CSE 326: Data Structures
Assignment \#5
November 3, 2008
due: Thursday, November 13, beginning of quiz section

1. Consider the following splay tree $T$.

(a) Show the results of each of the rotations to splay the key 9 to the root.
(b) In part (a), let $T_{1}$ be your splay tree after the first Case I, II, or III rotation, and $T_{2}$ be your splay tree after the second. Go back and label the nodes of the 3 trees $T, T_{1}$, and $T_{2}$ with their ranks.
(c) Suppose the Money Invariant holds for $T$, and suppose you were only paid $\$ 2$ to splay the key 9 in $T$. According to the proof of the Cost of Splay Steps Lemma, for which of your two rotations ( $T$ to $T_{1}$, or $T_{1}$ to $T_{2}$ ) would you have to take $\$ 1$ from the tree, and from which node would the $\$ 1$ come?
(d) Exactly how would the $\$ 2$ you are paid for the splay in part (c) be used?
2. (a) Suppose $n$ is odd. You are given a splay tree on $n$ nodes such that the path from the root to the key 0 passes through nodes with keys in the order

$$
-\frac{n-1}{2}, \frac{n-1}{2},-\frac{n-3}{2}, \frac{n-3}{2}, \ldots,-2,2,-1,1,0 .
$$

Show the splay trees before and after splaying on the key 0 .
(b) How many dollars must be paid just for rotations in part (a)? (That is, for this part ignore dollars spent to maintain the Money Invariant.)
(c) The Investment Lemma says we are paid only $3\left\lfloor\log _{2} n\right\rfloor+1$ new dollars for the splay of part (a), so anything in excess of this in part (b) must come from money stored in the splay tree before the splay. Answer the following questions precisely.

For $-\frac{n-1}{2} \leq i \leq \frac{n-1}{2}$, what was the rank of the node with key $i$ before the splay? For $-\frac{n-1}{2} \leq i \leq \frac{n-1}{2}$, what is the rank of the node with key $i$ after the splay? From which nodes can we take money to pay for the rotations? Show that these nodes have enough excess money to pay for all the rotations.
3. We showed that you can delete key $K$ from a splay tree if you are paid $7\lfloor\log n\rfloor+2$ dollars (in addition to the dollars that the Money Invariant states are already in the tree). Recall that the breakdown for this figure was $3\lfloor\log n\rfloor+1$ dollars to splay on $K$, another $3\lfloor\log n\rfloor+1$ dollars to splay the left subtree on $+\infty$, and $\lfloor\log n\rfloor$ extra dollars to invest in the new root because it takes on new descendents.
Prove that any Delete in a splay tree can be accomplished (maintaining the Money Invariant and paying $\$ 1$ per rotation, of course) if the client pays only $5\lfloor\log n\rfloor+2$ dollars. (Hint: prove that $3\lfloor\log n\rfloor+1$ dollars is sufficient for the Concat and that another $\lfloor\log n\rfloor$ dollars can be saved in the other part of Delete. In both cases the trick is to be careful about the investment at the root.)
4. Insert the integers $87,19,25,55,36,46,88,7,67,21$ (in this order) into an initially empty hash table of size 11 using the hash function $h(x)=x \bmod 11$,
(a) using separate chaining.
(b) using open addressing with linear probing.
(c) using open addressing with double hashing, where $h_{2}(x)=1+(x \bmod 10)$.
(d) If a hash table is going to be this full (i.e., $n \approx m$ ) most of the time, and you have a hash function that spreads the entries over the hash table reasonably well, which of these methods is likely to be fastest, and why?
5. Consider the universal class consisting of the hash functions

$$
h_{a, b}(x)=((a x+b) \bmod 71) \bmod 11
$$

for $0<a<71$ and $0 \leq b<71$. Let $x_{1}$ and $x_{2}$ be any two distinct keys in $\{0,1, \ldots, 70\}$. Justify your answers to the following. (Hint: you definitely don't want to start listing all the $(a, b)$ pairs, as there are 4970 of them. Instead, use what you know from the proof of the Universal Classes of Hash Functions Theorem.)
(a) Exactly how many of the $70 \cdot 71(a, b)$ pairs hash both $x_{1}$ and $x_{2}$ into bucket 4 (where the buckets are numbered $0,1, \ldots, 10$ according to the value of $h_{a, b}(x)$ )? Exactly how many hash both $x_{1}$ and $x_{2}$ into bucket 5 ?
(b) Exactly how many ordered pairs of distinct numbers $(q, r)$ are there such that $0 \leq q, r<71$ and $q \equiv r(\bmod 11)$ ? Compare your answer to the upper bound $N(N-1) / m$ proved in the Theorem.
(c) What is the exact probability that a randomly chosen hash function $h_{a, b}$ will cause $x_{1}$ and $x_{2}$ to collide? Compare your answer to the upper bound $1 / m$ proved in the Theorem.

