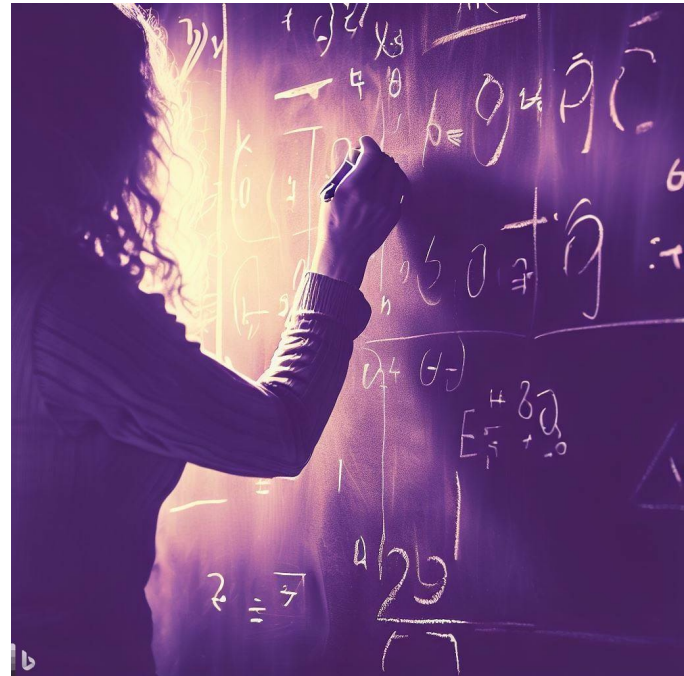


CSE 331

Structural Induction

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Proof by Calculation

- **Our proofs so far have used fixed-length lists**
 - e.g., $\text{len}(\text{twice}(\text{cons}(a, \text{cons}(b, \text{nil})))) = \text{len}(\text{cons}(a, \text{cons}(b, \text{nil})))$
 - problems in HW3 restrict to this case
- **Would like to prove correctness on any list L**
- **Need more tools for this...**
 - structural recursion *calculates* on inductive types
 - structural induction *reasons* about structural recursion
 - or more generally, to prove facts containing variables of an inductive type
 - both tools are specific to inductive types

Structural Induction

Let $P(S)$ be the claim “ $\text{len}(\text{twice}(S)) = \text{len}(S)$ ”

To prove $P(S)$ holds for any list S , prove two implications

Base Case: prove $P(\text{nil})$

- use any known facts and definitions

Inductive Step: prove $P(\text{cons}(x, L))$ for any $x : \mathbb{Z}$, $L : \text{List}$

- x and L are variables (“direct proof”)
- use any known facts and definitions plus one more fact...
- make use of the fact that L is also a List

Structural Induction

To prove $P(S)$ holds for any list S , prove two implications

Base Case: prove $P(\text{nil})$

- use any known facts and definitions

Inductive Hypothesis: assume $P(L)$ is true

- use this in the inductive step, but not anywhere else

Inductive Step: prove $P(\text{cons}(x, L))$ for any $x : \mathbb{Z}$, $L : \text{List}$

- direct proof
- use known facts and definitions and Inductive Hypothesis

Why This Works

With Structural Induction, we prove two facts

$P(\text{nil})$	$\text{len}(\text{twice}(\text{nil})) = \text{len}(\text{nil})$
$P(\text{cons}(x, L))$	$\text{len}(\text{twice}(\text{cons}(x, L))) = \text{len}(\text{cons}(x, L))$ (second assuming $\text{len}(\text{twice}(L)) = \text{len}(L)$)

Why is this enough to prove $P(S)$ for any $S : \text{List}$?

Why This Works

Build up an object using constructors:

nil

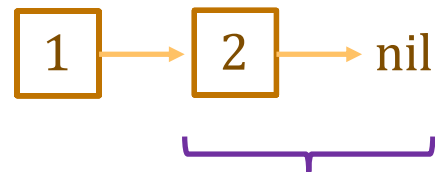
cons(2, nil)

cons(1, cons(2, nil))

first constructor

second constructor

second constructor



nil already exists when building cons(2, nil)

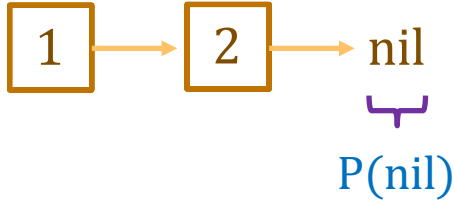


cons(2, nil) already exists when building cons(1, cons(2, nil))

Why This Works

Build up a proof the same way we built up the object

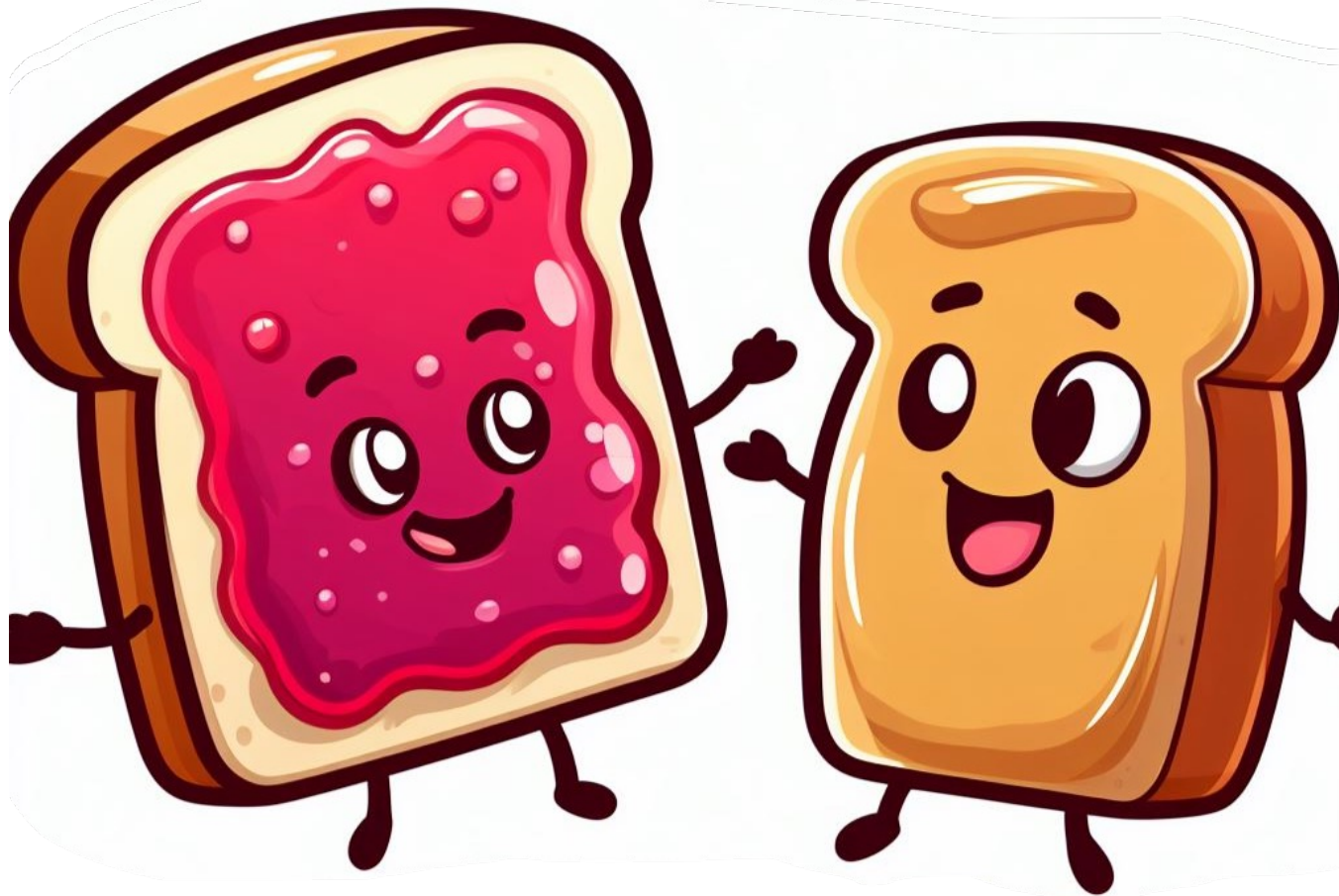
P(nil)	len(twice (nil)) = len(nil)
P(cons(x, L))	len(twice (cons(x, L))) = len(cons(x, L))
	(second assuming len(twice (L)) = len(L))



P(cons(2, nil)) already proven when proving P(cons(2, nil))

P(cons(1, cons(2, nil))) already proven when proving P(cons(1, cons(2, nil)))

“We go together”



structural induction

inductive types

Structural Induction in General

- **General case: assume P holds for constructor *arguments***

`type T := A | B(x : \mathbb{Z}) | C(y : \mathbb{Z} , t : T) | D(z : \mathbb{Z} , u : T, v : T)`

- **To prove $P(t)$ for any t , we need to prove:**
 - $P(A)$
 - $P(B(x))$ for any $x : \mathbb{Z}$
 - $P(C(y, t))$ for any $y : \mathbb{Z}$ and $t : T$ **assuming $P(t)$ is true**
 - $P(D(z, u, v))$ for any $z : \mathbb{Z}$ and $u, v : T$ **assuming $P(u)$ and $P(v)$**
- **These four facts are enough to prove $P(t)$ for any t**
 - for each constructor, have proof that it produces an object satisfying P

Structural Induction in General

- **General case: assume P holds for constructor *arguments***

`type T := A | B(x : \mathbb{Z}) | C(y : \mathbb{Z} , t : T) | D(z : \mathbb{Z} , u : T, v : T)`

- **To prove $P(t)$ for any t , we need to prove:**
 - $P(A)$
 - $P(B(x))$ for any $x : \mathbb{Z}$
 - $P(C(y, t))$ for any $y : \mathbb{Z}$ and $t : T$ **assuming $P(t)$ is true**
 - $P(D(z, u, v))$ for any $z : \mathbb{Z}$ and $u, v : T$ **assuming $P(u)$ and $P(v)$**
- **Each inductive type has its own form of induction**
 - special way to reason about that type

Example: Repeating List Elements

- Consider the following function:

```
func echo(nil)           := nil
    echo(cons(x, L))    := cons(x, cons(x, echo(L))) for any  $x : \mathbb{Z}, L : \text{List}$ 
```

- Produces a list where every element is repeated twice

```
echo(cons(1, cons(2, nil)))
= cons(1, cons(1, echo(cons(2, nil))))           def of echo
= cons(1, cons(1, cons(2, cons(2, echo(nil))))   def of echo
= cons(1, cons(1, cons(2, cons(2, nil))))         def of echo
```

Example: Repeating List Elements

```
func echo(nil)           := nil
    echo(cons(x, L))    := cons(x, cons(x, echo(L))) for any  $x : \mathbb{Z}, L : \text{List}$ 
```

- Suppose we have the following code:

```
const m: number = len(S);           // S is some List
const R: List = echo(S);
...
return 2*m; // = len(echo(S))           Level 1
```

– spec says to return $\text{len}(\text{echo}(S))$ but code returns $2 \text{len}(S)$

- Need to prove that $\text{len}(\text{echo}(S)) = 2 \text{len}(S)$

Example: Repeating List Elements

`func echo(nil) := nil`
`echo(cons(x, L)) := cons(x, cons(x, echo(L)))` for any $x : \mathbb{Z}, L : \text{List}$

- **Prove that $\text{len}(\text{echo}(S)) = 2 \text{len}(S)$ for any $S : \text{List}$**

Base Case (nil):

Need to prove that $\text{len}(\text{echo}(\text{nil})) = 2 \text{len}(\text{nil})$

$\text{len}(\text{echo}(\text{nil})) =$

Example: Repeating List Elements

func echo(nil) := nil
echo(cons(x, L)) := cons(x, cons(x, echo(L))) for any $x : \mathbb{Z}$, $L : \text{List}$

- **Prove that $\text{len}(\text{echo}(S)) = 2 \text{len}(S)$ for any $S : \text{List}$**

Base Case (nil):

len(echo(nil))	= len(nil)	def of echo
	= 0	def of len
	= $2 \cdot 0$	
	= 2 len(nil)	def of len

Example: Repeating List Elements

`func echo(nil) := nil`
`echo(cons(x, L)) := cons(x, cons(x, echo(L)))` for any $x : \mathbb{Z}, L : \text{List}$

- **Prove that $\text{len}(\text{echo}(S)) = 2 \text{len}(S)$ for any $S : \text{List}$**

Inductive Step (`cons(x, L)`):

Need to prove that $\text{len}(\text{echo}(\text{cons}(x, L))) = 2 \text{len}(\text{cons}(x, L))$

Get to assume claim holds for L , i.e., that $\text{len}(\text{echo}(L)) = 2 \text{len}(L)$

Example: Repeating List Elements

`func echo(nil) := nil`
`echo(cons(x, L)) := cons(x, cons(x, echo(L)))` for any $x : \mathbb{Z}, L : \text{List}$

- **Prove that $\text{len}(\text{echo}(S)) = 2 \text{len}(S)$ for any $S : \text{List}$**

Inductive Hypothesis: assume that $\text{len}(\text{echo}(L)) = 2 \text{len}(L)$

Inductive Step ($\text{cons}(x, L)$):

$\text{len}(\text{echo}(\text{cons}(x, L)))$

$= 2 \text{len}(\text{cons}(x, L))$

Example: Repeating List Elements

`func echo(nil) := nil`
`echo(cons(x, L)) := cons(x, cons(x, echo(L)))` for any $x : \mathbb{Z}, L : \text{List}$

- **Prove that $\text{len}(\text{echo}(S)) = 2 \text{len}(S)$ for any $S : \text{List}$**

Inductive Hypothesis: assume that $\text{len}(\text{echo}(L)) = 2 \text{len}(L)$

Inductive Step ($\text{cons}(x, L)$):

$\text{len}(\text{echo}(\text{cons}(x, L)))$	$= \text{len}(\text{cons}(x, \text{cons}(x, \text{echo}(L))))$	def of echo
	$= 1 + \text{len}(\text{cons}(x, \text{echo}(L)))$	def of len
	$= 2 + \text{len}(\text{echo}(L))$	def of len
	$= 2 + 2 \text{len}(L)$	Ind. Hyp.
	$= 2(1 + \text{len}(L))$	
	$= 2 \text{len}(\text{cons}(x, L))$	def of len

Example 2: Repeating List Elements

```
func echo(nil)           := nil
    echo(cons(x, L))    := cons(x, cons(x, echo(L)))  for any  $x : \mathbb{Z}, L : \text{List}$ 
```

- Suppose we have the following code:

```
const y: number = sum(S);           // S is some List
const R: List = echo(S);
...
return 2*y;           // = sum(echo(S))           Level 1
```

– spec says to return $\text{sum}(\text{echo}(S))$ but code returns $2 \text{sum}(S)$

- Need to prove that $\text{sum}(\text{echo}(S)) = 2 \text{sum}(S)$

Example 2: Repeating List Elements

func echo(nil) := nil
echo(cons(x, L)) := cons(x, cons(x, echo(L))) for any $x : \mathbb{Z}$, $L : \text{List}$

- **Prove that $\text{sum}(\text{echo}(S)) = 2 \text{sum}(S)$ for any $S : \text{List}$**

Base Case (nil):

$$\begin{aligned} \text{sum}(\text{echo}(\text{nil})) &= \\ &= 2 \text{sum}(\text{nil}) \end{aligned}$$

func sum(nil) := 0
sum(cons(x, L)) := x + sum(L) for any $x \in \mathbb{Z}$ and any $L \in \text{List}$

Example 2: Repeating List Elements

`func echo(nil) := nil`
`echo(cons(x, L)) := cons(x, cons(x, echo(L)))` for any $x : \mathbb{Z}, L : \text{List}$

- **Prove that $\text{sum}(\text{echo}(S)) = 2 \text{sum}(S)$ for any $S : \text{List}$**

Base Case (nil):

$\text{sum}(\text{echo}(\text{nil}))$	$= \text{sum}(\text{nil})$	def of echo
	$= 0$	def of sum
	$= 2 \cdot 0$	
	$= 2 \text{sum}(\text{nil})$	def of sum

Inductive Step (cons(x, L)):

Need to prove that $\text{sum}(\text{echo}(\text{cons}(x, L))) = 2 \text{sum}(\text{cons}(x, L))$

Get to assume claim holds for L, i.e., that $\text{sum}(\text{echo}(L)) = 2 \text{sum}(L)$

Example 2: Repeating List Elements

func echo(nil) := nil
echo(cons(x, L)) := cons(x, cons(x, echo(L))) for any $x : \mathbb{Z}$, $L : \text{List}$

- **Prove that $\text{sum}(\text{echo}(S)) = 2 \text{sum}(S)$ for any $S : \text{List}$**

Inductive Hypothesis: assume that $\text{sum}(\text{echo}(L)) = 2 \text{sum}(L)$

Inductive Step (cons(x, L)):

$\text{sum}(\text{echo}(\text{cons}(x, L))) =$

$= 2 \text{sum}(\text{cons}(x, L))$

func sum(nil) := 0
sum(cons(x, L)) := x + sum(L) for any $x \in \mathbb{Z}$ and any $L \in \text{List}$

Example 2: Repeating List Elements

`func echo(nil) := nil`
`echo(cons(x, L)) := cons(x, cons(x, echo(L)))` for any $x : \mathbb{Z}, L : \text{List}$

- **Prove that $\text{sum}(\text{echo}(S)) = 2 \text{sum}(S)$ for any $S : \text{List}$**

Inductive Hypothesis: assume that $\text{sum}(\text{echo}(L)) = 2 \text{sum}(L)$

Inductive Step ($\text{cons}(x, L)$):

$$\begin{aligned} \text{sum}(\text{echo}(\text{cons}(x, L))) &= \text{sum}(\text{cons}(x, \text{cons}(x, \text{echo}(L)))) && \text{def of echo} \\ &= x + \text{sum}(\text{cons}(x, \text{echo}(L))) && \text{def of sum} \\ &= 2x + \text{sum}(\text{echo}(L)) && \text{def of sum} \\ &= 2x + 2 \text{sum}(L) && \text{Ind. Hyp.} \\ &= 2(x + \text{sum}(L)) \\ &= 2 \text{sum}(\text{cons}(x, L)) && \text{def of sum} \end{aligned}$$

Proof By Cases

Defining Functions by Cases

- Usually combine pattern matching with recursion
- Can use pattern matching on its own

`func empty(nil) := T`
`empty(cons(x, L)) := F` for any $x : \mathbb{Z}, L : \text{List}$

- every list is either `nil` or `cons(x, L)` for some x and L
 - rule can be applied to any list
- **Pattern matching is one way to define by cases**
 - we've seen another way to do this...

Defining Functions by Cases

- Pattern matching is one way to define by cases
- Side conditions also define by cases
 - e.g., define $f(m)$ where $m : \mathbb{Z}$

$$\begin{array}{ll} \text{func } f(m) := 2m + 1 & \text{if } m \geq 0 \\ f(m) := 0 & \text{if } m < 0 \end{array}$$

- to use the definition on $f(x)$, need to know if $x < 0$ or not
- Need ways to reason about these functions as well

Proof By Cases

- **New code structure means new proof structure**
- **Can split a proof into cases**
 - e.g., $x \geq 0$ and $x < 0$
 - **need to be sure the cases are exhaustive**
(don't need to be exclusive in this case)
- **If we can prove both cases, it is true in general**

Proof By Cases

$$\begin{array}{ll} \text{func } f(m) := 2m + 1 & \text{if } m \geq 0 \\ f(m) := 0 & \text{if } m < 0 \end{array}$$

- **Prove that $f(m) > m$ for any $m : \mathbb{Z}$**

Case $m \geq 0$:

$$f(m) =$$

$$> m$$

Proof By Cases

$$\begin{array}{ll} \text{func } f(m) := 2m + 1 & \text{if } m \geq 0 \\ f(m) := 0 & \text{if } m < 0 \end{array}$$

- **Prove that $f(m) > m$ for any $m : \mathbb{Z}$**

Case $m \geq 0$:

$$\begin{array}{ll} f(m) = 2m + 1 & \text{def of } f \text{ (since } m \geq 0) \\ \geq m + 1 & \text{since } m \geq 0 \\ > m & \text{since } 1 > 0 \end{array}$$

Proof By Cases

$$\begin{array}{ll} \text{func } f(m) := 2m + 1 & \text{if } m \geq 0 \\ f(m) := 0 & \text{if } m < 0 \end{array}$$

- **Prove that $f(m) > m$ for any $m : \mathbb{Z}$**

Case $m \geq 0$:

$$f(m) = \dots > m$$

Case $m < 0$:

$$\begin{array}{ll} f(m) = 0 & \text{def of } f \text{ (since } m < 0) \\ > m & \text{since } m < 0 \end{array}$$

Since these two cases are exhaustive, $f(m) > m$ holds in general.

Recall: Pattern Matching

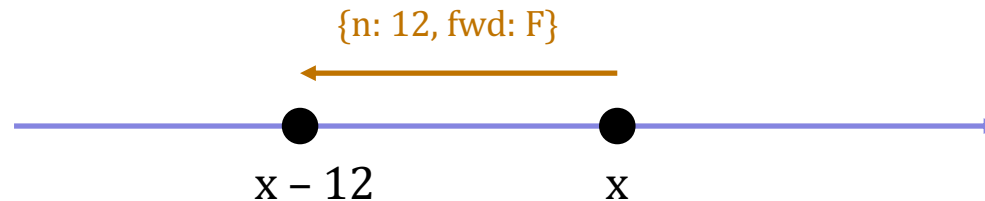
- Define a function by an exhaustive set of patterns

`type Steps := {n : ℕ, fwd : ℬ}`

`func change({n: n, fwd: T}) := n` for any $n : \mathbb{N}$

`change({n: n, fwd: F}) := -n` for any $n : \mathbb{N}$

- Steps describes movement on the number line
- `change(s : Steps)` says how the position changes



- one of these two rules always applies

More Proof By Cases

func change({n: n, fwd: T}) := n for any n : \mathbb{N}
change({n: n, fwd: F}) := -n for any n : \mathbb{N}

- **Prove that** $|\text{change}(s)| = n$ **for any** $s = \{n: n, \text{fwd}: f\}$
 - we need to know if $f = T$ or $f = F$ to apply the definition!

Case $f = T$:

$ \text{change}(\{n: n, \text{fwd}: f\}) $	
$= \text{change}(\{n: n, \text{fwd}: T\}) $	since $f = T$
$= n $	def of change
$= n$	since $n \geq 0$

More Proof By Cases

func change({n: n, fwd: T}) := n for any n : \mathbb{N}
change({n: n, fwd: F}) := -n for any n : \mathbb{N}

- **Prove that** $|\text{change}(s)| = n$ **for any** $s = \{n: n, \text{fwd}: f\}$

Case $f = T$: $|\text{change}(\{n: n, \text{fwd}: f\})| = \dots = n$

Case $f = F$:

$|\text{change}(\{n: n, \text{fwd}: f\})|$
= $|\text{change}(\{n: n, \text{fwd}: F\})|$ **since** $f = F$
= $|-n|$ **def of change**
= n **since** $n \geq 0$

Since these two cases are exhaustive, the claim holds in general.