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# CSE 331

# Software Design & Implementation

Kevin Zatloukal

Spring 2022

Lecture 4 – Writing Loops

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# Previously on CSE 331...

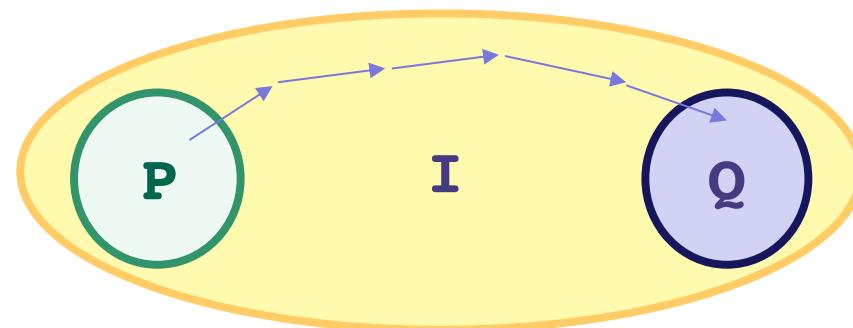
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$\{\{ P \}\} \text{ while } (\text{cond}) \; S \; \{\{ Q \}\}$

This triple is valid iff

$\{\{ P \}\}$   
 $\{\{ \text{Inv: I} \}\}$   
while  $(\text{cond})$   
     $S$   
 $\{\{ Q \}\}$

- $I$  holds initially
- $I$  holds each time we execute  $S$
- $Q$  holds when  $I$  holds and  $\text{cond}$  is false



# Previously on CSE 331...

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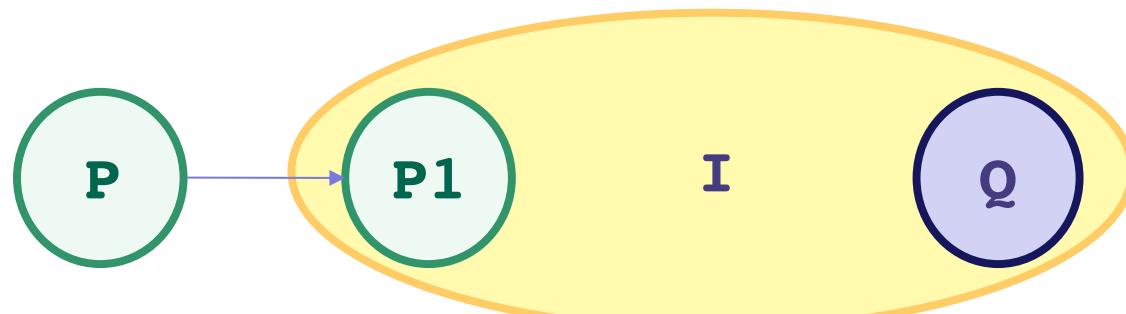
- Loop invariant comes out of the algorithm idea
  - describes partial progress toward the goal
  - how you will get from start to end
- Essence of the algorithm idea is:
  - invariant
  - how you make progress on each step (e.g.,  $i = i + 1$ )
- Code is *ideally* just details...

# Loop Invariant → Code

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In fact, can usually deduce the code from the invariant:

- When does loop invariant satisfy the postcondition?
  - gives you the termination condition
- What is the easiest way to satisfy the loop invariant?
  - gives you the initialization code
- How does the invariant change as you make progress?
  - gives you the rest of the loop body



# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

$\{\{ b.length \geq n \text{ and } n > 0 \}\}$

??

$\{\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}\}$

while (?) {

??

}

$\{\{ m = \max(b[0], \dots, b[n-1]) \}\}$

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When does Inv imply postcondition?

# Example: max of array

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while (?) {

??

}

$\{\{ m = \max(b[0], \dots, b[n-1]) \}\}$

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When does Inv imply postcondition?  
Happens when  $i = n$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0 \}$ }
```

??

```
{ $\{$  Inv: m = max(b[0], ..., b[i-1])  $\}$ }
```

```
while (i != n) {
```

??

```
}
```

```
{ $\{$  m = max(b[0], ..., b[n-1])  $\}$ }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

$\{\{ b.length \geq n \text{ and } n > 0 \}\}$

??

Easiest way to make this hold?

$\{\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}\}$

while (i != n) {

??

}

$\{\{ m = \max(b[0], \dots, b[n-1]) \}\}$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

$\{\{ b.length \geq n \text{ and } n > 0 \}\}$

??

Easiest way to make this hold?  
Take  $i = 1$  and  $m = \max(b[0])$

$\{\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}\}$

while ( $i \neq n$ ) {

??

}

$\{\{ m = \max(b[0], \dots, b[n-1]) \}\}$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0$   $\}$ }
```

```
int i = 1;
```

```
int m = b[0];
```

```
{ $\{$  Inv: m =  $\max(b[0], \dots, b[i-1])$   $\}$ }
```

```
while (i != n) {
```

```
??
```

```
}
```

```
{ $\{$  m =  $\max(b[0], \dots, b[n-1])$   $\}$ }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{ b.length \geq n \text{ and } n > 0 \}$ }
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int i = 1;
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int m = b[0];
```

```
{ $\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}$ }
```

```
while (i != n) {
```

```
??
```

```
}
```

```
{ $\{ m = \max(b[0], \dots, b[n-1]) \}$ }
```

How do we progress toward termination?  
(comes from the algorithm idea)

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{ b.length \geq n \text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}$ }
```

```
while (i != n) {
```

```
??
```

```
i = i + 1;
```

```
}
```

```
{ $\{ m = \max(b[0], \dots, b[n-1]) \}$ }
```

How do we progress toward termination?  
We start at  $i = 1$  and end at  $i = n$ , so  
Try this.

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{ b.length \geq n \text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];
```

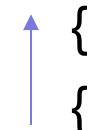
```
{ $\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}$ }
```

```
while (i != n) {
```

```
??
```

```
i = i + 1;
```

```
}
```



```
{ $\{ m = \max(b[0], \dots, b[i]) \}$ }
```

```
{ $\{ m = \max(b[0], \dots, b[i-1]) \}$ }
```

```
{ $\{ m = \max(b[0], \dots, b[n-1]) \}$ }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\}$  and n > 0 }  
int i = 1;  
int m = b[0];
```

Set  $m = \max(m, b[i])$

```
{ $\{$  Inv:  $m = \max(b[0], \dots, b[i-1])$  }
```

```
while (i != n) {  
    ??  
    i = i + 1;  
}
```

$\downarrow$        $\uparrow$

```
{ $\{$  m =  $\max(b[0], \dots, b[i-1])$  } }  
{ $\{$  m =  $\max(b[0], \dots, b[i])$  } }  
{ $\{$  m =  $\max(b[0], \dots, b[i-1])$  }
```

} How do we fill this in?

```
{ $\{$  m =  $\max(b[0], \dots, b[n-1])$  }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{ b.length \geq n \text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];  
  
{ $\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}$ }  
while (i != n) {  
    if (b[i] > m)          OR m = Math.max(m, b[i]);  
    m = b[i];  
    i = i + 1;  
}  
{ $\{ m = \max(b[0], \dots, b[n-1]) \}$ }
```

Set  $m = \max(m, b[i])$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0$   $\}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv: m = max(b[0], ..., b[i-1])  $\}$ }  
while (i != n) {  
    if (b[i] > m)  
        m = b[i];  
    i = i + 1;  
}  
{ $\{$  m = max(b[0], ..., b[n-1])  $\}$ }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{ b.length \geq n \text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}$ }
```

```
while (i != n) {  
    if (b[i] > m)  
        m = b[i];  
    i = i + 1;  
}
```

```
{ $\{ m = \max(b[0], \dots, b[n-1]) \}$ }
```

the algorithm idea

# Invariants are Essential

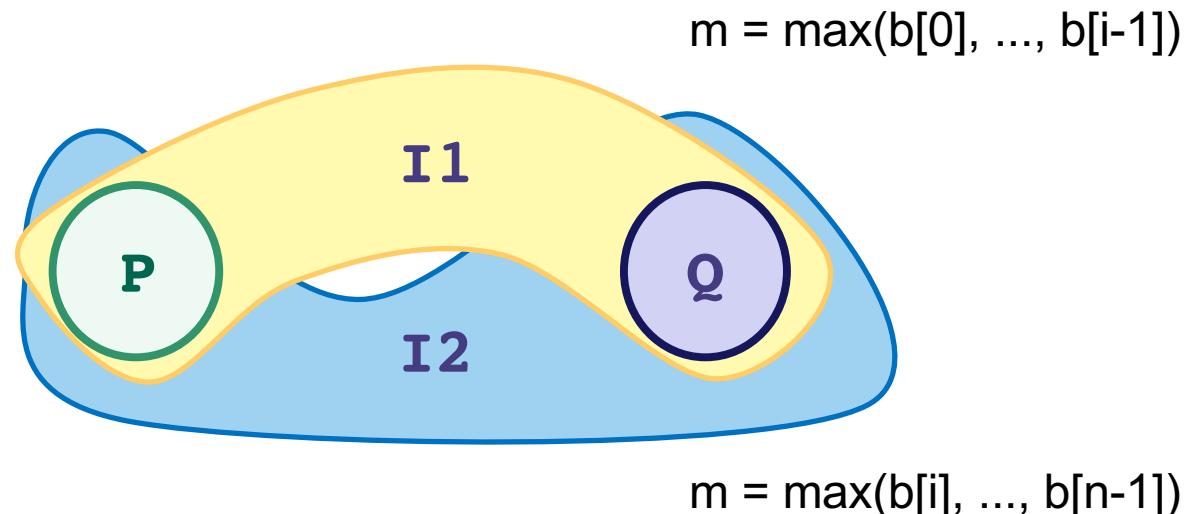
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Invariant + progress step is the essence of the algorithm idea

- rest is hopefully just details that follow from the invariant

Work toward thinking at the level of invariants not code

- gain confidence that you can do the rest without difficulty



# Loop Invariant Design Pattern

---

Loop invariant is often a weakening of the postcondition

- partial progress with completion a special case
- small enough weakening that Inv + one condition gives Q

## 1. sum of array

- postcondition:  $s = b[0] + b[1] + \dots + b[n-1]$
- loop invariant:  $s = b[0] + b[1] + \dots + b[i-1]$ 
  - gives postcondition when  $i = n$

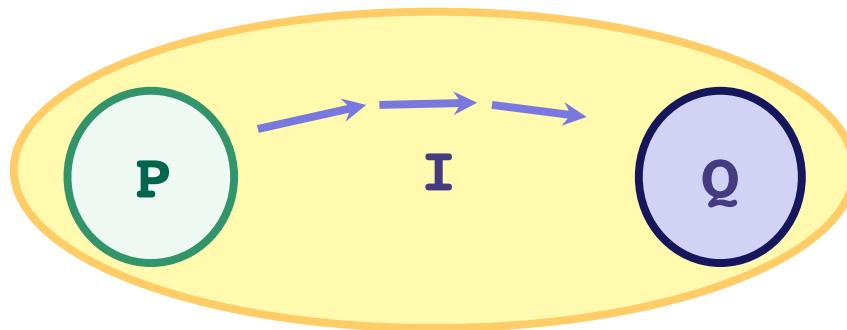
## 2. max of array

- postcondition:  $m = \max(b[0], b[1], \dots, b[n-1])$
- loop invariant:  $m = \max(b[0], b[1], \dots, b[i-1])$ 
  - gives postcondition when  $i = n$

# Loop Invariant Design Patterns

---

Algorithm Idea formalized in: Invariant + *progress step*



- how do you make progress toward termination?
  - if condition is  $i \neq n$  (and  $i \leq n$ )  
try  $i = i + 1$
  - if condition is  $i \neq j$  (and  $i \leq j$ )  
try  $i = i + 1$  or  $j = j - 1$

# Finding the loop invariant

---

Not every loop invariant is simple weakening of postcondition, but...

- that is the easiest case
- it happens a lot

In this class (e.g., homework):

- if I ask you to find the invariant, it will *very likely* be of this type
  - I will ask you to write more complex code when the invariant given
  - I will ask you to check correctness of even more complex code
  - HW2-4 will practice these
- to learn about more ways of finding invariants: CSE 421

# Another Example

# Example: Dutch National Flag

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*Given an array of red, white, and blue pebbles, sort the array so the red pebbles are at the front, the white pebbles are in the middle, and the blue pebbles are at the end*



Edsger Dijkstra

# Pre- and post-conditions

---

Precondition: Any mix of red, white, and blue

Mixed colors: red, white, blue

Postcondition:

- red then white then blue
- number of each color is unchanged

Red

White

Blue

# Pre- and post-conditions

---

Precondition: Any mix of red, white, and blue

Mixed colors: red, white, blue

Postcondition:

- red then white then blue
- number of each color is unchanged

Red      White      Blue

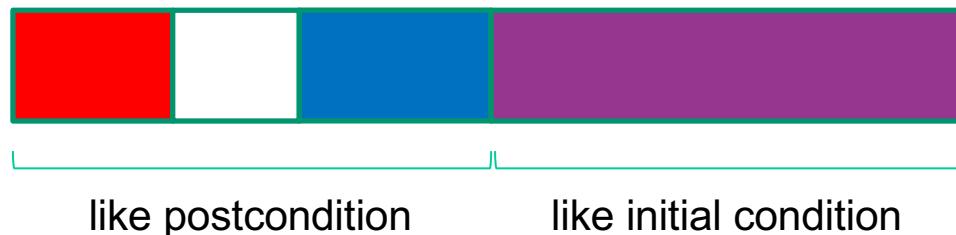
Want an invariant with

- postcondition as a special case
- precondition as a special case (or easy to change to one)

# Example: Dutch National Flag

---

The first idea that comes to mind:



# Example: Dutch National Flag

---

The first idea that comes to mind works.

Initial:



Iter 5:



Iter 10:



Iter 15:



Post:



# Other potential invariants

---

Any of these choices work, making the array more-and-more partitioned as you go:



# Precise Invariant

---

Need indices to refer to the split points between colors

- call these  $i, j, k$



Loop Invariant:

- $0 \leq i \leq j \leq k \leq n \leq A.length$
- $A[0], \dots, A[i-1]$  are red
- $A[i], \dots, A[j-1]$  are white
- $A[k], \dots, A[n-1]$  are blue

No constraints on  $A[j], \dots, A[k-1]$

# Dutch National Flag Code

---

Invariant:



Initialization?

# Dutch National Flag Code

---

Invariant:



Initialization:

- $i = j = 0$  and  $k = n$

# Dutch National Flag Code

---

Invariant:



Initialization:

- $i = j = 0$  and  $k = n$

Termination condition?

# Dutch National Flag Code

---

Invariant:



Initialization:

- $i = j = 0$  and  $k = n$

Termination condition:

- $j = k$

# Dutch National Flag Code

---

```
int i = 0, j = 0;  
int k = n;  
{  
    {{ Inv: 0 <= i <= j <= k <= n and A[0], ..., A[i-1] are red and ... }}  
    while (j != k) {  
        ??  
    }  
}
```



need to get  $j$  closer to  $k$ ...  
let's try increasing  $j$  by 1

# Dutch National Flag Code

---

Three cases depending on the value of  $A[j]$ :



$A[j]$  is either red, white, or blue

# Dutch National Flag Code

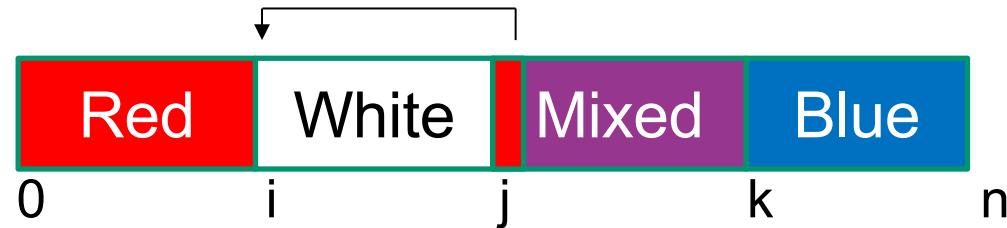
---

Three cases depending on the value of  $A[j]$ :

white



red



blue



# Dutch National Flag Code

---

```
int i = 0, j = 0;  
int k = n;  
{  
    {{ Inv: 0 <= i <= j <= k <= n and A[0], ..., A[i-1] are red and ... }}  
    while (j != k) {  
        if (A[j] is white) {  
            j = j+1;  
        } else if (A[j] is blue) {  
            swap A[j], A[k-1];  
            k = k - 1;  
        } else { // A[j] is red  
            swap A[i], A[j];  
            i = i + 1;  
            j = j + 1;  
        }  
    }  
}
```