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# CSE 331

# Software Design & Implementation

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Fall 2017

Lecture 4 – Writing Loops

(Based on slides by Mike Ernst, Dan Grossman, David Notkin, Hal Perkins, Zach Tatlock)

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# Reminders

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- HW2 on loops will be posted today
  - due next Thursday
  - harder, so start early
- Reading Quiz 1 is due Friday

# Previously on CSE 331...

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- Reasoning on straight-line code
  - turn the crack process
  - forward reasoning gives strongest postconditions
  - backward reasoning gives weakest preconditions
  - both generate valid Hoare triples
  - check validity of  $\{\{ P \}\} S \{\{ Q \}\}$  to  $Q'$  from forward reasoning or  $P'$  from backward reasoning
- Loops are more difficult
  - checking correctness requires a **loop invariant**

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
 {{ }}  
 s = 0;  
 {{ _____ }}  
 i = 0;  
 {{ _____ }}  
 {{ Inv: s = b[0] + ... + b[i-1] }}  
 while (i != n) {  
   {{ _____ }}  
   s = s + b[i];  
   {{ _____ }}  
   i = i + 1;  
   {{ _____ }}  
 }  
 {{ _____ }}  
 {{ s = b[0] + ... + b[n-1] }}
```

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{{ }{ }}  
s = 0;  
{s = 0 }{ }  
i = 0;  
{s = 0 and i = 0 }{ }  
{ Inv: s = b[0] + ... + b[i-1] }{ }  
while (i != n) {  
    { _____ }{ }  
    s = s + b[i];  
    { _____ }{ }  
    i = i + 1;  
    { _____ }{ }  
}  
{ _____ }{ }  
{ s = b[0] + ... + b[n-1] }{ }
```

# Example: sum of array

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The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{ \}$ }  
s = 0;  
{ $\{ s = 0 \}$ }  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ }  
    s = s + b[i];  
    { $\{ s = b[0] + \dots + b[i-1] + b[i] \text{ and } i \neq n \}$ }  
    i = i + 1;  
    { $\{ s = b[0] + \dots + b[i-2] + b[i-1] \text{ and } i-1 \neq n \}$ }  
}  
{ $\{ \underline{\hspace{2cm}} \}$ }  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

# Example: sum of array

The following code to compute  $b[0] + \dots + b[n-1]$ :

```

{{ }}

s = 0;
{{ s = 0 }}

i = 0;
{{ s = 0 and i = 0 }}

{{ Inv: s = b[0] + ... + b[i-1] }}

while (i != n) {
    {{ s = b[0] + ... + b[i-1] and i != n }}
    s = s + b[i];
    {{ s = b[0] + ... + b[i-1] + b[i] and i != n }}
    i = i + 1;
    {{ s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n }}
}

{{ _____ }}

{{ s = b[0] + ... + b[n-1] }}

    ↑
    {{ s + b[i] = b[0] + ... + b[i] }}
    s = s + b[i];
    {{ s = b[0] + ... + b[i] }}
    i = i + 1
    {{ s = b[0] + ... + b[i-1] }}

```

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{ \}$ }  
s = 0;  
{ $\{ s = 0 \}$ }  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ }  
    s = s + b[i];  
    { $\{ s = b[0] + \dots + b[i-1] + b[i] \text{ and } i \neq n \}$ }  
    i = i + 1;  
    { $\{ s = b[0] + \dots + b[i-2] + b[i-1] \text{ and } i-1 \neq n \}$ }  
}  
{ $\{ s = b[0] + \dots + b[i-1] \text{ and not } (i \neq n) \}$ }  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

{ $\{ s + b[i] = b[0] + \dots + b[i] \}$ }  
s = s + b[i];  
{ $\{ s = b[0] + \dots + b[i] \}$ }  
i = i + 1  
{ $\{ s = b[0] + \dots + b[i-1] \}$ }

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{ \}$ }  
s = 0;  
{ $\{ s = 0 \}$ }  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ }  
    s = s + b[i];  
    { $\{ s = b[0] + \dots + b[i-1] + b[i] \text{ and } i \neq n \}$ }  
    i = i + 1;  
    { $\{ s = b[0] + \dots + b[i-2] + b[i-1] \text{ and } i-1 \neq n \}$ }  
}  
{ $\{ s = b[0] + \dots + b[i-1] \text{ and not } (i \neq n) \}$ }  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Are we done?

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{\}$ }  
s = 0;  
{ $\{ s = 0 \}$ }  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ }  
    s = s + b[i];  
    { $\{ s = b[0] + \dots + b[i-1] + b[i] \text{ and } i \neq n \}$ }  
    i = i + 1;  
    { $\{ s = b[0] + \dots + b[i-2] + b[i-1] \text{ and } i-1 \neq n \}$ }  
}  
{ $\{ s = b[0] + \dots + b[i-1] \text{ and not } (i \neq n) \}$ }  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Are we done?  
No, need to also check...

Does invariant hold initially?

```

i = 3: s = b[0] + b[1] + b[2]
i = 2: s = b[0] + b[1]
i = 1: s = b[0]
i = 0: s = 0

```

## Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```

{{ {}}
s = 0;
{{ s = 0 }}
i = 0;
{{ s = 0 and i = 0 }}
{{ Inv: s = b[0] + ... + b[i-1] }}]
while (i != n) {
    {{ s = b[0] + ... + b[i-1] and i != n }}
    s = s + b[i];
    {{ s = b[0] + ... + b[i-1] + b[i] and i != n }}
    i = i + 1;
    {{ s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n }}
}
{{ s = b[0] + ... + b[i-1] and not (i != n) }}
{{ s = b[0] + ... + b[n-1] }}

```

Are we done?  
No, need to also check...

Holds initially? Yes:  $i = 0$  implies  $s = b[0] + \dots + b[-1] = 0$

```

{{ s + b[i] = b[0] + ... + b[i] }}
s = s + b[i];
{{ s = b[0] + ... + b[i] }}
i = i + 1
{{ s = b[0] + ... + b[i-1] }}

```

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{ \}$ }  
s = 0;  
{ $\{ s = 0 \}$ }  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ }  
    s = s + b[i];  
    { $\{ s = b[0] + \dots + b[i-1] + b[i] \text{ and } i \neq n \}$ }  
    i = i + 1;  
    { $\{ s = b[0] + \dots + b[i-2] + b[i-1] \text{ and } i-1 \neq n \}$ }  
}  
{ $\{ s = b[0] + \dots + b[i-1] \text{ and not } (i \neq n) \}$ }  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Are we done?  
No, need to also check...

{ $\{ s + b[i] = b[0] + \dots + b[i] \}$ }  
s = s + b[i];  
{ $\{ s = b[0] + \dots + b[i] \}$ }  
i = i + 1  
{ $\{ s = b[0] + \dots + b[i-1] \}$ }

Does postcondition hold on termination?

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{ \}$ }  
s = 0;  
{ $\{ s = 0 \}$ }  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ }  
    s = s + b[i];  
    { $\{ s = b[0] + \dots + b[i-1] + b[i] \text{ and } i \neq n \}$ }  
    i = i + 1;  
    { $\{ s = b[0] + \dots + b[i-2] + b[i-1] \text{ and } i-1 \neq n \}$ }  
}  
{ $\{ s = b[0] + \dots + b[i-1] \text{ and not } (i \neq n) \}$ }  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Are we done?  
No, need to also check...

{ $\{ s + b[i] = b[0] + \dots + b[i] \}$ }  
s = s + b[i];  
{ $\{ s = b[0] + \dots + b[i] \}$ }  
i = i + 1  
{ $\{ s = b[0] + \dots + b[i-1] \}$ }

Postcondition holds? Yes, since  $i = n$ .

# Example: sum of array

---

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ }  
s = 0;  
{ s = 0 }  
i = 0;  
{ s = 0 and i = 0 }  
{ Inv: s = b[0] + ... + b[i-1] }  
while (i != n) {  
    { s = b[0] + ... + b[i-1] and i != n }  
    s = s + b[i];  
    { s = b[0] + ... + b[i-1] + b[i] and i != n }  
    i = i + 1;  
    { s = b[0] + ... + b[i-2] + b[i-1] and i-1 != n }  
}
```

{ s = b[0] + ... + b[i-1] and not (i != n) }

{ s = b[0] + ... + b[n-1] }

Are we done?  
No, need to also check...

Does loop body preserve invariant?

{ s + b[i] = b[0] + ... + b[i] } ]

s = s + b[i];

{ s = b[0] + ... + b[i] } ]

i = i + 1

{ s = b[0] + ... + b[i-1] } ]

# Example: sum of array

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{ \}$ }  
s = 0;  
{ $\{ s = 0 \}$ }  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }
```

```
while (i != n) {
```

```
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ }
```

```
    s = s + b[i];
```

```
    { $\{ s = b[0] + \dots + b[i-1] + b[i] \text{ and } i \neq n \}$ }
```

```
    i = i + 1;
```

```
    { $\{ s = b[0] + \dots + b[i-2] + b[i-1] \text{ and } i-1 \neq n \}$ }
```

```
}
```

```
{ $\{ s = b[0] + \dots + b[i-1] \text{ and not } (i \neq n) \}$ }
```

```
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Are we done?  
No, need to also check...

Does loop body preserve invariant?

{ $\{ s + b[i] = b[0] + \dots + b[i] \}$ }

s = s + b[i];

{ $\{ s = b[0] + \dots + b[i] \}$ }

i = i + 1

{ $\{ s = b[0] + \dots + b[i-1] \}$ }

Yes. Weaken by dropping “ $i-1 \neq n$ ”

# Example: sum of array

The following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{ \}$ }  
s = 0;  
{ $\{ s = 0 \}$ }  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ }  
    s = s + b[i];  
    { $\{ s = b[0] + \dots + b[i-1] + b[i] \text{ and } i \neq n \}$ }  
    i = i + 1;  
    { $\{ s = b[0] + \dots + b[i-2] + b[i-1] \text{ and } i-1 \neq n \}$ }  
}  
{ $\{ s = b[0] + \dots + b[i-1] \text{ and not } (i \neq n) \}$ }  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Are we done?  
No, need to also check...

Does loop body preserve invariant?

{ $\{ s + b[i] = b[0] + \dots + b[i] \}$ }  
s = s + b[i];  
{ $\{ s = b[0] + \dots + b[i] \}$ }  
i = i + 1  
{ $\{ s = b[0] + \dots + b[i-1] \}$ }

Yes. If Inv holds, then so does this  
(just add  $b[i]$  to both sides of Inv)

## Example: sum of array (attempt 2)

---

Consider the following code to compute  $b[0] + \dots + b[n-1]$ :

```
{{ b.length >= n }}  
s = 0;  
i = -1;  
while (i != n-1) {  
    i = i + 1;  
    s = s + b[i];  
}  
{{ s = b[0] + ... + b[n-1] }}
```

## Example: sum of array (attempt 2)

---

Consider the following code to compute  $b[0] + \dots + b[n-1]$ :

```
{{ b.length >= n }}  
s = 0;  
i = -1;  
{{ Inv: s = b[0] + ... + b[i] }}  
while (i != n-1) {  
    i = i + 1;  
    s = s + b[i];  
}  
{{ s = b[0] + ... + b[n-1] }}
```

# Example: sum of array (attempt 2)

---

Consider the following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{$  b.length >= n  $\}$ }  
s = 0;  
i = -1;  
{ $\{$  Inv: s = b[0] + ... + b[i]  $\}$ }  
while (i != n-1) {  
    i = i + 1;  
    s = s + b[i];  
}  
{ $\{$  s = b[0] + ... + b[n-1]  $\}$ }
```

- ( $s = 0$  and  $i = -1$ ) implies I
  - as before
- $\{I \text{ and } i \neq n-1\} \leq \{I\}$ 
  - reason backward:
    - $\{s + b[i+1] = b[0] + \dots + b[i+1]\}$
    - $\{s + b[i] = b[0] + \dots + b[i]\}$
- ( $I$  and  $i = n-1$ ) implies Q
  - as before

# Example: sum of array (attempt 3)

---

Consider the following code to compute  $b[0] + \dots + b[n-1]$ :

```
{{ b.length >= n }}  
s = 0;  
i = -1;  
{{ Inv: s = b[0] + ... + b[i] }}  
while (i != n) {  
    i = i + 1;  
    s = s + b[i];  
}  
{{ s = b[0] + ... + b[n-1] }}
```

Suppose we use  $i \neq n$  instead of  $i \neq n-1\dots$

We can spot this bug because the postcondition no longer follows.

When  $i = n$ , we get:

$$s = b[0] + \dots + b[n]$$

which is wrong

# Example: sum of array (attempt 4)

---

Consider the following code to compute  $b[0] + \dots + b[n-1]$ :

```
{ $\{$  b.length >= n  $\}$ }  
s = 0;  
i = -1;  
{ $\{$  Inv: s = b[0] + ... + b[i]  $\}$ }  
while (i != n-1) {  
    s = s + b[i];  
    i = i + 1;  
}  
{ $\{$  s = b[0] + ... + b[n-1]  $\}$ }
```

Suppose we misorder the assignments to  $i$  and  $s$ ...

We can spot this bug because the invariant does not hold:

$\{ $\{ s + b[i] = b[0] + \dots + b[i+1] \} \}$   
 $\{ $\{ s = b[0] + \dots + b[i+1] \} \}$$$

First assertion is not I.

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{ $\{ 0 \leq n \leq b.length \}$ 
i = k = 0;
while (i != n) {
    if (b[i] < 0) {
        swap b[i], b[k];
        k = k + 1;
    }
    i = i + 1;
}
{ $b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[n-1]$ }}
```

(Also: `b` contains the same numbers since we use swaps.)

(Also: `P` is true throughout the code. I'll skip writing that to save space...)

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
 {{ 0 <= n <= b.length }}
 i = k = 0;
 {{ Inv: b[0], ..., b[k-1] < 0 <= b[k], ..., b[i-1] }}
 while (i != n) {
   if (b[i] < 0) {
     swap b[i], b[k];
     k = k + 1;
   }
   i = i + 1;
 }
 {{ b[0], ..., b[k-1] < 0 <= b[k], ..., b[n-1] }}
```

# Example: partition array

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Consider the following code to put the negative values at the beginning of array `b`:

```
{{ 0 <= n <= b.length }}  
i = k = 0;  
{{ Inv: b[0], ..., b[k-1] < 0 <= b[k], ..., b[i-1] }}  
while (i != n) {  
    if (b[i] < 0) {  
        swap b[i], b[k];  
        k = k + 1;  
    }  
    i = i + 1;  
}  
{{ b[0], ..., b[k-1] < 0 <= b[k], ..., b[n-1] }}
```

- I holds initially:
  - $b[0], \dots, b[-1]$  is empty
- I and  $i = n$  implies postcondition

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{{ 0 <= n <= b.length }}                                • I holds initially  
i = k = 0;                                                 • I and i = n implies postcondition  
{{ Inv: b[0], ..., b[k-1] < 0 <= b[k], ..., b[i-1] }}  
while (i != n) {  
    if (b[i] < 0) {  
        swap b[i], b[k];  
        k = k + 1;  
    }  
    i = i + 1;  
}  
{{ b[0], ..., b[k-1] < 0 <= b[k], ..., b[n-1] }}
```

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{ $\{ 0 \leq n \leq b.length \}$ }  
i = k = 0;  
{ $\{ \text{Inv: } b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ }  
while (i != n) {  
    if (b[i] < 0) {  
        swap b[i], b[k];  
        k = k + 1;  
    }  
    i = i + 1;  
}  
{ $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ } ↑  
{ $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[n-1] \}$ }
```

- $I$  holds initially
- $I$  and  $i = n$  implies postcondition

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
 {{ 0 <= n <= b.length }}                                • I holds initially  
 i = k = 0;                                                 • I and i = n implies postcondition  
 {{ Inv: b[0], ..., b[k-1] < 0 <= b[k], ..., b[i-1] }}  
 while (i != n) {  
   if (b[i] < 0) {  
     swap b[i], b[k];  
     k = k + 1;  
   }  
   {{ b[0], ..., b[k-1] < 0 <= b[k], ..., b[i] }}    ↑  
   i = i + 1;  
 }  
 {{ b[0], ..., b[k-1] < 0 <= b[k], ..., b[n-1] }}
```

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{ $\{ 0 \leq n \leq b.length \}$ }                                • I holds initially  
i = k = 0;                                                 • I and i = n implies postcondition  
{ $\{ \text{Inv: } b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ }  
while (i != n) {  
    { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ }  ↓  
    if (b[i] < 0) {  
        swap b[i], b[k];  
        k = k + 1;  
    }  
    { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i] \}$ }  
    i = i + 1;  
}  
{ $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[n-1] \}$ }
```

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{ $\{ 0 \leq n \leq b.length \}$ }                                • I holds initially  
i = k = 0;                                                 • I and i = n implies postcondition  
{ $\{ \text{Inv: } b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ }  
while (i != n) {  
    if (b[i] < 0) {  
        → { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \text{ and } b[i] < 0 \}$ }  
        swap b[i], b[k];  
        k = k + 1;  
        → { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i] \}$ }  
    } else {  
        → { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \text{ and } b[i] \geq 0 \}$ }  
        → { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i] \}$ }  
    }  
    i = i + 1;  
}
```

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{ $\{ 0 \leq n \leq b.length \}$ }                                • I holds initially  
i = k = 0;  
{ $\{ \text{Inv: } b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ }      • I and i = n implies postcondition  
while (i != n) {  
    if (b[i] < 0) {  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \text{ and } b[i] < 0 \}$ }  
        swap b[i], b[k];  
        k = k + 1;  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i] \}$ }  
    } else {  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \text{ and } b[i] \geq 0 \}$ }  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i] \}$ }  
    }  
    i = i + 1;  
}
```

]

equivalent

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{ $\{ 0 \leq n \leq b.length \}$ }                                • I holds initially  
i = k = 0;  
{ $\{ \text{Inv: } b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ }      • I and i = n implies postcondition  
while (i != n) {  
    if (b[i] < 0) {  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \text{ and } b[i] < 0 \}$ }  
        swap b[i], b[k];  
        k = k + 1;  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i] \}$ }  
    }  
    i = i + 1;  
}  
{ $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[n-1] \}$ }
```

Remain to check this...

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{ $\{ 0 \leq n \leq b.length \}$ }                                • I holds initially  
i = k = 0;                                                 • I and i = n implies postcondition  
{ $\{ \text{Inv: } b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ }  
while (i != n) {  
    if (b[i] < 0) {  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \text{ and } b[i] < 0 \}$ }  
        swap b[i], b[k];  
        k = k + 1;  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i] \}$ } ↑  
    }  
    i = i + 1;  
}  
{ $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[n-1] \}$ }
```

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{ $\{ 0 \leq n \leq b.length \}$ }  
i = k = 0;  
{ $\{ \text{Inv: } b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \}$ }  
while (i != n) {  
    if (b[i] < 0) {  
        { $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[i-1] \text{ and } b[i] < 0 \}$ }  
        swap b[i], b[k];  
        { $\{ b[0], \dots, b[k] < 0 \leq b[k+1], \dots, b[i] \}$ }  
        k = k + 1;  
    }  
    i = i + 1;  
}  
{ $\{ b[0], \dots, b[k-1] < 0 \leq b[k], \dots, b[n-1] \}$ }
```

- $I$  holds initially
- $I$  and  $i = n$  implies postcondition

This is a valid triple.  
(Takes some thought.)

# Example: partition array

---

Consider the following code to put the negative values at the beginning of array `b`:

```
{l 0 <= n <= b.length }  
i = k = 0;  
{l Inv: b[0], ..., b[k-1] < 0 <= b[k], ..., b[i-1] }  
while (i != n) {  
    if (b[i] < 0) {  
        swap b[i], b[k];  
        k = k + 1;  
    }  
    i = i + 1;  
}  
{l b[0], ..., b[k-1] < 0 <= b[k], ..., b[n-1] }
```

- *l* holds initially
- *l* and  $i = n$  implies postcondition
- *l* holds after loop body

# Loop Invariants

---

- There is no general way to deduce the invariant from the code
- Why would we ever need to do this?
- It suggests coding like this:

Idea → Code → Invariant → Proof

# Loop Invariants

---

- There is no general way to deduce the invariant from the code
- Why would we ever need to do this?
- Don't do this:



# Loop Invariants

---

- There is no general way to deduce the invariant from the code.
- Don't do this:



- Instead, do this:



# Loop Invariants Before Code

---

- Loop invariant comes out of the algorithm idea
  - describes partial progress toward the goal
    - how you will get from start to end
  - contains the essence of the algorithm idea
- A good invariant will make the code easier to write
  - a great invariant makes the code “write itself”
  - (we will see the same thing with invariants for ADTs etc.)

# Loop Invariants in this Course

---

- We advocate writing invariants before the code
  - if the code is there, the invariant should be there too
- You will not be asked to find the invariant for the code
- Types of problems in HW2:
  - given invariant and code, prove it correct
  - given invariant, write code
  - write invariant and (then) code [for simple algorithms]
- When writing code, document your loop invariants (if nontrivial)
  - don't make readers re-discover them
  - improves changeability and understandability

# Loop Invariant Design Patterns

---

- Often loop invariant is a weakening of the postcondition
  - partial progress with completion a special case
- Example: sum the values in an array
  - postcondition:  $s = b[0] + \dots + b[n-1]$
  - loop invariant:  $s = b[0] + \dots + b[i-1]$  for some  $i$ 
    - postcondition is special case  $i = n$
- Only *slightly* weakened postcondition: Inv and not `cond` implies Q
- Stronger is usually better
  - if it is strong enough, there is only one way to write body
  - (but if it's too strong, there may be no way to write the body!)

# Filling in code, given invariant

---

Can often deduce correct code directly from loop invariant

# Filling in code, given invariant

---

Can often deduce correct code directly from loop invariant:

- what is the easiest way to satisfy the loop invariant?
  - this gives you the initialization code

# Filling in code, given invariant

---

Can often deduce correct code directly from loop invariant:

- what is the easiest way to satisfy the loop invariant?
  - this gives you the initialization code
- when does loop invariant satisfy the postcondition?
  - this gives you the termination condition

# Filling in code, given invariant

---

Can often deduce correct code directly from loop invariant:

- what is the easiest way to satisfy the loop invariant?
  - this gives you the initialization code
- when does loop invariant satisfy the postcondition?
  - this gives you the termination condition
- how do you make progress toward termination?
  - if condition is  $i \neq n$  (and  $i \leq n$ ), try  $i = i + 1$
  - if condition is  $i \neq j$  (and  $i \leq j$ ), try  $i = i + 1$  or  $j = j - 1$
  - write out the new invariant with this change (e.g.  $i+1$  for  $i$ )
  - figure out code needed to make the new invariant hold
    - usually just a small change (since Inv change is small)

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

$\{\{ b.length \geq n \text{ and } n > 0 \}\}$

??

$\{\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}\}$

while (?) {

??

}

$\{\{ m = \max(b[0], \dots, b[n-1]) \}\}$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

$\{\{ b.length \geq n \text{ and } n > 0 \}\}$

??

Easiest way to make this hold?



$\{\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}\}$

while (?) {

??

}

$\{\{ m = \max(b[0], \dots, b[n-1]) \}\}$

# Example: max of array

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$\{\{ b.length \geq n \text{ and } n > 0 \}\}$

??

$\{\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}\}$

while (?) {

??

}

$\{\{ m = \max(b[0], \dots, b[n-1]) \}\}$

Easiest way to make this hold?  
Take  $i = 1$  and  $m = \max(b[0])$



# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0 \}$ }
```

```
int i = 1;
```

```
int m = b[0];
```

```
{ $\{$  Inv: m =  $\max(b[0], \dots, b[i-1])$   $\}$ }
```

```
while (?) {
```

```
? ?
```

```
}
```

```
{ $\{$  m =  $\max(b[0], \dots, b[n-1])$   $\}$ }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{ b.length \geq n \text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}$ }
```

```
while (?) {
```

```
??
```

```
}
```

```
{ $\{ m = \max(b[0], \dots, b[n-1]) \}$ }
```

When does Inv imply postcondition?

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{ b.length \geq n \text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{ \text{Inv: } m = \max(b[0], \dots, b[i-1]) \}$ }
```

```
while (?) {
```

```
??
```

```
}
```

```
{ $\{ m = \max(b[0], \dots, b[n-1]) \}$ }
```

When does Inv imply postcondition?  
Happens when  $i = n$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length  $\geq n$  and  $n > 0$   $\}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv:  $m = \max(b[0], \dots, b[i-1])$   $\}$ }
```

```
while (i != n) {
```

```
? ?
```

```
}
```

```
{ $\{$  m =  $\max(b[0], \dots, b[n-1])$   $\}$ }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv: m = max(b[0], ..., b[i-1])  $\}$ }
```

```
while (i != n) {
```

```
??
```

How do we progress toward termination?

```
}
```

```
{ $\{$  m = max(b[0], ..., b[n-1])  $\}$ }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length  $\geq n$  and  $n > 0$   $\}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv:  $m = \max(b[0], \dots, b[i-1])$   $\}$ }
```

```
while (i != n) {
```

```
??
```

How do we progress toward termination?  
We start at  $i = 1$  and end at  $i = n$ , so...

```
}
```

```
{ $\{$  m =  $\max(b[0], \dots, b[n-1])$   $\}$ }
```

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

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int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv: m = max(b[0], ..., b[i-1])  $\}$ }
```

```
while (i != n) {
```

```
??
```

```
i = i + 1;
```

```
}
```

```
{ $\{$  m = max(b[0], ..., b[n-1])  $\}$ }
```

How do we progress toward termination?  
We start at  $i = 1$  and end at  $i = n$ , so  
Try this.

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0$   $\}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv: m = max(b[0], ..., b[i-1])  $\}$ }
```

```
while (i != n) {
```

```
??
```

```
i = i + 1;
```

```
}
```

```
{ $\{$  m = max(b[0], ..., b[n-1])  $\}$ }
```

When i becomes i+1, Inv becomes:  
 $m = \max(b[0], \dots, b[i])$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv: m = max(b[0], ..., b[i-1])  $\}$ }  
while (i != n) {  
    ?? ←—————  
    i = i + 1;  
}  
{ $\{$  m = max(b[0], ..., b[n-1])  $\}$ }
```

How do we get  
**from**  $m = \max(b[0], \dots, b[i-1])$   
**to**  $m = \max(b[0], \dots, b[i])$ ?

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0$   $\}$ }  
int i = 1;  
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```
{ $\{$  Inv: m = max(b[0], ..., b[i-1])  $\}$ }  
while (i != n) {  
    ?? ←—————  
    i = i + 1;  
}  
{ $\{$  m = max(b[0], ..., b[n-1])  $\}$ }
```

How do we get  
**from**  $m = \max(b[0], \dots, b[i-1])$   
**to**  $m = \max(b[0], \dots, b[i])$ ?  
Set  $m = \max(m, b[i])$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length >= n  $\text{ and } n > 0 \}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv: m = max(b[0], ..., b[i-1])  $\}$ }  
while (i != n) {  
    if (b[i] > m)  
        m = b[i];  
    i = i + 1;  
}  
{ $\{$  m = max(b[0], ..., b[n-1])  $\}$ }
```

How do we get  
**from**  $m = \max(b[0], \dots, b[i-1])$   
**to**  $m = \max(b[0], \dots, b[i])$ ?  
Set  $m = \max(m, b[i])$

# Example: max of array

---

Write code to compute  $\max(b[0], \dots, b[n-1])$ :

```
{ $\{$  b.length  $\geq n$  and  $n > 0$   $\}$ }  
int i = 1;  
int m = b[0];
```

```
{ $\{$  Inv:  $m = \max(b[0], \dots, b[i-1])$   $\}$ }  
while (i != n) {  
    if (b[i] > m)  
        m = b[i];  
    i = i + 1;  
}  
{ $\{$  m =  $\max(b[0], \dots, b[n-1])$   $\}$ }
```

# Filling in code, given invariant

---

As you saw, we can often deduce correct code directly from Inv

- cases where this happens are the best invariants

The invariant is *often* the essence of the algorithm **idea**

- then rest is just details that follow from the invariant

# Finding the loop invariant

---

Not every loop invariant is simple weakening of postcondition, but...

- that is the easiest case
- it happens a lot

In this class (e.g., exams):

- if I ask you to find the invariant, it will *very likely* be of this type
- I may ask you to inspect code with more complex invariants
- to learn about more ways of finding invariants: CSE 421

# Examples: finding loop invariants

---

1. sum of array
  - postcondition:  $s = b[0] + b[1] + \dots + b[n-1]$

# Examples: finding loop invariants

---

1. sum of array
  - postcondition:  $s = b[0] + b[1] + \dots + b[n-1]$
  - loop invariant:  $s = b[0] + b[1] + \dots + b[i-1]$ 
    - gives postcondition when  $i = n$
    - gives  $s = 0$  when  $i = 0$

# Examples: finding loop invariants

---

1. sum of array
  - postcondition:  $s = b[0] + b[1] + \dots + b[n-1]$
  - loop invariant:  $s = b[0] + b[1] + \dots + b[i-1]$ 
    - gives postcondition when  $i = n$
    - gives  $s = 0$  when  $i = 0$
2. max of array
  - postcondition:  $m = \max(b[0], b[1], \dots, b[n-1])$

# Examples: finding loop invariants

---

1. sum of array
  - postcondition:  $s = b[0] + b[1] + \dots + b[n-1]$
  - loop invariant:  $s = b[0] + b[1] + \dots + b[i-1]$ 
    - gives postcondition when  $i = n$
    - gives  $s = 0$  when  $i = 0$
2. max of array
  - postcondition:  $m = \max(b[0], b[1], \dots, b[n-1])$
  - loop invariant:  $m = \max(b[0], b[1], \dots, b[i-1])$ 
    - gives postcondition when  $i = n$
    - gives  $m = b[0]$  when  $i = 1$