------|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|-----|
| Removed? | x   | x   | x   | x   | x   | x   | x   | x   | x   | x   | x   | x   |
| In-deg:  | 0   | 0   | 2   | 1   | 2   | 1   | 1   | 2   | 1   | 1   | 1   | 1   |
|          |     |     | 1   | 0   | 1   | 0   | 0   | 1   | 0   | 0   | 0   | 0   |
|          |     |     | 0   |     | 0   |     |     | 0   |     |     |     |     |

# Running Time?

```
labelEachVertexWithItsInDegree();  
  
for(i=0; i < numVertices; i++) {  
    v = findNewVertexOfDegreeZero();  
    put v next in output  
    for each w adjacent to v  
        w.indegree--;  
}
```

What is the worst-case running time?

- Initialization  $O(|V| + |E|)$  (assuming adjacency list)
- Sum of all find-new-vertex  $O(|V|^2)$  (because each  $O(|V|)$ )
- Sum of all decrements  $O(|E|)$  (assuming adjacency list)
- So total is  $O(|V|^2 + |E|)$  – not good for a sparse graph!

# Doing Better

Avoid searching for a zero-degree node every time!

- Keep the "pending" zero-degree nodes in a list, stack, queue, bag, or something that gives  $O(1)$  add/remove
- Order we process them affects the output but not correctness or efficiency

Using a queue:

- Label each vertex with its in-degree,
- Enqueue all 0-degree nodes
- While queue is not empty
  - $v = \text{dequeue}()$
  - Output  $v$  and remove it from the graph
  - For each vertex  $u$  adjacent to  $v$ , decrement the in-degree of  $u$  and if new degree is 0, enqueue it

# Running Time?

```
labelAllWithIndegreesAndEnqueueZeros();  
for(i=0; i < numVertices; i++) {  
    v = dequeue();  
    put v next in output  
    for each w adjacent to v {  
        w.indegree--;  
        if(w.indegree==0)  
            enqueue(w);  
    }  
}
```

- Initialization:  $O(|V| + |E|)$  (assuming adjacency list)
- Sum of all enqueues and dequeues:  $O(|V|)$
- Sum of all decrements:  $O(|E|)$  (assuming adjacency list)
- So total is  $O(|E| + |V|)$  – much better for sparse graph!

# *What about connectedness?*

What happens if a graph is disconnected?

- With DFS?
- With BFS?
- With Topological Sorting?

All of these can be used to find connected components of the graph

- One just needs to start a new search at an unmarked node

Discovered by a most curmudgeonly man....

***MOST COMMON TRAVERSAL:  
FINDING SHORTEST PATHS***

# *Finding the Shortest Path*

The graph traversals discussed so far work with path length (number of edges) but not path cost

Breadth-First Search found minimum path length from  $v$  to  $u$  in time  $O(|E| + |V|)$

- Actually, can find the minimum path length from  $v$  to every node
  - Still  $O(|E| + |V|)$
  - No faster way for a "distinguished" destination in the worst-case



# *Finding the Shortest Path*

Question:

Given a graph  $G$  and two vertices  $v$  and  $u$ , what is the shortest path (shortest length) from  $v$  to  $u$ ?

Solution:

Breadth-First Search starting at  $u$  will find minimum path length from  $v$  to  $u$  in time  $O(|E| + |V|)$

Actually, the search can be easily extended to find minimum path length from  $v$  to every node

- Still  $O(|E| + |V|)$
- No faster solution (in the worst-case) exists even if just focusing on one destination node

# *But That Was Path Length*

Path length is the number of edges in a path

Path cost is sum of the weight of edges in a path

New Question:

Given a weighted graph and node  $v$ , what is the minimum-**cost** path from  $v$  to every node?

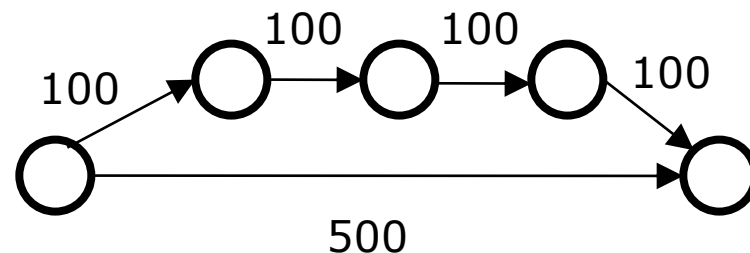
We could phrase this as from a node  $v$  to  $u$ , but it is asymptotically no harder than for one destination

Solution:

Let's try BFS... it worked before, right?

# Why BFS Will Not Work

The shortest cost path may not have the fewest edges (shortest length)



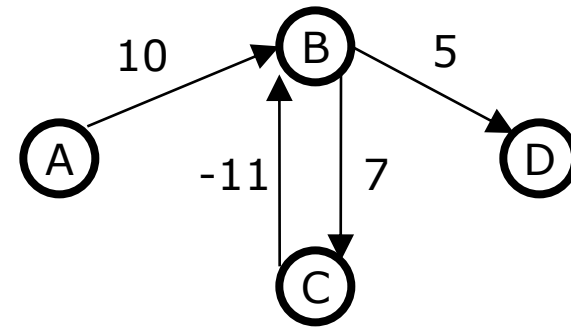
This happens frequently with airline tickets

- Which is why I travel through Atlanta all too often to get to Kentucky from Seattle

# Regarding Negative Weights

Negative edge weights are a can of worms

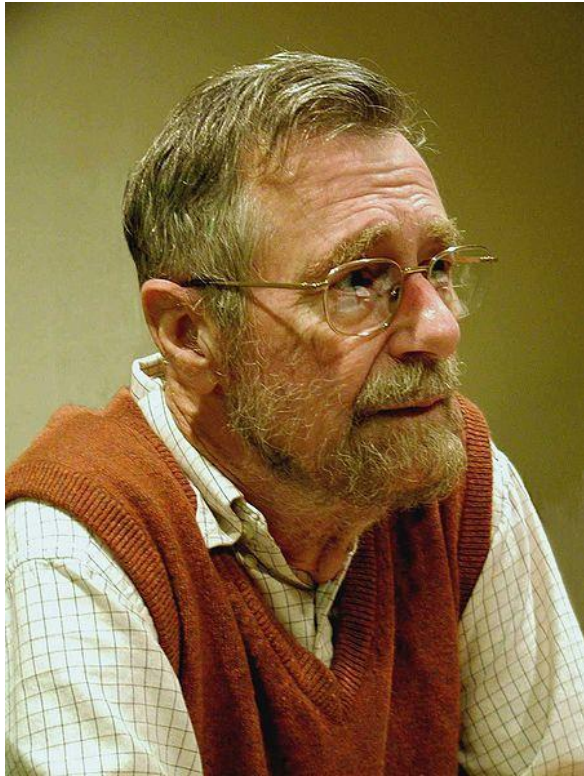
- If a cycle is negative, then the shortest path is  $-\infty$  (just repeat the cycle)



We will assume that there are no negative edge weights

- Today's algorithm gives erroneous results if edges can be negative

# *Dijkstra's Algorithm—The Man*



Named after its inventor Edsger Dijkstra (1930-2002)

Truly one of the "founders" of computer science

This is just one of his many contributions

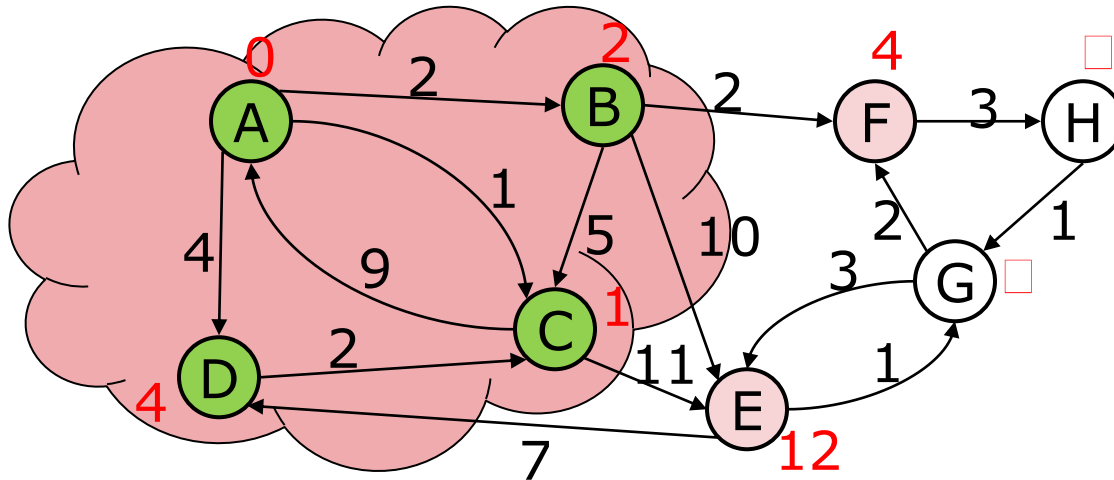
*"Computer science is no more about computers than astronomy is about telescopes"*

# *Dijkstra's Algorithm—The Idea*

His algorithm is similar to BFS, but adapted to handle weights

- A priority queue will prove useful for efficiency
- Grow set of nodes whose shortest distance has been computed
- Nodes not in the set will have a "best distance so far"

# Dijkstra's Algorithm—The Cloud



Initial State:

- Start node has cost 0
- All other nodes have cost  $\infty$

At each step:

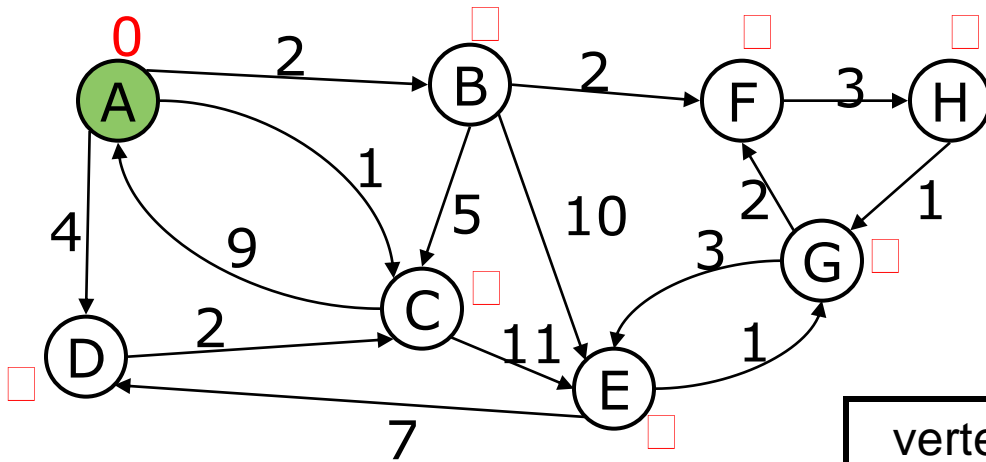
- Pick closest unknown vertex  $v$
- Add it to the "cloud" of known vertices
- Update distances for nodes with edges from  $v$

# The Algorithm

1. For each node **v** ≠ **source**,  
Set **v.cost** =  $\infty$  and **v.known** = false
2. Set **source.cost** = 0 and **source.known** = true
3. While there are unknown nodes in the graph
  - a) Select the unknown node **v** with lowest cost
  - b) Mark **v** as known
  - c) For each edge (**v**, **u**) with weight **w**,  
 $\mathbf{c}_1 = \mathbf{v.cost} + \mathbf{w}$  // cost of best path through v to u  
 $\mathbf{c}_2 = \mathbf{u.cost}$  // cost of best path to u previously known  
if( $\mathbf{c}_1 < \mathbf{c}_2$ ) // if the path through v is better  
 $\mathbf{u.cost} = \mathbf{c}_1$   
 $\mathbf{u.path} = \mathbf{v}$  // for computing actual paths



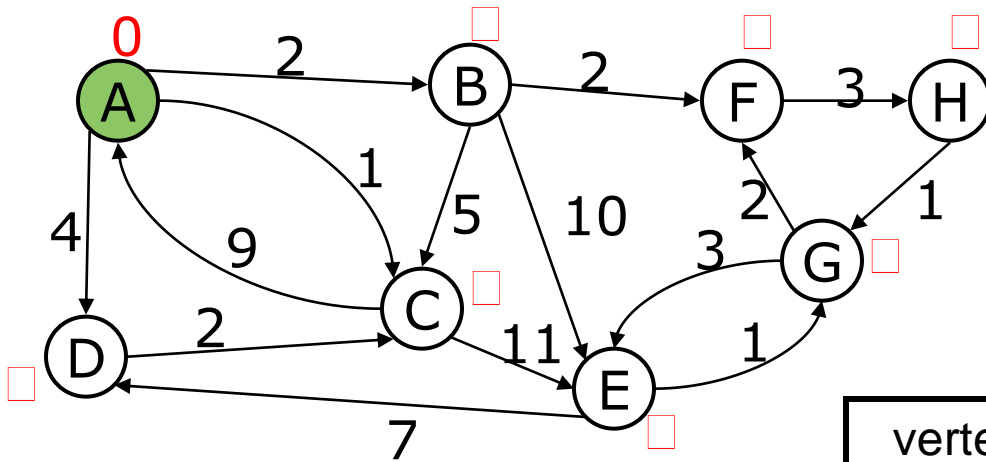
# Example #1



| vertex | known? | cost | path |
|--------|--------|------|------|
| A      |        |      |      |
| B      |        |      |      |
| C      |        |      |      |
| D      |        |      |      |
| E      |        |      |      |
| F      |        |      |      |
| G      |        |      |      |
| H      |        |      |      |

Order Added to Known Set:

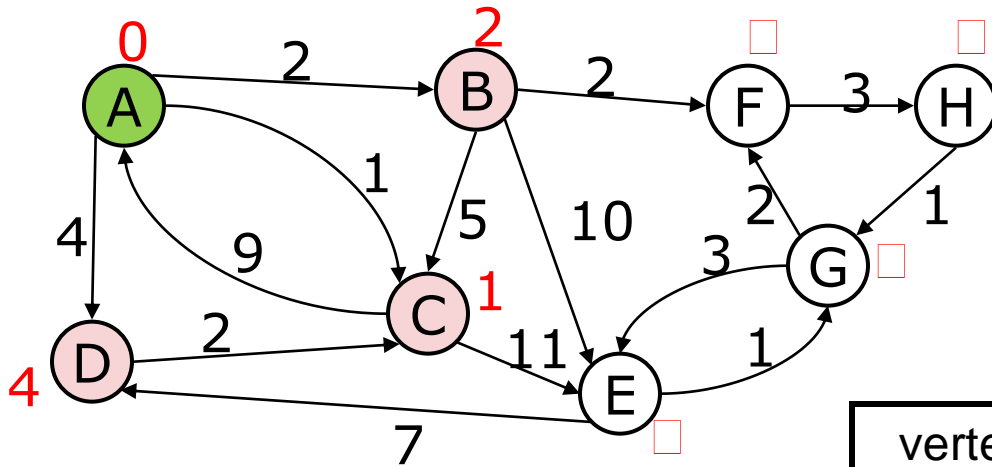
# Example #1



| vertex | known? | cost | path |
|--------|--------|------|------|
| A      |        | 0    |      |
| B      |        | ??   |      |
| C      |        | ??   |      |
| D      |        | ??   |      |
| E      |        | ??   |      |
| F      |        | ??   |      |
| G      |        | ??   |      |
| H      |        | ??   |      |

Order Added to Known Set:

# Example #1

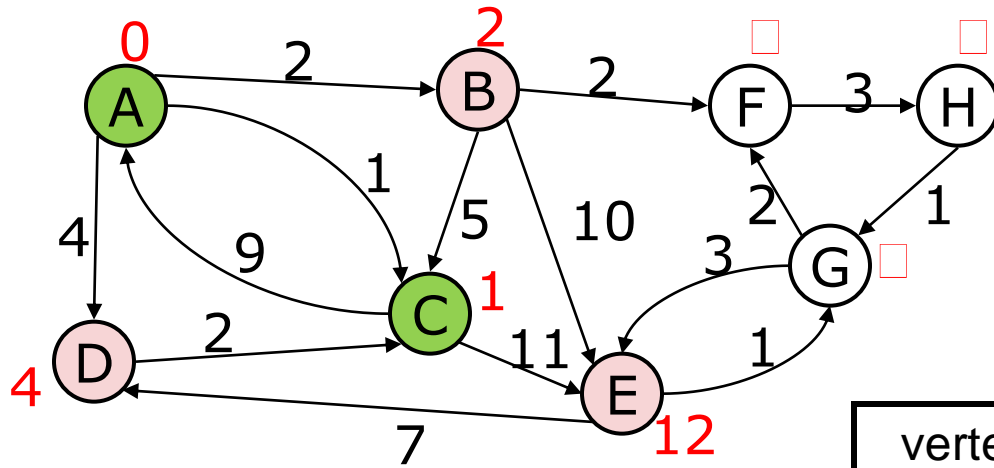


| vertex | known? | cost     | path |
|--------|--------|----------|------|
| A      | Y      | 0        |      |
| B      |        | $\leq 2$ | A    |
| C      |        | $\leq 1$ | A    |
| D      |        | $\leq 4$ | A    |
| E      |        | ??       |      |
| F      |        | ??       |      |
| G      |        | ??       |      |
| H      |        | ??       |      |

Order Added to Known Set:

A

# Example #1

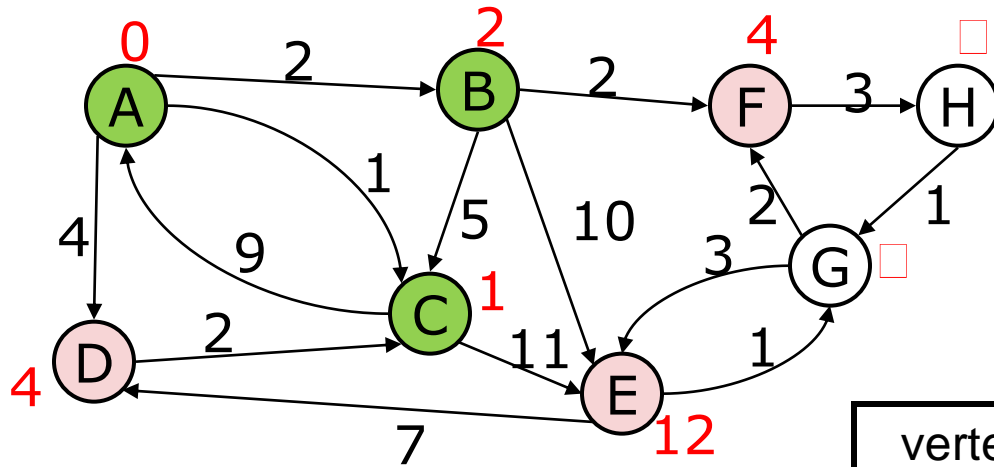


| vertex | known? | cost      | path |
|--------|--------|-----------|------|
| A      | Y      | 0         |      |
| B      |        | $\leq 2$  | A    |
| C      | Y      | 1         | A    |
| D      |        | $\leq 4$  | A    |
| E      |        | $\leq 12$ | C    |
| F      |        | ??        |      |
| G      |        | ??        |      |
| H      |        | ??        |      |

Order Added to Known Set:

A, C

# Example #1

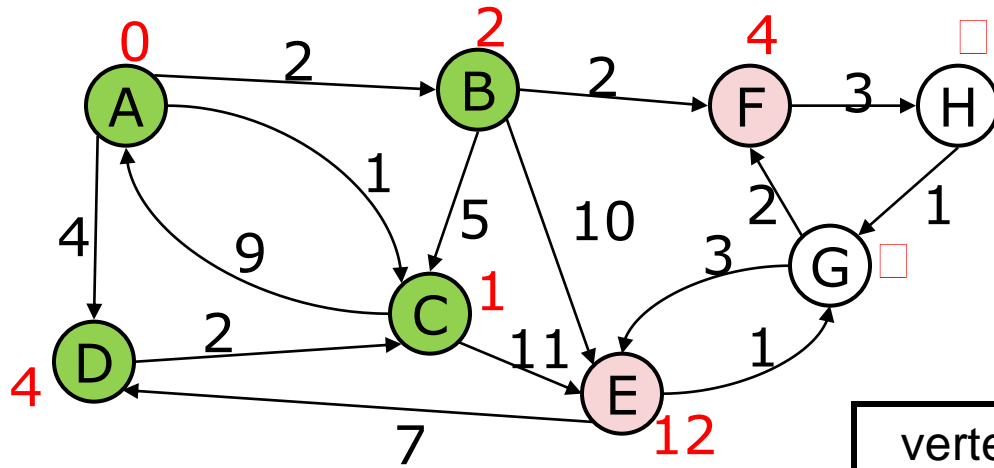


| vertex | known? | cost      | path |
|--------|--------|-----------|------|
| A      | Y      | 0         |      |
| B      | Y      | 2         | A    |
| C      | Y      | 1         | A    |
| D      |        | $\leq 4$  | A    |
| E      |        | $\leq 12$ | C    |
| F      |        | $\leq 4$  | B    |
| G      |        | ??        |      |
| H      |        | ??        |      |

Order Added to Known Set:

A, C, B

# Example #1

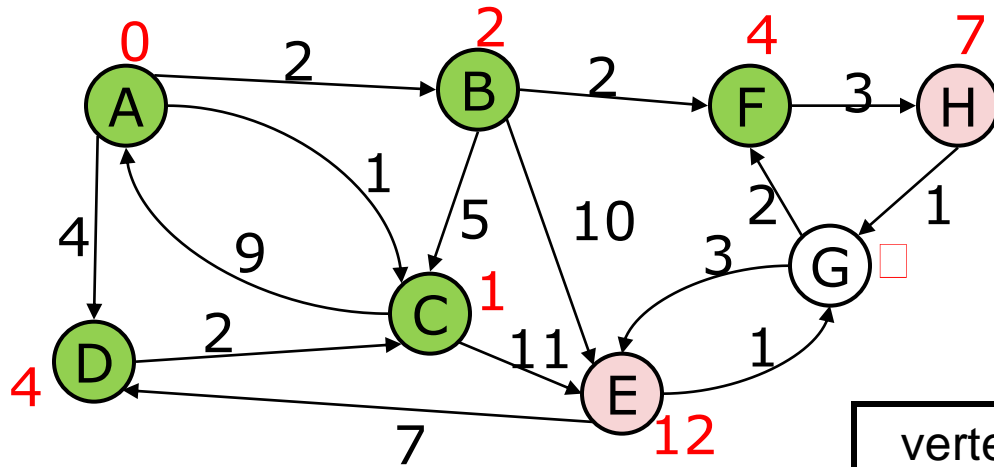


| vertex | known? | cost      | path |
|--------|--------|-----------|------|
| A      | Y      | 0         |      |
| B      | Y      | 2         | A    |
| C      | Y      | 1         | A    |
| D      | Y      | 4         | A    |
| E      |        | $\leq 12$ | C    |
| F      |        | $\leq 4$  | B    |
| G      |        | ??        |      |
| H      |        | ??        |      |

Order Added to Known Set:

A, C, B, D

# Example #1

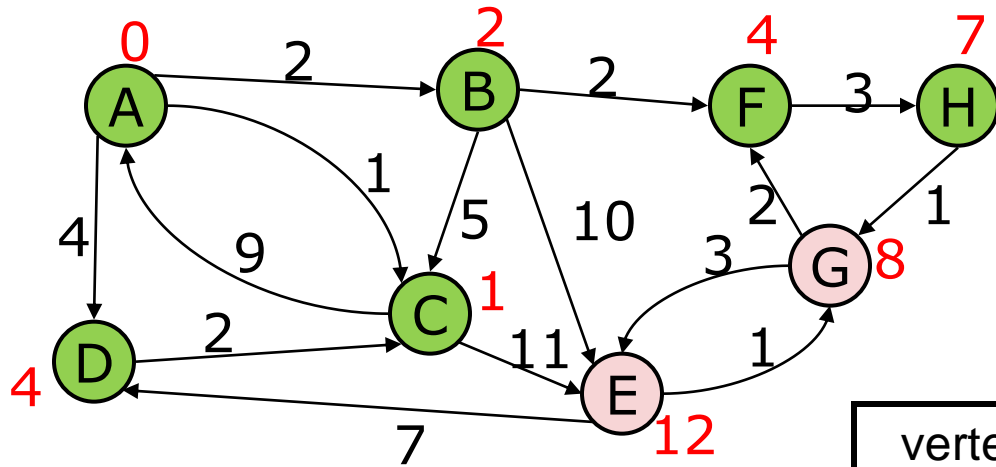


| vertex | known? | cost      | path |
|--------|--------|-----------|------|
| A      | Y      | 0         |      |
| B      | Y      | 2         | A    |
| C      | Y      | 1         | A    |
| D      | Y      | 4         | A    |
| E      |        | $\leq 12$ | C    |
| F      | Y      | 4         | B    |
| G      |        | ??        |      |
| H      |        | $\leq 7$  | F    |

Order Added to Known Set:

A, C, B, D, F

# Example #1



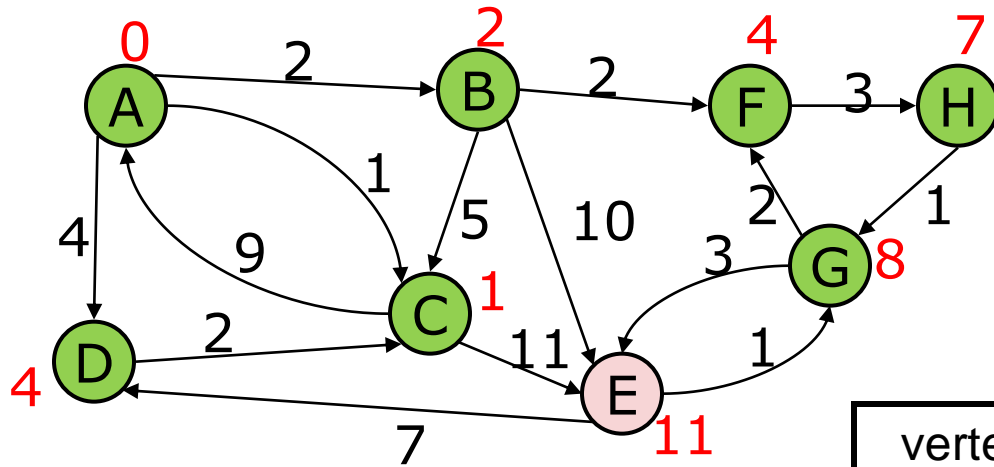
| vertex | known? | cost      | path |
|--------|--------|-----------|------|
| A      | Y      | 0         |      |
| B      | Y      | 2         | A    |
| C      | Y      | 1         | A    |
| D      | Y      | 4         | A    |
| E      |        | $\leq 12$ | C    |
| F      | Y      | 4         | B    |
| G      |        | $\leq 8$  | H    |
| H      | Y      | 7         | F    |

Order Added to Known Set:

A, C, B, D, F, H



# Example #1

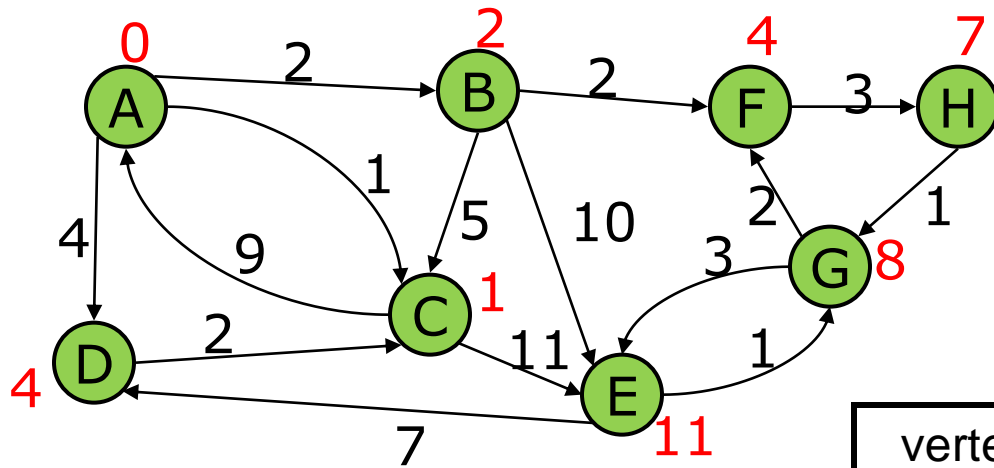


| vertex | known? | cost      | path |
|--------|--------|-----------|------|
| A      | Y      | 0         |      |
| B      | Y      | 2         | A    |
| C      | Y      | 1         | A    |
| D      | Y      | 4         | A    |
| E      |        | $\leq 11$ | G    |
| F      | Y      | 4         | B    |
| G      | Y      | 8         | H    |
| H      | Y      | 7         | F    |

Order Added to Known Set:

A, C, B, D, F, H, G

# Example #1



| vertex | known? | cost | path |
|--------|--------|------|------|
| A      | Y      | 0    |      |
| B      | Y      | 2    | A    |
| C      | Y      | 1    | A    |
| D      | Y      | 4    | A    |
| E      | Y      | 11   | G    |
| F      | Y      | 4    | B    |
| G      | Y      | 8    | H    |
| H      | Y      | 7    | F    |

Order Added to Known Set:

A, C, B, D, F, H, G, E

# *Important Features*

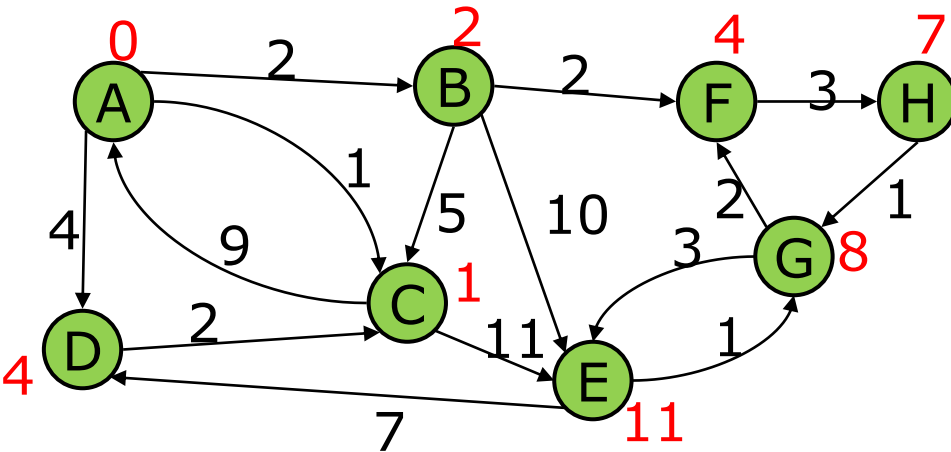
When a vertex is marked known, the cost of the shortest path to that node is known

- The path is also known by following back-pointers

While a vertex is still not known, another shorter path to it **might** still be found

# Interpreting the Results

Now that we're done, how do we get the path from, say, A to E?



Order Added to Known Set:

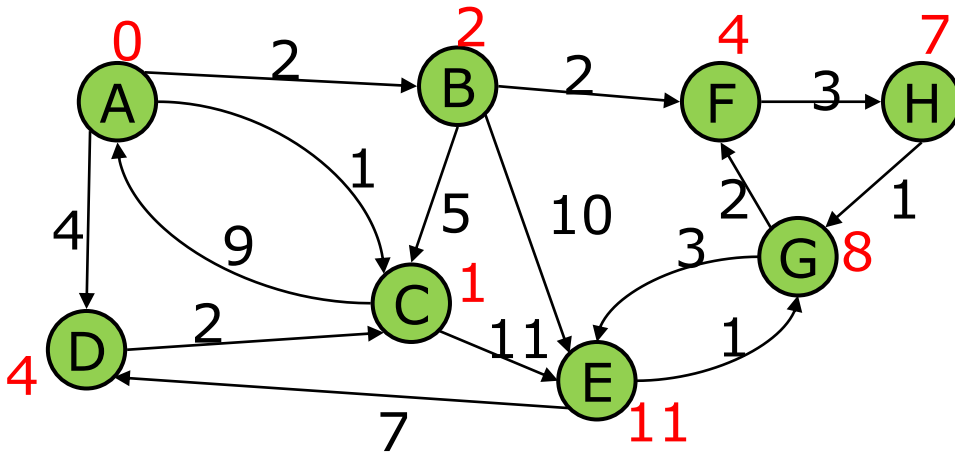
A, C, B, D, F, H, G, E

| vertex | known? | cost | path |
|--------|--------|------|------|
| A      | Y      | 0    |      |
| B      | Y      | 2    | A    |
| C      | Y      | 1    | A    |
| D      | Y      | 4    | A    |
| E      | Y      | 11   | G    |
| F      | Y      | 4    | B    |
| G      | Y      | 8    | H    |
| H      | Y      | 7    | F    |

# Stopping Short

How would this have worked differently if we were only interested in:

- the path from A to G?
- the path from A to E?

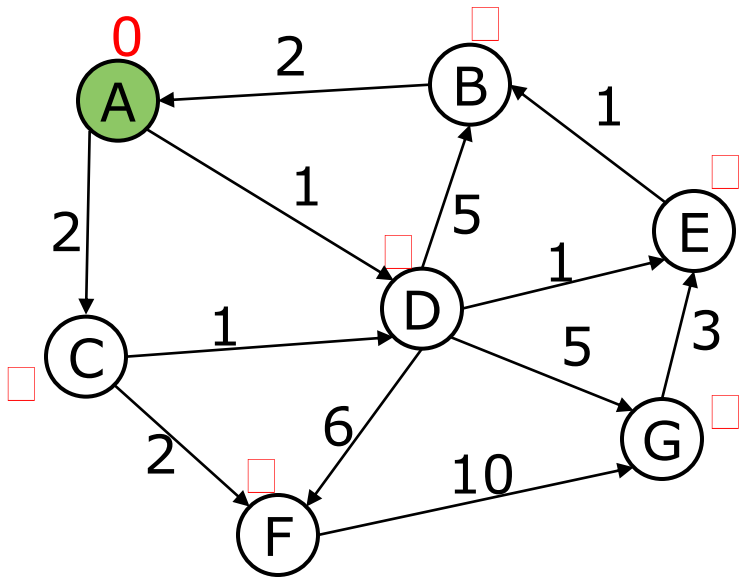


Order Added to Known Set:

A, C, B, D, F, H, G, E

| vertex | known? | cost | path |
|--------|--------|------|------|
| A      | Y      | 0    |      |
| B      | Y      | 2    | A    |
| C      | Y      | 1    | A    |
| D      | Y      | 4    | A    |
| E      | Y      | 11   | G    |
| F      | Y      | 4    | B    |
| G      | Y      | 8    | H    |
| H      | Y      | 7    | F    |

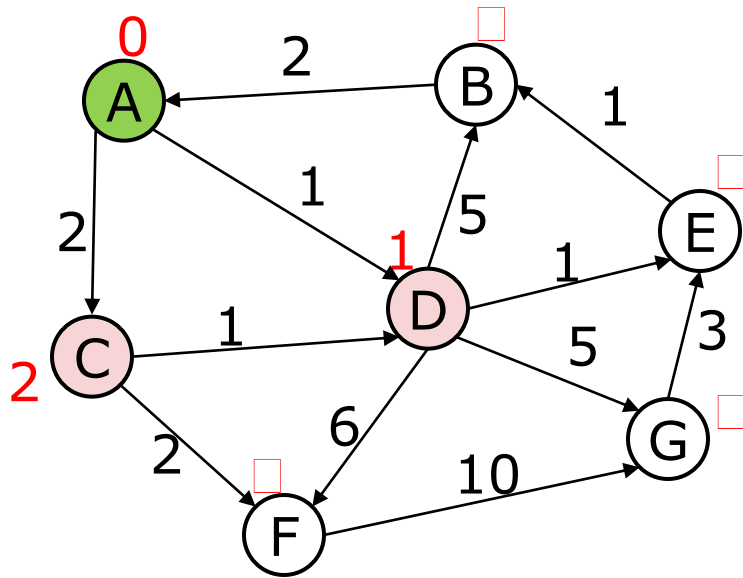
# Example #2



| vertex | known? | cost | path |
|--------|--------|------|------|
| A      |        | 0    |      |
| B      |        | ??   |      |
| C      |        | ??   |      |
| D      |        | ??   |      |
| E      |        | ??   |      |
| F      |        | ??   |      |
| G      |        | ??   |      |

Order Added to Known Set:

# Example #2

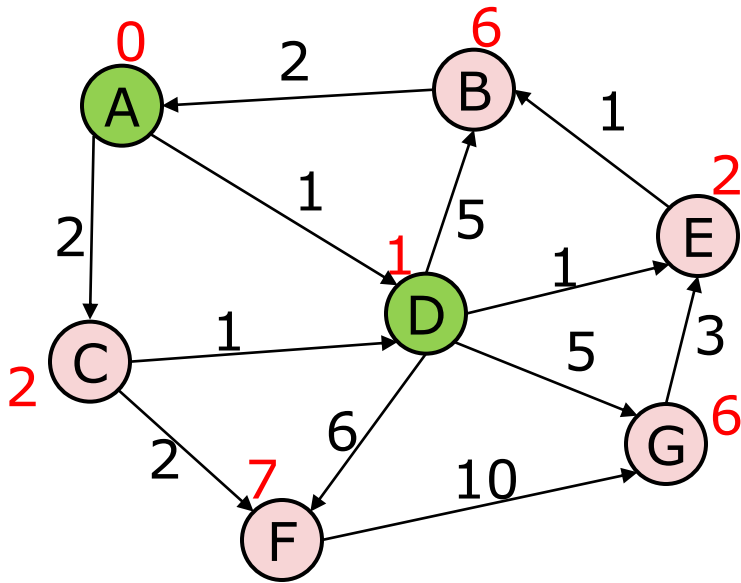


| vertex | known? | cost     | path |
|--------|--------|----------|------|
| A      | Y      | 0        |      |
| B      |        | ??       |      |
| C      |        | $\leq 2$ | A    |
| D      |        | $\leq 1$ | A    |
| E      |        | ??       |      |
| F      |        | ??       |      |
| G      |        | ??       |      |

Order Added to Known Set:

A

# Example #2



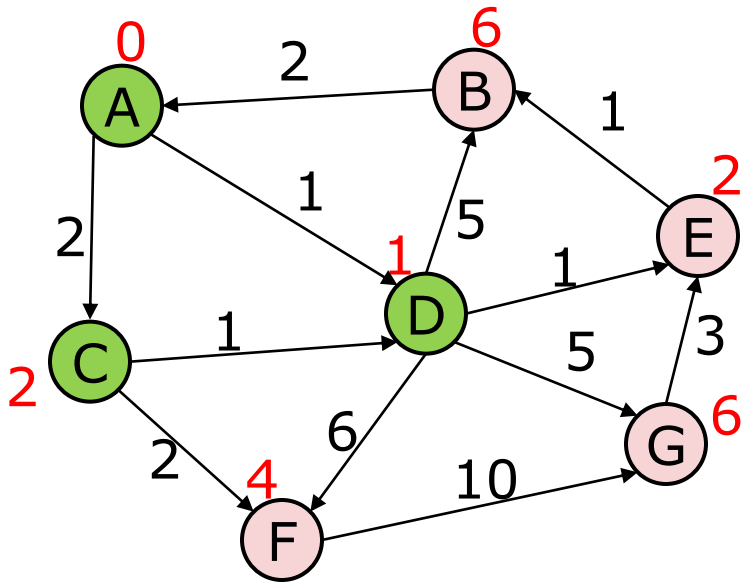
| vertex | known? | cost     | path |
|--------|--------|----------|------|
| A      | Y      | 0        |      |
| B      |        | $\leq 6$ | D    |
| C      |        | $\leq 2$ | A    |
| D      | Y      | 1        | A    |
| E      |        | $\leq 2$ | D    |
| F      |        | $\leq 7$ | D    |
| G      |        | $\leq 6$ | D    |

Order Added to Known Set:

A, D



# Example #2

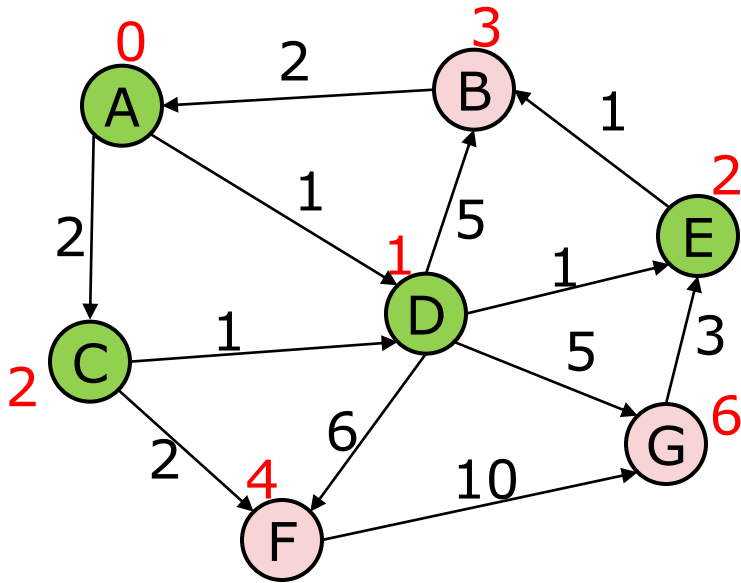


Order Added to Known Set:

A, D, C

| vertex | known? | cost     | path |
|--------|--------|----------|------|
| A      | Y      | 0        |      |
| B      |        | $\leq 6$ | D    |
| C      | Y      | 2        | A    |
| D      | Y      | 1        | A    |
| E      |        | $\leq 2$ | D    |
| F      |        | $\leq 4$ | C    |
| G      |        | $\leq 6$ | D    |

# Example #2

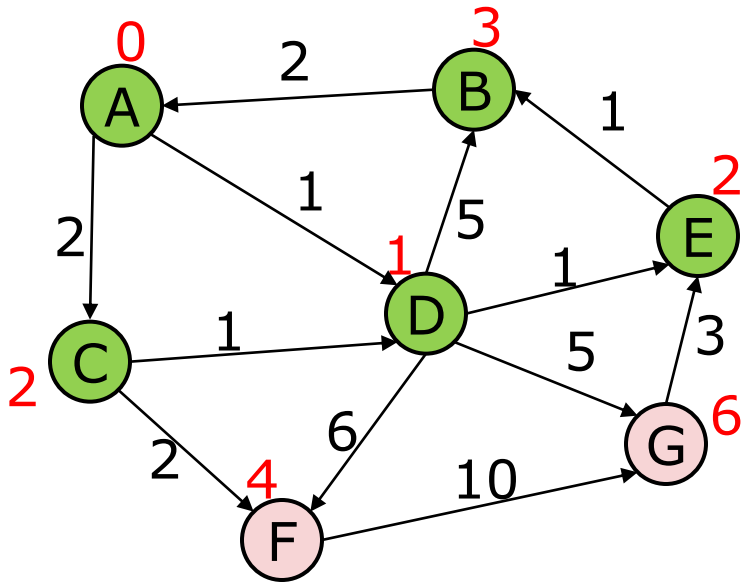


| vertex | known? | cost     | path |
|--------|--------|----------|------|
| A      | Y      | 0        |      |
| B      |        | $\leq 3$ | E    |
| C      | Y      | 2        | A    |
| D      | Y      | 1        | A    |
| E      | Y      | 2        | D    |
| F      |        | $\leq 4$ | C    |
| G      |        | $\leq 6$ | D    |

Order Added to Known Set:

A, D, C, E

# Example #2

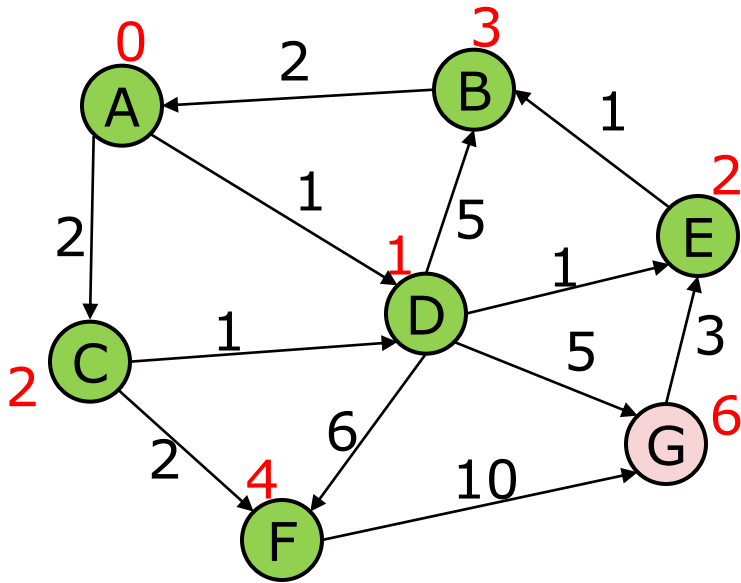


Order Added to Known Set:

A, D, C, E, B

| vertex | known? | cost     | path |
|--------|--------|----------|------|
| A      | Y      | 0        |      |
| B      | Y      | 3        | E    |
| C      | Y      | 2        | A    |
| D      | Y      | 1        | A    |
| E      | Y      | 2        | D    |
| F      |        | $\leq 4$ | C    |
| G      |        | $\leq 6$ | D    |

# Example #2

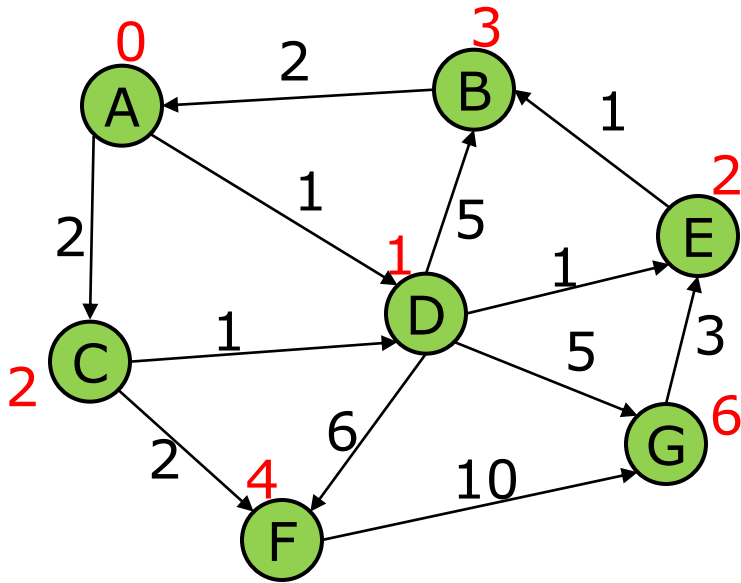


| vertex | known? | cost     | path |
|--------|--------|----------|------|
| A      | Y      | 0        |      |
| B      | Y      | 3        | E    |
| C      | Y      | 2        | A    |
| D      | Y      | 1        | A    |
| E      | Y      | 2        | D    |
| F      | Y      | 4        | C    |
| G      |        | $\leq 6$ | D    |

Order Added to Known Set:

A, D, C, E, B, F

# Example #2

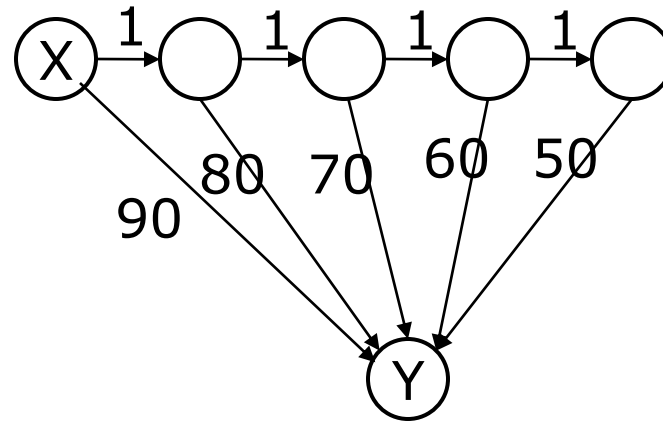


| vertex | known? | cost | path |
|--------|--------|------|------|
| A      | Y      | 0    |      |
| B      | Y      | 3    | E    |
| C      | Y      | 2    | A    |
| D      | Y      | 1    | A    |
| E      | Y      | 2    | D    |
| F      | Y      | 4    | C    |
| G      | Y      | 6    | D    |

Order Added to Known Set:

A, D, C, E, B, F, G

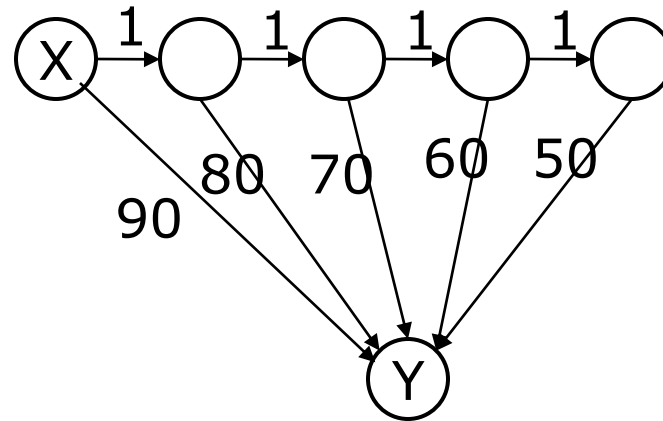
# Example #3



How will the best-cost-so-far for Y proceed?

Is this expensive?

# Example #3



How will the best-cost-so-far for Y proceed?

90, 81, 72, 63, 54

Is this expensive?

No, each *edge* is processed only once

# *A Greedy Algorithm*

Dijkstra's algorithm is an example of a greedy algorithm:

- At each step, irrevocably does what seems best at that step
  - Once a vertex is in the known set, does not go back and readjust its decision
- Locally optimal
  - Does not always mean globally optimal



# *Where are We?*

Have described Dijkstra's algorithm

- For single-source shortest paths in a weighted graph (directed or undirected) with no negative-weight edges

What should we do next?

- Prove the algorithm is correct
- Analyze its efficiency

# *Correctness: Rough Intuition*

All "known" vertices have the correct shortest path

- True initially: shortest path to start node has cost 0
- If it stays true every time we mark a node as "known", then by induction this holds and eventually every vertex will be "known"

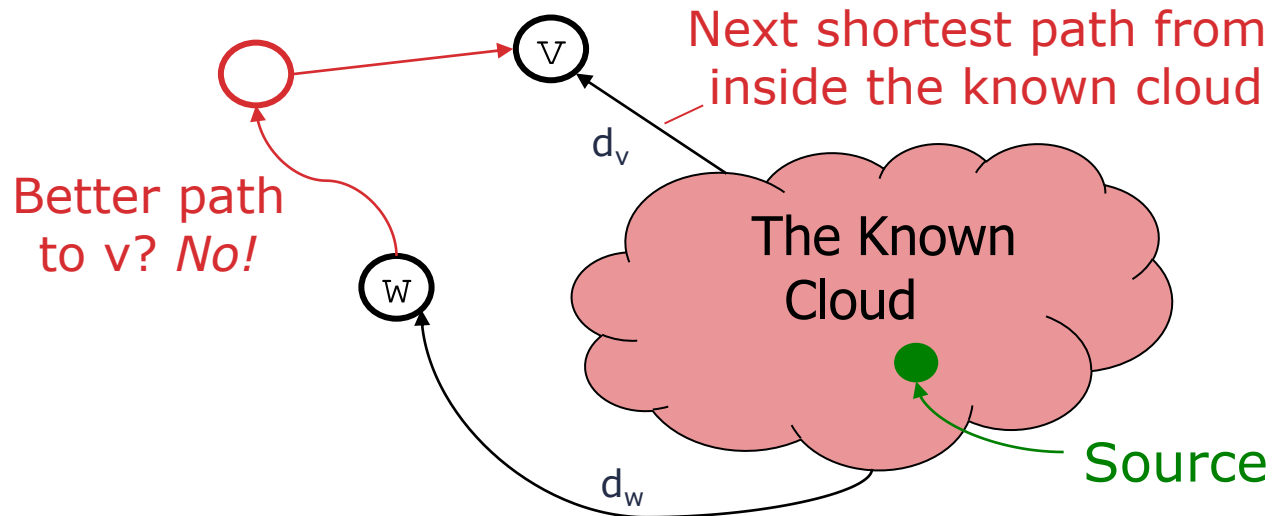
What we need to prove:

- When we mark a vertex as "known", we cannot ever discover a shorter path later in the algorithm
- If we could, then the algorithm fails

How we prove it:

- This holds only because Dijkstra's algorithm picks the node with the next shortest path-so-far
- The proof is by contradiction...

# Proof of Correctness (Rough Sketch)



Suppose  $v$  is the next node to be marked known ("added to the cloud")  
The best-known path to  $v$  must have only nodes "in the cloud"

- We have selected it, and we only know about paths through the cloud to a node at the edge of the cloud

Assume the actual shortest path to  $v$  is different

- It is not entirely within the cloud, or else we would know about it
- So it must use non-cloud nodes. Let  $w$  be the first non-cloud node on this path
- The part of the path up to  $w$  is already known and must be shorter than the best-known path to  $v$ :  $d_w + \dots < d_v \rightarrow d_w < d_v$
- Ergo,  $w$  should have been picked before  $v$ . Contradiction.

# Efficiency, First Approach

Use pseudocode to determine asymptotic run-time

- Important: note that each edge is processed only once

```
dijkstra(Graph G, Node start) {  
  for each node: x.cost=infinity, x.known=false  
  start.cost = 0  
  while(not all nodes are known) {  
    b = find unknown node with smallest cost  
    b.known = true  
    for each edge (b,a) in G  
      if(!a.known)  
        if(b.cost + weight((b,a)) < a.cost){  
          a.cost = b.cost + weight((b,a))  
          a.path = b  
        }  
  }  
}
```

$O(|V|)$

$O(|V|^2)$

$O(|E|)$

$O(|V|^2)$

# *Improving Asymptotic Running Time*

So far we have an abysmal  $O(|V|^2)$

We had a similar "problem" with topological sort being  $O(|V|^2)$  due to each iteration looking for the node to process next

- We solved it with a queue of zero-degree nodes
- But here we need the lowest-cost node and costs can change as we process edges

Solution?

# *Improving Asymptotic Running Time*

We will use a priority queue

- Hold all unknown nodes
- Priority will be their current cost

But we need to update costs

- Priority queue must have a decreaseKey operation
- For efficiency, each node should maintain a reference from to its position in the queue
  - Eliminates need for  $O(\log n)$  lookup
  - Conceptually simple, but can be a pain to code up

# Efficiency, Second Approach

Use pseudocode to determine asymptotic run-time

- Note that deleteMin() and decreaseKey() operations are independent of each other

```
dijkstra(Graph G, Node start) {  
  for each node: x.cost=infinity, x.known=false  
  start.cost = 0  
  build-heap with all nodes  
  while(heap is not empty) {  
    b = deleteMin()  
    b.known = true  
    for each edge (b,a) in G  
      if(!a.known)  
        if(b.cost + weight((b,a)) < a.cost){  
          decreaseKey(a, "new cost - old cost")  
          a.path = b  
        }  
  }  
}
```

$O(|V|)$

$O(|V|)$

$O(|V|\log |V|)$

$O(|E|\log |V|)$

$O(|V|\log |V| + |E|\log |V|)$

# *Dense versus Sparse Again*

First approach:  $O(|V|^2)$

Second approach:  $O(|V|\log|V| + |E|\log|V|)$

So which is better?

- Sparse:  $O(|V|\log|V| + |E|\log|V|)$   
If  $|E| = \Theta(|V|)$ , then  $O(|E|\log|V|)$
- Dense:  $O(|V|^2)$   
If  $|E| = \Theta(|V|^2)$ , then  $|E|\log|V| > |V|^2$
- Neither sparse or dense?  
Second approach still likely to be better



*But...*

Remember these are worst-case and asymptotic

Priority queue might have worse constant factors

On the other hand, for "normal graphs"

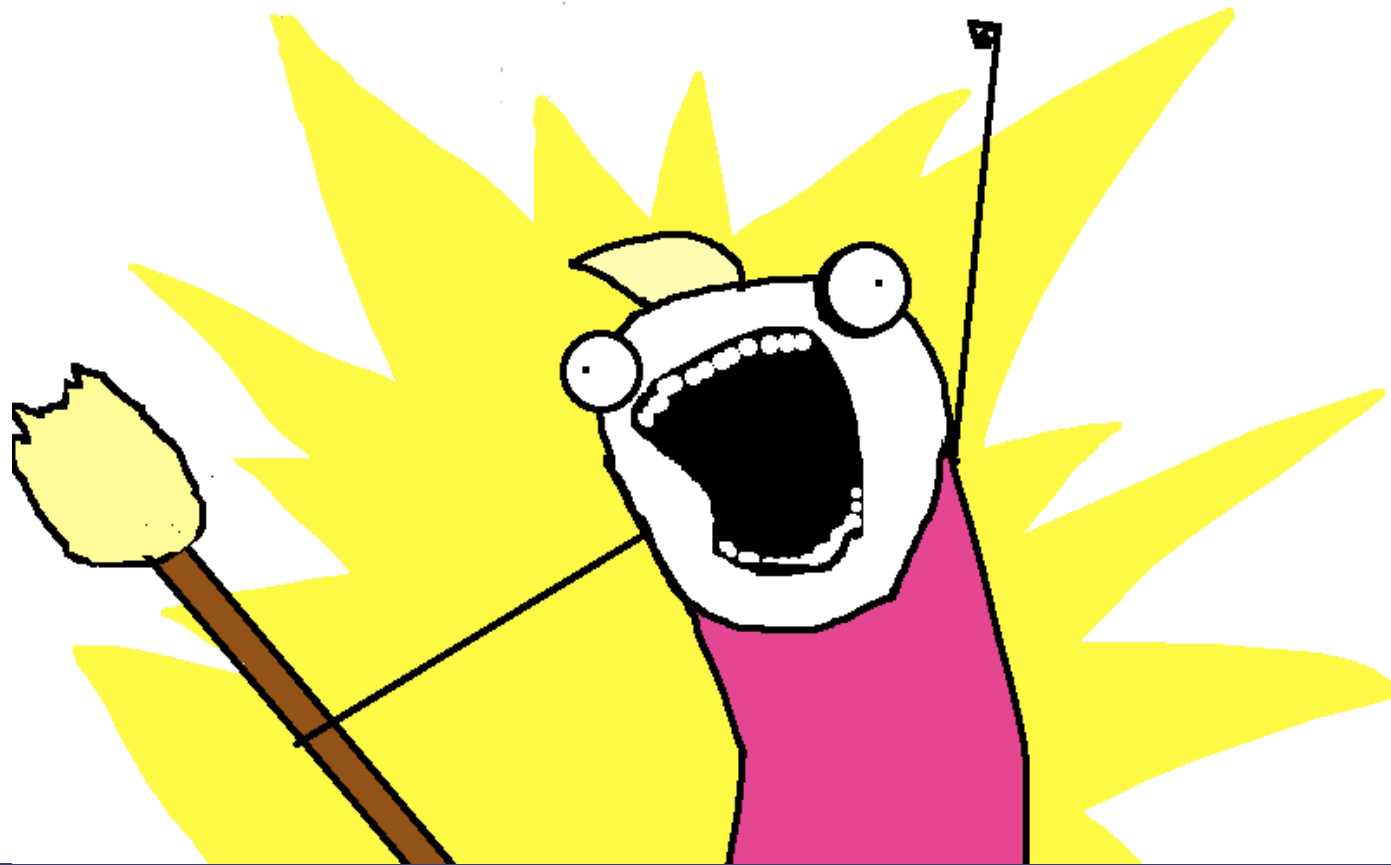
- We might rarely call decreaseKey
- We might not percolate far
- This would make  $|E|\log|V|$  more like  $|E|$

# *What about connectedness?*

What happens if a graph is disconnected?

Unmarked/unvisited nodes will continue to have a cost of infinity

- Must be careful to do addition correctly:  
 $\infty + (\text{finite value}) = \infty$
- One speed-up would be to stop once a `deleteMin()` returns  $\infty$



***YOU WANT ALL THE  
SHORTEST PATHS?***

# *All-Pairs Shortest Path*

Dijkstra's algorithm requires a starting vertex

What if you want to find the shortest path between all pairs of vertices in the graph?

- Run Dijkstra's for each vertex  $v$ ?
- Can we do better? Yep

# *Dynamic Programming*

An algorithmic technique that systematically records the answers to sub-problems in a table and re-uses those recorded results.

Simple Example:

Calculating the Nth Fibonacci number:

$$\text{Fib}(N) = \text{Fib}(N-1) + \text{Fib}(N-2)$$

Recursion would be insanely expensive,

But it is cheap if you already know the results of prior computations

# *Floyd-Warshall All-Pairs Shortest Path*

Dynamic programming algorithm for finding shortest paths between all vertices

Even works for negative edge weights

- Only meaningful in no negative cycles
- Can be used to detect such negative cycles
- Idea: Check to see if there is a path from  $v$  to  $v$  that has a negative cost

Overall performance:

- Time:  $O(|V|^3)$
- Space:  $O(|V|^2)$

# The Algorithm

$M[u][v]$  stores the cost of the best path from  $u$  to  $v$   
Initialized to cost of edge between  $M[u][v]$

The algorithm:

```
for (int k = 1; k <= V; k++)
  for (int i = 1; i <= V; i++)
    for (int j = 1; j <= V; j++)
      if ( M[i][k] + M[k][j] < M[i][j] )
        M[i][j] = M[i][k] + M[k][j]
```

## Invariant:

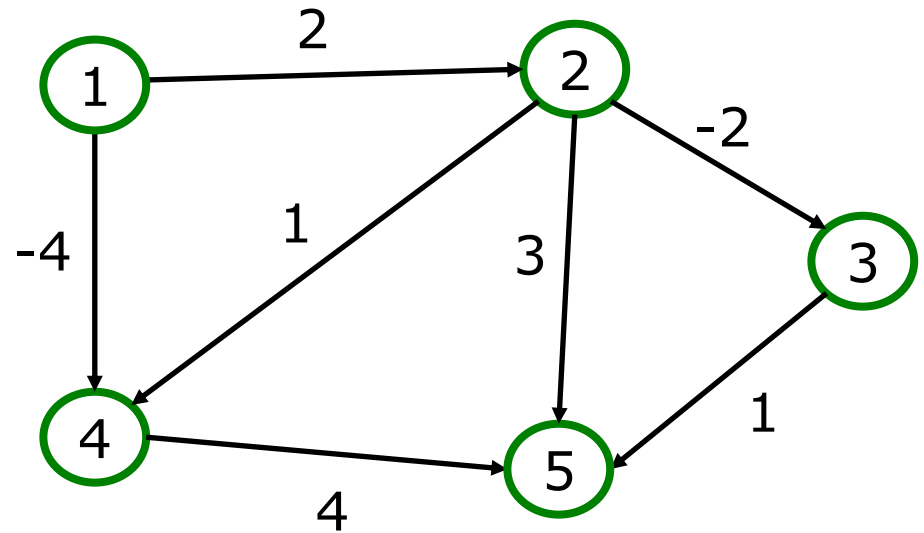
After the  $k^{\text{th}}$  iteration, the matrix  $M$  includes the shortest path between all pairs that use on only vertices  $1..k$  as intermediate vertices in the paths

# Floyd-Warshall

## All-Pairs Shortest Path

Initial state of the matrix:

|   | 1        | 2        | 3        | 4        | 5        |
|---|----------|----------|----------|----------|----------|
| 1 | 0        | 2        | $\infty$ | -4       | $\infty$ |
| 2 | $\infty$ | 0        | -2       | 1        | 3        |
| 3 | $\infty$ | $\infty$ | 0        | $\infty$ | 1        |
| 4 | $\infty$ | $\infty$ | $\infty$ | 0        | 4        |
| 5 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 0        |



Note that non $\infty$  edges are indicated in some manner, such as infinity

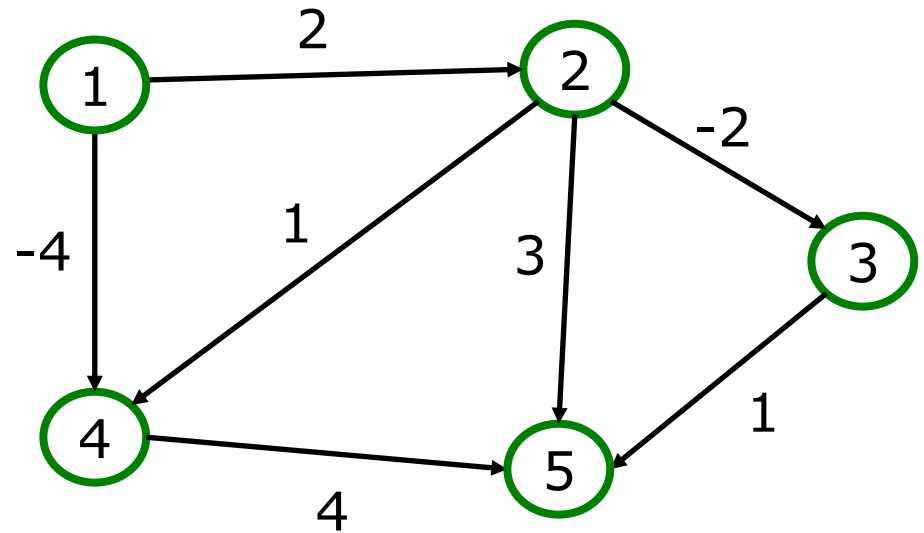


# Floyd-Warshall

## All-Pairs Shortest Path

k = 1

|   | 1        | 2        | 3        | 4        | 5        |
|---|----------|----------|----------|----------|----------|
| 1 | 0        | 2        | $\infty$ | -4       | $\infty$ |
| 2 | $\infty$ | 0        | -2       | 1        | 3        |
| 3 | $\infty$ | $\infty$ | 0        | $\infty$ | 1        |
| 4 | $\infty$ | $\infty$ | $\infty$ | 0        | 4        |
| 5 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 0        |



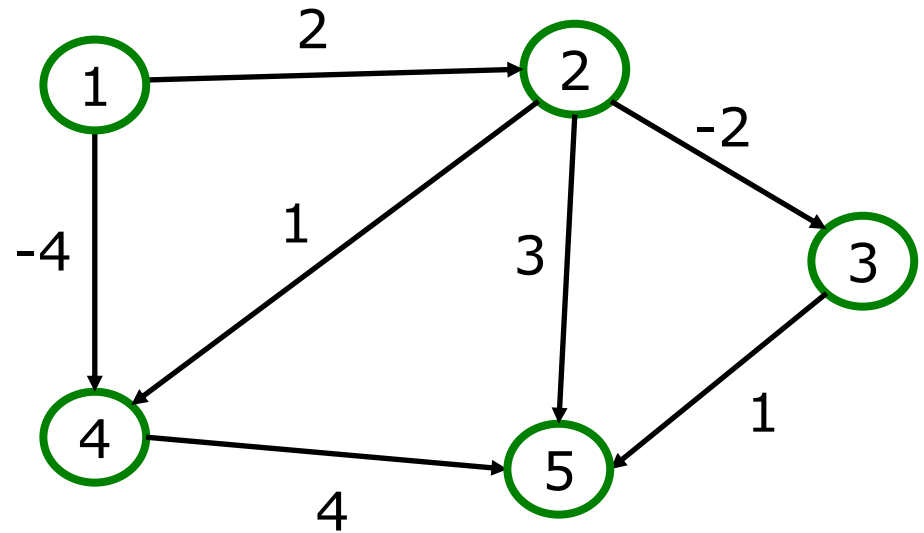
$$M[i][j] = \min(M[i][j], M[i][k] + M[k][j])$$

# Floyd-Warshall

## All-Pairs Shortest Path

k = 2

|   | 1        | 2        | 3        | 4        | 5 |
|---|----------|----------|----------|----------|---|
| 1 | 0        | 2        | 0        | -4       | 5 |
| 2 | $\infty$ | 0        | -2       | 1        | 3 |
| 3 | $\infty$ | $\infty$ | 0        | $\infty$ | 1 |
| 4 | $\infty$ | $\infty$ | $\infty$ | 0        | 4 |
| 5 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 0 |



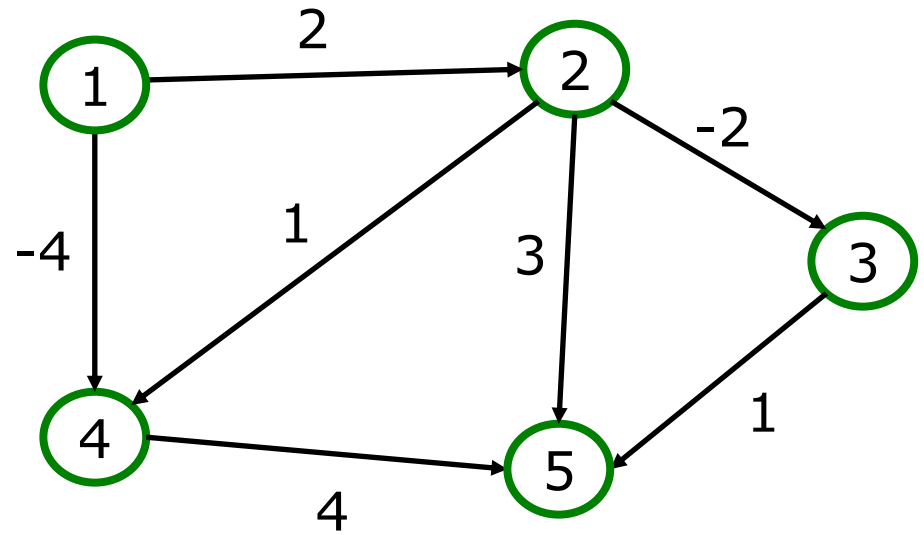
$$M[i][j] = \min(M[i][j], M[i][k] + M[k][j])$$

# Floyd-Warshall

## All-Pairs Shortest Path

k = 3

|   | 1        | 2        | 3        | 4        | 5  |
|---|----------|----------|----------|----------|----|
| 1 | 0        | 2        | 0        | -4       | 1  |
| 2 | $\infty$ | 0        | -2       | 1        | -1 |
| 3 | $\infty$ | $\infty$ | 0        | $\infty$ | 1  |
| 4 | $\infty$ | $\infty$ | $\infty$ | 0        | 4  |
| 5 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 0  |



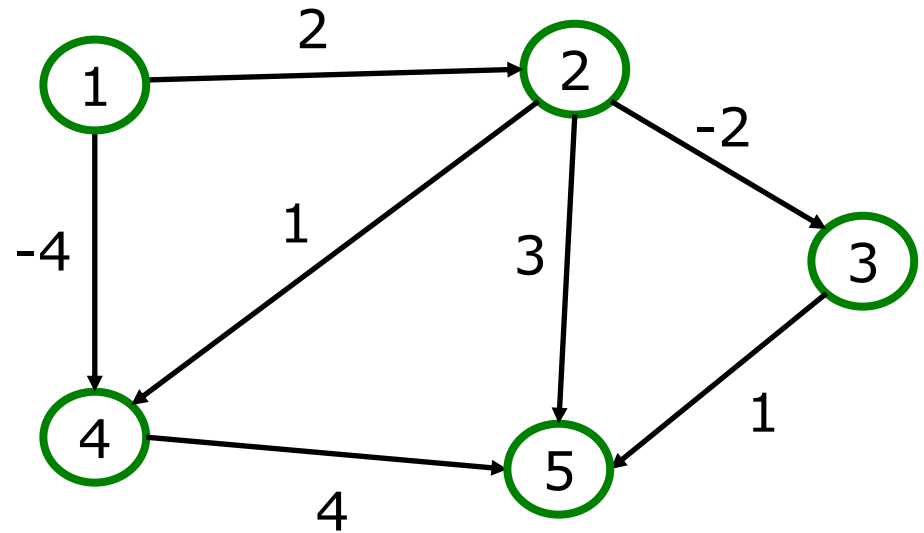
$$M[i][j] = \min(M[i][j], M[i][k] + M[k][j])$$

# Floyd-Warshall

## All-Pairs Shortest Path

k = 4

|   | 1        | 2        | 3        | 4        | 5  |
|---|----------|----------|----------|----------|----|
| 1 | 0        | 2        | 0        | -4       | 0  |
| 2 | $\infty$ | 0        | -2       | 1        | -1 |
| 3 | $\infty$ | $\infty$ | 0        | $\infty$ | 1  |
| 4 | $\infty$ | $\infty$ | $\infty$ | 0        | 4  |
| 5 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 0  |



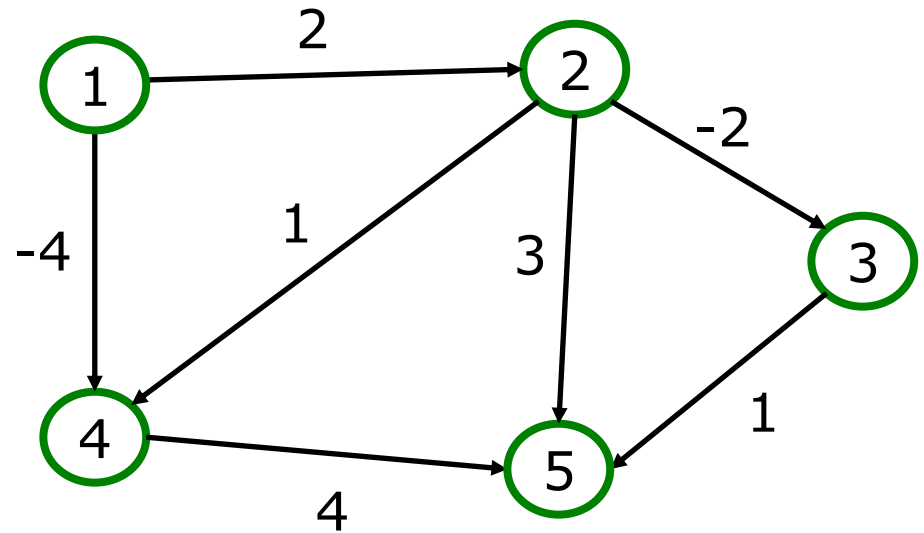
$$M[i][j] = \min(M[i][j], M[i][k] + M[k][j])$$

# Floyd-Warshall

## All-Pairs Shortest Path

k = 5

|   | 1        | 2        | 3        | 4        | 5  |
|---|----------|----------|----------|----------|----|
| 1 | 0        | 2        | 0        | -4       | 0  |
| 2 | $\infty$ | 0        | -2       | 1        | -1 |
| 3 | $\infty$ | $\infty$ | 0        | $\infty$ | 1  |
| 4 | $\infty$ | $\infty$ | $\infty$ | 0        | 4  |
| 5 | $\infty$ | $\infty$ | $\infty$ | $\infty$ | 0  |



$$M[i][j] = \min(M[i][j], M[i][k] + M[k][j])$$

# *What about connectedness?*

What happens if a graph is disconnected?

Floyd-Warshall will still calculate all-pair shortest paths.

Some will remain  $\infty$  to indicate that no path exists between those vertices

# *What Comes Next?*

In the logical course progression, we would study the next graph topic:

## ***Minimum Spanning Trees***

They are trees... that span... minimally!! Woo!!

But alas, we need to align lectures with projects and homework, so we will instead

- Start parallelism and concurrency
- Come back to graphs at the end of the course