



CSE332: Data Abstractions

Lecture 12: Introduction to Sorting

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Introduction to sorting

- ▶ Stacks, queues, priority queues, and dictionaries all focused on providing one element at a time
- ▶ But often we know we want “all the data items” in some order
 - ▶ Anyone can sort, but a computer can sort faster
 - ▶ Very common to need data sorted somehow
 - ▶ Alphabetical list of people
 - ▶ Population list of countries
 - ▶ Search engine results by relevance
 - ▶ ...
- ▶ Different algorithms have different asymptotic and constant-factor trade-offs
 - ▶ No single ‘best’ sort for all scenarios
 - ▶ Knowing one way to sort just isn’t enough



More reasons to sort

General technique in computing:

Preprocess data to make subsequent operations faster

- ▶ Example: Sort the data so that you can
 - ▶ Find the k^{th} largest in constant time for any k
 - ▶ Perform binary search to find an element in logarithmic time

Whether the performance of the preprocessing matters depends on

- ▶ Ways in which you'll access it later
- ▶ How often the data will change
- ▶ How much data there is

The main problem, stated carefully

For now we will assume we have n comparable elements in an array and we want to rearrange them to be in increasing order

Input:

- ▶ An array \mathbf{A} of data records
- ▶ A key value in each data record
- ▶ A comparison function (consistent and total):
 - ▶ Given keys a & b , what is their relative ordering? $<$, $=$, $>$?
 - ▶ Ex: keys that implement Comparable or have a Comparator that can handle them

Effect:

- ▶ Reorganize the elements of \mathbf{A} such that for any i and j ,
if $i < j$ then $\mathbf{A}[i] \leq \mathbf{A}[j]$
- ▶ Usually unspoken assumption: \mathbf{A} must have all the same data it started with
- ▶ Could also sort in reverse order, of course

An algorithm doing this is a comparison sort

Variations on the basic problem

1. Maybe elements are in a linked list (could convert to array and back in linear time, but some algorithms needn't do so)
2. Maybe in the case of ties we should preserve the original ordering
 - ▶ Sorts that do this naturally are called **stable sorts**
 - ▶ One way to sort twice, Ex: Sort movies by year, then for ties, alphabetically
3. Maybe we must not use more than $O(1)$ “auxiliary space”
 - ▶ Sorts meeting this requirement are called ‘in-place’ sorts
 - ▶ Not allowed to allocate extra array (at least not with size $O(n)$), but can allocate $O(1)$ # of variables
 - ▶ All work done by swapping around in the array
4. Maybe we can do more with elements than just compare two at a time
 - ▶ Comparison sorts assume we work using a binary ‘compare’ operator
 - ▶ In special cases we can sometimes get faster algorithms
5. Maybe we have too much data to fit in memory
 - ▶ Use an “**external sorting**” algorithm

The Big Picture

Simple algorithms:
 $O(n^2)$

Insertion sort
Selection sort
Shell sort
...

Fancier algorithms:
 $O(n \log n)$

Heap sort
Merge sort
Quick sort (avg)
...

Comparison lower bound:
 $\Omega(n \log n)$

Specialized algorithms:
 $O(n)$

Bucket sort
Radix sort

Handling huge data sets

External sorting