



## CSE332: Data Abstractions

### Lecture 14: Introduction to Graphs

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Winter 2011

## Announcements

- **Midterm – Monday Feb 7<sup>th</sup> during lecture**, info about midterm has been posted
  - Ruth has extra office hours on Monday Feb 7<sup>th</sup> 12-2pm
- **Homework 4** – due Friday Feb 11<sup>th</sup> at the BEGINNING of lecture, posted soon
- **Project 2** – Phase B due Tues Feb 15<sup>th</sup> at 11pm
  - Clarifications posted, check Msg board, email cse332-staff

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## Today

- Sorting
  - Beyond comparison sorting
- Graphs
  - Intro & Definitions

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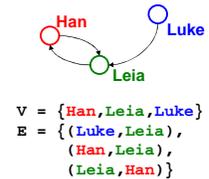
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## Graphs

- A graph is a formalism for representing relationships among items
  - Very general definition because very general concept
- A **graph** is a pair  $G = (V, E)$ 
  - A set of **vertices**, also known as **nodes**

$$V = \{v_1, v_2, \dots, v_n\}$$
  - A set of **edges**

$$E = \{e_1, e_2, \dots, e_m\}$$
    - Each edge  $e_i$  is a pair of vertices  $(v_j, v_k)$
    - An edge "connects" the vertices
- Graphs can be **directed** or **undirected**



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## An ADT?

- Can think of graphs as an ADT with operations like `isEdge((vj, vk))`
- But what the "standard operations" are is unclear
- Instead we tend to develop algorithms over graphs and then use data structures that are efficient for those algorithms
- Many important problems can be solved by:
  1. Formulating them in terms of graphs
  2. Applying a standard graph algorithm
- To make the formulation easy and standard, we have a lot of *standard terminology* about graphs

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## Some graphs

For each, what are the **vertices** and what are the **edges**?

- Web pages with links
- Facebook friends
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
- Road maps (e.g., Google maps)
- Airline routes
- Family trees
- Course pre-requisites
- ...

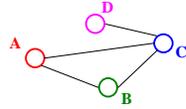
Wow: Using the same algorithms for problems for this very different data sounds like "core computer science and engineering"

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## Undirected Graphs

- In **undirected graphs**, edges have no specific direction
  - Edges are always "two-way"



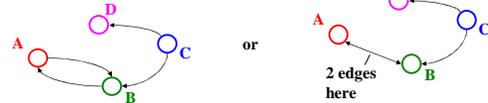
- Thus,  $(u, v) \in E$  implies  $(v, u) \in E$ .
  - Only one of these edges needs to be in the set; the other is implicit
- Degree** of a vertex: number of edges containing that vertex
  - Put another way: the number of adjacent vertices

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## Directed graphs

- In directed graphs (sometimes called digraphs), edges have a specific direction



- Thus,  $(u, v) \in E$  does *not* imply  $(v, u) \in E$ .
  - Let  $(u, v) \in E$  mean  $u \rightarrow v$  and call  $u$  the **source** and  $v$  the **destination**
- In-Degree** of a vertex: number of in-bound edges, i.e., edges where the vertex is the destination
- Out-Degree** of a vertex: number of out-bound edges, i.e., edges where the vertex is the source

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## Self-edges, connectedness, etc.

- A **self-edge** a.k.a. a **loop** is an edge of the form  $(u, u)$ 
  - Depending on the use/algorithm, a graph may have:
    - No self edges
    - Some self edges
    - All self edges (in which case often implicit, but we will be explicit)
- A node can have a degree / in-degree / out-degree of **zero**
- A graph does not have to be **connected** (In an undirected graph, this means we can follow edges from any node to every other node), even if every node has non-zero degree

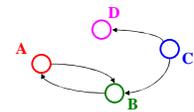
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## More notation

For a graph  $G = (V, E)$ :

- $|V|$  is the number of vertices
- $|E|$  is the number of edges
  - Minimum?
  - Maximum for undirected?
  - Maximum for directed?
- If  $(u, v) \in E$ 
  - Then  $v$  is a **neighbor** of  $u$ , i.e.,  $v$  is **adjacent** to  $u$
  - Order matters for directed edges



$$V = \{A, B, C, D\}$$

$$E = \{(C, B), (A, B), (B, A), (C, D)\}$$

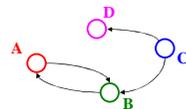
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## More notation

For a graph  $G = (V, E)$ :

- $|V|$  is the number of vertices
- $|E|$  is the number of edges
  - Minimum? 0
  - Maximum for undirected?  $|V|(|V+1|/2) \in O(|V|^2)$
  - Maximum for directed?  $|V|^2 \in O(|V|^2)$   
(assuming self-edges allowed, else subtract  $|V|$ )
- If  $(u, v) \in E$ 
  - Then  $v$  is a **neighbor** of  $u$ , i.e.,  $v$  is **adjacent** to  $u$
  - Order matters for directed edges: In this example  $v$  is **adjacent** to  $u$ , but  $u$  is not **adjacent** to  $v$  (unless  $(v, u) \in E$ )



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## Examples again

Which would use **directed edges**? Which would have **self-edges**?  
Which could have **0-degree nodes**?

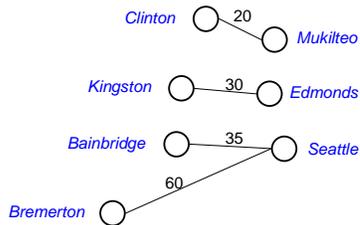
- Web pages with links
- Facebook friends
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
- Road maps (e.g., Google maps)
- Airline routes
- Family trees
- Course pre-requisites
- ...

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## Weighted graphs

- In a weighed graph, each edge has a **weight** a.k.a. **cost**
  - Typically numeric (most examples will use ints)
  - Orthogonal to whether graph is directed
  - Some graphs allow *negative weights*; many don't



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## Examples

What, if anything, might **weights** represent for each of these? Do **negative weights** make sense?

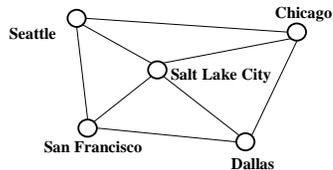
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## Paths and Cycles

- A **path** is a list of vertices  $[v_0, v_1, \dots, v_n]$  such that  $(v_i, v_{i+1}) \in E$  for all  $0 \leq i < n$ . Say "a path from  $v_0$  to  $v_n$ "
- A **cycle** is a path that begins and ends at the same node ( $v_0 = v_n$ )



Example path (that also happens to be a cycle):  
[Seattle, Salt Lake City, Chicago, Dallas, San Francisco, Seattle]

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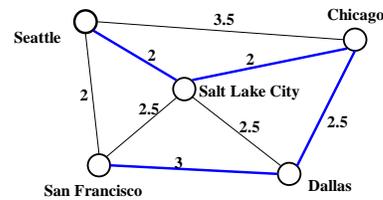
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## Path Length and Cost

- Path length**: Number of **edges** in a path (also called "unweighted cost")
- Path cost**: sum of the weights of each edge

Example where:

$P = [\text{Seattle}, \text{Salt Lake City}, \text{Chicago}, \text{Dallas}, \text{San Francisco}]$



length(P) = 4  
cost(P) = 9.5

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## Simple paths and cycles

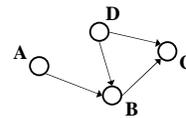
- A **simple path** repeats no vertices, (except the first might be the last):  
[Seattle, Salt Lake City, San Francisco, Dallas]  
[Seattle, Salt Lake City, San Francisco, Dallas, Seattle]
- Recall, a **cycle** is a path that ends where it begins:  
[Seattle, Salt Lake City, San Francisco, Dallas, Seattle]  
[Seattle, Salt Lake City, Seattle, Dallas, Seattle]
- A **simple cycle** is a cycle and a simple path:  
[Seattle, Salt Lake City, San Francisco, Dallas, Seattle]

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## Paths/cycles in directed graphs

Example:



Is there a **path** from A to D?

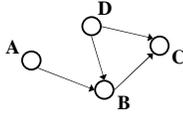
Does the graph contain any **cycles**?

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## Paths/cycles in directed graphs

Example:



Is there a path from A to D? **No**

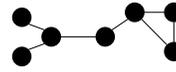
Does the graph contain any cycles? **No**

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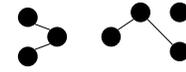
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## Undirected graph connectivity

- An undirected graph is **connected** if for all pairs of vertices  $u, v$ , there exists a *path* from  $u$  to  $v$

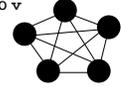


Connected graph



Disconnected graph

- An undirected graph is **complete**, a.k.a. **fully connected** if for all pairs of vertices  $u, v$ , there exists an *edge* from  $u$  to  $v$

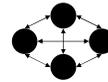
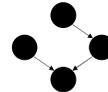
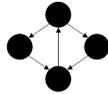


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## Directed graph connectivity

- A directed graph is **strongly connected** if there is a path from every vertex to every other vertex
- A directed graph is **weakly connected** if there is a path from every vertex to every other vertex *ignoring direction of edges*
- A **complete** a.k.a. **fully connected** directed graph has an edge from every vertex to every other vertex



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## Examples

For undirected graphs: **connected**?

For directed graphs: **strongly connected**? **weakly connected**?

- Web pages with links
- Facebook friends
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
- Road maps (e.g., Google maps)
- Airline routes
- Family trees
- Course pre-requisites
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## Trees as graphs

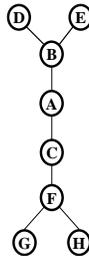
When talking about graphs, we say a **tree** is a graph that is:

- undirected
- acyclic
- connected

So all trees are graphs, but not all graphs are trees

How does this relate to the trees we know and love?...

Example:

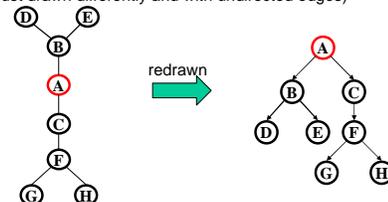


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## Rooted Trees

- We are more accustomed to **rooted trees** where:
  - We identify a unique ("special") root
  - We think of edges as directed: parent to children
- Given a tree, once you pick a root, you have a unique rooted tree (just drawn differently and with undirected edges)

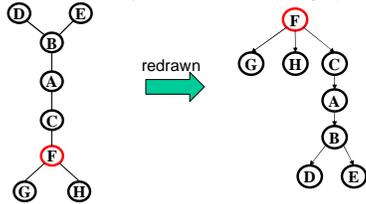


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### Rooted Trees (Another example)

- We are more accustomed to **rooted trees** where:
  - We identify a unique ("special") root
  - We think of edges as directed: parent to children
- Given a tree, once you pick a root, you have a unique rooted tree (just drawn differently and with undirected edges)

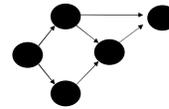


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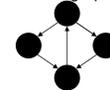
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### Directed acyclic graphs (DAGs)

- A **DAG** is a directed graph with no (directed) cycles
  - Every rooted directed tree is a DAG
  - But not every DAG is a rooted directed tree:



- Every DAG is a directed graph
- But not every directed graph is a DAG:



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### Examples

Which of our **directed-graph** examples do you expect to be a **DAG**?

- Web pages with links
- "Input data" for the Kevin Bacon game
- Methods in a program that call each other
- Airline routes
- Family trees
- Course pre-requisites
- ...

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### Density / sparsity

- Recall: In an undirected graph,  $0 \leq |E| < |V|^2$
- Recall: In a directed graph:  $0 \leq |E| \leq |V|^2$
- So for any graph,  $|E|$  is  $O(|V|^2)$
- One more fact: If an undirected graph is **connected**, then  $|E| \geq |V|-1$
- Because  $|E|$  is often much smaller than its maximum size, we do not always approximate as  $|E|$  as  $O(|V|^2)$ 
  - This is a correct bound, it just is often not tight
  - If it is tight, i.e.,  $|E|$  is  $\Theta(|V|^2)$  we say the graph is **dense**
    - More sloppily, dense means "lots of edges"
  - If  $|E|$  is  $O(|V|)$  we say the graph is **sparse**
    - More sloppily, sparse means "most (possible) edges missing"

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### What's the data structure?

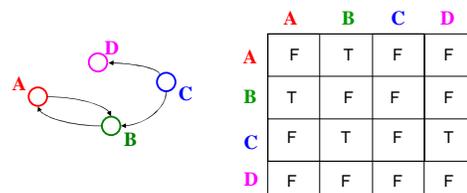
- Okay, so graphs are really useful for lots of data and questions we might ask like "what's the lowest-cost path from  $x$  to  $y$ "
- But we need a data structure that represents graphs
- Which data structure is "best" can depend on:
  - properties of the graph (e.g., dense versus sparse)
  - the common queries (e.g., "is  $(u, v)$  an edge?" versus "what are the neighbors of node  $u$ ?")
- So we'll discuss the two standard graph representations...
  - Adjacency Matrix and Adjacency List
  - Different trade-offs, particularly time versus space

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### Adjacency matrix

- Assign each node a number from 0 to  $|V|-1$
- A  $|V| \times |V|$  matrix (i.e., 2-D array) of booleans (or 1 vs. 0)
  - If  $M$  is the matrix, then  $M[u][v] == \text{true}$  means there is an edge from  $u$  to  $v$



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### Adjacency matrix properties

- Running time to:
  - Get a vertex's out-edges:
  - Get a vertex's in-edges:
  - Decide if some edge exists:
  - Insert an edge:
  - Delete an edge:
- Space requirements:
- Best for sparse or dense graphs?

	A	B	C	D
A	F	T	F	F
B	T	F	F	F
C	F	T	F	T
D	F	F	F	F

### Adjacency matrix properties

- Running time to:
  - Get a vertex's out-edges:  $O(|V|)$
  - Get a vertex's in-edges:  $O(|V|)$
  - Decide if some edge exists:  $O(1)$
  - Insert an edge:  $O(1)$
  - Delete an edge:  $O(1)$
- Space requirements:
  - $|V|^2$  bits
- Best for dense graphs

	A	B	C	D
A	F	T	F	F
B	T	F	F	F
C	F	T	F	T
D	F	F	F	F

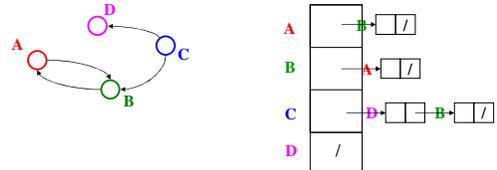
### Adjacency matrix properties (cont.)

- How will the adjacency matrix vary for an **undirected graph**?
  - Undirected: Will be symmetric about diagonal axis
- How can we adapt the representation for **weighted graphs**?
  - Instead of a boolean, store an int/double in each cell
  - Need some value to represent 'not an edge'
    - Say -1 or 0

	A	B	C	D
A	F	T	F	F
B	T	F	F	F
C	F	T	F	T
D	F	F	F	F

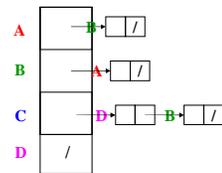
### Adjacency List

- Assign each node a number from 0 to  $|V| - 1$
- An array of length  $|V|$  in which each entry stores a list (e.g., linked list) of all adjacent vertices



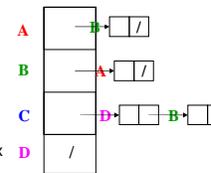
### Adjacency List Properties

- Running time to:
  - Get all of a vertex's out-edges:
  - Get all of a vertex's in-edges:
  - Decide if some edge exists:
  - Insert an edge:
  - Delete an edge:
- Space requirements:
- Best for dense or sparse graphs?



### Adjacency List Properties

- Running time to:
  - Get all of a vertex's out-edges:  $O(d)$  where  $d$  is out-degree of vertex
  - Get all of a vertex's in-edges:  $O(|E|)$  (but could keep a second adjacency list for this!)
  - Decide if some edge exists:  $O(d)$  where  $d$  is out-degree of source
  - Insert an edge:  $O(1)$
  - Delete an edge:  $O(d)$  where  $d$  is out-degree of source
- Space requirements:
  - $O(|V|+|E|)$
- Best for sparse graphs: so usually just stick with linked lists

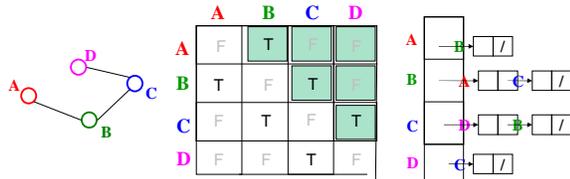


## Undirected graphs

Adjacency matrices & adjacency lists both do fine for undirected graphs

- Matrix: Could save space; only ~1/2 the array is used
- Lists: Each edge in two lists to support efficient "get all neighbors"

Example:



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## Next...

Okay, we can represent graphs

Now let's implement some useful and non-trivial algorithms

- **Topological sort:** Given a DAG, order all the vertices so that every vertex comes before all of its neighbors
- **Shortest paths:** Find the shortest or lowest-cost path from x to y
  - Related: Determine if there even is such a path

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