#### Disjoint Union / Find

CSE 326
Data Structures
Unit 13

Reading: Chapter 8

### **Equivalence Classes**

- Given an equivalence relation R, decide whether a pair of elements a,b∈S is such that a R b.
- The equivalence class of an element a is the subset of S of all elements related to a.
- Equivalence classes are disjoint sets

#### **Equivalence Relations**

- A relation R is defined on set S if for every pair of elements a, b∈ S, a R b is either true or false.
- An equivalence relation is a relation R that satisfies the 3 properties:

Reflexive: a R a for all a∈ S

Symmetric: a R b iff b R a; for all a,b∈ S

Transitive: a R b and b R c implies a R c

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#### Dynamic Equivalence Problem

- Starting with each element in a singleton set, and an equivalence relation, build the equivalence classes
- Requires two operations:
  - > Find the equivalence class (set) of a given element
  - Union of two sets
- It is a dynamic (on-line) problem because the sets change during the operations and Find must be able to cope!

### Disjoint Union - Find

- Maintain a set of disjoint sets.
  - → {3,5,7} , {4,2,8}, {9}, {1,6}
- Each set has a unique name, one of its members
  - $\rightarrow \{3,\underline{5},7\}, \{4,2,\underline{8}\}, \{\underline{9}\}, \{\underline{1},6\}$

#### Union

- Union(x,y) take the union of two sets named x and y
  - > {3,<u>5</u>,7}, {4,2,<u>8</u>}, {<u>9</u>}, {<u>1</u>,6}
  - Union(5,1){3,5,7,1,6}, {4,2,8}, {9},

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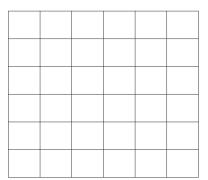
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#### Find

- Find(x) return the name of the set containing x.
  - > {3,<u>5</u>,7,1,6}, {4,2,<u>8</u>}, {<u>9</u>},
  - $\rightarrow$  Find(1) = 5
  - $\Rightarrow Find(4) = 8$

### An Application

• Build a random maze by erasing edges.



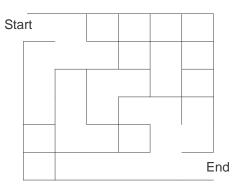
#### An Application (ct'd)

· Pick Start and End



An Application (ct'd)

• Repeatedly pick random edges to delete.



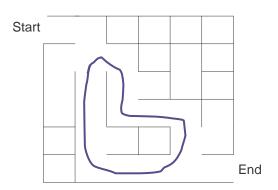
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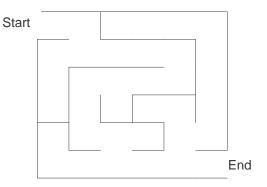
#### **Desired Properties**

- None of the boundary is deleted
- Every cell is reachable from every other cell.
- There are no cycles no cell can reach itself by a path unless it retraces some part of the path.

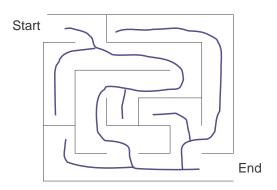
#### A Cycle (we don't want that)



#### A Good Solution



# Good Solution : A Hidden Tree



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#### Number the Cells

We have disjoint sets  $S = \{ \{1\}, \{2\}, \{3\}, \{4\}, \dots \{36\} \}$  each cell is unto itself. We have all possible edges  $E = \{ (1,2), (1,7), (2,8), (2,3), \dots \}$  60 edges total.

#### Start

1	2	3	4	5	6
7	8	9	10	11	12
13	14	15	16	17	18
19	20	21	22	23	24
25	26	27	28	29	30
31	32	33	34	35	36

End

#### **Basic Algorithm**

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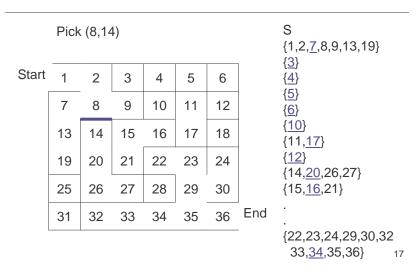
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- S = set of sets of connected cells
- E = set of edges

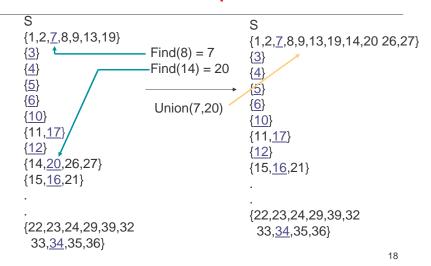
```
While there is more than one set in S pick a random edge (x,y) u := Find(x); v := Find(y); if u \neq v then Union(u,v) //knock down the wall between the cells (cells in Remove (x,y) from E //the same set are connected)
```

- If u=v there is already a path between x and y
- All remaining members of E form the maze

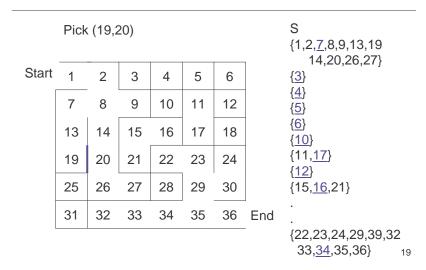
#### **Example Step**



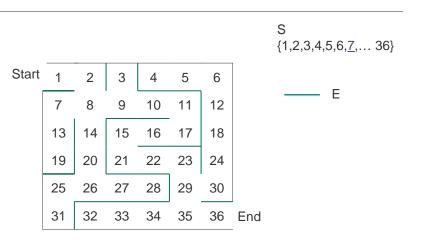
#### Example



### Example



#### Example at the End



#### Up-Tree for DU/F

Initial state









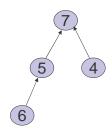




Intermediate state



Roots are the names of each set.



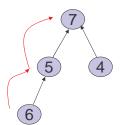
#### **Find Operation**

 Find(x) follow x to the root and return the root

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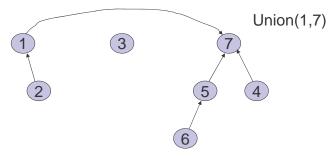




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### **Union Operation**

 Union(i,j) - assuming i and j roots, point i to j.



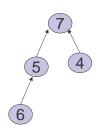
#### Simple Implementation

• Array of indices (Up[i] is parent of i)

Up [x] = 0 means x is a root.







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#### Union

```
Union(up[] : integer array, x,y : integer) : {
//precondition: x and y are roots//
Up[x] := y
}
```

Constant Time!

Find

- Design Find operator
  - Recursive version
  - Iterative version

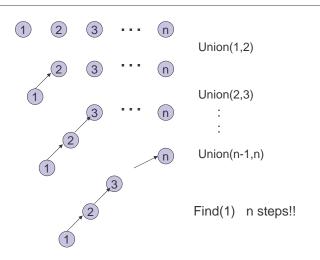
```
Find(up[] : integer array, x : integer) : integer {
//precondition: x is in the range 1 to size//
???
}
```

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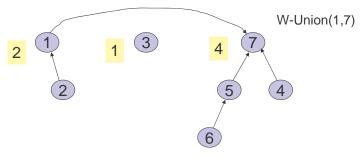
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#### A Bad Case

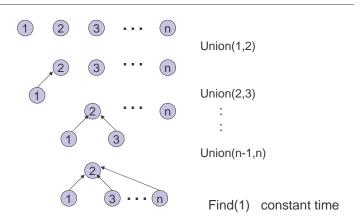


#### Weighted Union

- Weighted Union (weight = number of nodes)
  - Always point the smaller tree to the root of the larger tree



#### **Example Again**



#### **Analysis of Weighted Union**

- With weighted union an up-tree of height h has weight at least 2<sup>h</sup>.
- Proof by induction
  - $\rightarrow$  Basis: h = 0. The up-tree has one node,  $2^0 = 1$
  - › Inductive step: Assume true for all h' < h.

Minimum weight up-tree of height h formed by weighted unions



 $W(T_1) \ge W(T_2) \ge 2^{h-1}$ Weighted Induction hypothesis

 $W(T) \ge 2^{h-1} + 2^{h-1} = 2^h$ 

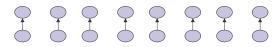
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#### **Analysis of Weighted Union**

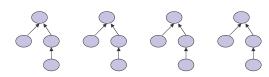
- Let T be an up-tree of weight n formed by weighted union. Let h be its height.
- $n \ge 2^h$
- $\log_2 n \ge h$
- Find(x) in tree T takes O(log n) time.
- Can we do better?

## Worst Case for Weighted Union

n/2 Weighted Unions

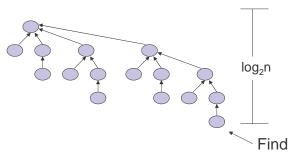


n/4 Weighted Unions



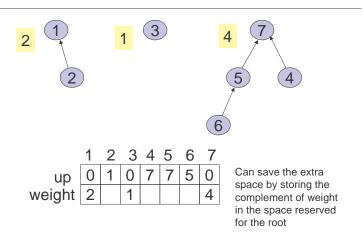
#### Example of Worst Cast (cont')

After n -1 = n/2 + n/4 + ... + 1 Weighted Unions



If there are  $n = 2^k$  nodes then the longest path from leaf to root has length k.

#### **Elegant Array Implementation**

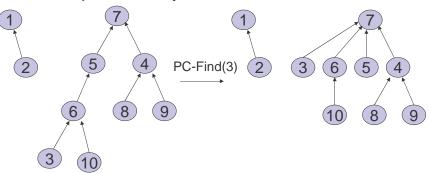


#### Weighted Union

W-Union(i,j : index){
//i and j are roots//
 wi := weight[i];
 wj := weight[j];
 if wi < wj then
 up[i] := j;
 weight[j] := wi + wj;
 else
 up[j] :=i;
 weight[i] := wi +wj;
}</pre>

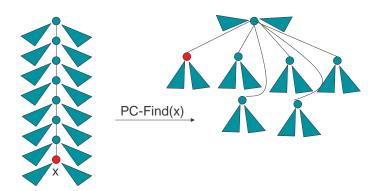
#### Path Compression

 On a Find operation point all the nodes on the search path directly to the root.



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#### Self-Adjustment Works



Path Compression Find

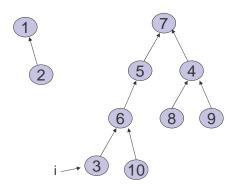
PC-Find(i : index) {
 r := i;
 while up[r] ≠ 0 do //find root//
 r := up[r];
 if i ≠ r then //compress path//
 k := up[i];
 while k ≠ r do
 up[i] := r;
 i := k;
 k := up[k]
 return(r)
}

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#### Example



# Disjoint Union / Find with Weighted Union and PC

- Worst case time complexity for a W-Union is O(1) and for a PC-Find is O(log n).
- Time complexity for m ≥ n operations on n elements is O(m log\* n) where log\* n is a very slow growing function.
  - log \* n < 7 for all reasonable n. Essentially constant time per operation!

#### **Amortized Complexity**

- For disjoint union / find with weighted union and path compression.
  - average time per operation is essentially a constant.
  - worst case time for a PC-Find is O(log n).
- An individual operation can be costly, but over time the average cost per operation is not.

#### Find Solutions

#### Recursive

```
Find(up[] : integer array, x : integer) : integer {
//precondition: x is in the range 1 to size//
if up[x] = 0 then return x
else return Find(up,up[x]);
}

Iterative
Find(up[] : integer array, x : integer) : integer {
//precondition: x is in the range 1 to size//
while up[x] ≠ 0 do
    x := up[x];
return x;
}
```