
CSE 331

Software Design & Implementation

Kevin Zatloukal

Fall 2017

Lecture 3 – Reasoning About Loops

(Based on slides by Mike Ernst, Dan Grossman, David Notkin, Hal Perkins, Zach Tatlock)

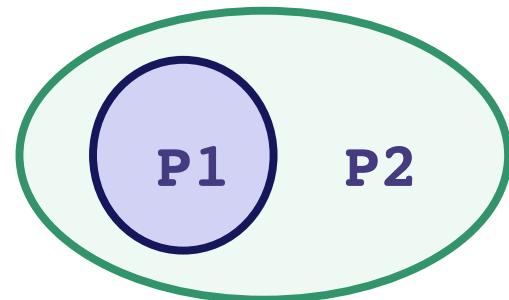
Reminders

- HW1 is due **tomorrow**
- HW2 will be posted on Wednesday
 - covers loops
 - it is harder, so more time given
- Reading Quiz 1 is due Friday
- For those still trying to add the course:
<https://goo.gl/forms/Ws8LW8UtvBjH0RiA2>

Weaker vs Stronger

If “whenever P1 holds, P2 also holds”, then:

- P1 is called **stronger** than P2
 - P2 is called **weaker** than P1
-
- It is more (or at least as) “difficult” to satisfy P1
 - the program states where P1 holds are a subset of the states where P2 holds
 - P1 puts more constraints on program states
 - P1 is a stronger set of requirements
-
- We do not always have P1 stronger than P2 or vice versa!
 - most assertions are incomparable



Applications to Hoare Logic

- Suppose:
 - $\{\{ P \}\} s \{\{ Q \}\}$ is valid and
 - some P_1 is *stronger* than P and
 - some Q_1 is *weaker* than Q
- Then these are all *valid* too:
 - $\{\{ P_1 \}\} s \{\{ Q \}\}$
 - a state where P_1 holds is one where P also holds
 - $\{\{ P \}\} s \{\{ Q_1 \}\}$
 - a state where Q holds is one where Q_1 also holds
 - $\{\{ P_1 \}\} s \{\{ Q_1 \}\}$

Weakest preconditions

- Suppose we know Q and S
- There are potentially many P such that $\{\{P\}\} S \{\{Q\}\}$ is valid
- Would be ideal if there were a *unique weakest precondition* P
 - most general assumptions under which S makes Q hold
 - get a valid triple for P_1 if and only if P_1 implies P
- Amazingly, without loops, for any S and Q , this exists!
 - we denote this by $wp(S, Q)$
 - can be found by general rules
- This is just **backward reasoning!**

Rules for weakest preconditions

- $\text{wp}(\mathbf{x} = \mathbf{e}, Q)$ is $Q[x=e]$
 - Example: $\text{wp}(\mathbf{x} = 2 * \mathbf{y}, \mathbf{x} > 4) = 2 * \mathbf{y} > 4$, i.e., $\mathbf{y} > 2$
- $\text{wp}(\mathbf{s}_1 ; \mathbf{s}_2, Q)$ is $\text{wp}(\mathbf{s}_1, \text{wp}(\mathbf{s}_2, Q))$
 - i.e., let R be $\text{wp}(\mathbf{s}_2, Q)$ and overall wp is $\text{wp}(\mathbf{s}_1, R)$
 - Example: $\text{wp}(\mathbf{y} = \mathbf{x} + 1, \text{wp}(\mathbf{z} = \mathbf{y} + 1, \mathbf{z} > 2)) =$
 $\text{wp}(\mathbf{y} = \mathbf{x} + 1, \mathbf{y} + 1 > 2) =$
 $(\mathbf{x} + 1) + 1 > 2 \text{ or equivalently } \mathbf{x} > 0$
- $\text{wp}(\mathbf{if } b \mathbf{ s}_1 \mathbf{ else } \mathbf{s}_2, Q)$ is this logic formula:
$$(b \text{ and } \text{wp}(\mathbf{s}_1, Q)) \text{ or } (\neg b \text{ and } \text{wp}(\mathbf{s}_2, Q))$$
 - you need $\text{wp}(\mathbf{s}_1, Q)$ if \mathbf{s}_1 is executed and $\text{wp}(\mathbf{s}_2, Q)$ if \mathbf{s}_2 is
 - you can often simplify the result considerably

More Examples

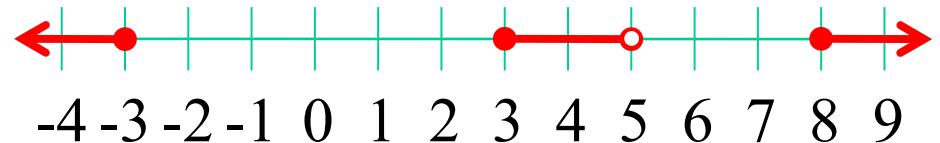
- If S is $x = y^*y$ and Q is $x > 4$,
then $\text{wp}(S,Q)$ is $y^*y > 4$, i.e., $|y| > 2$
- If S is $y = x + 1; z = y - 3$; and Q is $z = 10$,
then $\text{wp}(S,Q) \dots$
 $= \text{wp}(y = x + 1; z = y - 3, z = 10)$
 $= \text{wp}(y = x + 1, \text{wp}(z = y - 3, z = 10))$
 $= \text{wp}(y = x + 1, y - 3 = 10)$
 $= \text{wp}(y = x + 1, y = 13)$
 $= x+1 = 13$
 $= x = 12$

Bigger Example

```
S is if (y < 5) { x = y*y; } else { x = y+1; }
```

$\text{wp}(S, x \geq 9)$

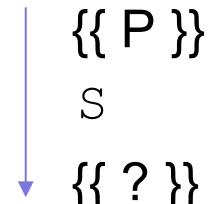
$$\begin{aligned} &= (\text{y} < 5 \text{ and } \text{wp}(x = y*y, x \geq 9)) \\ &\quad \text{or } (\text{y} \geq 5 \text{ and } \text{wp}(x = y+1, x \geq 9)) \\ &= (\text{y} < 5 \text{ and } y*y \geq 9) \\ &\quad \text{or } (\text{y} \geq 5 \text{ and } y+1 \geq 9) \\ &= (\text{y} \leq -3) \text{ or } (\text{y} \geq 3 \text{ and } \text{y} < 5) \\ &\quad \text{or } (\text{y} \geq 8) \end{aligned}$$



Review: Straight-line Code

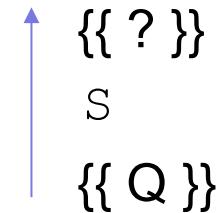
Forward & Backward Reasoning

Forward reasoning



- P is what we know initially
- Work downward
- Determine strongest post-condition if P is true initially

Backward reasoning



- Q is what we want at the end
- Work upward
- Determine weakest precondition to make Q hold

Assignment Rule

Forward reasoning

`{}{ P }`

`x = expr;`

`{}{ ? }`

Assignment Rule

Forward reasoning

```
↓ {{ P }}  
x = expr;  
{{ P and x = expr }}
```

- adds another known fact
- these tend to accumulate...
 - many are irrelevant

(above assumes x not used in P)

Assignment Rule

Forward reasoning

$\{\{ P \}\}$

$x = \text{expr};$

$\{\{ P \text{ and } x = \text{expr} \}\}$

Backward reasoning

$\{\{ ? \}\}$

$x = \text{expr};$

$\{\{ Q \}\}$

- adds another known fact
- these tend to accumulate...
 - many are irrelevant

(above assumes x not used in P)

Assignment Rule

Forward reasoning

```
{P}  
x = expr;  
{P and x = expr}
```

- adds another known fact
- these tend to accumulate...
 - many are irrelevant

(above assumes *x* not used in *P*)

Backward reasoning

```
{Q[x=expr]}  
x = expr;  
{Q}
```

- just substitution
- most general conditions for getting *Q* after *x = expr*;

Assignment Example

Forward reasoning

$\{\{ w = 3 \}\}$

$x = y - 5;$

$\{\{ ? \}\}$

Assignment Example

Forward reasoning

↓ $\{\{ w = 3 \}\}$
 $x = y - 5;$
 $\{\{ w = 3 \text{ and } x = y - 5 \}\}$

Assignment Example

Forward reasoning

$\{\{ w = 3 \}\}$

$x = y - 5;$

$\{\{ w = 3 \text{ and } x = y - 5 \}\}$

Backward reasoning

$\{\{ ? \}\}$

$x = y - 5;$

$\{\{ w = x + 5 \}\}$

Assignment Example

Forward reasoning

```
{ $\{ w = 3 \}$ }  
x = y - 5;  
{ $\{ w = 3 \text{ and } x = y - 5 \}$ }
```

Backward reasoning

```
{ $\{ w = y \}$ }  
x = y - 5;  
{ $\{ w = x + 5 \}$ }
```



Sequence Rule

Forward reasoning

$\{\{ P \}\}$

S1

S2

$\{\{ ? \}\}$

Sequence Rule

Forward reasoning

$\{\{ P \}\}$

S1

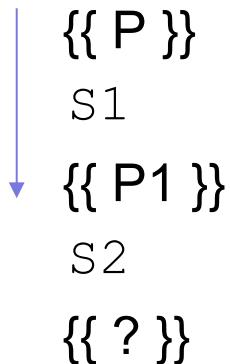
$\{\{ ? \}\}$

S2

$\{\{ ? \}\}$

Sequence Rule

Forward reasoning



Sequence Rule

Forward reasoning

$\{\{ P \}\}$

S1

$\{\{ P1 \}\}$

S2

$\{\{ P2 \}\}$



Sequence Rule

Forward reasoning

$\{\{ P \}\}$

S1

$\{\{ P1 \}\}$

S2

$\{\{ P2 \}\}$

Backward reasoning

$\{\{ ? \}\}$

S1

S2

$\{\{ Q \}\}$

Sequence Rule

Forward reasoning

$\{\{ P \}\}$

S1

$\{\{ P_1 \}\}$

S2

$\{\{ P_2 \}\}$

Backward reasoning

$\{\{ ? \}\}$

S1

$\{\{ ? \}\}$

S2

$\{\{ Q \}\}$

Sequence Rule

Forward reasoning

$\{\{ P \}\}$

S1

$\{\{ P1 \}\}$

S2

$\{\{ P2 \}\}$

Backward reasoning

$\{\{ ? \}\}$

S1

$\{\{ Q2 \}\}$

S2

$\{\{ Q \}\}$



Sequence Rule

Forward reasoning

$\{\{ P \} \}$

S1

$\{\{ P_1 \} \}$

S2

$\{\{ P_2 \} \}$

Backward reasoning

$\{\{ Q_1 \} \}$

S1

$\{\{ Q_2 \} \}$

S2

$\{\{ Q \} \}$



If-Statement Rule

Forward reasoning

```
{P}  
if (cond)  
    S1  
else  
    S2  
{?}  
    
```

If-Statement Rule

Forward reasoning

```
{P}  
if (cond)  
→ {{P and cond}}  
    S1  
else  
→ {{P and not cond}}  
    S2  
{?}
```

If-Statement Rule

Forward reasoning

```
{P}  
if (cond)  
| {{P and cond}}  
| S1  
| {{P1}}  
else  
| {{P and not cond}}  
| S2  
| {{P2}}  
{?}
```

If-Statement Rule

Forward reasoning

```
{P}  
if (cond)  
  {{P and cond}}  
  S1  
  {{P1}}  
else  
  {{P and not cond}}  
  S2  
  {{P2}}  
{{P1 or P2}}
```



If-Statement Rule

Forward reasoning

```
{P}  
if (cond)  
  {{P and cond}}  
  S1  
  {{P1}}  
else  
  {{P and not cond}}  
  S2  
  {{P2}}  
{{P1 or P2}}
```

Backward reasoning

```
{?}  
if (cond)  
  S1  
  else  
    S2  
  {{Q}}
```

If-Statement Rule

Forward reasoning

```
{P}  
if (cond)  
  {{P and cond}}  
  S1  
  {{P1}}  
else  
  {{P and not cond}}  
  S2  
  {{P2}}  
{{P1 or P2}}
```

Backward reasoning

```
{?}  
if (cond)  
  S1  
  → {{Q}}  
  else  
  S2  
  → {{Q}}  
  {{Q}}
```

If-Statement Rule

Forward reasoning

```
{P}  
if (cond)  
  {{P and cond}}  
  S1  
  {{P1}}  
else  
  {{P and not cond}}  
  S2  
  {{P2}}  
{{P1 or P2}}
```

Backward reasoning

```
{?}  
if (cond)  
  {{Q1}}  
  S1  
  {{Q}}  
else  
  {{Q2}}  
  S2  
  {{Q}}  
{{Q}}
```

If-Statement Rule

Forward reasoning

```
{P}  
if (cond)  
  {{P and cond}}  
  S1  
  {{P1}}  
else  
  {{P and not cond}}  
  S2  
  {{P2}}  
{{P1 or P2}}
```

Backward reasoning

```
{{ cond and Q1 or  
not cond and Q2 }}  
if (cond)  
  {{Q1}}  
  S1  
  {{Q}}  
else  
  {{Q2}}  
  S2  
  {{Q}}  
{{Q}}
```

If-Statement Example

Forward reasoning

```
{  
if (x >= 0)  
    y = x;  
else  
    y = -x;  
{ ? }
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
→ {{ x >= 0 }}  
    y = x;  
else  
→ {{ x < 0 }}  
    y = -x;  
{{ ? }}
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{{ ? }}
```

If-Statement Example

Forward reasoning

```
{ $\{ \}$ }  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{ $\{ ? \}$ }
```

Warning: many write {{ y >= 0 }} here

That is true but it is *strictly* weaker.
(It includes cases where y != x)

If-Statement Example

Forward reasoning

```
{  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{{ (x >= 0 and y = x) or  
  (x < 0 and y = -x) }}
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{{ y = |x| }}
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{{ y = |x| }}
```

Backward reasoning

```
{{ ? }}  
if (x >= 0)  
  y = x;  
else  
  y = -x;  
{{ y = |x| }}
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{ y = |x| }
```

Backward reasoning

```
{{ ? }}  
if (x >= 0)  
  y = x;  
  {{ y = |x| }}  
else  
  y = -x;  
  {{ y = |x| }}  
{ y = |x| }
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{y = |x| }}
```

Backward reasoning

```
{{ ? }}  
if (x >= 0)  
  {{ x = |x| }}  
  y = x;  
  {{ y = |x| }}  
else  
  {{ -x = |x| }}  
  y = -x;  
  {{ y = |x| }}  
{y = |x| }}
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{{ y = |x| }}
```

Backward reasoning

```
{{ ? }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ y = |x| }}  
else  
  {{ x <= 0 }}  
  y = -x;  
  {{ y = |x| }}  
{{ y = |x| }}
```

If-Statement Example

Forward reasoning

```
{ $\{ \}$ }  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{ $\{ y = |x| \}$ }
```

Backward reasoning

```
{ $\{ (x >= 0 \text{ and } x >= 0) \text{ or }$   
  (x < 0 \text{ and } x <= 0 ) \}}
```



```
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ y = |x| }}  
else  
  {{ x <= 0 }}  
  y = -x;  
  {{ y = |x| }}  
{ $\{ y = |x| \}$ }
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{y = |x| }}
```

Backward reasoning

```
{{ x >= 0 or x < 0 }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ y = |x| }}  
else  
  {{ x <= 0 }}  
  y = -x;  
  {{ y = |x| }}  
{{ y = |x| }}
```

If-Statement Example

Forward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ x >= 0 and y = x }}  
else  
  {{ x < 0 }}  
  y = -x;  
  {{ x < 0 and y = -x }}  
{{ y = |x| }}
```

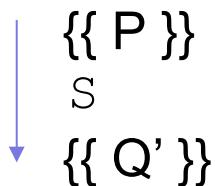
Backward reasoning

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = x;  
  {{ y = |x| }}  
else  
  {{ x <= 0 }}  
  y = -x;  
  {{ y = |x| }}  
{{ y = |x| }}
```

Verifying Correctness (*Inspection*)

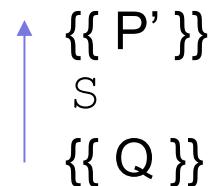
Two different ways of checking $\{\{ P \}\} S \{\{ Q \}\}$

Use forward reasoning:



- Find Q' assuming P .
- Check that Q' implies Q .
 - weaken postcondition

Use backward reasoning:



- Find P' that produces Q .
- Check that P implies P' .
 - strengthen precondition

You know how to verify correctness of straight-line code.

You will do this on HW1.

Using Both Forward & Backward

Also possible to check correctness by mixing forward & backward:

```
{{ }}
if (x >= 0)
    y = div(x,2);
else
    y = -div(-x+1,2);
{{ 2y = x or 2y = x - 1 }}
```

Assume that `div(a,b)` computes a/b rounded *toward zero*.

Code to compute $x/2$ rounded toward minus infinity (usual division).

Using Both Forward & Backward

Also possible to check correctness by mixing forward & backward:

```
{{ }}  
if (x >= 0)  
→ {{ x >= 0 }}  
    y = div(x, 2);  
else  
→ {{ x < 0 }}  
    y = -div(-x+1, 2);  
{{ 2y = x or 2y = x - 1 }}
```

Using Both Forward & Backward

Also possible to check correctness by mixing forward & backward:

```
{{ }}

if (x >= 0)
  {{ x >= 0 }}
  y = div(x, 2);
  → {{ 2y = x or 2y = x - 1 }}

else
  {{ x < 0 }}
  y = -div(-x+1, 2);
  → {{ 2y = x or 2y = x - 1 }}

{{ 2y = x or 2y = x - 1 }}
```

Using Both Forward & Backward

Also possible to check correctness by mixing forward & backward:

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = div(x, 2);  
  {{ 2y = x or 2y = x - 1 }}  
else  
  {{ x < 0 }}  
  y = -div(-x+1, 2);  
  {{ 2y = x or 2y = x - 1 }}  
{{ 2y = x or 2y = x - 1 }}
```

The code illustrates a hybrid verification approach. It uses forward analysis (backward reasoning) for the if-block and backward analysis (forward reasoning) for the else-block. The annotations with question marks indicate that the tool is checking the consistency of the derived properties against the original code.

Using Both Forward & Backward

Also possible to check correctness by mixing forward & backward:

```
{{ }}  
if (x >= 0)  
  {{ x >= 0 }}  
  y = div(x, 2);  
  {{ 2y = x or 2y = x - 1 }}  
else  
  {{ x < 0 }}  
  y = -div(-x+1, 2);  
  {{ 2y = x or 2y = x - 1 }}  
{{ 2y = x or 2y = x - 1 }}  
  
  ↑ {{ 2 div(x,2) = x or 2 div(x,2) = x - 1 }}  
      true if x >= 0  
  
  ↑ {{ 2 div(-x+1,2) = (-x+1) -1 or  
      {{ 2 div(-x+1,2) = -x+1 }}  
      true if -x+1 >= 0
```

One caveat

- With forward reasoning, there is a problem with assignments:
 - changing a variable can affect other assumptions

$\{\{ \} \}$

$w = x + y;$

$\{\{ w = x + y \} \}$

$x = 4;$

$\{\{ w = x + y \text{ and } x = 4 \} \}$

$y = 3;$

$\{\{ w = x + y \text{ and } x = 4 \text{ and } y = 3 \} \}$

- But clearly we do not know $w = 7!$
- The assertion $w = x + y$ means the *original* values of x and y

One Fix

- Use different names for the values at different points
 - common to use subscripts to distinguish these
 - on every assignment, rename references to the old values

$\{\{ \} \}$

$w = x + y;$

$\{\{ w = x + y \} \}$

$x = 4;$

$\{\{ w = x_0 + y \text{ and } x = 4 \} \}$

$y = 3;$

$\{\{ w = x_0 + y_0 \text{ and } x = 4 \text{ and } y = 3 \} \}$

Useful example: swap

- Consider code for a swapping x and y

```
{}  
tmp = x;  
{ tmp = x }  
x = y;  
{ tmp = x0 and x = y }  
y = tmp;  
{ tmp = x0 and x = y0 and y = tmp }
```

- Post condition implies $x = y_0$ and $y = x_0$
- I.e., their final values are equal to the original values swapped

Loops

Loop Invariant

A **loop invariant** is one that always holds at the top of the loop:

```
{Inv: I}  
while (cond)  
    S
```

- It holds when we first get to the loop.
- It holds each time we execute *S* and come back to the top.

Notation: I'll use “*Inv*:” to indicate a loop invariant.



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Lupin variants

While-Loop Rule

Consider a while-loop (other loop forms not too different):

$$\{\{ P \}\} \text{ while } (\text{cond}) \ S \ \{\{ Q \}\}$$

This triple is valid iff: there is a loop invariant I such that

- | | |
|--|--|
| $\{\{ P \}\}$
$\{\{ \text{Inv: } I \}\}$
while (cond)
S
$\{\{ Q \}\}$ | <ul style="list-style-type: none">• I holds initially• I holds each time we execute S• Q holds when I holds and cond is false |
|--|--|

While-Loop Rule

Consider a while-loop (other loop forms not too different):

$$\{\{ P \}\} \text{ while } (\text{cond}) \ S \ \{\{ Q \}\}$$

This triple is valid iff: there is a loop invariant I such that

$$\begin{array}{c} \{\{ P \}\} \\ \{\{ \text{Inv: I} \}\} \\ \text{while } (\text{cond}) \\ \quad S \\ \{\{ Q \}\} \end{array}$$

- P implies I
- I holds each time we execute S
- Q holds when I holds and cond is false

While-Loop Rule

Consider a while-loop (other loop forms not too different):

$$\{\{ P \}\} \text{ while } (\text{cond}) \ S \ \{\{ Q \}\}$$

This triple is valid iff: there is a loop invariant I such that

$$\begin{array}{c} \{\{ P \}\} \\ \{\{ \text{Inv: I} \}\} \\ \text{while } (\text{cond}) \\ \quad S \\ \{\{ Q \}\} \end{array}$$

- P implies I
- $\{\{ \text{I and cond} \}\} S \{\{ \text{I} \}\}$ is valid
- Q holds when I holds and cond is false

While-Loop Rule

Consider a while-loop (other loop forms not too different):

$$\{\{ P \}\} \text{ while } (\text{cond}) \ S \ \{\{ Q \}\}$$

This triple is valid iff: there is a loop invariant I such that

- | | |
|--|---|
| $\{\{ P \}\}$
$\{\{ \text{Inv: } I \}\}$
while (cond)
S
$\{\{ Q \}\}$ | <ul style="list-style-type: none">• P implies I• $\{\{ I \text{ and } \text{cond} \}\} S \{\{ I \}\}$ is valid• $(I \text{ and not } \text{cond})$ implies Q |
|--|---|

While-Loop Rule

Consider a while-loop (other loop forms not too different):

$$\{\{ P \}\} \text{ while } (\text{cond}) \ S \ \{\{ Q \}\}$$

This triple is valid iff: there is a loop invariant I such that

- | | |
|--|---|
| $\{\{ P \}\}$
$\{\{ \text{Inv: } I \}\}$
while (cond)
S
$\{\{ Q \}\}$ | <ul style="list-style-type: none">• P implies I• $\{\{ I \text{ and } \text{cond} \}\} S \{\{ I \}\}$ is valid• $(I \text{ and not } \text{cond})$ implies Q |
|--|---|

More on Loop Invariants

- We need a loop invariant to check validity of a while loop.
- There is no automatic way to generate these.
 - (A theory course will explain why...)
- For this lecture, all loop invariants will be given.
- Next lecture will discuss how to choose a loop invariant.
- Pro Tip: always document your invariants for non-trivial loops
 - as we just saw, much easier for others to check your code
 - possible exception for loops that are “obvious”
- Pro Tip: with a good loop invariant, the code is easy to write
 - we will see this next time

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{{ b.length >= n }}  
s = 0;  
i = 0;  
while (i != n) {  
    s = s + b[i];  
    i = i + 1;  
}  
{s = b[0] + ... + b[n-1]}
```

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

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{{ b.length >= n }}  
s = 0;  
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{{ Inv: s = b[0] + ... + b[i-1] }}  
while (i != n) {  
    s = s + b[i];  
    i = i + 1;  
}  
{{ s = b[0] + ... + b[n-1] }}
```

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{ b.length >= n \}$ }  
s = 0;  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    s = s + b[i];  
    i = i + 1;  
}  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{ b.length >= n \}$ }  
s = 0;  
i = 0;  
↓ { $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    s = s + b[i];  
    i = i + 1;  
}  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{ b.length \geq n \}$ }  
s = 0;  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    s = s + b[i];  
    i = i + 1;  
}  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

- ($s = 0$ and $i = 0$) implies
 $s = b[0] + \dots + b[i-1]$?

Yes. (An empty sum is zero.)

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{ b.length \geq n \}$ }          • (s = 0 and i = 0) implies I  
s = 0;  
i = 0;  
{ $\{ s = 0 \text{ and } i = 0 \}$ }  
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }  
while (i != n) {  
    s = s + b[i];  
    i = i + 1;  
}  
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{ b.length \geq n \}$ }                                • ( $s = 0$  and  $i = 0$ ) implies  $I$ 
    s = 0;                                         •  $\{ \{ I \text{ and } i \neq n \} \} S \{ \{ I \} \} ?$ 
    i = 0;
{ $\{ \text{Inv: } s = b[0] + \dots + b[i-1] \}$ }
while (i != n) {
    { $\{ s = b[0] + \dots + b[i-1] \text{ and } i \neq n \}$ } ]
    s = s + b[i];
    i = i + 1;
    { $\{ s = b[0] + \dots + b[i-1] \}$ } }
{ $\{ s = b[0] + \dots + b[n-1] \}$ }
```

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{$  b.length >= n  $\}$ }  
s = 0;  
i = 0;
```

```
{ $\{$  Inv: s = b[0] + ... + b[i-1]  $\}$ }  
while (i != n) {
```

```
{ $\{$  s = b[0] + ... + b[i-1] and i != n  $\}$ }
```

```
s = s + b[i];  
i = i + 1;
```

```
{ $\{$  s = b[0] + ... + b[i-1]  $\}$ }
```

```
}
```

```
{ $\{$  s = b[0] + ... + b[n-1]  $\}$ }
```

- ($s = 0$ and $i = 0$) implies I
- $\{ $\{$ I and $i \neq n$ $\}$ \} \leq \{ $\{$ I $\}$ \} ?$

Yes (e.g., by backward reasoning)

$\{ $\{$ s + b[i] = b[0] + \dots + b[i] $\}$ \}$

$\{ $\{$ s = b[0] + \dots + b[i] $\}$ \}$

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{$  b.length >= n  $\}$ }  
s = 0;  
i = 0;  
 $\{$  Inv: s = b[0] + ... + b[i-1]  $\}$   
while (i != n) {  
    s = s + b[i];  
    i = i + 1;  
}  
 $\{$  s = b[0] + ... + b[n-1]  $\}$ 
```

- ($s = 0$ and $i = 0$) implies I
- $\{I \text{ and } i \neq n\} \leq \{I\}$
- $\{I \text{ and } i == n\}$ implies
 $s = b[0] + \dots + b[n-1] ?$
Yes. (I is the postcondition when we have $i == n$.)

Example: sum of array

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{$  b.length >= n  $\}$ }  
s = 0;  
i = 0;  
{ $\{$  Inv: s = b[0] + ... + b[i-1]  $\}$ }  
while (i != n) {  
    s = s + b[i];  
    i = i + 1;  
}  
{ $\{$  s = b[0] + ... + b[n-1]  $\}$ }
```

- ($s = 0$ and $i = 0$) implies I
- $\{ \{ I \text{ and } i \neq n \} \} S \{ \{ I \} \}$
- $\{ \{ I \text{ and } i == n \} \}$ implies Q

These three checks verify that the postcondition holds (i.e., the code is correct).

Termination

- Technically, this analysis does not check that the code **terminates**
 - it shows that the postcondition holds if the loop exits
 - but we never showed that the loop actually exits
- However, that follows from an analysis of the running time
 - e.g., if the code runs in $O(n^2)$ time, then it terminates
 - an infinite loop would be $O(\infty)$
 - any finite bound on the running time proves it terminates
- It is normal to also analyze the running time of code we write, so we get termination already from that analysis.

Example: sum of array (attempt 2)

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{{ b.length >= n }}  
s = 0;  
i = -1;  
while (i != n-1) {  
    i = i + 1;  
    s = s + b[i];  
}  
{{ s = b[0] + ... + b[n-1] }}
```

Example: sum of array (attempt 2)

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{{ b.length >= n }}  
s = 0;  
i = -1;  
{{ Inv: s = b[0] + ... + b[i] }}  
while (i != n-1) {  
    i = i + 1;  
    s = s + b[i];  
}  
{{ s = b[0] + ... + b[n-1] }}
```

Example: sum of array (attempt 2)

Consider the following code to compute $b[0] + \dots + b[n-1]$:

```
{ $\{$  b.length >= n  $\}$ }  
s = 0;  
i = -1;  
{ $\{$  Inv: s = b[0] + ... + b[i]  $\}$ }  
while (i != n-1) {  
    i = i + 1;  
    s = s + b[i];  
}  
{ $\{$  s = b[0] + ... + b[n-1]  $\}$ }
```

- ($s = 0$ and $i = -1$) implies I
 - as before
- $\{I \text{ and } i \neq n-1\} \leq \{I\}$
 - reason backward:
 - $\{s + b[i+1] = b[0] + \dots + b[i+1]\}$
 - $\{s + b[i] = b[0] + \dots + b[i]\}$
- (I and $i = n-1$) implies Q
 - as before