

CSE 331

Abstraction

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- HW1–3: write more realistic applications
 saw how debugging gets harder
- HW4–6: write code correctly the first time – checked correctness without a computer
- HW7–9: write more complex applications
 - most applications have a core, tricky part
 - use the correctness toolkit to get that right
 - can work faster where debugging is easier
 only way to really know the UI is right is to try it

- Hide the details of the function from the caller
 - caller only needs to read the **specification**
 - ("procedure" means function)
- Caller promises to pass valid inputs
 - no promises on invalid inputs
- Implementer then promises to return correct outputs
 - does not matter how

Procedural Abstraction Example

• Specification of rev is imperative:

// @returns same numbers but in reverse order, i.e. // rev(nil) := nil // rev(cons(x, L)) := rev(L) ++ [x] const rev = (L: List): List => { return rev_acc(L, nil); // faster way };

- code implements a different function
- need to use reasoning to check that these two match we proved that rev_acc(L, nil) = rev(L) for all L by structural induction

Other Properties of High-Quality Code

- Professionals are expected to write high-quality code
- Correctness is the most important part of quality
 - users hate products that do not work properly
- Also includes the following
 - easy to change
 - easy to understand
 - modular

abstraction provides all three properties

start with rev straight from the spec later change it to a faster version Clear specifications help with understandability and

Correctness

reasoning requires clear definition of what the function does

Changeability

- implementer is free to write any code that meets spec
- client can pass any inputs that satisfy requirements

Modularity

people can work on different parts once specs are agreed

Abstraction Barrier

• Specification is an...



- specification is the "barrier" between the sides
- clients depend only on the spec
- implementer can write any code that satisfies the spec

Performance Improvements

- Before, we saw rev-acc, which is faster than rev
 - faster *algorithm* for reversing a list
 - rare to see this
- Most perf improvements change data structures
 different kind of abstraction barrier for data
- Let's see an example...

last(nil):= undefinedlast(x :: nil):= xlast(x :: y :: L):= last(y :: L)

- Runs in $\theta(n)$ time
 - walks down to the end of the list
 - no faster way to do this on a list
- We could cache the last element
 - new data type just dropped:

analogous idea: store references to both "front" and "back" nodes

```
type FastLastList = {list: List, last: bigint|undefined}
```

empty list has undefined last

```
type FastLastList = {list: List, last: bigint|undefined}
```

- How do we switch to this type?
 - change every List into FastLastList
- Will still have functions that operate on List
 - e.g., len, sum, concat, rev
- Suppose F is a FastLastList
 - instead of calling rev(F), we have call rev(F.list)
 - cleaner to introduce a helper function

```
type FastLastList = {list: List, last: bigint|undefined}
const getLast = (F: FastLastList): bigint|undefined => {
  return F.last;
};
const toList = (F: FastLastList): List<bigint> => {
  return F.list;
};
```

- How do we switch to this type?
 - change every List into FastLastList
 - replace F with toList(F) where a List is expected

- Suppose we often need the 2nd to last, 3rd to last, ...
 (back of the list). How can we make it faster?
 - store the list in *reverse* order!

```
type FastBackList = List<bigint>;
const getLast = (F: FastBackList): bigint | undefined => {
  return (F.kind === "nil") ? undefined : F.hd;
};
const getSecondToLast = (F: FastBackList): bigint | undefined => {
  return (F.kind === "nil") ? undefined :
         (F.tl.kind === "nil") ? undefined : F.tl.hd;
};
const toList = (F: FastBackList): List<bigint> => {
  return rev(F);
};
```

```
type FastBackList = List<bigint>;
const getLast = (F: FastBackList): bigint|undefined => {
  return (F.kind === "nil") ? undefined : F.hd;
};
const toList = (F: FastBackList): List<bigint> => {
  return rev(F);
};
```

- Problems with this solution...
 - no type errors if someone forgets to call toList!

```
const F: FastBackList = ...;
return concat(F, cons(1, nil)); // bad!
```

```
type FastBackList =
    {list: List<bigint>, origList: List< bigint >};
const getLast = (F: FastBackList): bigint|undefined => {
    return (F.list.kind === "nil") ? undefined : F.list.hd;
};
const toList = (F: FastBackList): List<bigint> => {
    return F.origList;
};
```

- Still some problems...
 - no type errors if someone grabs the field directly

```
const F: FastBackList = ...;
return concat(F.list, cons(1, nil)); // bad!
```

```
const F: FastBackList = ...;
return concat(F.list, cons(1, nil)); // bad!
```

- Only way to completely stop this is to hide F.list
 - do not give them the data, just the functions

```
type FastList = {
  getLast: () => bigint|undefined,
  toList: () => List<bigint>
};
```

- the only way to get the list is to call F.toList()
- seems weird... but we can make it look familiar

```
interface FastList {
  getLast(): bigint|undefined;
  toList(): List<bigint>;
}
```

- In TypeScript, "interface" is synonym for "record type"
- You've seen this in Java
 Java interface is a record where
 field values are functions (methods)
 interface FastList {
 int getLast() throws EmptyList;
 List<Integer> toList();
 }
 - in 331, our interfaces will only include functions (methods)

Data Abstraction

- Give clients only operations, not data
 - operations are "public", data is "private"
- We call this an Abstract Data Type (ADT)
 - invented by Barbara Liskov in the 1970s
 - fundamental concept in computer science built into Java, JavaScript, etc.
 - data abstraction via procedural abstraction
- Critical for the properties we want
 - easier to change data structure
 - easier to understand (hides details)
 - more modular



How to Make a FastList - Attempt One

```
const makeFastList = (list: List<bigint>): FastList => {
  const last = last(list);
  return {
    getLast: () => { return last; },
    toList: () => { return list; }
  };
};
```

- Values in getLast and toList fields are functions
- There is a cleaner way to do this
 - will also look more familiar

```
class FastLastList implements FastList {
  last: bigint|undefined; // should be "readonly"
  list: List<bigint>;
  constructor(list: List<bigint>) {
    this.last = last(list);
    this.list = list;
  }
  getLast = () => { return this.last; };
  toList = () => { return this.list; };
}
```

- Can create a new record using "new"
 - each record has fields list, last, getLast, toList
 - bodies of functions use "this" to refer to the record

```
class FastLastList implements FastList {
  last: bigint|undefined; // should be "readonly"
  list: List<bigint>;
  constructor(list: List<bigint>) {
    this.last = last(list);
    this.list = list;
  }
  getLast = () => { return this.last; };
  toList = () => { return this.list; };
}
```

- Can create a new record using "new"
 - all four assignments are executed on each call to "new"
 - getLast and toList are always the same functions

```
class FastLastList implements FastList {
  last: bigint|undefined; // should be "readonly"
  list: List<bigint>;
  constructor(list: List<bigint>) {
    this.last = last(list);
    this.list = list;
  }
  getLast = () => { return this.last; };
  toList = () => { return this.list; };
}
```

- Implements the FastList interface
 - i.e., it has the expected <code>getLast</code> and <code>toList</code> fields
 - (okay for records to have more fields than required)

```
class FastBackList implements FastList {
  original: List<bigint>;
  reversed: List<bigint>; // in reverse order
  constructor(list: List<bigint>) {
    this.original = list;
    this.reversed = rev(list);
  }
  qetLast = () => \{
    return (this.reversed.kind === "nil") ?
        undefined : this.reversed.hd;
  };
  toList = () => { return this.original; }
}
```

```
const makeFastList = (list: List<bigint>): FastList => {
   return new FastLastList(list);
};
```

- **Export only** FastList **and** makeFastList
 - completely hides the data representation from clients
- This is called a "factory function"
 - another design pattern
 - can change implementations easily in the future becomes FastBackList with a one-line change
- Difficult to add to the list with this interface
 - requires three calls: toList, cons, makeFastList

```
interface FastList {
    cons(x: bigint): FastList;
    getLast(): bigint|undefined;
    toList(): List<bigint>;
};
const makeFastList = (): FastList => {
    return new FastBackList(nil);
};
```

- New method cons returns list with \mathbf{x} in front
 - example of a "producer" method (others are "observers")
 produces a new list for you
 - now, we only need to make an empty FastList anything else can be built via cons

Another Way To Do It (Even Better)

```
interface FastList {
   cons(x: bigint): FastList;
   getLast(): bigint|undefined;
   toList(): List<bigint>;
};
const nilList: FastList = new FastBackList(nil);
const makeFastList = (): FastList => {
   return nilList;
};
```

- No need to create a new object using "new" every time
 - can reuse the same instance

only possible since these are immutable!

- example of the "singleton" design pattern

We will use the following design pattern for ADTs:

- "interface" used for defining ADTs
 - declares the methods available
- "class" used for implementing ADTs
 - defines the fields and methods
 - implements the ADT interface above
- Factory function used to create instances

Stick to regular functions for rest of the code!

Specifications for ADTs

Specifications for ADTs

- Run into problems when we try to write specs
 - for example, what goes after @return?

don't want to say returns the .list field (or reverse of that)
we want to hide those details from clients

```
interface FastList {
    /**
    * Returns the last element of the list.
    * @returns ??
    */
    getLast: () => bigint | undefined;
};
```

Need some terminology to clear up confusion

New terminology for specifying ADTs

Concrete State / Representation

actual fields of the record and the data stored in them

Last example: {list: List, last: bigint|undefined}

Abstract State / Representation

how clients should *think* about the object

Last example: List (i.e., nil or cons)

- We've had different abstract and concrete types all along!
 - in our math, List is an inductive type (abstract)
 - in our code, List is a string or a record (concrete)

Inductive types also differ in abstract / concrete states:

Concrete State / Representation

actual fields of the record and the data stored in them

Last example: {kind:"nil"} | {kind:"cons", hd:bigint, tl:List}

Abstract State / Representation

how clients should *think* about the object

Last example: List (i.e., nil or cons)

- Inductive types also use a design pattern to work in TypeScript
 - details are different than ADTs (e.g., no interfaces)

New terminology for specifying ADTs

Concrete State / Representation

actual fields of the record and the data stored in them

Last example: {kind:"nil"} | {kind:"cons", hd:bigint, tl:List}

Abstract State / Representation

how clients should *think* about the object

Last example: List (i.e., nil or cons)

- Term "object" (or "obj") will refer to abstract state
 - "object" means mathematical object
 - "obj" is the mathematical value that the record represents

```
/**
 * A list of integers that can retrieve the last
 * element in O(1) time.
 */
export interface FastList {
    /**
    * Returns the last element of the list (O(1) time).
    * @returns last(obj)
    */
    getLast(): bigint | undefined;
```

"obj" refers to the abstract state (the list, in this case)
 actual state will be a record with fields last and list

```
/**
 * A list of integers that can retrieve the last
 * element in O(1) time.
 */
export interface FastList {
  ...
  /**
   * Returns a new list with x in front of this list.
   * @returns cons(x, obj)
   */
  cons(x: bigint): FastList;
```

• Producer method: makes a new list for you

- "obj" above is a list, so cons(x, obj) makes sense in math

```
/**
 * A list of integers that can retrieve the last
 * element in O(1) time.
 */
export interface FastList {
  ...
  /**
   * Returns a new list with x in front of this list.
   * @returns cons(x, obj)
   */
  cons(x: bigint): FastList;
```

- Specification does not talk about fields, just "obj"
 - fields are hidden from clients

```
/**
 * A list of integers that can retrieve the last
 * element in O(1) time.
 */
export interface FastList {
  •••
  /**
   * ??
   * @returns ??
   */
  toList(): List<bigint>;
```

• How do we specify this?
Specifying FastList

```
/**
 * A list of integers that can retrieve the last
 * element in O(1) time.
 */
export interface FastList {
  ...
  /**
   * Returns the object as a regular list of items.
   * @returns obj
   */
  toList(): List<bigint>;
```

- In math, this function does nothing ("@returns obj")
 - two different concrete representations of the same idea
 - details of the representations are *hidden* from clients

- Abstraction over data
 - hide the details of the data representation
 - only give users a set of operations (the interface)

data abstraction via procedural abstraction

- Interface can make clever data structures possible
- Some commonly used ADTs
 - stack: add & remove from one end
 - queue: add to one end, remove from other
 - set: add, remove, & check if contained in list
 - map: add, remove, & get value for (key, value) pair

- Last lecture, we saw how to write an ADT spec
- Key idea is the "abstract state"
 - simple definition of the object (easier to think about)
 - clients use that to reason about calls to this code
- Write specifications in terms of the abstract state
 - describe the return value in terms of "obj"
- We also need to reason about ADT implementation
 - for this, we do want to talk about fields
 - fields are hidden from clients, but visible to implementers

- We also need to document the ADT implementation
 - for this, we need two new tools

Abstraction Function

defines what abstract state the field values currently represent

- Maps the field values to the object they represent
 - object is math, so this is a mathematical function
 there is no such function in the code just a tool for reasoning
 - will usually write this as an *equation*
 - obj = ... right-hand side uses the fields

Documenting the FastList ADT

```
class FastLastList implements FastList {
   // AF: obj = this.list
   last: bigint | undefined;
   list: List<bigint>;
   ...
}
```

- Abstraction Function (AF) gives the abstract state
 - obj = abstract state
 - this = concrete state (record with fields .last and .list)
 - AF relates abstract state to the current concrete state okay that "last" is not involved here
 - specifications only talk about "obj", not "this"

"this" will appear in our reasoning

- We also need to document the ADT implementation
 - for this, we need two new tools

Abstraction Function

defines what abstract state the field values currently represent only needs to be defined when RI is true

Representation Invariants (RI)

facts about the field values that should always be true defines what field values are allowed AF only needs to apply when RI is true

Documenting the FastList ADT

```
class FastLastList implements FastList {
   // RI: this.last = last(this.list)
   // AF: obj = this.list
   last: bigint | undefined;
   list: List<bigint>;
   ...
}
```

- Representation Invariant (RI) holds info about this.last
 - fields cannot have just any number and list of numbers
 - they must fit together by satisfying RI

last must be the last number in the list stored

Correctness of FastList Constructor

```
class FastLastList implements FastList {
    // RI: this.last = last(this.list)
    // AF: obj = this.list
    last: bigint | undefined;
    list: List<bigint>;
    constructor(L: List<bigint>) {
      this.list = L;
      this.last = last(this.list);
    }
    ...
```

- Constructor must ensure that RI holds at end
 - we can see that it does in this case
 - since we don't mutate, they will always be true

Correctness of FastList Constructor

```
class FastLastList implements FastList {
    // RI: this.last = last(this.list)
    // AF: obj = this.list
    last: bigint | undefined;
    list: List<bigint>;
    // makes obj = L
    constructor(L: List<bigint>) {
      this.list = L;
      this.last = last(this.list);
    }
```

- Constructor must create the requested abstract state
 - client wants obj to be the passed in list
 - we can see that obj = this.list = L

```
class FastLastList implements FastList {
    // RI: this.last = last(this.list)
    // AF: obj = this.list
    ...
    // @returns last(obj)
    getLast = (): bigint | undefined => {
        return this.last;
    };
}
```

Use both RI and AF to check correctness

last(obj) =

1

```
class FastLastList implements FastList {
    // RI: this.last = last(this.list)
    // AF: obj = this.list
    ...
    // @returns last(obj)
    getLast = (): bigint | undefined => {
        return this.last;
    };
}
```

Use both RI and AF to check correctness

$$ast(obj)$$
= last(this.list)by AF= this.lastby RI

Correctness of ADT implementation

- Check that the constructor...
 - creates a concrete state satisfying RI
 - creates the abstract state required by the spec
- Check the correctness of each method...
 - check value returned is the one stated by the spec
 - may need to use both RI and AF

ADTs: the Good and the Bad

- Provides data abstraction
 - can change data structures without breaking clients
- Comes at a cost
 - more work to specify and check correctness
- Not everything needs to be an ADT
 - don't be like Java and make everything a class
- Prefer concrete types for most things
 - concrete types are easier to think about
 - introduce ADTs when the first change occurs

Immutable Queues

- A queue is a list that can only be changed two ways:
 - add elements to the front
 - remove elements from the back

```
// List that only supports adding to the front and
          // removing from the end
          interface NumberQueue {
             // @returns len(obj)
observer
             size(): bigint;
             // @returns [x] ++ obj
producer
             enqueue(x: bigint): NumberQueue;
             // @requires len(obj) > 0
producer
             // (ereturns (x, Q) with obj = Q ++ [x])
             dequeue(): [bigint, NumberQueue];
           }
```

```
// Implements a queue with a list.
class ListQueue implements NumberQueue {
    // AF: obj = this.items
    items: List<bigint>;
```

- Easiest implementation is concrete = abstract state
 just store the abstract state in a field
- Still requires extra work to check correctness...
 - abstraction barrier comes with a cost

```
// Implements a queue with a list.
class ListQueue implements NumberQueue {
    // AF: obj = this.items
    items: List<bigint>;
    // @returns len(obj)
    size = (): bigint => {
        return len(this.items);
    };
```

• Correctness of size:

len(this.items) = len(obj) by AF

nothing is "straight from the spec" anymore

```
// Implements a queue with a list.
class ListQueue implements NumberQueue {
    // AF: obj = this.items
    items: List<bigint>;
    // makes obj = items
    constructor(items: List<bigint>) {
      this.items = items;
    }
}
```

Correctness of constructor:

```
items = this.items (from code)
= obj AF
```

```
// Implements a queue with a list.
class ListQueue implements NumberQueue {
    // AF: obj = this.items
    items: List<bigint>;
    // @returns [x] ++ obj
    enqueue = (x: bigint): NumberQueue => {
      return new ListQueue(cons(x, this.items));
    };
```

• Correctness of enqueue:

```
return value= x :: this.itemsspec of constructor= x :: objAF= [] # (x :: obj)def of concat= [x] # objdef of concat
```

```
// Implements a queue with a list.
class ListQueue implements NumberQueue {
    // AF: obj = this.items
    items: List<bigint>;
    // @requires len(obj) > 0
    // @returns (x, Q) with obj = Q ++ [x]
    dequeue = (): [bigint, NumberQueue] => {
      return [last(this.items),
            prefix(len(this.items) - ln, this.items)];
    };
```

- Declarative spec, so more reasoning is required!
 - also, slower than necessary ($\theta(n)$ dequeue)
 - we'll skip correctness here and do something faster in a moment...

- Simplest possible implementation of ADT
 - abstract state = concrete state of one field
- Reasoning about every method is more complex
 - must apply AF to relate return value to spec's postcondition
 code uses fields, but postcondition uses "obj"
 - this is the cost of the abstraction barrier

```
// Implements a queue using two lists.
class ListPairQueue implements NumberQueue {
    // AF: obj = this.front ++ rev(this.back)
    front: List<bigint>;
    back: List<bigint>; // in reverse order
```

- Back part stored in reverse order
 - head of front is the first element
 - head of back is the last element



```
// Implements a queue using two lists.
class ListPairQueue implements NumberQueue {
    // AF: obj = this.front ++ rev(this.back)
    // RI: if this.back = nil, then this.front = nil
    front: List<bigint>;
    back: List<bigint>;
```

- If back is nil, then the queue is empty
 - if back = nil, then front = nil (by RI) and thus

obj =

```
// Implements a queue using two lists.
class ListPairQueue implements NumberQueue {
    // AF: obj = this.front ++ rev(this.back)
    // RI: if this.back = nil, then this.front = nil
    front: List<bigint>;
    back: List<bigint>;
```

- If back is nil, then the queue is empty
 - if back = nil, then front = nil (by RI) and thus

obj	= nil # rev(nil)	by AF
	= rev(nil)	def of concat
	= nil	def of rev

if the queue is not empty, then back is not nil
 (311 alert: this is the contrapositive)

```
// Implements a queue using two lists.
class ListPairQueue implements NumberQueue {
    // AF: obj = this.front ++ rev(this.back)
    // RI: if this.back = nil, then this.front = nil
    front: List<bigint>;
    back: List<bigint>;
    // makes obj = front ++ rev(back)
    constructor(front: List<bigint>, back: List<bigint>) {
        ...
        ...
    }
```

• Will implement this later...

```
// AF: obj = this.front ++ rev(this.back)
front: List<bigint>;
back: List<bigint>;
// @returns len(obj)
size = (): bigint => {
   return len(this.front) + len(this.back);
};
```

• Correctness of size:

```
len(obj) =
```

```
// AF: obj = this.front ++ rev(this.back)
front: List<bigint>;
back: List<bigint>;
// @returns len(obj)
size = (): bigint => {
   return len(this.front) + len(this.back);
};
```

• Correctness of size:

len(obj) = len(this.front # rev(this.back)) by AF= len(this.front) + len(rev(this.back)) by Example 3 = len(this.front) + len(this.back) by another induction

```
// AF: obj = this.front ++ rev(this.back)
front: List<bigint>;
back: List<bigint>;
// @returns [x] ++ obj
enqueue = (x: bigint): NumberQueue => {
   return new ListPairQueue(cons(x, this.front), this.back)
}
```

• Correctness of enqueue:

ret value =

```
// AF: obj = this.front ++ rev(this.back)
front: List<bigint>;
back: List<bigint>;
// @returns [x] ++ obj
enqueue = (x: bigint): NumberQueue => {
   return new ListPairQueue(cons(x, this.front), this.back)
}
```

• Correctness of enqueue:

ret value = (x :: this.front) # rev(this.back) = x :: (this.front # rev(this.back)) = x :: obj = [] # (x :: obj) = [x] # obj spec of constructor
def of concat
AF
def of concat
def of concat

- as noted previously, precondition means this.back ≠ nil
- as we know, this means this.back = x :: L
 where x = this.back.hd and some L = this.back.tl

```
// @requires len(obj) > 0
// @returns (x, Q) with obj = Q ++ [x]
dequeue = (): [bigint, NumberQueue] => {
   return [this.back.hd,
        new ListPairQueue(this.front, this.back.tl)];
};
```

- this.back = x :: L, where x = this.back.hd and L = this.back.tl



```
// @requires len(obj) > 0
// @returns (x, Q) with obj = Q ++ [x]
dequeue = (): [bigint, NumberQueue] => {
   return [this.back.hd,
        new ListPairQueue(this.front, this.back.tl)];
};
```

- this.back = x :: L, where x = this.back.hd and L = this.back.tl

obj =
...
...
...
= (this.front # rev(L)) # [this.back.hd]
= (this.front # rev(this.back.tl)) # [this.back.hd] since L = this.back.tl

```
// @requires len(obj) > 0
// @returns (x, Q) with obj = Q ++ [x]
dequeue = (): [bigint, NumberQueue] => {
   return [this.back.hd,
        new ListPairQueue(this.front, this.back.tl)];
};
```

- this.back = x :: L, where x = this.back.hd and L = this.back.tl

obj = ...

...

= (this.front # rev(L)) # [x] = (this.front # rev(L)) # [this.back.hd] since x = this.back.hd = (this.front # rev(this.back.tl)) # [this.back.hd] since L = this.back.tl

- this.back = x :: L, where x = this.back.hd and L = this.back.tl

```
obj= this.front # rev(this.back)by AF= this.front # rev(x :: L)since back = x :: L= this.front # (rev(L) # [x])def of rev= (this.front # rev(L)) # [x]
```

```
// AF: obj = this.front ++ rev(this.back)
// RI: if this.back = nil, then this.front = nil
front: List<bigint>;
back: List<bigint>;
// makes obj = front ++ rev(back)
constructor(front: List<bigint>, back: List<bigint>) {
  if (back.kind === "nil") {
    this.front = nil;
                                   holds since this front = nil
    this.back = rev(front);
  } else {
    this.front = front;
                                   holds since this back \neq nil
    this.back = back;
  }
}
```

Need to check that RI holds at end of constructor
Implementing a Queue with Two Lists

```
// AF: obj = this.front ++ rev(this.back)
// RI: if this.back = nil, then this.front = nil
front: List<bigint>;
back: List<bigint>;
// makes obj = front ++ rev(back)
constructor(front: List<bigint>, back: List<bigint>) {
  if (back.kind === "nil") {
    this.front = nil;
                                 obj = nil # rev(rev(front)) ??
    this.back = rev(front);
  } else {
    this.front = front;
                                 obj = front # rev(back)
    this.back = back;
  }
}
```

Need to check this creates correct abstract state

Implementing a Queue with Two Lists

```
// AF: obj = this.front ++ rev(this.back)
// RI: if this.back = nil, then this.front = nil
front: List<bigint>;
back: List<bigint>;
constructor(front: List<bigint>, back: List<bigint>) {
  if (back.kind === "nil") {
    this.front = nil;
    this.back = rev(front);
  } else {
    ...
}
obj = nil # rev(rev(front))
                                          AF
    = nil \# front
                                          because I said so
    = front
                                          def of concat
    = front \# nil
    = front \# rev(nil)
                                          def of rev
    = front \# rev(back)
                                          since back = nil
```

Enums

- Describe a set by ways of creating its elements
 - each is a "constructor"

type T := A | B | $C(x : \mathbb{Z})$ | $D(x : \mathbb{S}^*, t : T)$ | E(s : T, t : T)

constructors taking arguments of type T are "recursive"

A, B, C have no recursive arguments

- D has one recursive argument
- E has two recursive arguments

- Describe a set by ways of creating its elements
 - each is a "constructor"

type T := A | B | $C(x : \mathbb{Z})$ | $D(x : \mathbb{S}^*, t : T)$ | E(s : T, t : T)

- Categories of inductive data types...
 - no constructors with recursive arguments
 - constructor with 1 recursive arguments
 - constructor with 2+ recursive arguments
- = "generalized enums"
- = "generalized lists"
- = "generalized trees"
- Even enums come up all the time...

Example: Auction pages

Auction site has three different "pages"



- Page is an inductive data type:

type Page := list | new | details(name: S*)

- App keeps track of the current page
- note that "details" has an argument (which auction's details)

```
type Page = {kind: "list"}
          {kind: "new"}
          {kind: "details", name: string};
type AppState = {page: Page};
class App extends Component<{}, AppState> {
  render = (): JSX.Element => {
    if (this.state.page.kind === "list") {
      return <AuctionList/>;
    } else if (this.state.page.kind === "new") {
      return <NewAuction/>;
    } else {
      return <AuctionDetails
                  name={this.state.page.name}/>;
  };
```

Trees

- Trees are inductive types with a constructor that has 2+ recursive arguments
- These come up all the time...
 - no constructors with recursive arguments
 - constructor with 1 recursive arguments
 - constructor with 2+ recursive arguments
- = "generalized enums"
- = "generalized lists"
- = "generalized trees"
- Some prominent examples of trees:
 - HTML: used to describe UI
 - JSON: used to describe just about any data

```
type T := A

| B

| C(x : \mathbb{Z})

| D(x : \mathbb{S}^*, t : T)

| E(s : T, t : T)
```

• To prove P(t) for all t:T:

- prove P(A)
 prove P(B)
 prove P(C(x))
 prove P(D(x, t))
 prove P(E(s, t))
- (this is proof by cases)

• To prove P(t) for all t : T:

```
prove P(A)
prove P(B)
prove P(C(x))
prove P(D(x, t)) assuming P(t)
prove P(E(s, t)) assuming P(s) and P(t)
```

– this is structural induction!

type Tree := empty | $node(x : \mathbb{Z}, L : Tree, R : Tree)$

Inductive definition of binary trees of integers

node(1, node(2, empty, empty), node(3, empty, node(4, empty, empty))))



```
type Tree := empty | node(x: Z, L: Tree, R: Tree)
```

```
num-nodes : Tree \rightarrow \mathbb{N}
num-nodes(empty) := 0
num-nodes(node(x, L, R)) := 1 + num-nodes(L) + num-nodes(R)
```

• How many nodes are in the tree?

type Tree := empty | node(x: **Z**, L: Tree, R: Tree)

 $\begin{array}{ll} num\text{-edges}: \text{Tree} \rightarrow \mathbb{N} \\ num\text{-edges(empty)} & := -1 \\ num\text{-edges(node(x, L, R))} & := 2 + num\text{-edges(L)} + num\text{-edges(R)} \end{array}$

- How many edges are in the tree?
 - "edge" is a move from one node to another

Edges in Tree

num-edges : Tree $\rightarrow \mathbb{N}$ num-edges(empty) := -1 num-edges(node(x, L, R)) := 2 -

num-edges(node(x, L, R)) := 2 + num-edges(L) + num-edges(R)

• Why a "-1" here?

num-edges(node(x, L, empty))
= 2 + num-edges(L) + num-edges(empty)
= 2 + num-edges(L) + -1
= 1 + num-edges(L)

num-edges(node(x, empty, empty)) = 2 + num-edges(empty) + num-edges(empty)= 2 + -1 + -1= 0





Prove P(T) **holds for** <u>any</u> tree T by structural induction **Base Case: prove** P(empty)

num-nodes(empty)

= 0

def of num-nodes

Prove P(T) holds for <u>any</u> tree T by structural induction **Base Case: prove** P(empty)

```
num-nodes(empty)
= 0 def of num-nodes
...
= num-edges(empty) + 1 ??
```

Prove P(T) holds for <u>any</u> tree T by structural induction **Base Case: prove** P(empty)

```
num-nodes(empty)def of num-nodes= 0def of num-nodes= -1 + 1def of num-edges= num-edges(empty) + 1def of num-edges
```

```
Inductive Hypothesis: assume P(L) and P(R)
```

- assume P for both subtrees

Inductive Step: prove P(node(x, L, R))

use known facts and definitions and <u>Inductive Hypotheses</u>

def of num-nodes

Ind. Hyp.

```
Inductive Step: prove P(node(x, L, R))
```

num-nodes(node(x, L, R))

= 1 + num - nodes(L) + num - nodes(R)

= 1 + num-edges(L) + 1 + num-nodes(R)

= 1 + num-edges(L) + 1 + num-edges(R) + 1 Ind. Hyp.

num-nodes(node(x, L, R)) := 1 + num-nodes(L) + num-nodes(R)num-edges(node(x, L, R)) := 2 + num-edges(L) + num-edges(R)

```
Inductive Step: prove P(node(x, L, R))
```

```
num-nodes(node(x, L, R))def of num-nodes= 1 + num-nodes(L) + num-nodes(R)def of num-nodes= 1 + num-edges(L) + 1 + num-nodes(R)Ind. Hyp.= 1 + num-edges(L) + 1 + num-edges(R) + 1Ind. Hyp.= ...= num-edges(node(x, L, R)) + 1??
```

num-nodes(node(x, L, R)) := 1 + num-nodes(L) + num-nodes(R)num-edges(node(x, L, R)) := 2 + num-edges(L) + num-edges(R)

```
Inductive Step: prove P(node(x, L, R))
```

```
num-nodes(node(x, L, R))def of num-nodes= 1 + num-nodes(L) + num-nodes(R)def of num-nodes= 1 + num-edges(L) + 1 + num-nodes(R)Ind. Hyp.= 1 + num-edges(L) + 1 + num-edges(R) + 1Ind. Hyp.= 2 + num-edges(L) + num-edges(R) + 1Ind. Hyp.= num-edges(node(x, L, R)) + 1def of num-edges
```

num-nodes(node(x, L, R)) := 1 + num-nodes(L) + num-nodes(R)num-edges(node(x, L, R)) := 2 + num-edges(L) + num-edges(R)

- Some commonly used ADTs
 - stack: add & remove from one end
 - queue: add to one end, remove from other
 - set: add, remove, & check if contained in list
 - map: add, remove, & get value for (key, value) pair
- All of these are specified by lists
 - maps are "association lists" (lists of pairs)

- A list of pairs List<(K,V)> is an "association list"
 - can be used to describe a map from keys to values
 - set the value associated with a key:

set-value : (K, V, List $\langle (K, V) \rangle$) \rightarrow List $\langle (K, V) \rangle$ set-value(x, v, L) := (x, v) :: L

- first pair with that key has the current value
- could choose to remove any later pairs with this key saves memory and makes debugging harder (hooray!)

- A list of pairs List<(K,V)> is an "association list"
 - can be used to describe a map from keys to values
 - retrieve the (first) value associated with a key:

 $\begin{array}{ll} get-value:(K, List<(K, V)>) \rightarrow V\\ get-value(x, nil) & := undefined\\ get-value(x, (y, v) :: L) & := v & \quad \mbox{if } x = y\\ get-value(x, (y, v) :: L) & := get-value(x, L) & \quad \mbox{if } x \neq y\\ \mbox{contains-key}:(K, List<(K, V)>) \rightarrow \mathbb{B}\\ \mbox{contains-key}(x, nil) & := false\\ \mbox{contains-key}(x, (y, v) :: L) := true & \quad \mbox{if } x = y\\ \mbox{contains-key}(x, (y, v) :: L) := contains-key(x, L) & \quad \mbox{if } x \neq y\\ \end{array}$

Notice anything about these functions?

• Can see that get/set work as expected:

```
– get the value just set (v):
```

```
get-value(x, set-value(x, v, L))
= get-value(x, (x, v) :: L)
= v
```

def of set-value def of get-value

- get the value of a key not just set $(x \neq y)$:

get-value(y, set-value(x, v, L))def of set-value= get-value(y, (x, v) :: L)def of set-value= get-value(y, L)def of get-value (since $x \neq y$)

 $get-value(x, (y, v) :: L) := v \qquad if x = y$ $get-value(x, (y, v) :: L) := get-value(x, L) if x \neq y$

set-value(x, v, L) := (x, v) :: L

• An "association list" also called a "map"

```
// List of (key, value) pairs
interface Map<K, V> {
    // @returns contains-key(x, obj)
    containsKey(x: K): boolean;
    // @requires containsKey(x, obj)
    // @returns get-value(x, obj)
    getValue(x: K): V;
producer // @returns set-value(x, v, obj)
    setValue(x: K, v: V): Map<K, V>;
}
```

• An "association list" also called a "map"

```
// List of (key, value) pairs
           interface Map<K, V> {
             // @returns contains-key(x, obj)
observer
             containsKey(x: K): boolean;
             // @requires containsKey(x, obj)
             // @returns get-value(x, obj)
observer
             qetValue(x: K): V;
             // @modifies obj
             // @effects obj = set-value(x, v, obj)
mutator
             setValue(x: K, v: V): void;
           }
                                        This version saves some memory and ...
                                        makes debugging harder and...
                                        Introduces possible aliasing bugs!
```

- Some commonly used ADTs
 - stack: add & remove from one end
 - queue: add to one end, remove from other
 - set: add, remove, & check if contained in list
 - map: add, remove, & get value for (key, value) pair
- All of these are specified by lists
 - maps are "association lists" (lists of pairs)
- Set and Map can be implemented with trees

- stores a value "v" as well as a key "x"
- BSTs add an extra rep invariant to every node

contains-key(y, L) \rightarrow (y < x) contains-key(z, R) \rightarrow (x < z)



• Get the value associated with a key in the tree:

get-value : $(\mathbb{Z}, BST) \rightarrow \mathbb{Z}$

get-value(x, empty)

get-value(x, node(y, w, L, R))

get-value(x, node(y, w, L, R)) := get-value(x, R) if y < x

:= undefined

- if x = y:= w
- get-value(x, node(y, w, L, R)) := get-value(x, L) if x < y

• Set a (key, value) in the tree:

 $\begin{array}{ll} \text{set-value}:(\mathbb{Z},\mathbb{Z},\text{BST}) \rightarrow \text{BST} \\ \text{set-value}(x,v,\text{empty}) & := \text{node}(x,v,\text{empty},\text{empty}) \\ \text{set-value}(x,v,\text{node}(y,w,L,R)) & := \text{node}(x,v,L,R) & \quad \text{if } x = y \\ \text{set-value}(x,v,\text{node}(y,w,L,R)) & := \text{node}(y,w,\text{set-value}(x,v,L),R) & \quad \text{if } x < y \\ \text{set-value}(x,v,\text{node}(y,w,L,R)) & := \text{node}(y,w,L,\text{set-value}(x,v,R)) & \quad \text{if } y < x \end{array}$

- add a new node if the key is not present
- replace the value if the key is present

• Set a (key, value) in the tree:

 $\begin{array}{ll} \text{set-value}:(\mathbb{Z},\mathbb{Z},\text{BST}) \rightarrow \text{BST} \\ \text{set-value}(x,v,\text{empty}) & := \text{node}(x,v,\text{empty},\text{empty}) \\ \text{set-value}(x,v,\text{node}(y,w,L,R)) & := \text{node}(x,v,L,R) & \quad \text{if } x = y \\ \text{set-value}(x,v,\text{node}(y,w,L,R)) & := \text{node}(y,w,\text{set-value}(x,v,L),R) & \quad \text{if } x < y \\ \text{set-value}(x,v,\text{node}(y,w,L,R)) & := \text{node}(y,w,L,\text{set-value}(x,v,R)) & \quad \text{if } y < x \end{array}$

- note that this does not mutate the existing tree
- the old tree is still around and unchanged

set-value(5, 7, node(6, a, L_1, R_1)) = node(6, a, set-value(5, 7, node(3, b, L_2, R_2)), R_1) **def of** set-value (5 < 6) = node(6, a, node(3, b, L_2 , set-value(5, 7, node(5, 2, empty, empty))), R_1) ... (5 > 3) = node(6, a, node(3, b, L_2 , node(5, 7, empty, empty))), R_1) **def of** set-value

- only copies the path to 5 in the tree

only O(log n) extra memory for a balanced tree



- Use reasoning to make sure this works...
 - easier to reason than to debug and then reason
 - get the value just set (v):

get-value(x, set-value(x, v, T)) = v ??

- get the value of a key not just set $(x \neq y)$:

get-value(y, set-value(x, v, T)) = get-value(y, T) ??
Binary Search Trees (BSTs)

- Use reasoning to make sure this works...
 - easier to reason than to debug and then reason
 - get the value just set (v):

get-value(x, set-value(x, v, T)) = v

– how do we prove this for all T : BST? last time, it was just a calculation Let P(T) be the claim "get-value(x, set-value(x, v, T)) = v"

Prove P(T) holds for <u>any</u> BST T by structural induction

Base Case: prove P(empty)

get-value(x, set-value(x, v, empty))
= get-value(x, node(x, v, empty, empty))
def of set-value
= v
def of get-value

set-value(x, v, empty):= node(x, v, empty, empty)set-value(x, v, node(y, w, L, R)):= node(x, v, L, R)set-value(x, v, node(y, w, L, R)):= node(y, w, set-value(x, v, L), R)set-value(x, v, node(y, w, L, R)):= node(y, w, set-value(x, v, R))set-value(x, v, node(y, w, L, R)):= node(y, w, L, set-value(x, v, R))if x < yif x < yget-value(x, node(y, w, L, R)):= get-value(x, L)if y < xget-value(x, node(y, w, L, R))set-value(x, v, node(y, w, L, R)):= get-value(x, R)

Inductive Hypothesis: assume P(L) and P(R)

assume P for <u>both</u> subtrees

Inductive Step: prove P(node(y, w, L, R))

use known facts and definitions and <u>Inductive Hypotheses</u>

Inductive Step: prove P(node(y, w, L, R))

get-value(x, set-value(x, v, node(y, w, L, R)))
= ??

Don't know which rule of definition applies! Need to continue by cases.

set-value(x, v, empty):= node(x, v, empty, empty)set-value(x, v, node(y, w, L, R)):= node(x, v, L, R)set-value(x, v, node(y, w, L, R)):= node(y, w, set-value(x, v, L), R)set-value(x, v, node(y, w, L, R)):= node(y, w, set-value(x, v, R))set-value(x, v, node(y, w, L, R)):= node(y, w, L, set-value(x, v, R))if x < yget-value(x, node(y, w, L, R))set-value(x, v, node(y, w, L, R)):= get-value(x, L)if y < xget-value(x, node(y, w, L, R))

Inductive Step: prove P(node(y, w, L, R))

Suppose that x = y.

get-value(x, set-value(x, v, node(y, w, L, R)))
= get-value(x, node(x, v, L, R))
= v
def of set-value (since x=y)
def of get-value

set-value(x, v, empty):= node(x, v, empty, empty)set-value(x, v, node(y, w, L, R)):= node(x, v, L, R)set-value(x, v, node(y, w, L, R)):= node(y, w, set-value(x, v, L), R)set-value(x, v, node(y, w, L, R)):= node(y, w, set-value(x, v, L), R)set-value(x, v, node(y, w, L, R)):= node(y, w, L, set-value(x, v, R))if x < yget-value(x, node(y, w, L, R))set-value(x, v, node(y, w, L, R)):= get-value(x, L)if y < xget-value(x, node(y, w, L, R))

Inductive Step: prove P(node(y, w, L, R))

Suppose that x < y.

 $\begin{array}{ll} get-value(x, set-value(x, v, node(y, w, L, R))) \\ = get-value(x, node(y, w, set-value(x, v, L), R)) & \mbox{def of set-value (since } x < y) \\ = get-value(x, set-value(x, v, L)) & \mbox{def of get-value (since } x < y) \\ = v & \mbox{Ind. Hyp.} \end{array}$

Suppose that x > y. ... (Analogous)

set-value(x, v, empty):= node(x, v, empty, empty)set-value(x, v, node(y, w, L, R)):= node(x, v, L, R)set-value(x, v, node(y, w, L, R)):= node(y, w, set-value(x, v, L), R)set-value(x, v, node(y, w, L, R)):= node(y, w, set-value(x, v, L), R)set-value(x, v, node(y, w, L, R)):= node(y, w, L, set-value(x, v, R))if x < yget-value(x, node(y, w, L, R))set-value(x, v, node(y, w, L, R)):= get-value(x, L)if y < xget-value(x, node(y, w, L, R))