

# Note Card Survey (Anonymous)

1. **Keep:** What have I been doing well that I should continue doing?
2. **Change:** What is something I already do, but should change so I do it better?
3. **Stop:** What is one bad thing I should stop doing?
4. **Start:** What is one good thing I haven't done that I should start doing?
5. **Any other feedback you wish to give!**

## Topic Suggestions:

- Lecture: Clarity, Speed/Pacing, Active Learning, Interaction with Students
- Office Hours
- Homework

CSE 331

Software Design and Implementation

# Lecture 8

## *Testing*

Leah Perlmutter / Summer 2018

# Announcements

# Announcements

- Homework
  - Congrats on making it past the HW3 due date!
    - technical issues persisting?
  - HW4 is out! Due Thursday, July 12, 10 pm
- Midterm: Monday, July 16
  - in our normal lecture time and location
- Midterm Review: Friday, July 13, 3:30 - 5 pm
  - Location TBD

# Testing

# Outline

- Why correct software matters
  - Motivates testing *and* more than testing, but now seems like a fine time for the discussion
- Testing principles and strategies
  - Purpose of testing
  - Kinds of testing
  - Heuristics for good test suites
  - Black-box testing
  - Clear-box testing and coverage metrics
  - Regression testing

# There is no one right answer

The way you test depends on many things

- Who your customers are
- How safety-critical your code is
- The conventions at your company

Testing is as much an art as a science

- Need to be systematic
- Also need to be creative

I will tell you some things I know about testing!

# Note about tools

- Modern development ecosystems have much built-in support for testing
  - Unit-testing frameworks like JUnit
  - Regression-testing frameworks connected to builds and version control
  - Continuous testing
  - ...
- No tool details covered here
  - See homework, section, internships, ...



# Motivation

# Building Quality Software

What Affects *Software Quality*?

## External

Correctness	Does it match what the customer wanted?
Reliability	Does it do it accurately all the time?
Efficiency	Does it do without excessive resources?
Integrity	Is it secure?

## Internal

Correctness	Does the software match the spec?
Portability	Can I use it under different conditions?
Maintainability	Can I fix it?
Flexibility	Can I change it or extend it or reuse it?

## Quality Assurance (QA)

- Process of uncovering problems and improving software quality
- Testing is a major part of QA

# Software Quality Assurance (QA)

Testing plus other activities including:

- Static analysis (assessing code without executing it)
- Correctness proofs (theorems about program properties)
- Code reviews (people reading each others' code)
- Software process (methodology for code development)
- ...and many other ways to find problems and increase confidence

No single activity or approach can guarantee software quality

“Beware of bugs in the above code;  
I have only proved it correct, not tried it.”  
-Donald Knuth, 1977



# Kinds of Software Customers

How much assure software quality before we “ship it” ?

- Depends on the cost of mistakes
  - Depends on the customer!

Some potential customers

- The person at the next desk
- Business contract customers
- Web or app customers
- Airplane passengers
- Medical patients
- The Space Program

# Clinical Neutron Therapy System



# Therac-25 radiation therapy machine

Excessive radiation killed patients (1985-87)

- New design removed hardware that prevents the electron-beam from operating in its high-energy mode. Now **safety checks done in software**.
- Equipment control software task **did not properly synchronize** with the operator interface task, so race conditions occurred if the operator changed the setup too quickly.
- **Missed during testing** because it took practice before operators worked quickly enough for the problem to occur.



# Ariane 5 rocket (1996)



Rocket self-destructed 37 seconds after launch

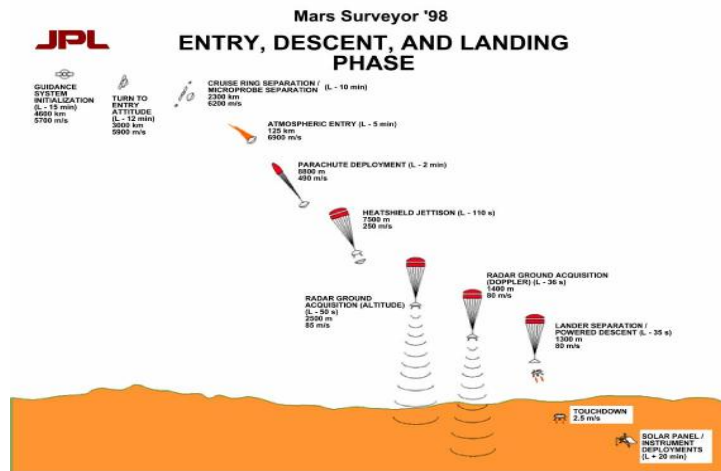
- Cost: over \$1 billion

Reason: Undetected bug in control software

- Conversion from 64-bit floating point to 16-bit signed integer caused an exception
- The floating point number was larger than 32767
- Efficiency considerations led to the disabling of the exception handler, so program crashed, so rocket crashed



# Mars Polar Lander



Legs deployed → Sensor signal falsely indicated that the craft had touched down (130 feet above the surface)  
Then the descent engines shut down prematurely



# More examples

- Mariner I space probe (1962)
- Microsoft Zune New Year's Eve crash (2008)
- iPhone alarm (2011)
- Denver Airport baggage-handling system (1994)
- Air-Traffic Control System in LA Airport (2004)
- AT&T network outage (1990)
- Northeast blackout (2003)
- USS Yorktown Incapacitated (1997)
- Intel Pentium floating point divide (1993)
- Excel: 65,535 displays as 100,000 (2007)
- Prius brakes and engine stalling (2005)
- Soviet gas pipeline (1982)
- Study linking national debt to slow growth (2010)
- ...

# Software bugs cost money

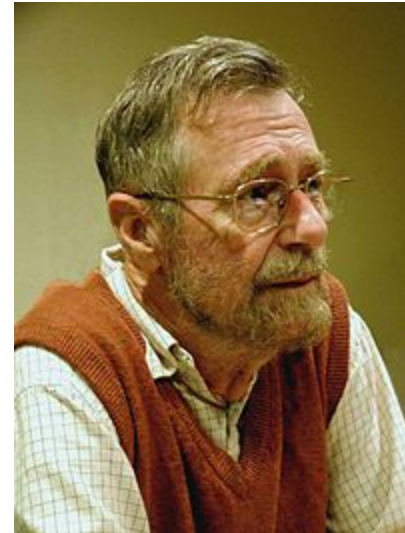
- 2013 Cambridge University study: Software bugs cost global economy \$312 Billion per year
  - <http://www.prweb.com/releases/2013/1/prweb10298185.htm>
- \$440 million loss by Knight Capital Group in 30 minutes
  - August 2012 high-frequency trading error
- \$6 billion loss from 2003 blackout in NE USA & Canada
  - Software bug in alarm system in Ohio power control room

# What can you learn from testing?

“Program testing can be used to show the presence of bugs, but never to show their absence!”

*Edsgar Dijkstra*

*Notes on Structured Programming,*  
1970



# What Is Testing For?

Validation = reasoning + testing

- Make sure module does what it is specified to do
- Uncover problems, increase confidence

Two rules:

1. Do it **early** and **often**

- Catch bugs quickly, before they have a chance to hide
- **Automate** the process wherever feasible

2. Be **systematic**

- If you thrash about randomly, the bugs will hide in the corner until you're gone
- Understand what has been tested for and what has not
- Have a strategy!

# Summary: Why test?

- Low quality can have great costs
  - human lives
  - billions of dollars
  - ruined business relationships
  - your company's reputation
  - making your life harder as a programmer
- Software quality is important
  - Testing is one way to improve quality

331 homeworks will give you the opportunity to practice testing!

# How to Test

# Kinds of testing

- Testing is so important the field has terminology for different kinds of tests
  - Won't discuss all the kinds and terms
- Here are three orthogonal dimensions:
  - **Unit** testing versus **system/integration** testing
    - One module's functionality versus pieces fitting together
  - **Black-box** testing versus **clear-box** testing
    - Does implementation influence test creation?
    - “Do you look at the code when choosing test data?”
  - **Specification** testing versus **implementation** testing
    - Test only behavior guaranteed by specification or other behavior expected for the implementation?


# Unit Testing

- A unit test focuses on one method, class, interface, or module
- Test a single unit in isolation from all others
- Typically done earlier in software life-cycle
  - Integrate (and test the integration) after successful unit testing



# How is testing done?

- 1) Choose a part to test
- 2) Choose input data/configuration
- 3) Define the expected outcome
- 4) Run with input and record the outcome
- 5) Compare *observed* outcome to *expected* outcome



Lots of these!

Input selection is hard!

# sqrt example: Input Selection

```
// throws: IllegalArgumentException if x<0  
// returns: approximation to square root of x  
public double sqrt(double x) {...}
```

What are some values or ranges of  $x$  that might be worth probing?

$x < 0$  (exception thrown)

$x \geq 0$  (returns normally)

around  $x = 0$  (boundary condition)

perfect squares ( $\text{sqrt}(x)$  an integer), non-perfect squares

$x < \text{sqrt}(x)$  and  $x > \text{sqrt}(x)$  – that's  $x < 1$  and  $x > 1$  (and  $x = 1$ )

*Specific tests: say  $x = -1, 0, 0.5, 1, 4$*

# Why is Input Selection Hard?

“Just try it and see if it works...”

```
// requires:  $1 \leq x, y, z \leq 10000$   
// returns: computes some  $f(x, y, z)$   
int proc1(int x, int y, int z) {...}
```

Exhaustive testing would require 1 trillion runs!

- Sounds totally impractical – and this is a trivially small problem

Key problem: choosing test suite

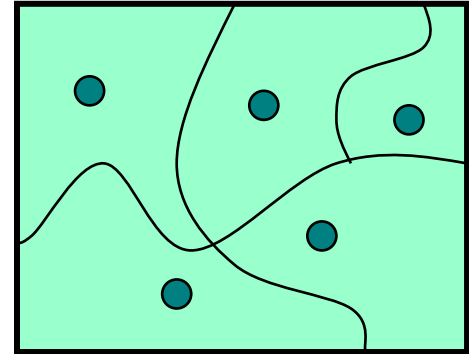
- **Small enough** to finish in a useful amount of time
- **Large enough** to provide a useful amount of validation

# Approach: Partition the Input Space

## Ideal test suite:

Identify sets with same behavior

Try one input from each set



Two problems:

1. Notion of **same behavior** is subtle
  - Naive approach: **execution equivalence**
  - Better approach: **revealing subdomains**
2. Discovering the sets requires perfect knowledge
  - If we had it, we wouldn't need to test
  - Use heuristics to approximate cheaply

# Naive Approach: Execution Equivalence

```
// returns:  x < 0      ⇒ returns -x
//           otherwise ⇒ returns x

int abs(int x) {
    if (x < 0) return -x;
    else      return x;
}
```

All  $x < 0$  are **execution equivalent**:

- Program takes same sequence of steps for any  $x < 0$

All  $x \geq 0$  are execution equivalent

Suggests that  $\{-3, 3\}$ , for example, is a good test suite

# Execution Equivalence Can Be Wrong

```
// returns:  x < 0      ⇒ returns -x
//           otherwise ⇒ returns x

int abs(int x) {
    if (x < -2) return -x;
    else      return x;
}
```

{-3, 3} does not reveal the error!

Two possible executions:  $x < -2$  and  $x \geq -2$

Three possible behaviors:

- $x < -2$  OK
- $x = -2$  or  $x = -1$  (BAD)
- $x \geq 0$  OK

# Heuristic: Revealing Subdomains

- A *subdomain* is a subset of possible inputs
- A subdomain is *revealing* for error  $E$  if either:
  - *Every* input in that subdomain triggers error  $E$ , *or*
  - *No* input in that subdomain triggers error  $E$
- Need test only one input from a given subdomain
  - If subdomains cover the entire input space, we are *guaranteed* to detect the error if it is present
- The trick is to *guess* these revealing subdomains

# Example

For buggy **abs**, what are revealing subdomains?

- Value tested on is a good (clear-box) hint

```
// returns:  x < 0      ⇒ returns -x  
//           otherwise ⇒ returns x
```

```
int abs(int x) {  
    if (x < -2) return -x;  
    else       return x;  
}
```

... {-2} {-1} {0} {1} ...

too many!

Example sets of subdomains:

{..., -6, -5, -4} {-3, -2, -1} {0, 1, 2, ...}

not revealing!

{..., -4, -3} {-2, -1} {0, 1, ...}

just right!



# Heuristics for Designing Test Suites

A good heuristic gives:

- Few subdomains
- for all errors in some class of errors  $E$ ,  
    High probability that some subdomain is revealing for  $E$   
    and triggers  $E$

Different heuristics target different classes of errors

- In practice, combine multiple heuristics
- Really a way to think about and communicate your test choices

# Heuristic: Cases in Specification

Heuristic: Explore alternate cases in the specification

Procedure is a **black box**: interface visible, internals hidden

Example

```
// returns:  a > b ⇒ returns a  
//           a < b ⇒ returns b  
//           a = b ⇒ returns a  
int max(int a, int b) {...}
```

3 cases lead to 3 tests

$(4, 3) \Rightarrow 4$  (i.e. any input in the subdomain  $a > b$ )  
 $(3, 4) \Rightarrow 3$  (i.e. any input in the subdomain  $a < b$ )  
 $(3, 3) \Rightarrow 3$  (i.e. any input in the subdomain  $a = b$ )

# Black Box Testing: Advantages

## Process is not influenced by component being tested

- Assumptions embodied in code not propagated to test data
- (Avoids “group-think” of making the same mistake)

## Robust with respect to changes in implementation

- Test data need not be changed when code is changed

## Allows for independent testers

- Testers need not be familiar with code
- Tests can be developed before the code

# More Complex Example

Write tests based on cases in the specification

```
// returns: the smallest i such
//           that a[i] == value
// throws:   Missing if value is not in a
int find(int[] a, int value) throws Missing
```

Two obvious tests:

( [4, 5, 6], 5 )  $\Rightarrow$  1

( [4, 5, 6], 7 )  $\Rightarrow$  throw Missing

Have we captured all the cases?

( [4, 5, 5], 5 )  $\Rightarrow$  1

Must hunt for multiple cases

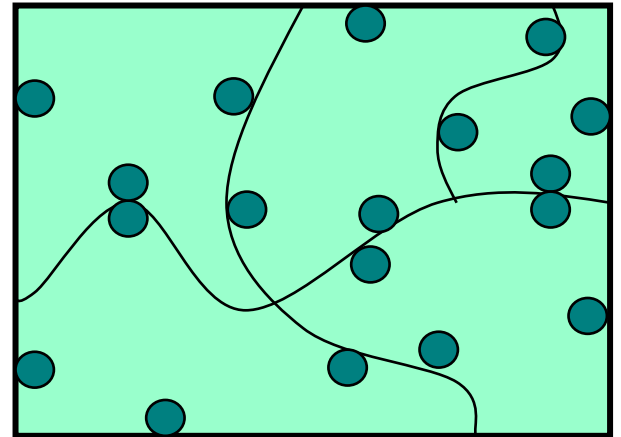
- explicit cases in the spec are not enough

# Heuristic: Boundary Testing

Create tests at the edges of subdomains

Why?

- Off-by-one bugs
- “Empty” cases (0 elements, null, ...)
- Overflow errors in arithmetic
- Object aliasing



Small subdomains at the edges of the “main” subdomains have a high probability of revealing many common errors

- Also, you might have misdrawn the boundaries

# Boundary Testing

To define the boundary, need a notion of **adjacent inputs**

One approach:

- Identify basic operations on input points
- Two points are adjacent if one basic operation apart

Point is on a boundary if either:

- There exists an adjacent point in a different subdomain
- Some basic operation cannot be applied to the point

Example: list of integers

- Basic operations: *create*, *append*, *remove*
- Adjacent points:  $\langle [2,3], [2,3,3] \rangle$ ,  $\langle [2,3], [2] \rangle$
- Boundary point:  $[ ]$  (can't apply *remove*)

# Other Boundary Cases

## Arithmetic

- Smallest/largest values
- Zero

## Objects

- null
- Circular list
- Same object passed as multiple arguments (aliasing)

Boundary cases are also called “edge cases” and “corner cases”

# Boundary Cases: Arithmetic Overflow

```
// returns: |x|  
public int abs(int x) {...}
```

What are some values or ranges of  $x$  that might be worth probing?

- $x < 0$  (flips sign) or  $x \geq 0$  (returns unchanged)
- Around  $x = 0$  (boundary condition)
- *Specific tests: say  $x = -1, 0, 1$*

*How about...*

```
int x = Integer.MIN_VALUE; // x=-2147483648  
System.out.println(x<0);   // true  
System.out.println(Math.abs(x)<0); // also true!
```

From Javadoc for `Math.abs`:

Note that if the argument is equal to the value of `Integer.MIN_VALUE`, the most negative representable int value, the result is that same value, which is negative



# Boundary Cases: Duplicates & Aliases

```
// modifies: src, dest
// effects:  removes all elements of src and
//           appends them in reverse order to
//           the end of dest
<E> void appendList(List<E> src, List<E> dest) {
    while (src.size()>0) {
        E elt = src.remove(src.size()-1);
        dest.add(elt);
    }
}
```

What happens if **src** and **dest** refer to the same object?

- This is *aliasing*
- It's easy to forget!
- Watch out for shared references in inputs

# Buggy abs Revisited

For buggy **abs**, what are revealing subdomains?

- Value tested on is a good (clear-box) hint

```
// returns:  x < 0      ⇒ returns -x
//           otherwise ⇒ returns x

int abs(int x) {
    if (x < -2) return -x;
    else       return x;
}
```

Subdomains based on spec  
(black box)

{... -2, -1, 0} {1, 2, ...}

Boundary cases

0 1

# Heuristic: Clear (glass, white)-box testing

*Focus:* features not described by specification

- Control-flow details
- Performance optimizations
- Alternate algorithms for different cases

*Common goal:*

- Ensure test suite covers (executes) all of the program
- Measure quality of test suite with % *coverage*

*Assumption* implicit in goal:

- If high coverage, then most mistakes discovered

# Glass-box Motivation

There are some subdomains that black-box testing won't catch:

```
boolean[] primeTable = new boolean[CACHE_SIZE];

boolean isPrime(int x) {
    if (x>CACHE_SIZE) {
        for (int i=2; i<x/2; i++) {
            if (x%i==0)
                return false;
        }
        return true;
    } else {
        return primeTable[x];
    }
}
```

# Glass-box Testing: [Dis]Advantages

- Finds an important class of boundaries
  - Yields useful test cases
- Consider `CACHE_SIZE` in `isPrime` example
  - Important tests `CACHE_SIZE-1`, `CACHE_SIZE`, `CACHE_SIZE+1`
  - If `CACHE_SIZE` is mutable, may need to test with different `CACHE_SIZE` values

Disadvantage:

- Tests may have same bugs as implementation
- Buggy code tricks you into complacency once you look at it

# Buggy abs Revisited

For buggy **abs**, what are revealing subdomains?

- Value tested on is a good (clear-box) hint

```
// returns:  x < 0      ⇒ returns -x
//           otherwise ⇒ returns x

int abs(int x) {
    if (x < -2) return -x;
    else       return x;
}
```

Subdomains based on spec  
(black box)

**{... -2, -1, 0} {1, 2, ...}**

Boundary cases

**0 1**

Subdomains based on internals  
(glass box)

**{... -3, -2} {-1, 0, ...}**

Boundary cases

**-2 -1**

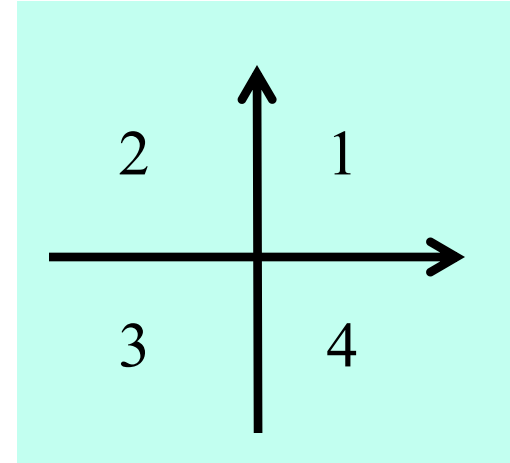
# Code coverage: what is enough?

```
int min(int a, int b) {  
    int r = a;  
    if (a <= b) {  
        r = a;  
    }  
    return r;  
}
```

- Consider any test with  $a \leq b$  (e.g., `min(1,2)`)
  - Executes every instruction
  - Misses the bug
- *Statement coverage* is not enough

# Code coverage: what is enough?

```
int quadrant(int x, int y) {  
    int ans;  
    if (x >= 0)  
        ans=1;  
    else  
        ans=2;  
    if (y < 0)  
        ans=4;  
    return ans;  
}
```



- Consider two-test suite: (2,-2) and (-2,2). Misses the bug.
- *Branch coverage* (all tests “go both ways”) is not enough
  - Here, *path coverage* is enough (there are 4 paths)



# Code coverage: what is enough?

```
int num_pos(int[] a) {  
    int ans = 0;  
    for (int x : a) {  
        if (x > 0)  
            ans = 1; // should be ans += 1;  
    }  
    return ans;  
}
```

- Consider two-test suite: {0,0} and {1}. Misses the bug.
- Or consider one-test suite: {0,1,0}. Misses the bug.
- *Branch coverage* is not enough
  - Here, *path coverage* is enough, but *no bound* on path-count

# Code coverage: what is enough?

```
int sum_three(int a, int b, int c) {  
    return a+b;  
}
```

- *Path coverage* is not enough
  - Consider test suites where **c** is always 0
- Typically a moot point since path coverage is unattainable for realistic programs
  - But do not assume a tested path is correct
  - Even though it is more likely correct than an untested path
- Another example: buggy **abs** method from earlier in lecture

# Varieties of coverage

Various coverage metrics (there are more):

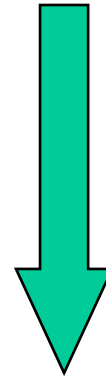
Statement coverage

Branch coverage

*Loop coverage*

*Condition/Decision coverage*

Path coverage



increasing  
number of  
test cases  
required  
(generally)

Limitations of coverage:

1. 100% coverage is not always a reasonable target  
100% may be unattainable (dead code)  
*High cost* to approach the limit
2. Coverage is *just a heuristic*  
We really want the revealing subdomains

# Pragmatics: Regression Testing

- Whenever you find a bug
  - Store the input that elicited that bug, plus the correct output
  - Add these to the test suite
  - Verify that the test suite fails
  - Fix the bug
  - Verify the fix
- Ensures that your fix solves the problem
  - Don't add a test that succeeded to begin with!
- Helps to populate test suite with good tests
- Protects against reversions that reintroduce bug
  - It happened at least once, and it might happen again

# Concepts so far

## Input Selection

- Challenging to find the right set of inputs to reveal bugs

## Revealing Subdomains

- The “true” subdomains that will reveal your bugs

## Black box

- Choose inputs by looking at cases in spec
- Misses subdomains based on implementation

## Clear box

- Choose inputs by looking at cases in code

## Code Coverage

- A measure of how much of the code is executed by a test
- Can measure by lines, branches, paths
- Misses bugs of omission

# Closing Thoughts

# Rules of Testing

First rule of testing: ***Do it early and do it often***

- Best to catch bugs soon, before they have a chance to hide
- Automate the process if you can
- Regression testing will save time

Second rule of testing: ***Be systematic***

- If you randomly thrash, bugs will hide in the corner until later
- Writing tests is a good way to understand the spec
- Think about revealing domains and boundary cases
  - If the spec is confusing, write more tests
- Spec can be buggy too
  - Incorrect, incomplete, ambiguous, missing corner cases
- When you find a bug, write a test for it first and then fix it

# Closing thoughts on testing

## Testing matters

- You need to convince others that the module works

## Catch problems earlier

- Bugs become obscure beyond the unit they occur in

## Don't confuse *volume* with *quality* of test data

- Can lose relevant cases in mass of irrelevant ones
- Look for revealing subdomains

## Choose test data to cover:

- Specification (black box testing)
- Code (glass box testing)

## Testing can't generally prove absence of bugs

- But it can increase quality and confidence



# Announcements

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- HW4 is due Thursday, July 12, 10 pm
- Midterm: Monday, July 16
  - in our normal lecture time and location
- Midterm Review: Friday, July 13, 3:30 - 5 pm
  - Location TBD