

CSE 326: Data Structures

Asymptotic Analysis

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Winter 2008
Lectures 2 & 3

1/8/2008

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Today's Outline

- Admin: Project 1
- **Asymptotic analysis**

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Office Hours, etc.

The plan so far...

Hal Perkins M 4-5, W 4:30-5:30 CSE 006 lab

Kathleen Tuite Wed and/or Fri afternoon?

Ray Smith Tue mid-day?

(Comments? Conflicts? Lab of TA consulting rooms?)

TODO :

Hand in info sheet

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Project 1 – Sound Blaster!

Play your favorite song in reverse!

Aim:

1. Implement stack interface two different ways (array, linked list)
2. Use to reverse a sound file

Due: Wed, Jan. 16

Electronic: at 10 pm, Jan. 16

Hardcopy: in sections Thursday

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Comparing Two Algorithms

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What we want

- Rough Estimate
- Ignores Details

- Characterize and compare algorithms independent of implementation details
 - (coding tricks, machine speed, compiler optimizations)

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Analysis of Algorithms

- Efficiency measure
 - how long the program runs **time complexity**
 - how much memory it uses **space complexity**
 - For today, we'll focus on time complexity only
(Analysis of space, etc. is very similar)
- Analysis is in terms of the *problem size*
 - Size depends on problem being solved
 - Typical: size of data structure, magnitude of some numeric parameter, ...

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Asymptotic Analysis

- Complexity as a function of input size n
 - $T(n) = 4n + 5$
 - $T(n) = 0.5 n \log n - 2n + 7$
 - $T(n) = 2^n + n^3 + 3n$

- *What happens as n grows?*

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Why Asymptotic Analysis?

- Most algorithms are fast for small n
 - Time difference too small to be noticeable
 - External things dominate (OS, disk I/O, ...)
- BUT n is often large in practice
 - Databases, internet (think Google), graphics, computational science, ...
- Time difference really shows up as n grows!

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Analyzing Code

Basic Java operations	Constant time
Consecutive statements	Sum of times
Conditionals	Larger branch plus test
Loops	Sum of iterations
Function calls	Cost of function body
Recursive functions	Solve recurrence relation

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Algorithm Analysis Examples

- Consider the following program segment:

```
x := 0;
for i = 1 to N do
  for j = 1 to i do
    x := x + 1;
```
- What is the value of x at the end?
(equivalent: how many times is $x := x+1$ executed as a function of N ?)

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Analyzing the Loop

- Total number of times x is incremented is executed =
$$1+2+3+\dots+N = \sum_{i=1}^N i = \frac{N(N+1)}{2}$$
- Congratulations – We've just analyzed our first program!
 - Running time of the program is proportional to $N(N+1)/2$ for all N

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Another Example: Nested Loops

```
for i = 1 to n do
  for j = 1 to n do
    sum = sum + 1

for i = 1 to n do
  for j = i to n do
    sum = sum + 1
```

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And Another: Nested Loops

```
for i = 1 to n do
  for j = 1 to n do
    if (cond) {
      do_stuff(sum)
    } else {
      for k = 1 to n*n
        sum += 1
```

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Exercise - Searching

2	3	5	16	37	50	73	75	126
---	---	---	----	----	----	----	----	-----

// return "key is in a[0..n-1]"

```
bool search(int a[], int n, int key){
```

```
  // Insert your algorithm here
```

```
}
```

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What algorithm would you choose to implement this code snippet?

Linear Search Analysis

```
// return "key is in a[0..n-1]"
bool search(int a[],
            int n,
            int key ) {
  for( int i = 0; i < n; i++ ) {
    if( a[i] == key )
      // Found it!
      return true;
  }
  return false;
}
```

Best Case:

Worst Case:

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Binary Search Analysis

```
// return "key is in a[low..high]"
bool BSearch( int a[], int low,
              int high, int key ) {
    // The subarray is empty
    if( low > high ) return false;

    // Search this subarray recursively
    int mid = (high + low) / 2;
    if( key == a[mid] ) {
        return true;
    } else if( key < a[mid] ) {
        return BSearch( a, low,
                        mid-1, key );
    } else {
        return BSearch( a, mid+1,
                        high, key );
    }
}
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```

Best case:

Worst case:

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Solving Recurrence Relations

1. Determine the recurrence relation. What is (are) the base case(s)?
2. "Expand" the original relation to find an equivalent general expression *in terms of the number of expansions*.
3. Find a closed-form expression by setting *the number of expansions* to a value which reduces the problem to a base case

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Linear Search vs Binary Search

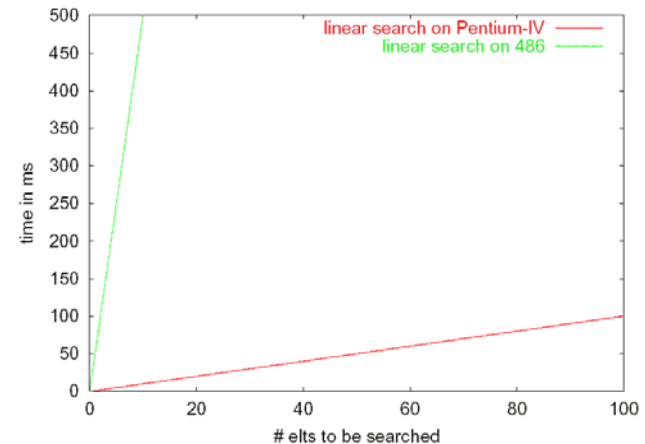
	Linear Search	Binary Search
Best Case		
Worst Case		

*So ... which algorithm is better?
What tradeoffs can you make?*

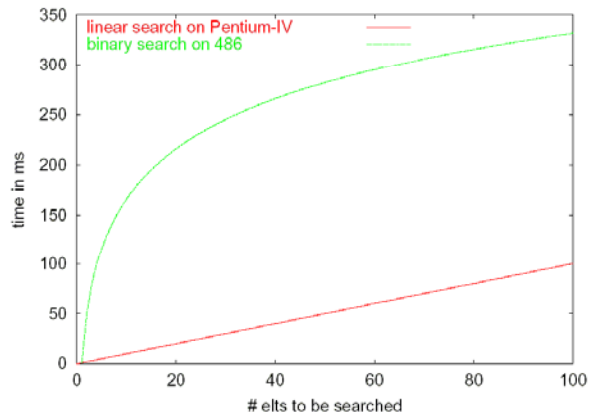
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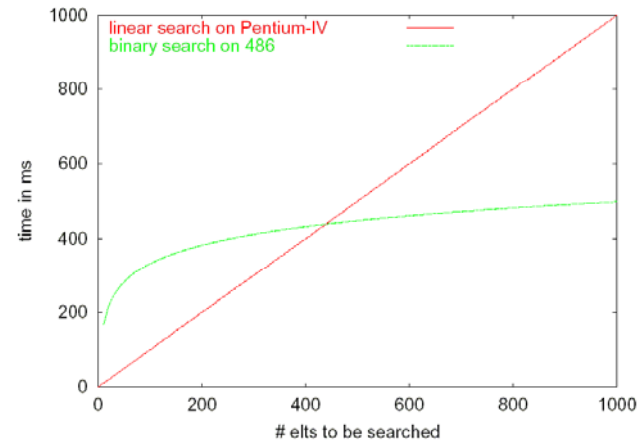
Fast Computer vs. Slow Computer



Fast Computer vs. Smart Programmer (round 1)



Fast Computer vs. Smart Programmer (round 2)



Asymptotic Analysis

- Asymptotic analysis looks at the *order* of the running time of the algorithm
 - A valuable tool when the input gets “large”
 - Ignores the *effects of different machines* or *different implementations* of the same algorithm
- Intuitively, to find the asymptotic runtime, throw away the constants and low-order terms
 - Linear search is $T(n) = 3n + 2 \in O(n)$
 - Binary search is $T(n) = 4 \log_2 n + 4 \in O(\log n)$

Remember: the fastest algorithm has the slowest growing function for its runtime

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Asymptotic Analysis

- Eliminate low order terms
 - $4n + 5 \Rightarrow$
 - $0.5 n \log n + 2n + 7 \Rightarrow$
 - $n^3 + 2^n + 3n \Rightarrow$
- Eliminate coefficients
 - $4n \Rightarrow$
 - $0.5 n \log n \Rightarrow$
 - $n \log n^2 \Rightarrow$

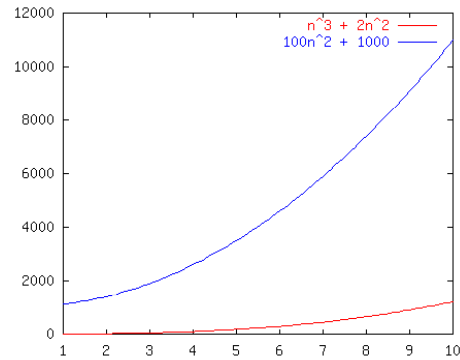
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Order Notation: Intuition

$$f(n) = n^3 + 2n^2$$

$$g(n) = 100n^2 + 1000$$



Although not yet apparent, as n gets “sufficiently large”, $f(n)$ will be “greater than or equal to” $g(n)$

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Order Notation

- Upper bound: $T(n) = O(f(n))$ Big-O
Exist constants c and n' such that
 $T(n) \leq c f(n)$ for all $n \geq n'$
 - Lower bound: $T(n) = \Omega(g(n))$ Omega
Exist constants c and n' such that
 $T(n) \geq c g(n)$ for all $n \geq n'$
 - Tight bound: $T(n) = \theta(f(n))$ Theta
- When both hold:
 $T(n) = O(f(n))$
 $T(n) = \Omega(f(n))$

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$O(f(n))$ Definition

$O(f(n))$: a set or class of functions

$g(n) \in O(f(n))$ iff there exist constns c and n_0 such that:

$$g(n) \leq c f(n) \text{ for all } n \geq n_0$$

Example:

$$100n^2 + 1000 \leq 5(n^3 + 2n^2) \text{ for all } n \geq 19$$

So $g(n) \in O(f(n))$

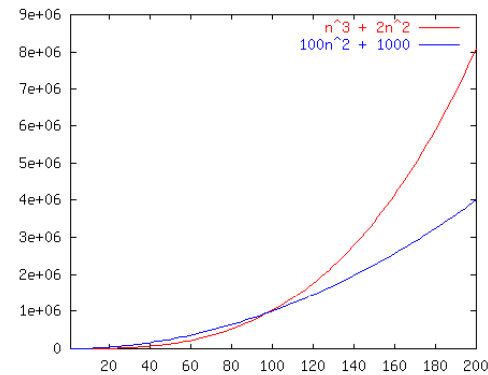
Sometimes, you'll see the notation $g(n) = O(f(n))$. This is equivalent to $g(n) \in O(f(n))$ – it is *not* an equality.

Remember: notation $O(f(n)) = g(n)$ is meaningless!

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Order Notation: Example



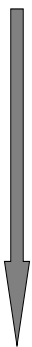
$$100n^2 + 1000 \leq 5(n^3 + 2n^2) \text{ for all } n \geq 19$$

So $f(n) \in O(g(n))$

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Big-O: Common Names



- constant: $O(1)$
- logarithmic: $O(\log n)$ ($\log_k n, \log n^2 \in O(\log n)$)
- linear: $O(n)$
- log-linear: $O(n \log n)$
- quadratic: $O(n^2)$
- cubic: $O(n^3)$
- polynomial: $O(n^k)$ (k is a constant)
- exponential: $O(c^n)$ (c is a constant > 1)

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Know Your Complexity Classes!

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Meet the Family

- $O(f(n))$ is the set of all functions asymptotically less than or equal to $f(n)$
 - $o(f(n))$ is the set of all functions asymptotically strictly less than $f(n)$
- $\Omega(f(n))$ is the set of all functions asymptotically greater than or equal to $f(n)$
 - $\omega(f(n))$ is the set of all functions asymptotically strictly greater than $f(n)$
- $\theta(f(n))$ is the set of all functions asymptotically equal to $f(n)$

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Meet the Family, Formally

- $g(n) \in O(f(n))$ iff
There exist c and n_0 such that $g(n) \leq c f(n)$ for all $n \geq n_0$
 - $g(n) \in o(f(n))$ iff
There exists a n_0 such that $g(n) < c f(n)$ for all c and $n \geq n_0$
- $g(n) \in \Omega(f(n))$ iff Equivalent to: $\lim_{n \rightarrow \infty} g(n)/f(n) = 0$
There exist c and n_0 such that $g(n) \geq c f(n)$ for all $n \geq n_0$
 - $g(n) \in \omega(f(n))$ iff
There exists a n_0 such that $g(n) > c f(n)$ for all c and $n \geq n_0$
- $g(n) \in \theta(f(n))$ iff Equivalent to: $\lim_{n \rightarrow \infty} g(n)/f(n) = \infty$
 $g(n) \in O(f(n))$ and $g(n) \in \Omega(f(n))$

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Big-Omega et al. Intuitively

Asymptotic Notation	Mathematics Relation
O	\leq
Ω	\geq
θ	$=$
o	$<$
ω	$>$

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Perspective: Kinds of Analysis

- Running time may depend on **actual data input**, not just **length of input**
- Distinguish
 - **worst case**
 - your worst enemy is choosing input
 - **best case**
 - **average case**
 - assumes some probabilistic distribution of inputs
 - **amortized**
 - average time over many operations

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Types of Analysis

Two orthogonal axes:

- **bound flavor**
 - upper bound (O , o)
 - lower bound (Ω , ω)
 - asymptotically tight (θ)
- **analysis case**
 - worst case (adversary)
 - average case
 - best case
 - “amortized”

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Pros and Cons of Asymptotic Analysis

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