

# Trees

CSE 326  
Data Structures  
Lecture 6

## Readings and References

- Reading
  - › Chapter 4.1-4.3,

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## Why Do We Need Trees?

- Lists, Stacks, and Queues are linear data structures
- Information often contains hierarchical relationships
  - › File directories or folders on your computer
  - › Moves in a game
  - › Employee hierarchies in organizations

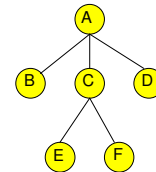
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## Tree Jargon

- root
- nodes and edges
- leaves
- parent, children, siblings
- ancestors, descendants
- subtrees
- path, path length
- height, depth



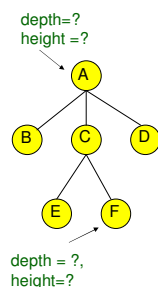
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## More Tree Jargon

- **Length** of a path = number of edges
- **Depth** of a node N = length of path from root to N
- **Height** of node N = length of longest path from N to a leaf
- **Height of tree** = height of root



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## Definition and Tree Trivia

- A tree is a set of nodes
  - that is an empty set of nodes, or
  - has one node called the root from which zero or more trees (subtrees) descend
- A tree with N nodes always has \_\_\_\_ edges
- Two nodes in a tree have at most one path between them

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## Implementation of Trees

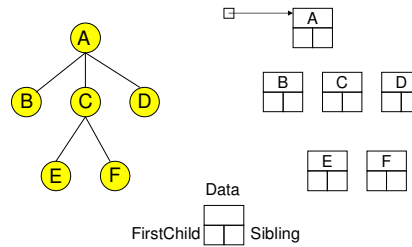
- One possible pointer-based Implementation
  - › tree nodes with value and a pointer to each child
  - › but how many pointers should we allocate space for?
- A more flexible pointer-based implementation
  - › 1<sup>st</sup> Child / Next Sibling List Representation
  - › Each node has 2 pointers: one to its first child and one to next sibling
  - › Can handle arbitrary number of children

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## Arbitrary Branching



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## Application: Arithmetic Expression Trees

Example Arithmetic Expression:

$$A + (B * (C / D))$$

How would you express this as a tree?

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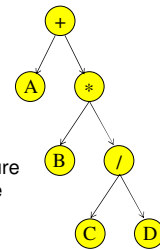
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## Application: Arithmetic Expression Trees

Example Arithmetic Expression:

$$A + (B * (C / D))$$

Tree for the above expression:



- Used in most compilers
- No parenthesis need – use tree structure
- Can speed up calculations e.g. replace / node with C/D if C and D are known
- Calculate by traversing tree (how?)

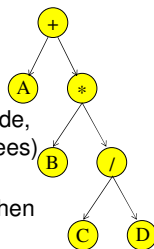
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## Traversing Trees

- Preorder: Node, then Children recursively
- Inorder: Left child recursively, Node, Right child recursively (Binary Trees)
- Postorder: Children recursively, then Node



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## Exercise: Computing Height

- `int height( Tree t ) {`
- `}`

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## Binary Trees

- Every node has at most two children
  - › Most popular tree in computer science
  - › Easy to implement, fast in operation
- Easy to implement: instead of sibling list, just left and right.
- Given  $N$  nodes, what can we say about height?
- Given height  $h$ , what can we say about number of nodes?

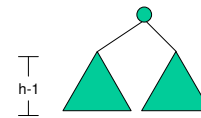
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## Upper Bound on Number of Nodes

- Define  $N_h$  to be the maximum number of nodes in a binary tree of height  $h$ .
- Theorem:  $N_h = 2^{h+1} - 1$
- Proof by induction on  $h$ .
  - ›  $h=0$ .  $2^{0+1} - 1 = 1$  and  $N_0 = 1$ .
  - ›  $h>0$ .



$$\begin{aligned} N_h &= 2N_{h-1} + 1 \\ &= 2(2^h - 1) + 1 \\ &= 2^{h+1} - 1 \end{aligned}$$

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## Lower Bound on Height

- Theorem: Any binary tree with  $N$  nodes has height  $\geq \lceil \log_2 N \rceil - 1$
- Proof.
- Let  $T$  be any binary tree of  $N$  nodes and let  $h$  be its height.
 
$$N \leq N_h < 2^{h+1}$$

$$\log_2 N < h+1$$

$$\lceil \log_2 N \rceil \leq h+1$$

$$\lceil \log_2 N \rceil - 1 \leq h$$

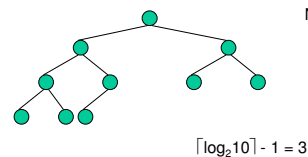
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## Complete Binary Trees

- A complete binary tree of  $N$  nodes is one of minimum height with the maximum depth nodes on the left.



$N=10$

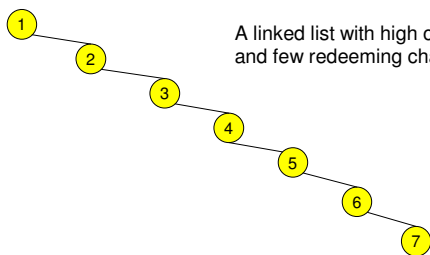
$$\lceil \log_2 10 \rceil - 1 = 3$$

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## A degenerate tree



A linked list with high overhead and few redeeming characteristics

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## The Search ADT

- Stores and retrieves keys
- Operations:
  - › Insert(key)
  - › Delete(key)
  - › Find(key)
  - › FindMin()
  - › FindMax()

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## The Dictionary ADT

- Search ADT easily extends to dictionary. Stored (key, value) pairs
- Operations:
  - › Insert(key, value)
  - › Find(key) => value
  - › Delete(key)

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## Naïve implementations

insert      find      delete

Unsorted array

Sorted array

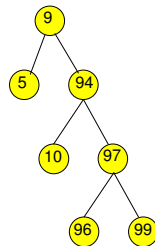
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## Binary Search Trees

- Binary search trees are binary trees in which
  - › all values in the node's **left** subtree are less than node value
  - › all values in the node's **right** subtree are greater than node value
- Operations:
  - › Find, FindMin, FindMax, Insert, Delete

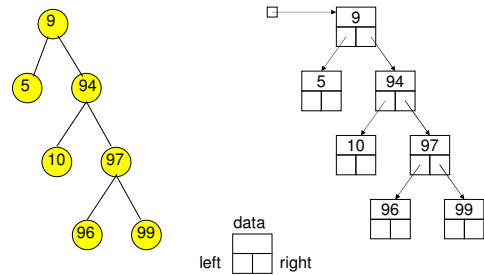


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## Binary SearchTree



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## Find

```
Find(T : tree pointer, x : element): tree pointer {
```

```
}
```

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## FindMin

- Class Participation
- Design recursive FindMin operation that returns the smallest element in a binary search tree.

```
› FindMin(T : tree pointer) : tree pointer {
  // precondition: T is not null //
  ???
}
```

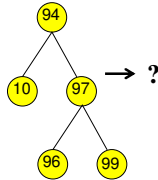
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## Insert Operation

- `Insert(T: tree, X: element)`
  - › Do a "Find" operation for X
  - › If X is found, then update duplicates counter
  - › Else, "Find" stops at a NULL pointer
  - › Insert Node with X there
- Example: Insert 95

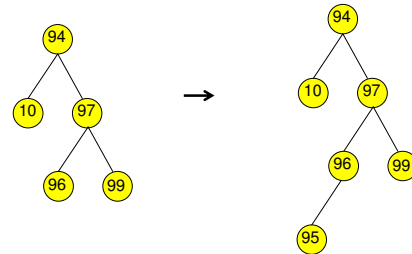


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## Insert 95



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## Insert Done Very Elegantly

```
Insert(T : reference tree pointer, x : element) : integer {
  if T = null then
    T := new tree; T.data := x; return 1
  case {
    T.data = x : return 0;
    T.data > x : return Insert(T.left, x);
    T.data < x : return Insert(T.right, x);
  }
}
```

Advantage of reference parameter is that the call has the original pointer not a copy.

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## Call by Value vs Call by Reference

- Call by value
  - › Copy of parameter is used



- Call by reference
  - › Actual parameter is used

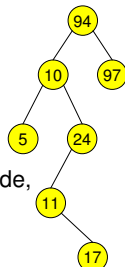
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## Delete Operation

- Delete is a bit trickier...Why?
- Suppose you want to delete 10
- Strategy:
  - › Find 10
  - › Delete the node containing 10
- Problem: When you delete a node, what do you replace it by?



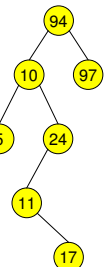
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## Delete Operation

- Problem: When you delete a node, what do you replace it by?
- Solution:
  - › If it has no children, by NULL
  - › If it has 1 child, by that child
  - › If it has 2 children, by the node with the smallest value in its right subtree (the successor of the node)

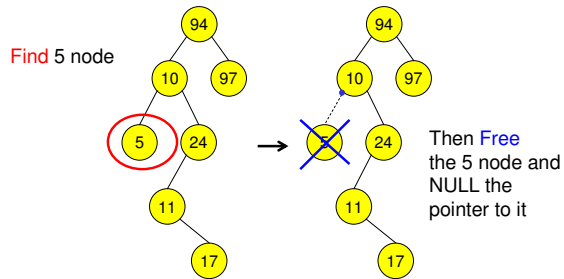


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## Delete "5" - No children

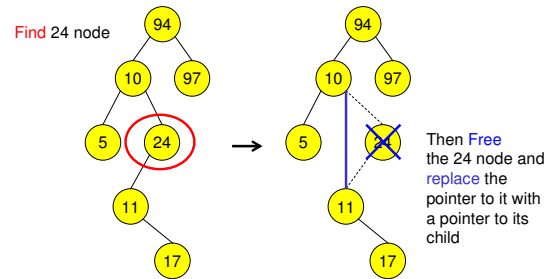


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## Delete "24" - One child

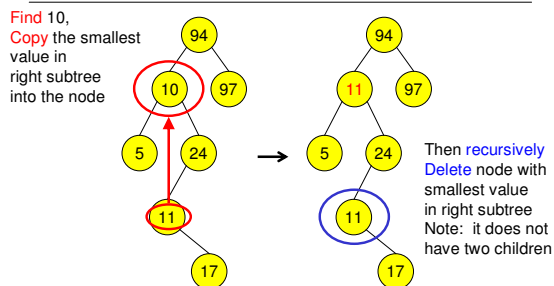


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## Delete "10" - two children

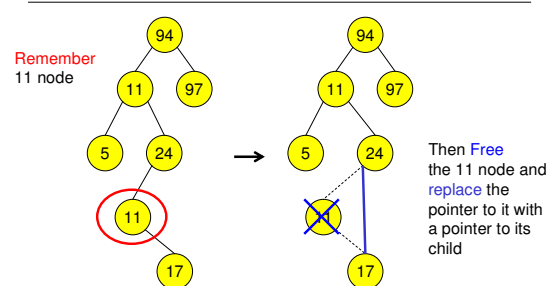


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## Delete "11" - One child



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## FindMin Solution

```
FindMin(T : tree pointer) : tree pointer {
    // precondition: T is not null //
    if T.left = null return T
    else return FindMin(T.left)
}
```

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