

CSE 331

Arrays

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Recall: Turning Recursion Into a Loop

- Saw templates for structural recursion on
 - natural numberslistsharder
- Special case for tail recursion on

 lists
 straightforward

Processing Lists with Loops

- Hard to process lists with loops
 - only have easy access to the last element added natural processing would start from the other end
 - must reverse the list to work "bottom up"

that requires an additional O(n) space

- There is an easier way to fix this...
 - switch data structures
 - use one that lets us access either end easily

"Lists are the original data structure for functional programming, just as arrays are the original data structure of imperative programming"



Ravi Sethi

- Easily access both A[0] and A[n-1], where n = A.length
 - bottom-up loops are now easy
- "With great power, comes great responsibility"
 - the Peter Parker Principle
- Whenever we write "A[j]", we must check $0 \le j < n$
 - new bug just dropped!

with list, we only need to worry about nil and non-nil once we know L is non-nil, we know L.hd exists

TypeScript will not help us with this!
 type checker does catch "could be nil" bugs, but not this

Description	Testing	Tools	Reasoning
small # of inputs	exhaustive		
straight from spec	heuristics	type checking	code reviews
no mutation	u	libraries	calculation induction
local variable mutation	и	u	Floyd logic
array mutation	и	u	for-any facts
heap state mutation	u	u	?

- Easily access both A[0] and A[n-1], where n = A.length
 - bottom-up loops are now easy
- "With great power, comes great responsibility"
 - the Peter Parker Principle
- Will need new tools for reasoning about arrays
 - will start with new math for describing them

• Write array values in math like this:

 $A := [1, 2, 3] \qquad (with A : Array_{\mathbb{Z}})$

– the empty array is "[]"

• Array literal syntax is the same in TypeScript:

const A: Array<bigint> = [1n, 2n, 3n];
const B: bigint[] = [4n, 5n];

- can write $Array_{\mathbb{Z}}$ as "Array

bigint>" or "bigint[]"

- Define the operation "#" as array concatenation
 - makes clear the arguments are arrays, not numbers
- The following properties hold for any arrays A, B, C

A + [] = A = [] + A ("identity")

 $A + (B + C) = (A + B) + C \qquad ("associativity")$

- we will use these facts without explanation in calculations
- second line says parentheses don't matter, so
 we will write A # B # C and not say where the (..) go

- Same properties hold for lists
 - $[] # A = A \qquad concat(nil, L) = L$
 - A + [] = A concat(L, nil) = L
 - $A + (B + C) = (A + B) + C \qquad concat(A, concat(B, C))$
 - = concat(concat(A, B), C)
 - we required explanation of these facts for lists
 - but we will <u>not</u> require explanation of these facts for arrays (trying to reason more quickly, now that we have more practice)

Can still define functions recursively

func sum([]) := 0sum(A # [y]) := sum(A) + y for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

- could write patterns with "[y] + A" instead

- Often useful to talk about part of an array (subarray)
 - define the following notation

A[i ... j] = [A[i], A[i+1], ..., A[j]]

– note that this includes A[j]

(some functions exclude the right end; we will include it)

Subarrays

A[i ... j] = [A[i], A[i+1], ..., A[j]]

Define this formally as follows

 $\begin{array}{ll} \mbox{func } A[i\,..\,j] & := [] & \mbox{if } j < i \\ A[i\,..\,j] & := A[i\,..\,j{-}1] + [A[j]] & \mbox{if } i \leq j \end{array}$

- second case needs $0 \le j < n$ for this to make sense A[i .. j] is undefined if $i \le j$ and $(i < 0 \text{ or } n \le j)$
- note that A[0 ... -1] = [] since -1 < 0

"Isn't -1 an array out of bounds error?" In code, yes — In math, no (the definition says this is an empty array) func A[i .. j]:= []if j < iA[i .. j]:= A[i .. j-1] # [A[j]]if $0 \le i \le j < A.length$ A[i .. j]:= undefinedif $i \le j$ and $(i < 0 \text{ or } A.length \le j)$

• Some useful facts

 $A = A[0 .. n-1] \qquad (= [A[0], A[1], ..., A[n-1]])$ where n = A.length

– the subarray from 0 to n-1 is the entire array

A[i .. j] = A[i .. k] + A[k+1 .. j]

- holds for any $i, j, k : \mathbb{N}$ satisfying $i 1 \le k \le j$ (and $0 \le i \le j < n$)
- we will use these without explanation

• Translating math to TypeScript

Math	TypeScript
A # B	A.concat(B)
A[i j]	A.slice(i, j+1)

- JavaScript's A.slice(i, j) does not include A[j], so we need to increase j by one
- Note: array out of bounds does not throw Error
 - returns undefined
 (hope you like debugging!)

- "With great power, comes great responsibility"
- Since we can easily access any A[j], may need to keep track of facts about it
 - may need facts about every element in the array applies to preconditions, postconditions, and intermediate assertions
- We can write facts about several elements at once:

– this says that elements at indexes 2 .. 10 are non-negative

 $0 \le A[j]$ for any $2 \le j \le 10$

- shorthand for 9 facts ($0 \le A[2], ..., 0 \le A[10]$)

Finding an Element in an Array

• Can search for an element in an array as follows

func contains([], x):= Ffor any ...contains(A # [y], x):= Tif x = yfor any ...contains(A # [y], x):= contains(A, x)if $x \neq y$ for any ...

- Searches through the array in linear time
 - did the same on lists
- Can search more quickly if the list is sorted
 - precondition is $A[0] \le A[1] \le ... \le A[n-1]$ (informal)
 - write this formally as

 $A[j] \le A[j+1] \text{ for any } 0 \le j \le n-2$

Loops with Arrays

 $\begin{aligned} & \textbf{func sum}([]) & := 0 \\ & sum(A \# [y]) := sum(A) + y & \textbf{for any } y : \mathbb{Z} \textbf{ and } A : Array_{\mathbb{Z}} \end{aligned}$

- Could translate this directly into a recursive function
 - that would be straight from the spec
- Do this instead with a loop. Loop idea...
 - use the "bottom up" approach
 - start from [] and work up to all of A
 - at any point, we have sum(A[0 .. j-1]) for some index j
 I will add one extra fact we also need

func sum([]) := 0sum(A + [y]) := sum(A) + y for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

```
let j: bigint = 0n;
let s: bigint = On;
{{ Inv: s = sum(A[0 .. j - 1]) and 0 \le j \le A.length }}
while (j < A.length) {</pre>
  s = s + A[j];
                                   could write "j !== A.length"
  j = j + 1n;
                                   but this is normal
}
\{\{s = sum(A)\}\}
return s;
```

func sum([]) := 0sum(A + [y]) := sum(A) + y for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

```
let j: bigint = 0n;
let s: bigint = 0n;
{{j=0 and s = 0}}
   {{ Inv: s = sum(A[0 .. j - 1]) and 0 \le j \le A.length }}
   while (j < A.length) {</pre>
      s = s + A[j];
      j = j + 1n;
   }
   \{\{s = sum(A)\}\}
   return s;
```

func sum([]) := 0 sum(A # [y]) := sum(A) + y

for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

```
let j: bigint = 0n;
let s: bigint = 0n;
{{j=0 and s = 0}}
   {{ Inv: s = sum(A[0 .. j - 1]) and 0 \le j \le A.length }}
   while (j < A.length) {</pre>
                                         s = 0
      s = s + A[j];
                                                                    def of sum
                                           = sum([])
     j = j + 1n;
                                           = sum(A[0...-1])
   }
                                            = sum(A[0 ... j - 1]) since j = 0
   \{\{s = sum(A)\}\}
   return s;
                                          i = 0
                                            \leq A.length
```

func sum([]) := 0sum(A + [y]) := sum(A) + y for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

```
let j: bigint = 0n;
let s: bigint = On;
{{ Inv: s = sum(A[0 .. j - 1]) and 0 \le j \le A.length }}
while (j < A.length) {</pre>
  s = s + A[j];
  j = j + 1n;
}
\{\{s = sum(A[0 .. j - 1]) and j = A.length \}\}
\{\{s = sum(A)\}\}
return s;
```

func sum([]) := 0sum(A + [y]) := sum(A) + y for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

```
let j: bigint = 0n;
let s: bigint = On;
{{ Inv: s = sum(A[0 .. j - 1]) and 0 \le j \le A.length }}
while (j < A.length) {</pre>
   s = s + A[j];
   j = j + 1n;
}
\{\{s = sum(A[0 .. j - 1]) \text{ and } j = A.length \}\}  s = sum(A[0 .. j - 1])
= sum(A[0 .. A.length - 1])
                                                   = sum(A)
return s;
```

func sum([]) := 0sum(A # [y]) := sum(A) + y for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

```
let j: bigint = 0n;
let s: bigint = On;
{{ Inv: s = sum(A[0 .. j - 1]) and 0 \le j \le A.length }}
while (j < A.length) {</pre>
  {{ s = sum(A[0 .. j - 1]) and 0 \le j < A.length }}
  s = s + A[j];
  j = j + 1n;
  {{ s = sum(A[0 .. j - 1]) and 0 \le j \le A.length }}
}
\{\{s = sum(A)\}\}
return s:
```

func sum([]) := 0sum(A + [y]) := sum(A) + y for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

```
while (j < A.length) {
  {{ s = sum(A[0.j-1]) and 0 \le j < A.length }
s = s + A[j];
  \{\{ s - A[j] = sum(A[0 .. j - 1]) \text{ and } 0 \le j < A.length \}\}
   j = j + 1n;
  {{ s = sum(A[0 .. j - 1]) and 0 \le j \le A.length }}
}
```

func sum([]) := 0sum(A + [y]) := sum(A) + y for any $y : \mathbb{Z}$ and $A : Array_{\mathbb{Z}}$

```
while (j < A.length) {
      {{ s = sum(A[0 .. j - 1]) and 0 \le j < A.length }}
      s = s + A[j];
     \{\{ s - A[j] = sum(A[0 .. j - 1]) \text{ and } 0 \le j < A.length \}\}
j = j + 1n;
\{\{s - A[j - 1] = sum(A[0 ... j - 2]) \text{ and } 0 \le j - 1 < A.\text{length }\}\}
     \{\{s = sum(A[0 .. j - 1]) \text{ and } 0 \le j \le A.length \}\}
   }
```

 $\begin{aligned} & \textbf{func sum}([]) & := 0 \\ & sum(A \# [y]) := sum(A) + y & for any y : \mathbb{Z} and A : Array_{\mathbb{Z}} \end{aligned}$

• Loop implementation:

- There is a fundamental tension between:
 - Natural recursive order (bottom-up, aka back-to-front)
 - Natural loop order (front-to-back)
- Three ways to bridge this gap:
 - Make the loop serve the recursion
 Bottom-up list loop template calling rev(L)
 - Make the recursion serve the loop
 Tail recursion
 - Change the data structure Arrays

Recursion versus Loops

- Three ways to bridge this gap:
 - Make the loop serve the recursion

func sum(nil) := 0
sum(cons(x, L)) := x + sum(L) for any x : \mathbb{Z} and L : List

Make the recursion serve the loop

func sum-acc(nil, s) := s
sum-acc(cons(x, L), s) := sum-acc(L, x + s) for any x : Z and L : List

Change the data structure

func sum([]) := 0 sum(A # [y]) := sum(A) + y

for any $y:\mathbb{Z}$ and $A:Array_{\mathbb{Z}}$

func contains([], x) := F contains(A + [y], x) := T if x = y $contains(A + [y], x) := contains(A, x) if x \neq y$

- Could translate this directly into a recursive function
 - that would be straight from the spec
- Do this instead with a loop. Loop idea...
 - use the "bottom up" template
 - start from [] and work up to all of A
 - but we can stop immediately if we find x contains returns true in that case
 - otherwise, we have contains(A[0 ... j-1], x) = F for some j

 $\begin{aligned} & \textbf{func contains}([], x) & := F \\ & \text{contains}(A \# [y], x) & := T & \text{if } x = y \\ & \text{contains}(A \# [y], x) & := \text{contains}(A, x) & \text{if } x \neq y \end{aligned}$

```
let j: bigint = 0n;
{{ Inv: contains(A[0..j-1], x) = F and 0 ≤ j ≤ A.length }}
while (j < A.length) {
    if (A[j] === x)
        {{ contains(A, x) = T }}
        return true;
        j = j + 1n;
}
{{ contains(A, x) = F }}
return false;
```

```
\begin{aligned} & \textbf{func contains}([], x) & := F \\ & \text{contains}(A \# [y], x) & := T & \text{if } x = y \\ & \text{contains}(A \# [y], x) & := \text{contains}(A, x) & \text{if } x \neq y \end{aligned}
```

```
let j: bigint = 0n;
{{j=0}}
{{ Inv: contains(A[0..j-1], x) = F and 0 ≤ j ≤ A.length }}
while (j < A.length) {
    if (A[j] === x)
        return true;
        j = j + 1n;
    }
return false;</pre>
```

func contains([], x) := F contains(A # [y], x) := T if x = y $contains(A \# [y], x) := contains(A, x) if x \neq y$

• Loop implementation:

```
let j: bigint = 0n;
{{j = 0}}
{{ Inv: contains(A[0..j-1], x) = F and 0 ≤ j ≤ A.length }}
while (j < A.length) {
    if (A[j] === x)
        return true; contains(A[0..j-1], x)
        j = j + 1n; = contains(A[0..-1], x) since j = 0
        = contains([], x)
        = F def of contains
```

 $0 \le 0 = j$ and $j = 0 \le A.length$

 $\begin{aligned} & \textbf{func contains}([], x) & := F \\ & \text{contains}(A \# [y], x) & := T & \text{if } x = y \\ & \text{contains}(A \# [y], x) & := \text{contains}(A, x) & \text{if } x \neq y \end{aligned}$

```
let j: bigint = 0n;
{{ Inv: contains(A[0..j-1], x) = F and 0 ≤ j ≤ A.length }}
while (j < A.length) {
    if (A[j] === x)
        return true;
        j = j + 1n;
    }
{{ contains(A[0..j-1], x) = F and j = A.length }}
{{ contains(A, x) = F }}
return false;
```

 $\begin{aligned} & \textbf{func contains}([], x) & := F \\ & \text{contains}(A \# [y], x) & := T & \text{if } x = y \\ & \text{contains}(A \# [y], x) & := \text{contains}(A, x) & \text{if } x \neq y \end{aligned}$

```
let j: bigint = 0n;
{{ Inv: contains(A[0..j-1], x) = F and 0 ≤ j ≤ A.length }}
while (j < A.length) {
    if (A[j] === x)
        return true;
        j = j + 1n; = contains(A[0..j-1], x)
        = contains(A[0..A.length - 1], x) since j = ...
        = contains(A, x)
}
{{ contains(A[0..j-1], x) = F and j = A.length }}
{{ contains(A, x) = F }}
return false;
```
```
while (j < A.length) {
    {{ contains(A[0..j-1], x) = F and 0 ≤ j < A.length }}
    if (A[j] === x)
        {{ contains(A, x) = T }}
        return true;
        j = j + 1n;
        {{ contains(A[0..j-1], x) = F and 0 ≤ j ≤ A.length }}
}
return false;</pre>
```

```
{{ contains(A[0..j-1], x) = F and 0 ≤ j < A.length }}
if (A[j] === x) {
    {{ contains(A, x) = T }}
    return true;
    else {
        j = j + 1n;
        {{ contains(A[0..j-1], x) = F and 0 ≤ j ≤ A.length }}
</pre>
```

```
{{ contains(A[0..j-1], x) = F and 0 ≤ j < A.length }}
if (A[j] === x) {
    {{ contains(A[0..j-1], x) = F and 0 ≤ j < A.length and A[j] = x }}
    {{ contains(A, x) = T }}
    return true;
    } else {
    ...</pre>
```

• Loop implementation:

$$\{\{ \text{ contains}(A[0 .. j-1], x) = F \text{ and } 0 \le j < A.\text{length } \} \}$$

$$if (A[j] === x) \{$$

$$\rightarrow \{\{ \text{ contains}(A[0 .. j-1], x) = F \text{ and } 0 \le j < A.\text{length and } A[j] = x \} \}$$

$$\{\{ \text{ contains}(A, x) = T \} \}$$

$$return true;$$

$$\} else \{$$

$$contains(A[0 .. j], x)$$

$$= contains(A[0 .. j-1] # [A[j]], x)$$

$$= T$$

$$since A[j] = x$$

Can now prove by **induction** that contains(A, x) = T

```
{{ contains(A[0..j-1], x) = F and j < A.length }}
if (A[j] === x) {
    return true;
    } else {
        {{ contains(A[0..j-1], x) = F and 0 ≤ j < A.length and A[j] ≠ x }}
        {{ contains(A[0..j], x) = F and 0 ≤ j+1 ≤ A.length }}
        {{ contains(A[0..j], x) = F and 0 ≤ j+1 ≤ A.length }}
        j = j + 1;
        {{ contains(A[0..j], x) = F and 0 ≤ j ≤ A.length }}
</pre>
```

```
{{ contains(A[0 .. j-1], x) = F and j < A.length }}
if (A[j] === x) {
  return true;
} else {
  {{ contains(A[0 .. j-1], x) = F and 0 ≤ j < A.length and A[j] ≠ x }}
  {{ contains(A[0 .. j], x) = F and 0 ≤ j+1 ≤ A.length }}
}</pre>
```

```
{{ contains(A[0..j-1], x) = F and j < A.length }}
if (A[j] === x) {
  return true;
} else {
  {{ contains(A[0..j-1], x) = F and 0 \le j < A.length and A[j] \ne x }}
  {{ contains(A[0..j], x) = F and <math>0 \le j+1 \le A.length }}
}
F = contains(A[0..j-1], x)
  = contains(A[0..j-1] # [A[j]], x) def of contains (since A[j] \ne x)
  = contains(A[0..j], x)
```

Loop Invariants with Arrays

Saw two more examples previously

{{ Inv:
$$s = sum(A[0 .. j - 1]) ... }}$$
 sum of array
{{ Post: $s = sum(A[0 .. n - 1]) }}$

- {{ Inv: contains(A[0 .. j 1], x) = F ... }} search an array {{ Post: contains(A[0 .. n - 1], x) = F }}
- in both cases, Post is a special case of Inv (where j = n)
- in other words, Inv is a weakening of Post
- Heuristic for loop invariants: weaken the postcondition
 - assertion that allows postcondition as a special case
 - must also allow states that are easy to prepare

Heuristic for Loop Invariants

- Loop Invariant allows both start and stop states
 - describing more states = weakening

```
{{ P }}
{{ Inv: I }}
while (cond) {
    S
}
{{ Q }}
```



- usually are many ways to weaken it...

- Suppose we require A to be sorted:
 - precondition includes

 $A[j-1] \le A[j] \text{ for any } 1 \le j < n \qquad \text{ (where } n := A.length)$

- Want to find the index \boldsymbol{k} where " \boldsymbol{x} " would be...



picture would look like this:



- Can use pictures to write array facts concisely
 one thing that whiteboard in your office is good for
- Example above encodes several facts:
 - $A[j] < x \text{ for any } 0 \le j < k$
 - $x \le A[j] \text{ for any } k \le j < n$
 - $0 \le k \le n$



- End with complete knowledge of A[j] vs x
 - how can we describe *partial* knowledge?
- Recall: loop for contains
 - postcondition says to return contains(A, x)
 - but we exit loop knowing contains(A, x) = F



- End with complete knowledge of A[j] vs x
 - how can we describe *partial* knowledge?
 - we will focus on the elements that are smaller than \boldsymbol{x}





- End with complete knowledge of A[j] vs x
 - how can we describe *partial* knowledge?



• Loop idea... increase k until we hit $x \le A[k]$

// @returns true if A[j] = x for some 0 <= j < n
// false if A[j] != x for any 0 <= j < n</pre>

```
let k: bigint = 0n;
{{ Inv: A[j] < x for any 0 ≤ j < k and 0 ≤ k ≤ n }}
while (k < A.length && A[k] <= x) {
    if (A[k] === x) {
       return true;
    } else {
       k = k + 1n;
    }
}
return false;
```

k

```
let k: bigint = On;
\{\{k = 0\}\}
{{ Inv: A[j] < x for any 0 \le j < k and 0 \le k \le n }}
while (k < A.length \&\& A[k] <= x) {
   if (A[k] === x) {
     return true;
                                   What is the claim when k = 0?
   } else {
                                      A[j] < x for any 0 \le j < 0
     k = k + 1n;
   }
                                   What values of j satisfy 0 \le j < 0?
}
                                    None. Nothing is claimed.
return false;
                             Statement is (vacuously) true when k = 0
                    n
     k
                             With "for any" facts, we need to think about
                             exactly what facts are being claimed.
```

```
let k: bigint = On;
{{ Inv: A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k \le n }}
while (k < A.length \&\& A[k] \le x) {
   if (A[k] === x) {
      return true;
   } else {
   k = k + 1n;
   }
{{ A[j] < x \text{ for any } 0 \le j < k \text{ and } (k = n \text{ or } A[k] > x) }}
\{\{A[j] \neq x \text{ for any } 0 \le j < n\}\}
return false;
```

Top assertion has an "or", so we argue by cases.

```
while (k < A.length && A[k] <= x) {
    if (A[k] === x) {
        return true;
    } else {
        k = k + 1n;
    }
    {
        {(A[j] < x for any 0 ≤ j < k and (k = n or A[k] > x)}}
    {{(A[j] ≠ x for any 0 ≤ j < n}}
    return false;</pre>
```

Case k = n (= A.length):

```
Know that A[j] < x for any 0 \le j < n (since k = n)
This means A[j] \ne x for any 0 \le j < n (since A[j] < x implies A[j] \ne x)
```

```
while (k < A.length \&\& A[k] <= x) {
               if (A[k] === x) {
                  return true;
               } else {
                  k = k + 1n;
               }
            {{ A[j] < x \text{ for any } 0 \le j < k \text{ and } (k = n \text{ or } A[k] > x) }}
            \{\{A[j] \neq x \text{ for any } 0 \le j < n \}\}
            return false;
Case x < A[k]:
                                                             0
                                                                             k
                                                                                             n
          Know that A[j] < x for any 0 \le j < k and x < A[k]
               Precondition (sorted) says A[k] \le A[k+1] \le ...
          Know that A[j] < x for any 0 \le j < k and x < A[j] for any k \le j < n
          This means A[j] \neq x for any 0 \le j < n
```

```
while (k < A.length && A[k] <= x) {
    if (A[k] === x) {
        return true;
    } else {
        k = k + 1n;
    }
    }
    {{(A[j] < x for any 0 ≤ j < k and (k = n or A[k] > x)}}
    {{(A[j] ≠ x for any 0 ≤ j < n}}
    return false;</pre>
```

Since one of the cases $\mathbf{k}=n$ and $\mathbf{x}< A[k]$ must hold, we have shown that

 $A[j] \neq x$ for any $0 \leq j < n$

holds in general.

```
let k: bigint = On;
\{\{ Inv: A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k \le n \} \}
while (k < A.length \&\& A[k] \le x) {
   \{\{A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k < n \text{ and } A[k] \le x\}\}
   if (A[k] === x) {
      return true;
   } else {
      k = k + 1n;
   }
   \{\{A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k \le n \}\}
}
return false;
```

0

k

n

Is the postcondition true?

Yes! It holds for j = k

```
{{ Inv: A[j] < x for any 0 \le j < k and 0 \le k \le n }}
            while (k < A.length \& A[k] \le x) {
                \{\{A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k < n \text{ and } A[k] \le x \}\}
                if (A[k] === x) {
                    return true;
                } else {
                    \{\{A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k < n \text{ and } A[k] < x \}\}
                   k = k + 1n;
                    \{\{A[j] < x \text{ for any } 0 \le j < k-1 \text{ and } 0 \le k-1 < n \text{ and } A[k-1] < x \}\}
                \{\{A[j] < x \text{ for any } 0 \le j < k-1 \text{ and } 0 \le k-1 < n \text{ and } A[k-1] < x \}\}
                \{\{A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k \le n \}\}
             }
            return false;
                                                                            k-1
Step 1: What facts need proof?
      Only A[k-1] < x
                                                                                                 n
                                                         0
                                                                                 k
```

```
{{ Inv: A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k \le n }}
            while (k < A.length \&\& A[k] <= x) {
                \{\{A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k < n \text{ and } A[k] \le x \}\}
                if (A[k] === x) {
                    return true;
                  else {
                    \{\{A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k < n \text{ and } A[k] < x \}\}
                    k = k + 1n;
                    \{\{A[j] < x \text{ for any } 0 \le j < k-1 \text{ and } 0 \le k-1 < n \text{ and } A[k-1] < x \}\}
                \{\{A[j] < x \text{ for any } 0 \le j < k-1 \text{ and } 0 \le k-1 < n \text{ and } A[k-1] < x \}\}
                \{\{A[j] < x \text{ for any } 0 \le j < k \text{ and } 0 \le k \le n \}\}
             }
            return false;
Step 1: What facts need proof?
                                                                    Step 2: prove the new fact(s)
      Only A[k-1] < x
                                                                          A[k-1] < x is known
```

Loops Invariants with Arrays

- Loop invariants often have lots of facts
 - recursion has fewer
- Much of the work is just keeping track of them
 - "dynamic programs" (421) are often like this
 - common to need to write these down

more likely to see line-by-line reasoning on hard problems



Implications btw "for any" facts are proven in two steps:

- **1.** Figure out what facts are <u>not</u> already known
- 2. Prove just those "new" facts

Another Example:

 $\{ \{ A[j] < x \text{ for any } 0 < j < k \} \} \text{ versus} \\ \{ \{ A[j] < x \text{ for any } 0 \le j < k \} \}$

- only need to prove A[0] < x



• Loop invariant is often a **weakening** of postcondition...

{{ Inv:
$$s = sum(A[0 .. j - 1]) ... }}$$
 sum of array
{{ Post: $s = sum(A[0 .. n - 1]) }}$

- {{ Inv: $contains(A[0 .. j 1], x) = F ... }}$ search an array {{ Post: $contains(A[0 .. n - 1], x) = F }}$
- but not always...
 - $\{\{ \text{Inv: } A[j] < x \text{ for any } 0 \le j < k \dots \} \}$ search a $\{\{ \text{Post: } A[j] \ne x \text{ for any } 0 \le j < n \} \}$ sorted array

- **1.** Write invariant that is a simple weakening of postcondition
 - problems of lower complexity
- 2. Write the code, given the idea & invariant
 - problems of moderate complexity
- 3. Check correctness, given code with invariant
 - problems of higher complexity
 - (not possible without invariant)

- **1.** Write invariant that is a simple weakening of postcondition
 - problems of lower complexity
 - typical examples:

{{ Inv:
$$s = sum(A[0 .. j - 1]) ... }} sum of array{{ Post: $s = sum(A[0 .. n - 1]) }}$$$

 $\{\{ Inv: contains(A[0 .. j - 1], x) = F ... \} \}$ search an array $\{\{ Post: contains(A[0 .. n - 1], x) = F \} \}$

- **1.** Write invariant that is a simple weakening of postcondition
 - problems of lower complexity
- 2. Write the code, given the idea & invariant
 - problems of moderate complexity
- 3. Check correctness, given code with invariant
 - problems of higher complexity
 - (not possible without invariant)

Searching a Sorted Array (Take Two)



- What is a faster way to search a sorted array?
 - use binary search!
 - invariant looks like this:



Searching a Sorted Array (Take Two)



- Would not expect you to invent binary search
 - but would expect you can code review an implementation

all code and the invariant are provided

- **1.** Write invariant that is a simple weakening of postcondition
 - problems of lower complexity
- 2. Write the code, given the idea & invariant
 - problems of moderate complexity
- 3. Check correctness, given code with invariant
 - problems of higher complexity
 - (not possible without invariant)

From Invariant to Code (Problem Type 2)

- Algorithm Idea formalized in
 - invariant
 - progress step (e.g., j = j + 1)

From invariant to code:

- 1. Write code before loop to make Inv hold initially
- 2. Write code inside loop to make Inv hold again
- 3. Choose exit so that "Inv and not cond" implies postcondition

Ρ

Ι

Q

Max of an Array (Problem Type 2)

- Calculate a number "m" that is the max in array A
- Algorithm Idea...
 - look through the loop from $k=0\ \mbox{up to}\ n-1$
 - keep track of the maximum of $A[0 \ .. \ k-1]$ in "m"
 - formalize that in an invariant...



Max of an Array (Problem Type 2)

- Calculate a number "m" that is the max in array \boldsymbol{A}
- Algorithm Idea...
 - look through the loop from $k=0\ \mbox{up to}\ n-1$
 - keep track of the maximum of $A[0 \ .. \ k-1]$ in "m"
 - m is the maximum of $A[0\hfill ...\hfill k-1],$ i.e.,

 $\begin{array}{ll} A[j] \leq m \text{ for any } 0 \leq j < k & m \text{ is at least } A[0], ..., A[k-1] \\ A[i] = m \text{ and } 0 \leq i < k & m \text{ is some } A[i] \text{ in this range} \end{array}$

- Invariant references "m", "k", and "i"
 - these will be variables in the code
```
{{ Pre: n := A.length > 0 }}
let k: bigint = ...
let i: bigint = ...
let m: bigint = ...
```

What's an easy way to make this hold? $\label{eq:k} k = 1 \text{ and } i = 0 \text{ and } m = A[i]$

```
{{ Inv: (A[j] ≤ m for any 0 ≤ j < k) and A[i] = m and 0 ≤ i < k ≤ n }}
while (_____) {
    ...
    k = k + ln;
}</pre>
```

{{ Post: $(A[j] \le m \text{ for any } 0 \le j < n) \text{ and } A[i] = m \text{ and } 0 \le j < n }}$ return m;



```
{{ Post: (A[j] \le m \text{ for any } 0 \le j < n) \text{ and } A[i] = m \text{ and } 0 \le i < n }} 
return m;
```

```
{{ Pre: n := A.length > 0 }}
let k: bigint = 1n;
let i: bigint = 0n;
let m: bigint = A[0];
```

```
{{ Inv: (A[j] ≤ m for any 0 ≤ j < k) and A[i] = m and 0 ≤ i < k ≤ n }}
while (k < n) {
    ...
    k = k + 1n;
}</pre>
```

{{ Post: $(A[j] \le m \text{ for any } 0 \le j < n) \text{ and } A[j] = m \text{ and } 0 \le i < n }}$ return m;

```
{{ Pre: n := A.length > 0 }}
let k: bigint = ln;
let i: bigint = 0n;
let m: bigint = A[i];
```

```
{{ Inv: (A[j] ≤ m for any 0 ≤ j < k) and A[i] = m and 0 ≤ i < k ≤ n }}
while (k < n) {
    {{(A[j] ≤ m for any 0 ≤ j < k) and A[i] = m and 0 ≤ i < k < n }}
    ...
    k = k + 1n;
    {{(A[j] ≤ m for any 0 ≤ j < k) and A[i] = m and 0 ≤ i < k ≤ n }}
}</pre>
```

{{ Post: $(A[j] \le m \text{ for any } 0 \le j < n) \text{ and } A[i] = m \text{ for some } 0 \le i < n }} return m;$

```
\{\{ Pre: n := A.length > 0 \}\}
let k: bigint = 1n;
let i: bigint = 0n;
let m: bigint = A[0];
{{ Inv: A[j] \le m for any 0 \le j < k and A[j] = m for some 0 \le j < k and 0 \le k \le n }}
while (k < n) {
   \{\{ (A[j] \le m \text{ for any } 0 \le j < k) \text{ and } A[i] = m \text{ and } 0 \le i < k < n \} \}
  \{\{ (A[j] \le m \text{ for any } 0 \le j < k+1) \text{ and } A[i] = m \text{ and } 0 \le i < k+1 \le n \}\}
   k = k + 1n:
   {{ (A[j] \leq m for any 0 \leq j < k) and A[i] = m and 0 \leq i < k \leq n }}
}
```

```
{{ Post: A[j] \le m for any 0 \le j < n and A[j] = m for some 0 \le j < n }} return m;
```

•••

 $\{\{ (A[j] \le m \text{ for any } 0 \le j < k) \text{ and } A[i] = m \text{ and } 0 \le i < k < n \} \}$

 $\{\{ (A[j] \le m \text{ for any } 0 \le j < k+1) \text{ and } A[i] = m \text{ and } 0 \le i < k+1 \le n \} \}$



Tricky because max(..) involves two sets of facts (the "for any" and the "A[i] = m")

 $\{\{ (A[j] \le m \text{ for any } 0 \le j < k) \text{ and } A[i] = m \text{ and } 0 \le i < k < n \} \}$

 $\{\{ (A[j] \le m \text{ for any } 0 \le j < k+1) \text{ and } A[i] = m \text{ and } 0 \le i < k+1 \le n \} \}$

Step 1: What facts are <u>new</u> in the bottom assertion?

 $\text{Just } A[k] \le m$

•••

What code do we write to ensure $A[k] \le m$?

```
while (k < n) {
    {{(A[j] ≤ m for any 0 ≤ j < k) and A[i] = m and 0 ≤ i < k < n }}
    if (A[k] <= m) {
        // we're good!
    } else {
        // uh oh! what now ??
    }
    {{((A[j] ≤ m for any 0 ≤ j < k+1) and A[i] = m and 0 ≤ i < k+1 ≤ n }}
    k = k + 1n;</pre>
```

Step 2: What do we do if A[k] > m does not hold?

}

We must change m so that $A[k] \le m$ holds again But we also need to A[i] = m (and $0 \le i < k+1$) to still hold How do we do that?

```
{{ Pre: n := A.length > 0 }}
let k: bigint = 1n;
let i: bigint = 0n;
let m: bigint = A[0];
```

```
{{ Inv: (A[j] ≤ m for any 0 ≤ j < k) and A[i] = m and 0 ≤ i < k ≤ n }}
while (k < n) {
    if (A[k] > m) {
        i = k;
        m = A[i];
    }
    k = k + 1n;
}
```

{{ Post: $(A[j] \le m \text{ for any } 0 \le j < n_ \text{ and } A[i] = m \text{ and } 0 \le i < n }}$ return m;

Servers & Routes

- Code so far has run inside the browser
 - webpack-dev-server handles HTTP requests
 - sends back our code to the browser
- Browser executes the code of index.tsx
 - calls root.render to produce the UI



- Can run code in the server as well
 - allows us to store data on the server instead
 - "node" executes the code of index.ts
- Start writing server-side code in HW7
 - will have code in **both** browser and server in HW8-9



HTTP Terminology

- HTTP request includes
 - method: GET or POST (for us)

GET is used to *read* data stored on the server (cacheable) POST is used to *change* data stored on the server

- URL: path and query parameters

can include query parameters

– body (for POST only)

useful for sending large or non-string data with the request

Browser issues a GET request when you type URL

← → C https://courses.cs.washington.edu/courses/cse331/23au/

server name

path

HTTP Terminology

- HTTP response includes
 - status code: 200 (ok), 400-99 (client error), or 500-99 (server error)

was the server able to respond

– content type: text/HTML or application/JSON (for us)
 what sort of data did the server send back

– content

in format described by the Content Type

• Browser expects HTML to display in the page

- we will send JSON data back to our code in the browser

• Create a custom server as follows:

```
const F = (req: SafeRequest, res: SafeResponse): void => {
    ...
}
const app = express();
app.get("/foo", F);
app.listen(8080);
```

- request for <u>http://localhost:8080/foo</u> will call F
- mapping from "/foo" to F is called a "route"
- can have as many routes as we want (with different URLs)

SafeRequest is an alias of Request<..> with proper type parameters filled in

• Query parameters (e.g., ?name=Fred) in SafeRequest

```
const F = (req: SafeRequest, res: SafeResponse): void => {
  const name: string|undefined = req.query.name;
  if (name === undefined) {
    res.status(400).send("Missing 'name'");
    return;
    }
    ... // name was provided
}
```

- set status to 400 to indicate a client error (Bad Request)
- set status to 500 to indicate a server error
- default status is 200 (OK)

• Query parameters (e.g., ?name=Fred) in SafeRequest

```
const F = (req: SafeRequest, res: SafeResponse): void => {
  const name: string|undefined = first(req.query.name);
  if (name === undefined) {
    res.status(400).send("Missing 'name'");
    return;
  }
  ... // name was provided
}
```

- set status to 400 to indicate a client error (Bad Request)
- set status to 500 to indicate a server error
- default status is 200 (OK)

• Query parameters (e.g., ?name=Fred) in SafeRequest

```
const F = (req: SafeRequest, res: SafeResponse): void => {
  const name: string|undefined = req.query.name;
  if (name === undefined) {
    res.status(400).send("Missing 'name'");
    return;
  }
  res.send({message: `Hi, ${name}`});
}
```

- send of string returned as text/HTML
- send of record returned as application/JSON

Animal Trivia



Submit

User types "blue" and presses "Submit"...

Sorry, your answer was incorrect.

New Question

Server-Side JavaScript

Apps will make sequence of requests to server



"Network" Tab Shows Requests

Status
200
200
200
200
304

- Shows every request to the server
 - first request loads the app (as usual)
 - "new" is a request to get a question
 - "check?index=0&answer=blue" is a request to check answer
- Click on a request to see details...

"Network" Tab Shows Request & Response

Name	×	Headers	Preview	Response	Initiator	Timing	
■ localhost	▼ G	eneral					
💿 qna.js	Request URL: http://localhost:8080/new Request Method: GET Status Code: 200 OK						
🗌 new							
🗌 favicon.ico							
□ check?index=0&answer=blue							
5 requests 8.9 kB transferred	Referrer Policy: strict-origin-when-cross-origin						

Name	×	Headers	Preview	Response	Initiator	Timing
localhost	1	{"inde	x" <mark>:0</mark> ,"text	":"What is	your favo	rite color?"}
💿 qna.js						
🗌 new						
🗌 favicon.ico						
Check?index=0&answer=blue						
5 requests 8.9 kB transferred	{}					

JSON

- JavaScript Object Notation
 - text description of JavaScript object
 - allows strings, numbers, null, arrays, and records

no undefined and no instances of classes

no '..' (single quotes), only ".."

requires quotes around keys in records

- another tree!

• Translation into string done automatically by send

```
res.send({index: 0, text: 'What is your ...?'});
```

Name	×	Headers	Preview	Response	Initiator	Timing
localhost		1 {"inde	x":0,"text	":"What is	your fav	orite color?"}
💿 qna.js						
new						

Testing Server-Side TypeScript

- A route calls an ordinary function
- Testing is the same as on the client side
 - write unit tests in X_test.ts files
 - run then using npm run test
- Libraries help set up Request & Response for tests
 - can check the status returned was correct

e.g., 200 or 400

- can check the response body was correct

e.g., "Missing 'name'" or {message: "Hi, Fred"}

Testing Server-Side TypeScript

- A route calls an ordinary function
- Client- and server-side code is made up of functions
 - server functions handles requests for specific URLs
 - client functions draw data, create requests, etc.
 - test (and code review) each one
- Key Point: unit test each function thoroughly
 - often hard to figure which part caused the failure failure in the client could be due to a bug in the server
 - debugging that will be painful
 - need a higher standard of correctness in a larger app much easier to debug failing tests than errors in the app

Functions with Mutations

Specifying Functions that Mutate

- Our functions so far have not mutated anything makes things *much* simpler!
- Cannot yet write a spec for sorting an array
 - could return a sorted version of the array
 - but cannot say that we change the array to be sorted
- Need some new tags to describe that...

Specifying Functions that Mutate

- By default, no parameters are mutated
 - must explicitly say that mutation is possible (default not)

```
/**
 * Reorders A so the numbers are in increasing order
 * @param A array of integers to be sorted
 * @modifies A
 * @effects A contains the same numbers but now in
 * increasing order
 */
const quickSort = (A: bigint[]): void => { ... };
```

anything that might be changed is listed in @modifies
 not a promise to modify it — A could already be sorted!
 a shorter modifies list is a stronger specification

Specifying Functions that Mutate

- By default, no parameters are mutated
 - must explicitly say that mutation is possible (default not)

```
/**
 * Reorders A so the numbers are in increasing order
 * @param A array of integers to be sorted
 * @modifies A
 * @effects A contains the same numbers but now in
 * increasing order
 */
const quickSort = (A: bigint[]): void => { ... };
```

- @effects gives promises about result after mutation
 like @returns but for mutated values, not return value
 this returns void, so no @returns

Assigning to array elements changes known state

```
 \{ \{ A[j-1] < A[j] \text{ for any } 1 \le j \le 5 \} \} 
  A[0] = 100; 
  \{ \{ A[j-1] < A[j] \text{ for any } 2 \le j \le 5 \text{ and } A[0] = 100 \} \}
```

• Can add to the end of an array

A.push(100); {{A = A₀ # [100]}}

Can remove from the end of an array

A.pop(); $\{\{A = A_0[0 ... n - 2]\}\}$ A has one fewer element than before

Example Mutating Function

- Reorder an array so that
 - negative numbers come first, then zeros, then positives (not necessarily fully sorted)

/**

- * Reorders A into negatives, then 0s, then positive
- * @modifies A
- * @effects leaves same integers in A but with
- * A[j] < 0 for 0 <= j < i
- * A[j] = 0 for i <= j < k
- * A[j] > 0 for $k \le j \le n$
- * @returns the indexes (i, k) above
 */

const sortPosNeg = (A: bigint[]): [bigint,bigint] =>

Example: Sorting Negative, Zero, Positive

// @effects leaves same numbers in A but with
// A[j] < 0 for 0 <= j < i
// A[j] = 0 for i <= j < k
// A[j] > 0 for k <= j < n</pre>



Let's implement this...

- what was our heuristic for guessing an invariant?
- weaken the postcondition

Example: Sorting Negative, Zero, Positive

How should we weaken this for the invariant?

- needs allow elements with unknown values

initially, we don't know anything about the array values

?		< 0	= 0		> 0		
< 0	? = 0		: 0	> 0			
< 0	= 0		?		> 0		
		•					
< 0	= 0	> 0		?			

Example: Sorting Negative, Zero, Positive

Our Invariant:

$$\begin{split} A[\ell] &< 0 \text{ for any } 0 \leq \ell < i \\ A[\ell] &= 0 \text{ for any } i \leq \ell < j \\ (\text{no constraints on } A[\ell] \text{ for } j \leq \ell < k) \\ A[\ell] &> 0 \text{ for any } k \leq \ell < n \end{split}$$





- Let's try figuring out the code (problem type 2)
 - on homework, this would be type 3 (check correctness)
- Figure out the code for
 - how to initialize
 - when to exit
 - loop body



- Will have variables i, j, and k with $i \le j < k$
- How do we set these to make it true initially?
 - we start out not knowing anything about the array values

- set
$$i = j = 0$$
 and $k = n$




- Set i=j=0 and k=n to make this hold initially
- When do we exit?
 - purple is empty if j = k



Sort Positive, Zero, Negative

```
let i: bigint = 0n;
let j: bigint = 0;
let k: bigint = A.length;
{{ Inv: A[ℓ] < 0 for any 0 ≤ ℓ < i and A[ℓ] = 0 for any i ≤ ℓ < j
A[ℓ] > 0 for any k ≤ ℓ < n and 0 ≤ i ≤ j ≤ k ≤ n}}
while (j < k) {
...
}
{{ A[ℓ] < 0 for any 0 ≤ ℓ < i and A[ℓ] = 0 for any i ≤ ℓ < j
A[ℓ] > 0 for any j ≤ ℓ < n }}
return [i, j];
```

Example: Sorting Negative, Zero, Positive



- How do we make progress?
 - try to increase j by $1 \mbox{ or decrease } k$ by 1
- Look at A[j] and figure out where it goes
- What to do depends on A[j]
 - could be < 0, = 0, or > 0



Sort Positive, Zero, Negative

}

```
{{ Inv: A[\ell] < 0 for any 0 \le \ell < i and A[\ell] = 0 for any i \le \ell < j
      A[\ell] > 0 \text{ for any } k \le \ell < n \text{ and } 0 \le i \le j \le k \le n \} \}
while (j !== k) {
   if (A[j] === 0n) {
     j = j + 1n;
   } else if (A[j] < On) {</pre>
     swap(A, i, j);
     i = i + 1n;
     j = j + 1n;
   } else {
                                   Combine forward and backward
     swap(A, j, k);
                                   reasoning to double check correctness.
     k = k - 1n;
   }
```

```
{{ Inv: A[\ell] < 0 for any 0 \le \ell < i and A[\ell] = 0 for any i \le \ell < j
             A[\ell] > 0 \text{ for any } k \le \ell < n \} \}
 while (j !== k) {
...
} else if (A[j] < 0n) {
\{\{A[\ell] < 0 \text{ for any } 0 \le \ell < i \text{ and } A[\ell] = 0 \text{ for any } i \le \ell < j \}
              A[\ell] > 0 for any k \le \ell < n and 0 \le i \le j \le k \le n and A[j] < 0
           swap(A, i, j);
           i = i + 1n;
           j = j + 1n;
          \{ \{ A[\ell] < 0 \text{ for any } 0 \leq \ell < i \text{ and } A[\ell] = 0 \text{ for any } i \leq \ell < j \\ A[\ell] > 0 \text{ for any } k \leq \ell < n \text{ and } 0 \leq i \leq j \leq k \leq n \} \}
```

```
{{ Inv: A[\ell] < 0 for any 0 \le \ell < i and A[\ell] = 0 for any i \le \ell < j
        A[\ell] > 0 \text{ for any } k \le \ell < n \} \}
while (j !== k) {
    ...
    } else if (A[j] < On) {</pre>
       {{ A[\ell] < 0 for any 0 \le \ell < i and A[\ell] = 0 for any i \le \ell < j
          A[\ell] > 0 \text{ for any } k \le \ell < n \text{ and } A[j] < 0 \} \}
       swap(A, i, j);
       {{ A[\ell] < 0 for any 0 \le \ell < i+1 and A[\ell] = 0 for any i+1 \le \ell < j+1
        A[\ell] > 0 \text{ for any } k \le \ell < n \text{ and } 0 \le i+1 \le j+1 \le k \le n \}
       i = i + 1n;
     j = j + 1n;
       {{ A[\ell] < 0 for any 0 \le \ell < i and A[\ell] = 0 for any i \le \ell < j
          A[\ell] > 0 \text{ for any } k \le \ell < n \text{ and } 0 \le i \le j \le k \le n \} \}
    }
```

Sort Positive, Zero, Negative

 $\{\{ A[\ell] < 0 \text{ for any } 0 \le \ell < i \text{ and } A[\ell] = 0 \text{ for any } i \le \ell < j \\ A[\ell] > 0 \text{ for any } k \le \ell < n \text{ and } 0 \le i \le j \le k \le n \text{ and } A[j] < 0 \} \}$ swap (A, i, j); $\{\{ A[\ell] < 0 \text{ for any } 0 \le \ell < i+1 \text{ and } A[\ell] = 0 \text{ for any } i+1 \le \ell < j+1 \\ A[\ell] > 0 \text{ for any } k \le \ell < n \text{ and } 0 \le i+1 \le j+1 \le k \le n \} \}$

Easiest to stop here since this is a function call. (Need to use its spec.)

Step 1: What facts are new in the bottom assertion?

New facts are A[i] < 0 and A[j] = 0

Initially have A[i] = 0 and A[j] < 0

Swapping them gives what we want.

Other 2 cases are similar... (Exercise)