CSE 331 Software Design & Implementation

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Representation Invariants & Abstraction Functions

(Based on slides by Mike Ernst, Dan Grossman, David Notkin, Hal Perkins, Zach Tatlock)

Reminders

- Quiz 2 is due tonight
- HW4 due next Wednesday
 - start early
- Don't forget "ant validate"
 - (both in class and in industry)
- Remember that pseudocode is read by humans not computers
 - key is to be clear & complete
 - it's easy to assume the reader knows things they don't
 - also nice to be concise

Implementing a Data Abstraction (ADT)

To implement an ADT:

- select the representation of instances
- implement operations in terms of that representation

Choose a representation so that:

- it is possible to implement required operations
- the most frequently used operations are efficient / simple / ...
 - abstraction allows the rep to change later
 - almost always better to start simple

Need to use reasoning to verify that the operations are correct

- two intellectual tools are helpful for this...

Connecting implementations to specs

For implementers / debuggers / maintainers of the implementation:

Representation Invariant: maps Object → boolean

- defines the set of valid concrete values
- must hold at all times (outside of mutators)
- no object should ever violate the rep invariant
 - such an object has no useful meaning

Abstraction Function: maps Object \rightarrow abstract state

- says what the data structure *means* in vocabulary of the ADT
- only defined on objects meeting the rep invariant
- connects the concrete representation back to the specification
 - can check that the abstract value after each method meets the postcondition described in the specification

Example: Circle

```
/** Represents a mutable circle in the plane. For example,
 * it can be a circle with center (0,0) and radius 1. */
public class Circle {
```

```
// Rep invariant: center != null and rad > 0
private Point center;
private double rad;
```

```
// Abstraction function:
// AF(this) = a circle with center at this.center
// and radius this.rad
// ...
}
```

Example: Circle 2

/** Represents a mutable circle in the plane. For example, * it can be a circle with center (0,0) and radius 1. */ public class Circle {

```
// Rep invariant: center != null and edge != null
// and !center.equals(edge)
private Point center, edge;
```

```
// Abstraction function:
// AF(this) = a circle with center at this.center
// and radius this.center.distanceTo(this.edge)
// ...
}
```

Example: Polynomial

```
/** An immutable polynomial with integer coefficients.
    * Examples include 0, 2x, and x + 3x^2 + 5x. */
public class IntPoly {
```

```
// Rep invariant: coeffs != null
private final int[] coeffs;
```

```
// Abstraction function:
// AF(this) = sum of this.coeffs[i] * x^i
// for i = 0 .. this.coeffs.length
```

```
/** Returns the highest exponent with nonzero coefficient
 * or zero if none exists. */
public int degree() { ... }
```

```
// ...
```

Example: Polynomial 2

```
/** An immutable polynomial with integer coefficients.
    * Examples include 0, 2x, and x + 3x^2 + 5x. */
public class IntPoly {
```

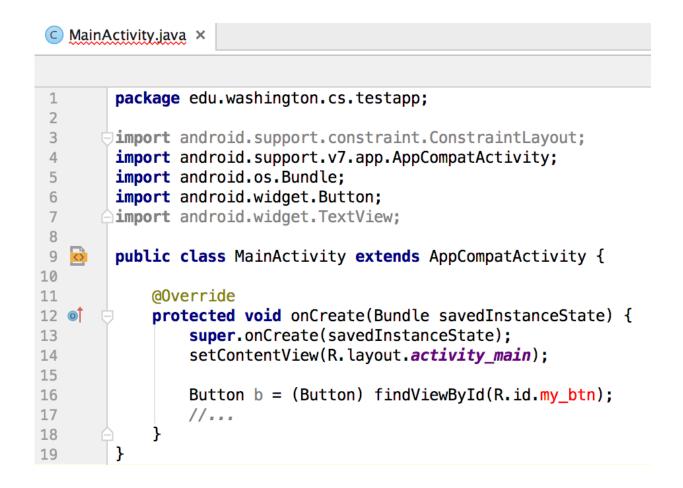
```
// Rep invariant: terms != null
private final List<IntTerm> terms;
```

// Abstraction function:
// AF(this) = sum of monomials in this.terms

```
/** Returns the highest exponent with nonzero coefficient
 * or zero if none exists. */
public int degree() { ... }
```

// ...

Use case is writing an editor for an IDE:



Overview: telling users how to think about what this is

Option 1: sequence of characters & colors Option 2: sequence of lines, each of which is a... sequence of characters & colors

Both will probably require a method to take (line,col) to character

Key difference:

- Option 1 suggests you can remove, e.g., chars 100–200, which may span multiple lines
- That is not natural in Option 2

(Option 1 makes more sense for Microsoft Word.)

Will use a sequence of lines. What is each **line**?

Option 1: pair (sequence of characters, sequence of colors) Option 2: sequence of pairs (character, color) Option 3: sequence of pairs (sequence of characters, color)

Key differences:

- Option 1 must make clear that the sequences are same length
- Option 1 & 2 should let you insert (char, color) at given column
- Option 3 should let you find the (text, color) token containing a given column and then change its text to include a new char

```
// Overview: Represents a text file, which is a sequence
// of lines of text. Each line of text is a sequence of
// (character, color) pairs.
//
// Example: [[("a", black), ("b", red)], [("c", green)]]
// is the text:
// ab
// c
// (on two lines), where a is black, b is red, & c is green
public class TextFile {
 // ...
}
```

How would we actually represent this?

Probably okay to store lines in an array:

- most files have < 10k lines, so copying is not too expensive
- most characters are inserted into existing lines not creating new
- (always better to start simple... can change this later)

How would we actually represent this?

Probably not okay to make each character an object

- object over head is at least 10 bytes per object
- 20 bytes * 10k lines * 50 characters = 10 Mb
- 100 files = 1 Gb = unhappy users

Instead store characters and colors in arrays

Old trick: have two arrays

- one is the beginning of the line
- one is the end of the line in reverse order
- easy to add new characters at the split between parts

// Overview: Represents one line of the text file...
public class Line {

// Representation invariant: all arrays are non-null and // and prefixCharsLen + suffixCharsLen equals // prefixColorsLen + suffixColorsLen // and 0 <= prefixCharsLen <= prefixChars.length and ... private char[] prefixChars, suffixChars; private int[] prefixColors, suffixColors; private int prefixCharsLen, suffixCharsLen; private int prefixColorsLen, suffixColorsLen;

// Abstraction function: AF(this) = zip sum of

- // prefixChars[0..prefixCharsLen] + reverse(suffixChars[0..suffixCharsLen]) and
- // prefixColors[0..prefixColorsLen] + reverse(suffixColors[0..suffixColorsLen])
- // (I.e., the list of pairs (char, color), where the i-th
- // char is paired with the i-th color.)

Example: CharSet ADT

```
// Overview: A CharSet is a finite mutable set of Characters
// @effects: creates a fresh, empty CharSet
public CharSet() {...}
// @modifies: this
// @effects: this<sub>post</sub> = this<sub>pre</sub> + {c}
public void insert(Character c) {...}
// @modifies: this
// @effects: this<sub>post</sub> = this<sub>pre</sub> - {c}
public void delete(Character c) {...}
// @return: (c in this)
public boolean member(Character c) {...}
// @return: cardinality of this
public int size() {...}
```

An implementation: Is it right?

```
class CharSet {
 private List<Character> elts =
      new ArrayList<Character>();
 public void insert(Character c) {
    elts.add(c);
  }
 public void delete(Character c) {
    elts.remove(c);
  }
 public boolean member(Character c) {
    return elts.contains(c);
  }
 public int size() {
    return elts.size();
  }
}
```

An implementation: Is it right?

```
class CharSet {
 private List<Character> elts =
      new ArrayList<Character>();
 public void insert(Character c) {
                        CharSet s = new CharSet();
    elts.add(c);
                        Character a = new Character('a');
  }
 public void delete(Cl s.insert(a);
    elts.remove(c);
                        s.insert(a);
  }
                        s.delete(a);
 public boolean member
                        if (s.member(a))
    return elts.contai
                            System.out.print("wrong");
                        else
 public int size() {
    return elts.size()
                            System.out.print("right");
}
```

An implementation: Is it right?

```
class CharSet {
 private List<Character> elts =
      new ArrayList<Character>();
 public void insert(Character c) {
                       CharSet s = new CharSet();
    elts.add(c);
                       Character a = new Character('a');
  }
 public void delete(Cl s.insert(a);
    elts.remove(c);
                        s.insert(a);
                        s.delete(a);
 public boolean member
                        if (s.member(a))
    return elts.contai
                            System.out.print("wrong");
                       else
 public int size() {
    return elts.size()
                            System.out.print("right");
```

Where is the error?

}

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Where Is the Error?

- Answer this and you know what to fix
- Perhaps delete is wrong
 - should remove all occurrences?
- Perhaps insert is wrong
 - should not insert a character that is already there?
- The representation invariant tells us which is correct
 - this is how we document our choice for "the right answer"

The representation invariant

- Defines data structure well-formedness
- Must hold before and after every CharSet operation
- Operations (methods) may depend on it
- Write it like this:

. . .

```
class CharSet {
   // Rep invariant:
   // elts has no nulls and no duplicates
   private List<Character> elts = ...
```

Or, more formally (if you prefer):

for all indices i of elts, we have elts.elementAt(i) \neq null for all indices i, j of elts with i != j,

we have ! elts.elementAt(i).equals(elts.elementAt(j))

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Now we can locate the error

```
// Rep invariant:
// elts has no nulls and no duplicates
public void insert(Character c) {
  elts.add(c);
}
public void delete(Character c) {
  elts.remove(c);
}
```

Another example

```
class Account {
   private int balance;
   // history of all transactions
   private List<Transaction> transactions;
   ...
}
```

Real-world constraints:

- Balance ≥ 0
- Balance = Σ_i transactions.get(i).amount Implementation-related constraints:
 - Transactions ≠ null
 - No nulls in transactions

Checking rep invariants

Should you write code to check that the rep invariant holds?

- Yes, if it's inexpensive [depends on the invariant]
- Yes, for debugging [even when it's expensive]
- Often hard to justify turning the checking off
 - better argument is removing clutter (improve understandability)
- Some private methods need not check (Why?)

A great debugging technique:

Design your code to catch bugs by implementing and using a function to check the rep-invariant

Checking the rep invariant

```
Rule of thumb: check on entry and on exit (why?)
```

}

```
public void delete(Character c) {
    checkRep();
    elts.remove(c);
    // Is this guaranteed to get called?
    // (could guaranteed to get called?
```

```
// (could guarantee it with a finally block)
checkRep();
```

```
...
/** Verify that elts contains no duplicates. */
private void checkRep() {
  for (int i = 0; i < elts.size(); i++) {
    assert elts.indexOf(elts.elementAt(i)) == i;
  }
}</pre>
```

Practice defensive programming

- You will make mistakes
 - if you haven't made many yet, you haven't written enough code
 - "No physician is really good before he's killed a few patients" Hindu Proverb
- Question is not: will you make mistakes? You will.
- Question is: will you **catch** those mistakes before customers do?
- Write and incorporate code designed to catch the errors you make
 - check rep invariant on entry and exit (of mutators)
 - check preconditions (don't trust other programmers)
 - check postconditions (don't trust yourself either)
- Checking the rep invariant helps *discover* errors while testing
- Reasoning about the rep invariant helps *discover* errors while coding

Practice defensive programming

- Checking pre- and post-conditions and rep invariants is one tip
- More of these in Effective Java
 - Reading Quiz #2 focuses on these
- In particular, focus on defensive programming against subtle bugs
 - obvious bugs (e.g. crashing every time) will be caught in testing
 - subtle bugs that only occasionally cause problems can sneak out
 - be especially defensive against these
 - tips in Reading Quiz #2 mainly combat these

Listing the elements of a CharSet

Consider adding the following method to CharSet

// returns: a List containing the members of this
public List<Character> getElts();

Consider this implementation:

// Rep invariant: elts has no nulls and no dups
private List<Character> elts;
public List<Character> getElts() { return elts; }

Does the implementation of **getElts** preserve the rep invariant?

Listing the elements of a CharSet

Consider adding the following method to CharSet

// returns: a List containing the members of this
public List<Character> getElts();

Consider this implementation:

// Rep invariant: elts has no nulls and no dups
private List<Character> elts;
public List<Character> getElts() { return elts; }

Does the implementation of getElts preserve the rep invariant? Can't say!

Representation exposure

Consider this client code (outside the CharSet implementation):

```
CharSet s = new CharSet();
Character a = new Character('a');
s.insert(a);
s.getElts().add(a);
s.delete(a);
if (s.member(a)) ...
```

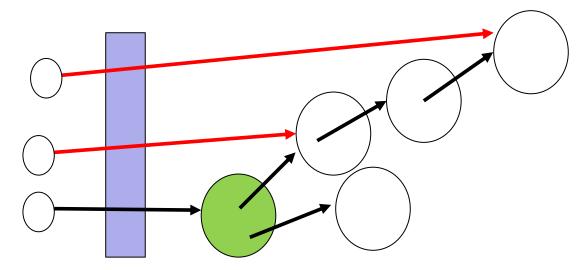
- Representation exposure is external access to the rep
- Representation exposure is almost always **EVIL**
 - can cause bugs that will be very hard to detect
- Rule #1: Don't do it!
- Rule #2: If you do it, document it clearly and then feel guilty about it!

Avoiding representation exposure

- Understand what representation exposure is
- *Design* ADT implementations to make sure it doesn't happen
- Treat rep exposure as a bug: *fix* your bugs
 - absolutely must avoid in libraries with many clients
 - can allow (but feel guilty) for code with few clients
- *Test* for it with *adversarial clients:*
 - pass values to methods and then mutate them
 - mutate values returned from methods

private is not enough

- Making fields **private** does *not* suffice to prevent rep exposure
 - see our example
 - issue is aliasing of mutable data outside the abstraction



- So **private** is a hint to you: no aliases outside abstraction to references to mutable data reachable from **private** fields
- Two general ways to avoid representation exposure...

Avoiding rep exposure (way #1)

- One way to avoid rep exposure is to make copies of all data that cross the abstraction barrier
 - Copy in [parameters that become part of the implementation]
 - Copy out [results that are part of the implementation]

•

...

Examples of copying (assume Point is a mutable ADT):
 class Line {
 private Point s, e;
 public Line(Point s, Point e) {
 this.s = new Point(s.x,s.y);
 this.e = new Point(e.x,e.y);
 }
 public Point getStart() {
 return new Point(this.s.x,this.s.y);
 }
}

Need deep copying

- "Shallow" copying is not enough
 - prevent any aliasing to mutable data inside/outside abstraction
- What's the bug (assuming Point is a mutable ADT)?
 class PointSet {
 private List<Point> points = ...
 public List<Point> getElts() {
 return new ArrayList<Point>(points);
 }
 }
- Not in example: Also need deep copying on "copy in"

Avoiding rep exposure (way #2)

- One way to avoid rep exposure is to exploit the immutability of (other) ADTs the implementation uses
 - aliasing is no problem if nobody can change data
 - have to mutate the rep to break the rep invariant
- Examples (assuming **Point** is an *immutable* ADT):

```
class Line {
   private Point s, e;
   public Line(Point s, Point e) {
     this.s = s;
     this.e = e;
   }
   public Point getStart() {
     return this.s;
   }
   ...
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```

Why [not] immutability?

- Several advantages of immutability
 - aliasing does not matter
 - no need to make copies with identical contents
 - rep invariants cannot be broken
 - see CSE341 for more!
- Does require different designs (e.g., if Point immutable)
 void raiseLine(double deltaY) {
 this.s = new Point(s.x, s.y+deltaY);
 this.e = new Point(e.x, e.y+deltaY);
 }
- Immutable classes in Java libraries include String,
 Character, Integer, ...

Deepness, redux

- An immutable ADT must be immutable "all the way down"
 - No references *reachable* to data that may be mutated
- So combining our two ways to avoid rep exposure:
 - Must copy-in, copy-out "all the way down" to immutable parts

Back to getElts

Recall our initial rep-exposure example:

```
class CharSet {
   // Rep invariant: elts has no nulls and no dups
   private List<Character> elts = ...;
   // returns: elts currently in the set
   public List<Character> getElts() {
      return new ArrayList<Character>(elts); //copy out!
   }
   ...
}
```

An alternative

```
// returns: elts currently in the set
public List<Character> getElts() { // version 1
   return new ArrayList<Character>(elts);//copy out!
}
public List<Character> getElts() { // version 2
```

```
return Collections.unmodifiableList(elts);
}
```

From the JavaDoc for Collections.unmodifiableList:

Returns an unmodifiable view of the specified list. This method allows modules to provide users with "read-only" access to internal lists. Query operations on the returned list "read through" to the specified list, and attempts to modify the returned list... result in an UnsupportedOperationException.

The good news

public List<Character> getElts() { // version 2 return Collections.unmodifiableList(elts); }

- Clients cannot *modify (mutate)* the rep
 - cannot break the rep invariant
- (For long lists,) more efficient than copy out
- Uses standard libraries

The bad news

```
public List<Character> getElts() { // version 1
  return new ArrayList<Character>(elts);//copy out!
}
```

```
public List<Character> getElts() { // version 2
  return Collections.unmodifiableList(elts);
}
```

The two implementations do not do the same thing!

- both avoid allowing clients to break the rep invariant
- both return a list containing the elements

```
But consider: xs = s.getElts();
    s.insert('a');
    xs.contains('a');
```

Version 2 is observing an exposed rep, leading to different behavior

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Different specifications

Ambiguity of "returns a list containing the current set elements"

"returns a fresh mutable list containing the elements in the set at the time of the call"

versus

"returns read-only access to a list that the ADT continues to update to hold the current elements in the set"

A third spec weaker than both [but less simple and useful!] "returns a list containing the current set elements. *Behavior is unspecified (!) if* client attempts to mutate the list or to access the list after the set's elements are changed"

Also note: Version 2's spec also makes changing the rep later harder – only "simple" to implement with rep as a List

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Suggestions

Best options for implementing getElts()

- if O(n) time is acceptable for relevant use cases, copy the list
 - safest option
 - best option for changeability
- if O(1) time is required, then return an unmodifiable list
 - prevents breaking rep invariant
 - clearly document that behavior is unspecified after mutation
 - ideally, write a your own unmodifiable view of the list that throws an exception on all operations after mutation
- if O(1) time is required and there is no unmodifiable version and you don't have time to write one, expose rep and feel guilty