#### DFS, BFS, Shortest Path Problems

CSE 326
Data Structures
Unit 12

Reading: Sections 9.3, 9.6, 10.3.4

# Abstractly model the problem Find abstract algorithm

Adapt to original problem

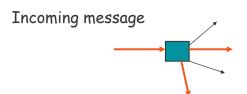
Applied Algorithm Scenario

Real world problem

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#### Broadcasting in a Network

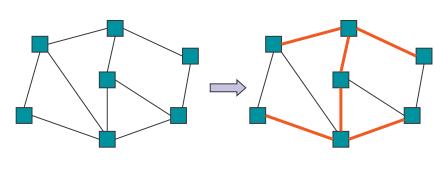
- Network of Routers
  - Organize the routers to efficiently broadcast messages to each other.



- Duplicate and send to some neighbors.
- Eventually all routers get the message

Goal: Minimize the number of messages.

## Spanning Tree in a Graph



Vertex = router Edge = link between routers Spanning tree

- Connects all the vertices
- No cycles

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#### Spanning Tree Problem

- Input: An undirected graph G = (V,E).
   G is connected.
- Output: T contained in E such that
  - (V,T) is a connected graph
  - (V,T) has no cycles

#### Depth First Search Algorithm

- Recursive marking algorithm
- Initially every vertex is unmarked

DFS(i: vertex)
 mark i;
 for each j adjacent to i do
 if j is unmarked then DFS(j)
end{DFS}

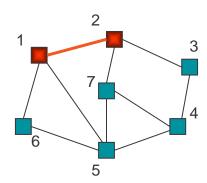
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**DFS(1)** 

## Example of Depth First Search

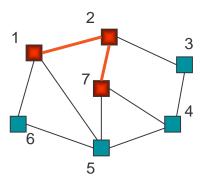
2 3

#### Example Step 2



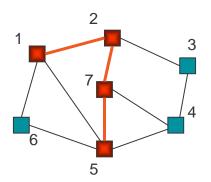
DFS(1) DFS(2)

## Example Step 3



DFS(1) DFS(2) DFS(7)

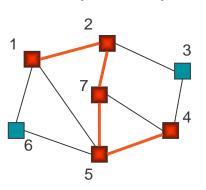
## Example Step 4



DFS(1) DFS(2) DFS(7) DFS(5)

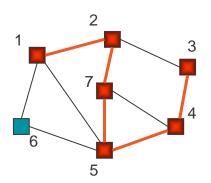
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## Example Step 5



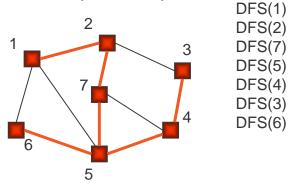
DFS(1) DFS(2) DFS(7) DFS(5) DFS(4)

## Example Step 6



DFS(1) DFS(2) DFS(7) DFS(5) DFS(4) DFS(3)

#### Example Step 7



Note that the edges traversed in the depth first search form a spanning tree.

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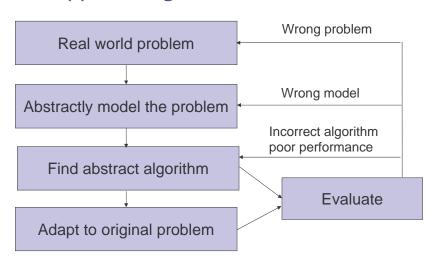
#### Spanning Tree Algorithm

```
Main
T := empty set;
ST(1);
end{Main}

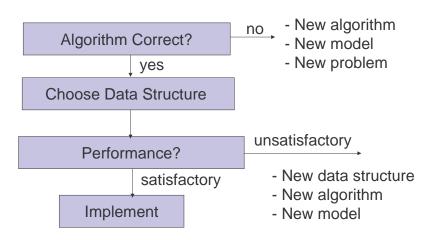
ST(i: vertex)
mark i;
for each j adjacent to i do
if j is unmarked then
Add {i,j} to T;
ST(j);
end{ST}
```

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#### Applied Algorithm Scenario



#### Evaluation Step Expanded



#### Correctness of ST Algorithm

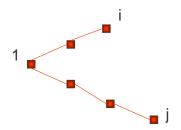
- There are no cycles in T
  - This is an invariant of the algorithm.
  - Each edge added to T goes from a vertex in T to a vertex not in T.
- If G is connected then eventually every vertex is marked.



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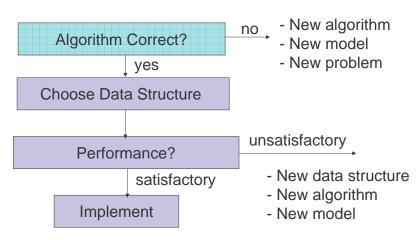
#### Correctness (cont.)

If G is connected then so is (V,T)



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#### Data Structure Step



#### Data Structure Choice

- · Adjacency lists
  - Good for sparse graphs
  - Supports depth first search
- Adjacency matrix
  - Good for dense graphs
  - Supports depth first search

#### Spanning Tree with Adjacency Lists

```
Main
    G is array of adjacency lists;
    M[i] := 0 for all i;
    T is empty;
    Spanning_Tree(1);
end{Main}
```

M is the marking array (entry for each vertex).

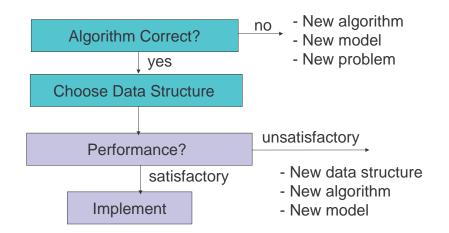
Node of linked list: vertex next

```
ST(i: vertex)
    M[i] := 1;
    v := G[i];
    while (v ≠ null)
        j := v.vertex;
        if (M[j] = 0) then
            add {i,j} to T;
            ST(j);
        v := v.next;
end{ST}
```

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#### Performance Step



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#### Performance of ST Algorithm

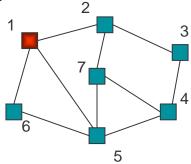
- n vertices and m edges
- Connected graph  $(m \ge n-1)$
- Space complexity O(m)
- Time complexity O(m) for each edge we perform O(1) operations in each of the two endpoints.

#### Other Uses of Depth First Search

- Popularized by Hopcroft and Tarjan 1973
- Connected components
- Strongly connected components in directed graphs
- Topological sorting of a acyclic directed graphs
- Maze solving

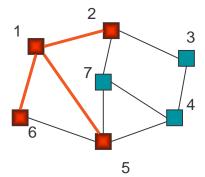
## ST using Breadth First Search 1

• Uses a queue to order search



Queue = 1

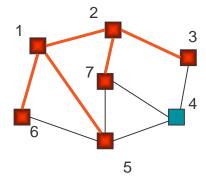
#### Breadth First Search 2



Queue = 2,6,5

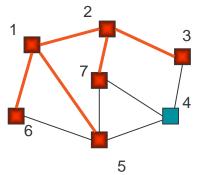
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#### Breadth First Search 3



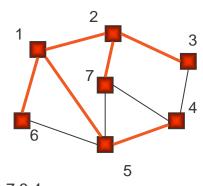
Queue = 6,5,7,3

#### Breadth First Search 4



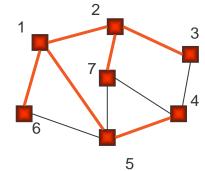
Queue = 5,7,3

#### Breadth First Search 5



Queue = 7,3,4

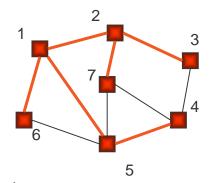
#### Breadth First Search 6



Queue = 3,4

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#### Breadth First Search 7

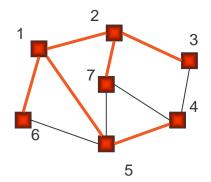


Queue = 4

#### Breadth First Search 8

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Queue =

# Spanning Tree using Breadth First Search (BFS)

```
Initialize T to be empty;
Initialize Q to be empty;
Enqueue(1,Q) and mark 1;
while (Q is not empty) do
    i := Dequeue(Q);
    for each j adjacent to i do
        if j is not marked then
            add {i,j} to T;
            mark j;
            Enqueue(j,Q);
```

Depth First vs Breadth First

- Depth First
  - Stack or recursion
  - Many applications
- · Breadth First
  - Queue (recursion no help)
  - Can be used to find shortest paths from the start vertex
- Both are O(|E|)

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#### Shortest-path Algorithms

- Scenario: One router creates messages (source).
   Each message needs to reach other routers (one or more) along the shortest possible path.
- Abstraction: given a vertex s, find the shortest path from s to any other vertex of G.
- Other shortest path problems:
  - Different edges have different lengths (delay, cost, etc.)
  - All-pair shortest path problem: no specific source.

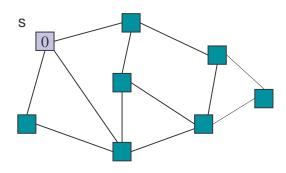
#### Using BFS for Shortest-path

 Given a vertex s, find the shortest path from s to any other vertex of G.

#### A 'centralized' version of BFS:

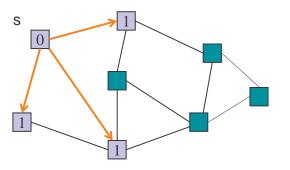
- 1. Label vertex s with 0.
- 2.  $i \leftarrow 0$
- 3. Find all unlabeled vertices adjacent to at least one vertex labeled i. If none are found, stop.
- 4. Label all the vertices found in (3) with i + 1.
- 5.  $i \leftarrow i + 1$  and go to (3).

#### BFS for Shortest Path (i=0)



Vertices whose distance from s is 0 are labeled.

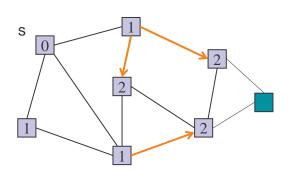
#### BFS for Shortest Path (i=1)



Vertices whose distance from s is 1 are labeled.

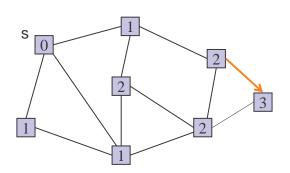
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# BFS for Shortest Path (i=2)



Vertices whose distance from s is 2 are labeled.

#### BFS for Shortest Path (i=3)



Vertices whose distance from s is 3 are labeled.

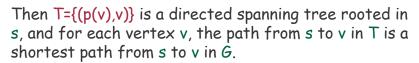
In the next iteration we find out that the whole graph is labeled and we stop.

#### The BFS Tree

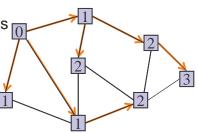
Theorem: Fach vertex is labeled by it its length from s.

Proof: By induction on the label.

For any  $v \neq s$ , let p(v) be the vertex that 'discovered' v in BFS.



Note: the 'centralized' version is for simplification only. When implemented, we need the queue as before.



Single-Source Shortest Paths (Dijkstra's algorithm)

- · Using BFS, we solve the problem of finding shortest path from s to any vertex v.
- What if edges have associated costs or distances? (BFS assumes edge costs are all 1.)
- · Assume each edge (u,v) has non-negative weight c(u,v).
- · A weight of a path = total weights of all edges on path.
- Problem: Find, for each vertex v, a shortest (minimum weight) path from s to v.

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#### Idea of Dijkstra's Algorithm:

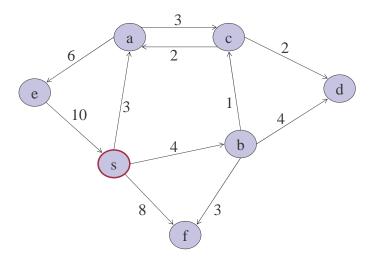
- Maintain:
  - $-\lambda[0..n-1]$  where  $\lambda(v)$  is the cost of best path from s to v found so far, and
  - T, set of vertices v for which  $\lambda(v)$  is not yet known to be optimal.
- Initially:
  - $\lambda$ (s) = 0;  $\lambda$ (v) = ∞ for all v other than s.
  - T = V
- In each step:
  - remove that v in T with minimum  $\lambda(v)$
  - update those w in Ts.t. (v,w) in E and  $\lambda(w) > \lambda(v) + c(v,w)$ .

## Dijkstra's Algorithm

Assumption:  $c(u,v) = \infty$  if (u,v) not in E.

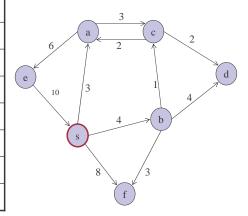
- 1.  $\lambda(s) \leftarrow 0$  and for all  $v \neq s$ ,  $\lambda(v) \leftarrow \infty$ .
- 2.  $T \leftarrow V$ .
- 3. Let u be a vertex in T for which  $\lambda(u)$ is minimum.
- 4. For every edge, if  $v \in T$  and  $\lambda(v) > \lambda(u) + c(u,v)$  then  $\lambda(v) \leftarrow \lambda(u) + c(u,v)$ .
- 5.  $T = T \{u\}$ , if T is not empty go to step 3.

#### Dijkstra's Algorithm - Example



Dijkstra's Algorithm - Example

	init	u=s	u=a
S	0	0 *	0 *
а	∞	3	3 *
b	∞	4	4
С	∞	∞	6
d	∞	∞	∞
е	∞	∞	9
f	∞	8	8



In class exercise: complete the execution.

\* non-T vertices.

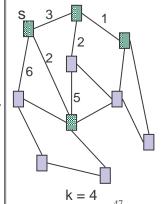
#### Why is this Algorithm Correct?

• Theorem: At the termination of the algorithm,  $\lambda(v)$  is the length of the shortest path from s to v for each vertex v of G.

• Proof: by induction on |V-T|.

Inductive hypothesis: Let |V-T|=k.

- $-\forall v$  in V-T,  $\lambda(v)$  is the length of the shortest path from s to v.
- -the vertices in V-T are the k closest vertices to s.
- $-\forall v$  in T,  $\lambda(v)$  is the length of the shortest path from s to v that only goes through vertices in V-T.



#### Why is this Algorithm Correct?

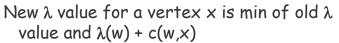
- Base case: |V-T|=1, T=V-{s}.
  - for every v in V-T,  $\lambda(v)$  is the length of shortest path from s to v.
  - $\checkmark$  we init  $\lambda(s) = 0$ .
  - the vertices in V-T are the k closest vertices to s.
  - $\checkmark$  V-T={s}. s is surely the closest to s.
  - for every v in T,  $\lambda(v)$  is the length of shortest path from s to v that only goes through vertices in V-T.
  - ✓ At this stage,  $\lambda(v) = \infty$  for all v in V-T.

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The  $\lambda$  values of vertices in V-T are correct and for each such v, the shortest path from s to v only goes through vertices in V-T

Induction Step: Suppose true for first k steps.
The SP to the (k+1)<sup>st</sup> closest vertex, say w,
can go through only vertices in V-T, otherwise,
there would be a closer vertex. Therefore,
when selecting the min, we select the (k+1)<sup>st</sup>
closest vertex to s.

Say w is added.



#### Dijktra's Algorithm - Run Time Analysis

#### Implementation 1:

- Adjacency lists.
- An array for the  $\lambda$  values.

#### Complexity:

In each iteration:

- 1. Finding a vertex u in T with minimal  $\lambda$ In the whole execution:  $n+(n-1)+(n-2)+...+1=O(n^2)$
- 2. Updating the  $\lambda$ -values of u's neighbors: In each iteration we check degree(u) values. The total sum of the degrees in 2m à O(m)All together:  $O(m+n^2) = O(n^2)$  (remember,  $m \le n(n-1)$ )

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#### Dijktra's Algorithm - Run Time Analysis

- Implementation 2: data structure: priority queue
- Stores set S (in our case, this is T) such that there is a linear order on key values (in our case the key is the  $\lambda$  value).
- Supports operations:
  - Insert(x) insert element with key value x into set.
  - FindMin() return value of smallest element in set.
  - DeleteMin() delete smallest element in set.
  - Find(x)

#### Priority-Queue Implementations

 Priority-Queue can be implemented such that each of these operations takes O(log n) time for sets of size n.

#### Running time of Dijkstra's algorithm:

We need to consider insertions, delete Mins, finds, modifying  $\lambda$  values.

# Running Time of Dijkstra's Algorithm:

n insertions: O(n log n) time

n deleteMins: O(n log n) time

• m finds: O(m log n) time

•  $m \lambda$ -value modifications:  $O(m \log n)$  time

Running time: O((n + m) log n))

• The  $O(n^2)$  is better for dense graphs

Single-Source Shortest Paths (Bellman-Ford's algorithm)

- each edge (u,v) has a weight c(u,v).
- c(u,v) might be negative, but there are no negative cycles.
- 1.  $\lambda(s) \leftarrow 0$  and for every  $v \neq s$ ,  $\lambda(v) \leftarrow \infty$ .
- 2. As long as there is an edge such that  $\lambda(v) > \lambda(u) + c(e)$  replace  $\lambda(v)$  by  $\lambda(u) + c(e)$ .

For our purposes  $\infty$  is not greater than  $\infty$  + k, even if k is negative.

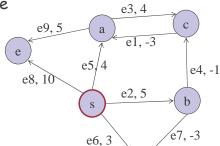
#### Bellman-Ford algorithm

- · How do we implement this algorithm?
- Order the edges:  $e_1$ ,  $e_2$ , ...,  $e_{|E|}$ .

Perform step 2 by first checking e<sub>1</sub>, then e<sub>2</sub>, etc.,
 After the first such sweep, go through additional sweeps, until an entire

sweeps, until an en sweep produces no improvement.

Running Example:



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# BF algorithm - correctness and run time analysis

- Theorem: if a shortest path from s to v consists of k edges, then by the end of the  $k^{\text{th}}$  sweep v will have its final label.
- · Proof: induction on k (not here).
- Since k is bounded by |V| (remember, no negative cycles), step 2 is performed at most |E|·|V| times.
- Each comparison in step 2 can takes O(1) if the graph is kept in an Adjacency Matrix (with the weights) and an array with the  $\lambda(v)$  values.
- à The time complexity of BF is  $O(|E| \cdot |V|)$ .

#### All-pair Shortest Path

- Input: a directed graph G=(V,E) with  $V=\{1, 2, ..., n\}$ . The length of edge e is denoted by c(e), and it may be negative.
- Output: All-pair shortest path: for any two vertices v,u in V, what is the shortest path from v to u
  - we will only be interested in the *length* of that path.

#### All-pair Shortest Path

- We can solve this problem using single-source shortest path algorithms. For example, we can run Bellman-Ford |V| times (one time for each possible selection of the source vertex s).
- Time complexity: |V|\*O(|V||E|)=O(|V|<sup>2</sup>|E|)
- We will see a solution using Dynamic Programming.

#### All-pair Shortest Path

Define

$$\delta^{\,0}\left(i,\,j\right)\!=\!\begin{cases} c\left(e\right) & \text{if } i\!-\!\frac{e}{}\!\to j,\\ \infty & \text{if there is no edge from i to } j. \end{cases}$$

Let  $\delta^k(i,j)$  be the length of a shortest path from i to j among all paths which may pass through vertices 1,2,...,k but do not pass through vertices k+1, k+2,...,n.

## Floyd Algorithm (1962)

- 1. Init  $\delta^0(i, j)$  as defined earlier
- 2.  $k \leftarrow 1$
- 3. For every  $1 \le i, j \le n$  compute  $\delta^k(i, j) \leftarrow \text{Min } \{ \delta^{k-1}(i, j), \delta^{k-1}(i, k) + \delta^{k-1}(k, j) \}.$
- 4. If k = n, stop. If not, increment k and go to step 3.

#### Floyd Algorithm

$$\delta^{k}(i, j) \leftarrow \text{Min} \left\{ \delta^{k-1}(i, j), \\ \delta^{k-1}(i, k) + \delta^{k-1}(k, j) \right\}.$$

The shortest path from i to j which may pass through vertices 1,2,...,k but do not pass through vertices k+1, k+2,...,n:

- 1. Might not pass through vertex k, or
- 2. Might pass through k, and then it is composed by two already-computed shortest paths.

# Floyd Algorithm

$$\begin{split} \delta^{k}(i,j) \leftarrow \text{Min } \{ \ \delta^{k\text{-}1}(i,j), \\ \delta^{k\text{-}1}(i,k) + \delta^{k\text{-}1}(k,j) \}. \end{split}$$

Theorem: The value of  $\delta^n(i, j)$  is the shortest path from i to j

Proof idea: By induction on k, the value of  $\delta^k(i, j)$  is correct. In particular, for  $\delta^n(i, j)$  we get the shortest path.

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#### Floyd Algorithm

- The value of  $\delta^n(i, j)$  is meaningful only if there are no negative cycles in G.
- The existence of negative cycles is detected by having  $\delta^k(i, i) < 0$  for some i and k.
- Each application of step 3 requires  $n^2$  operations, and step 3 is repeated n times. Thus, the algorithm is of complexity  $O(n^3)$ .

# Shortest-path algorithms - Summary

- Single source, no weights:
   BFS O(m)
- Single source, non-negative weights: Dijkstra  $O((n + m) \log n))$  or  $O(n^2)$
- Single source, arbitrary weights:
   Bellman-Ford: O(nm)
- All-pair shortest path, arbitrary weights: Floyd: O(n³)