

CSE 326: Data Structures  
Dictionaries for Data  
Compression

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# Dictionary Coding

- Does not use statistical knowledge of data.
- Encoder: As the input is processed develop a dictionary and transmit the index of strings found in the dictionary.
- Decoder: As the code is processed reconstruct the dictionary to invert the process of encoding.
- Examples: LZW, LZ77, Sequitur,
- Applications: Unix Compress, gzip, GIF

# LZW Encoding Algorithm

Repeat

find the longest match  $w$  in the dictionary  
output the index of  $w$   
put  $wa$  in the dictionary where  $a$  was the  
unmatched symbol

# LZW Encoding Example (1)

Dictionary

0 a  
1 b

a b a b a b a b a

# LZW Encoding Example (2)

Dictionary

0 a  
1 b  
2 ab

a b a b a b a b a  
0

# LZW Encoding Example (3)

Dictionary

0 a  
1 b  
2 ab  
3 ba

a b a b a b a b a  
0 1

# LZW Encoding Example (4)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba

a b a b a b a b a  
0 1 2

# LZW Encoding Example (5)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba  
5 abab

a b a b a b a b a  
0 1 2 4



# LZW Encoding Example (6)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba  
5 abab

a b a b a b a b a  
0 1 2 4 3

# LZW Decoding Algorithm

- Emulate the encoder in building the dictionary. Decoder is slightly behind the encoder.

```
initialize dictionary;  
decode first index to w;  
put w? in dictionary;  
repeat  
    decode the first symbol s of the index;  
    complete the previous dictionary entry with s;  
    finish decoding the remainder of the index;  
    put w? in the dictionary where w was just decoded;
```

# LZW Decoding Example (1)

Dictionary

0 a  
1 b  
2 a?

0 1 2 4 3 6  
a

# LZW Decoding Example (2a)

Dictionary

0 a  
1 b  
2 ab

0 1 2 4 3 6  
a b

# LZW Decoding Example (2b)

Dictionary

0 a  
1 b  
2 ab  
3 b?

0 1 2 4 3 6  
a b

# LZW Decoding Example (3a)

Dictionary

0 a  
1 b  
2 ab  
3 ba

0 1 2 4 3 6  
a b a

# LZW Decoding Example (3b)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 ab?

0 1 2 4 3 6  
a b ab

# LZW Decoding Example (4a)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba

0 1 2 4 3 6  
a b ab a



# LZW Decoding Example (4b)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba  
5 aba?

0 1 2 4 3 6  
a b ab aba

# LZW Decoding Example (5a)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba  
5 abab

0 1 2 4 3 6  
a b ab aba b

# LZW Decoding Example (5b)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba  
5 abab  
6 ba?

0 1 2 4 3 6  
a b ab aba ba

# LZW Decoding Example (6a)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba  
5 abab  
6 bab

0 1 2 4 3 6

a b ab aba ba b

# LZW Decoding Example (6b)

Dictionary

0 a  
1 b  
2 ab  
3 ba  
4 aba  
5 abab  
6 bab  
7 bab?

0 1 2 4 3 6

a b ab aba ba bab

# Decoding Exercise

Base Dictionary

0 1 4 0 2 0 3 5 7

0 a

1 b

2 c

3 d

4 r

# Bounded Size Dictionary

- Bounded Size Dictionary
  - $n$  bits of index allows a dictionary of size  $2^n$
  - Doubtful that long entries in the dictionary will be useful.
- Strategies when the dictionary reaches its limit.
  1. Don't add more, just use what is there.
  2. Throw it away and start a new dictionary.
  3. Double the dictionary, adding one more bit to indices.
  4. Throw out the least recently visited entry to make room for the new entry.

# Notes on LZW

- Extremely effective when there are repeated patterns in the data that are widely spread.
- Negative: Creates entries in the dictionary that may never be used.
- Applications:
  - Unix compress, GIF, V.42 bis modem standard



# LZ77

- Ziv and Lempel, 1977
- Dictionary is implicit
- Use the string coded so far as a dictionary.
- Given that  $x_1x_2\dots x_n$  has been coded we want to code  $x_{n+1}x_{n+2}\dots x_{n+k}$  for the largest  $k$  possible.

# Solution A

- If  $x_{n+1}x_{n+2}\dots x_{n+k}$  is a substring of  $x_1x_2\dots x_n$  then  $x_{n+1}x_{n+2}\dots x_{n+k}$  can be coded by  $\langle j,k \rangle$  where  $j$  is the beginning of the match.
- Example

ababababa bababababababab....  
coded

ababababa babababa babababab....  
 $\langle 2,8 \rangle$

# Solution A Problem

- What if there is no match at all in the dictionary?

ababababa cababababababab....  
coded

- Solution B. Send tuples  $\langle j, k, x \rangle$  where
  - If  $k = 0$  then  $x$  is the unmatched symbol
  - If  $k > 0$  then the match starts at  $j$  and is  $k$  long and the unmatched symbol is  $x$ .

# Solution B

- If  $x_{n+1}x_{n+2}\dots x_{n+k}$  is a substring of  $x_1x_2\dots x_n$  and  $x_{n+1}x_{n+2}\dots x_{n+k}x_{n+k+1}$  is not then  $x_{n+1}x_{n+2}\dots x_{n+k}x_{n+k+1}$  can be coded by  $\langle j, k, x_{n+k+1} \rangle$  where  $j$  is the beginning of the match.

- Examples

ababababa cababababababab....

ababababa c ababababab ababab....

$\langle 0, 0, c \rangle \quad \langle 1, 9, b \rangle$

# Solution B Example

a babababababababababab.....  
<0,0,a>

a b abababababababababab.....  
<0,0,b>

a b aba bababababababababab.....  
<1,2,a>

a b aba babab ababababababab.....  
<2,4,b>

a b aba babab abababababa bab.....  
<1,10,a>

# Surprise Code!

a bababababababababababab\$  
<0,0,a>

a b ababababababababababab\$  
<0,0,b>

a b ababababababababababab\$  
<1,22,\$>

# Surprise Decoding

$\langle 0,0,a \rangle \langle 0,0,b \rangle \langle 1,22,\$ \rangle$

$\langle 0,0,a \rangle$       a

$\langle 0,0,b \rangle$       b

$\langle 1,22,\$ \rangle$       a

$\langle 2,21,\$ \rangle$       b

$\langle 3,20,\$ \rangle$       a

$\langle 4,19,\$ \rangle$       b

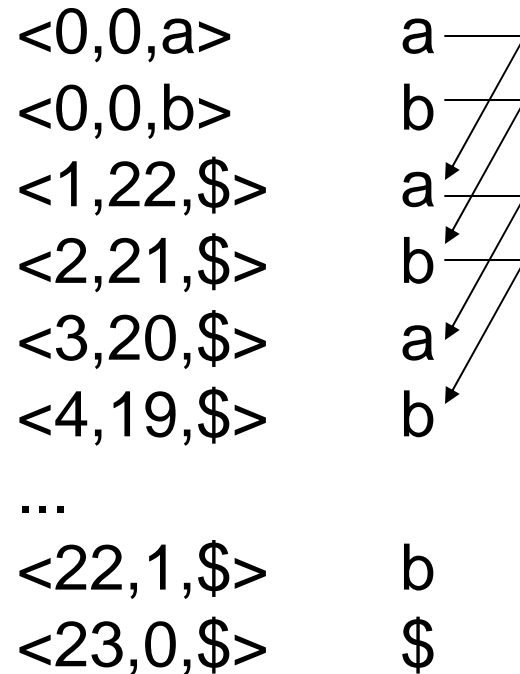
...

$\langle 22,1,\$ \rangle$       b

$\langle 23,0,\$ \rangle$       \$

# Surprise Decoding

$\langle 0,0,a \rangle \langle 0,0,b \rangle \langle 1,22,\$ \rangle$





# Solution C

- The matching string can include part of itself!

- If  $x_{n+1}x_{n+2}\dots x_{n+k}$  is a substring of

$$x_1x_2\dots x_n x_{n+1}x_{n+2}\dots x_{n+k}$$

that begins at  $j \leq n$  and  $x_{n+1}x_{n+2}\dots x_{n+k}x_{n+k+1}$

is not then  $x_{n+1}x_{n+2}\dots x_{n+k}x_{n+k+1}$  can be coded by

$$\langle j, k, x_{n+k+1} \rangle$$

# Bounded Buffer – Sliding Window

- We want the triples  $\langle j, k, x \rangle$  to be of bounded size. To achieve this we use bounded buffers.
  - **Search buffer** of size  $s$  is the symbols  $x_{n-s+1} \dots x_n$   
 $j$  is then the offset into the buffer.
  - **Look-ahead buffer** of size  $t$  is the symbols  $x_{n+1} \dots x_{n+t}$
- Match pointer can start in search buffer and go into the look-ahead buffer but no farther.

