

## Lecture 21: From Dijkstra to Prim

---

### ◆ What will we munch on today?

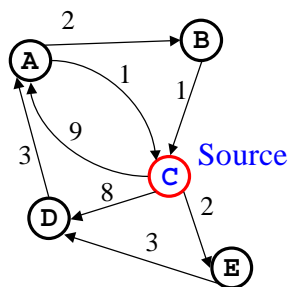
- ⇒ Dijkstra's Shortest Path Algorithm
- ⇒ Depth First Search (DFS)
- ⇒ Spanning Trees
- ⇒ Minimum Spanning Trees (MSTs)
  - ◆ Prim's Algorithm

- ◆ Covered in Chapter 9 in the textbook

## Recall: Single Source, Shortest Path Problem

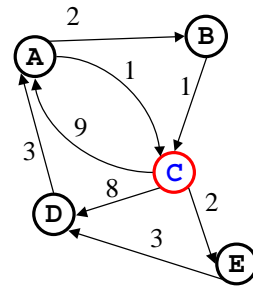
---

- ◆ Given a graph  $G = (V, E)$  and a "source" vertex  $s$  in  $V$ , find the minimum cost paths from  $s$  to every vertex in  $V$



## Pseudocode for Dijkstra's Algorithm

1. Initialize the cost of each node to  $\infty$
2. Initialize the cost of the source to 0
3. While there are unknown nodes left in the graph
  1. Select the unknown node  $N$  with the lowest cost (greedy choice)
  2. Mark  $N$  as known
  3. For each node  $X$  adjacent to  $N$ 
    - If ( $N$ 's cost + cost of  $(N, X)$ ) <  $X$ 's cost
    - $X$ 's cost =  $N$ 's cost + cost of  $(N, X)$
    - Prev[ $X$ ] =  $N$  //store preceding node



(Prev allows paths to be reconstructed)

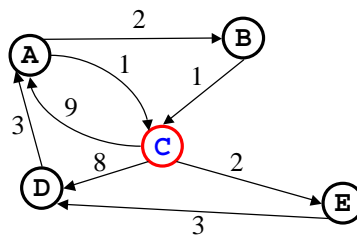
## Dijkstra's Algorithm in Action

vertex	known	cost	Prev
A	No	$\infty$	
B	No	$\infty$	
C	Yes	0	
D	No	$\infty$	
E	No	$\infty$	

Initial

vertex	known	cost	Prev
A			
B			
C			
D			
E			

Final



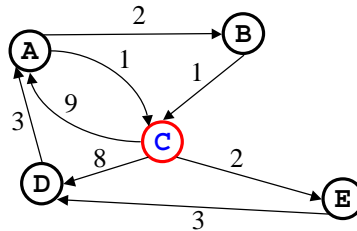
## Dijkstra's Algorithm: The Result

vertex	known	cost	Prev
A	No	$\infty$	-
B	No	$\infty$	-
C	Yes	0	-
D	No	$\infty$	-
E	No	$\infty$	-

Initial

vertex	known	cost	Prev
A	Yes	8	D
B	Yes	10	A
C	Yes	0	-
D	Yes	5	E
E	Yes	2	C

Final



## Analysis of Dijkstra's Algorithm

♦ Main loop:

While there are unknown nodes left in the graph ← ? times

1. Select the unknown node  $N$  with the *lowest cost* ←  $O(?)$

2. Mark  $N$  as known

3. For each node  $X$  adjacent to  $N$

If ( $N$ 's cost + cost of  $(N, X)$ ) <  $X$ 's cost

$X$ 's cost =  $N$ 's cost + cost of  $(N, X)$

}  $O(?)$  in total

## Analysis of Dijkstra's Algorithm

♦ Main loop:

While there are unknown nodes left in the graph  $\leftarrow |V|$  times

1. Select the unknown node  $N$  with the *lowest cost*  $\leftarrow O(|V|)$

2. Mark  $N$  as known

3. For each node  $X$  adjacent to  $N$

If ( $N$ 's cost + cost of  $(N, X)$ ) <  $X$ 's cost

$X$ 's cost =  $N$ 's cost + cost of  $(N, X)$

}  $O(|E|)$  in total

Total time  $\leq |V| (O(|V|)) + O(|E|) = O(|V|^2 + |E|)$

Dense graph:  $|E| = \Theta(|V|^2) \rightarrow$  Total time =  $O(|V|^2) = O(|E|)$  ✓

Sparse graph:  $|E| = \Theta(|V|) \rightarrow$  Total time =  $O(|V|^2) = O(|E|^2)$  ✗

Quadratic! Can we do better?

Yo, data structurers, can we do better?



What data structure can we use to speed up the following operations?

$|V|$  times:

Select the unknown node  $N$  with the *lowest cost*

Mark as known

$O(|E|)$  times:

$X$ 's cost =  $N$ 's cost + cost of  $(N, X)$

What ADT operations should we use?

## Speeding up Dijkstra

Use a priority queue to store vertices with key = cost

$|V|$  times:

Select the unknown node  $N$  with the *lowest cost*

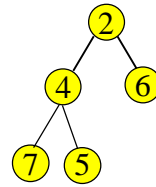
Mark as known

$|E|$  times:

$X$ 's cost =  $N$ 's cost + cost of  $(N, X)$

deleteMin

decreaseKey



Total run time for  $G = (V, E)$  is = ?

## Speeding up Dijkstra

Use a priority queue to store vertices with key = cost

$|V|$  times:

Select the unknown node  $N$  with the *lowest cost*

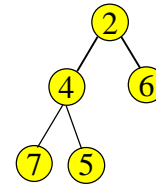
Mark as known

$|E|$  times:

$X$ 's cost =  $N$ 's cost + cost of  $(N, X)$

deleteMin

decreaseKey



**Total run time =  $O(|V| \log |V| + |E| \log |V|)$   
=  $O(|E| \log |V|)$**

(Faster than  $O(|V|^2)$ ; good for sparse graphs)

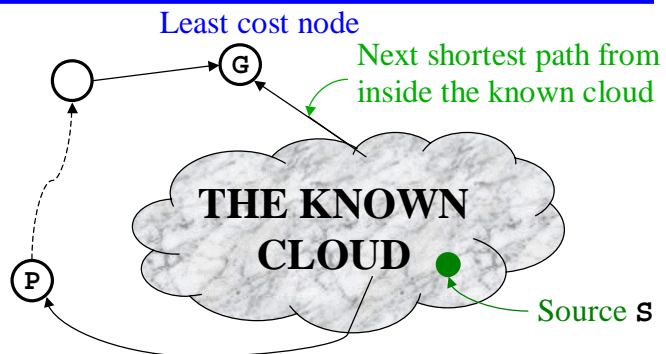
## Does Dijkstra's Algorithm Always Work?

---

- ◆ Dijkstra's algorithm is an example of a greedy algorithm
- ◆ Greedy algorithms always make choices that currently seem the best
  - ⇒ Short-sighted – no consideration of long-term or global issues
  - ⇒ Locally optimal does not always mean globally optimal
- ◆ In Dijkstra's case – choose the least cost node, but **what if there is another path through other vertices that is cheaper?**
- ◆ Can prove: Never happens **if all edge weights are positive**

## The “Cloudy” Proof of Dijkstra's Correctness

---



If the path to G is the next shortest path from source S, then the path from S to P cannot be shorter.

Therefore, any path through P to G cannot be shorter!

**So path from S to G is shortest!**

## Inside the Cloud (Proof)

---

Claim: Everything inside the known cloud has the correct shortest path

Proof: By induction on the number of nodes in the cloud:

- ⇨ **Base case**: Initial cloud is just the source with shortest path 0
- ⇨ **Inductive hypothesis**: Assume cloud of  $k-1$  nodes all have shortest paths from source
- ⇨ **Inductive step**: Choose the next least cost node  $G$  → from previous slide, has to be the shortest path to  $G$ . Add  $k^{\text{th}}$  node  $G$  to the cloud – all  $k$  have shortest paths.

## Inside the Cloud (Proof)

---

Claim: Everything inside the known cloud has the correct shortest path

Proof: By induction on the number of nodes in the cloud:

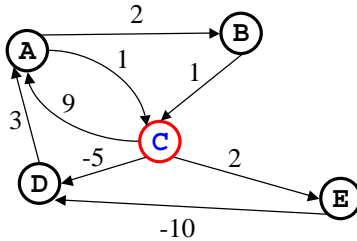
- ⇨ **Base case**: Initial cloud is just the source with shortest path 0
- ⇨ **Inductive hypothesis**: Assume cloud of  $k-1$  nodes all have shortest paths from source
- ⇨ **Inductive step**: Choose the next least cost node  $G$  → from previous slide, has to be the shortest path to  $G$ . Add  $k^{\text{th}}$  node  $G$  to the cloud – all  $k$  have shortest paths.

**But wait a minute!! What about negative weights??**



Gotcha!!

## Negative Weights: Dijkstra's Achilles Heel



Dijkstra path (greedy): C→D (cost = -5)

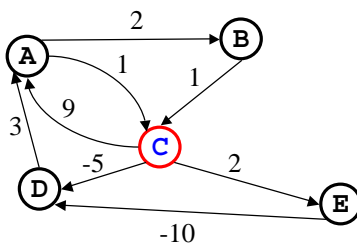
Least cost path: C→E→D (cost = -8)

Dijkstra gives incorrect answer!!



I got it! What 'bout addin' a positive constant to all edges??

## Negative Weights: Dijkstra's Achilles Heel



Dijkstra path (greedy): C→D (cost = -5)

Least cost path: C→E→D (cost = -8)

Simply adding a constant to all edges won't work! (Try adding +10)

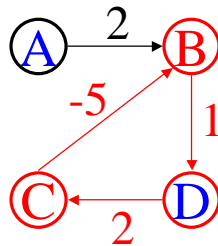
**Solution:** Combine Dijkstra with BFS (use a queue):  $O(E|V)$  time

(see Chap 9 for details)

(not too good!)



## Negative Cycles: Dijkstra's Achilles Foot



Negative cycles: What's the least cost path from A to B? (or to C or D, for that matter)

**Least cost path undefined!**

Can keep going around the loop for ever-shorter paths

Weighted graphs are messy...

Let's get back to unweighted graphs

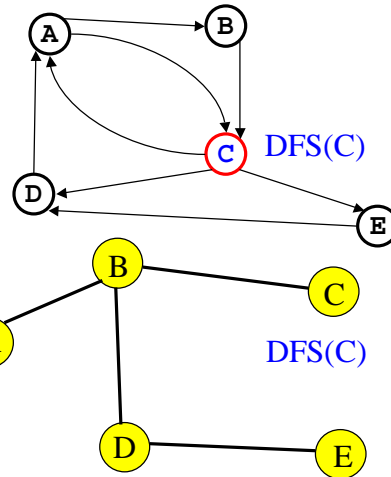
- ◆ We used Breadth First Search for finding shortest paths in an unweighted graph
  - ⇒ Use a queue to explore neighbors of source vertex, neighbors of each neighbor, etc. (1 edge away, two edges away, etc.)
- ◆ Its counterpart: **Depth First Search**
  - ⇒ A second way to explore all nodes in a graph
- ◆ DFS searches down one path as deep as possible
  - ⇒ When no new nodes available, it *backtracks*
  - ⇒ When backtracking, we explore side-paths that weren't taken
- ◆ DFS allows an easy recursive implementation
  - ⇒ So, DFS uses a stack while BFS uses a queue

## DFS Pseudocode

- ◆ Pseudocode for DFS: Easy!
 

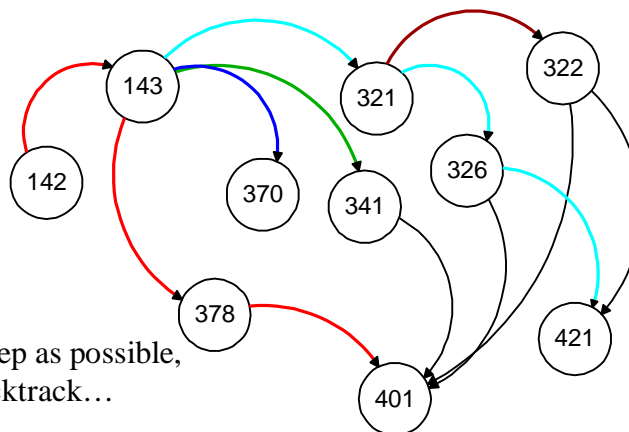
```

DFS(v)
  If v is unvisited
    mark v as visited
    print v (or process v)
    for each edge (v,w)
      DFS(w)
      
```
- ◆ Works for directed or undirected graphs
  - ⇒ Works for graphs with cycles too
- ◆ Running time =  $O(|V| + |E|)$



## What about DFS on this graph?

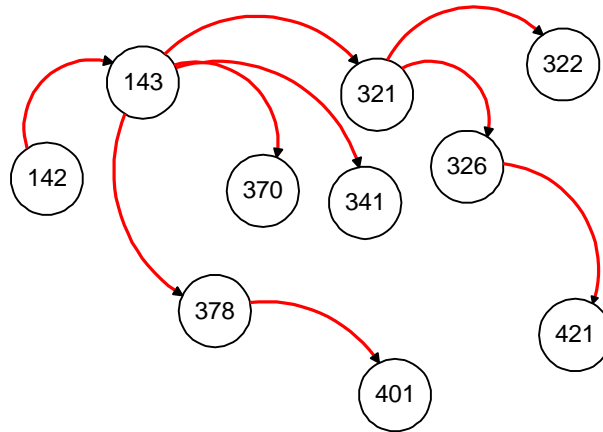
- ◆ What happens when you do DFS("142")?



Go as deep as possible,  
Then backtrack...

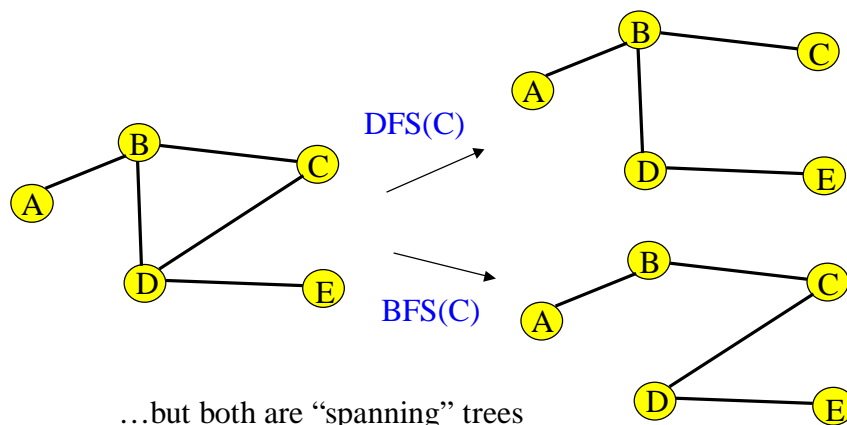
We get a “spanning” tree...

---



DFS and BFS may give different trees...

---

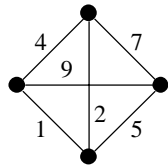


...but both are “spanning” trees

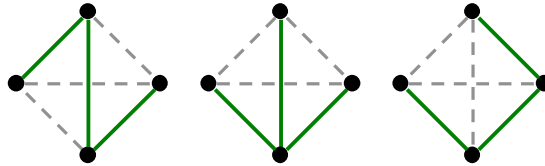
## Spanning Tree Definition

---

- ◆ A *Spanning tree* = a subset of edges from a connected graph that:
  - ⇒ touches all vertices in the graph (*spans* the graph)
  - ⇒ forms a tree (is connected and contains no cycles)



Weighted graph



Three spanning trees

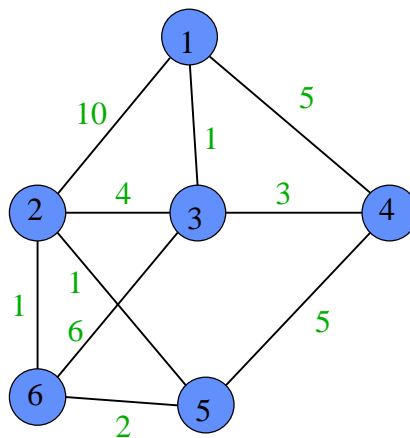
- ◆ **Minimum spanning tree**: the spanning tree with the least total edge cost

## Minimum Spanning Tree (MST) Problem

---

We are given a weighted, undirected graph  $G = (V, E)$ , with weight function  $w: E \rightarrow \mathbf{R}$  mapping edges to real valued weights

**Problem:** Find the minimum cost spanning tree



## Why minimum spanning trees?

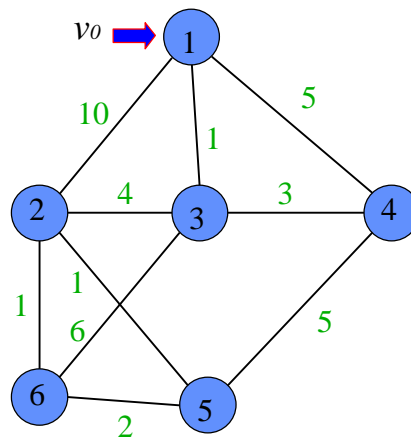
---

- ◆ Lots of applications
- ◆ Minimize length of gas pipelines between cities
- ◆ Find cheapest way to wire a house (with minimum cable)
- ◆ Find a way to connect various routers on a network that minimizes total delay
- ◆ Finding them could be a cool rainy day activity
- ◆ Etc...

## Prim's Algorithm for Finding the MST

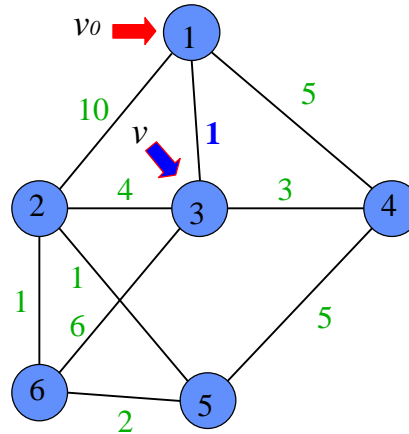
---

1. Starting from an empty tree,  $T$ , pick a vertex,  $v_0$ , at random and initialize:  
 $V' = \{v_0\}$  and  $E' = \{\}$



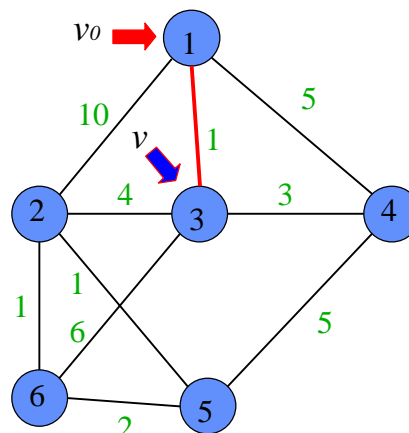
## Prim's Algorithm for Finding the MST

1. Starting from an empty tree,  $T$ , pick a vertex,  $v_0$ , at random and initialize:  $V' = \{v_0\}$  and  $E' = \{\}$
2. Choose a vertex  $v$  not in  $V'$  such that edge weight from  $v$  to a vertex in  $V'$  is the least among all such edges (greedy again!)



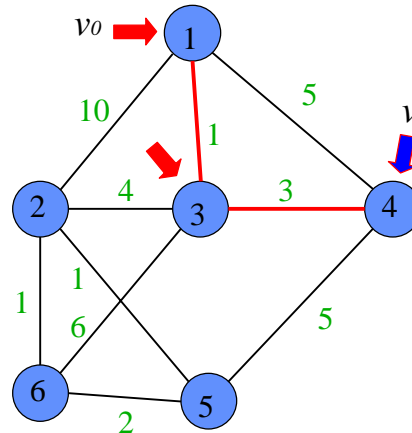
## Prim's Algorithm for Finding the MST

1. Starting from an empty tree,  $T$ , pick a vertex,  $v_0$ , at random and initialize:  $V' = \{v_0\}$  and  $E' = \{\}$
2. Choose a vertex  $v$  not in  $V'$  such that edge weight from  $v$  to a vertex in  $V'$  is the least among all such edges
3. Add  $v$  to  $V'$  and the edge to  $E'$  if no cycle is created



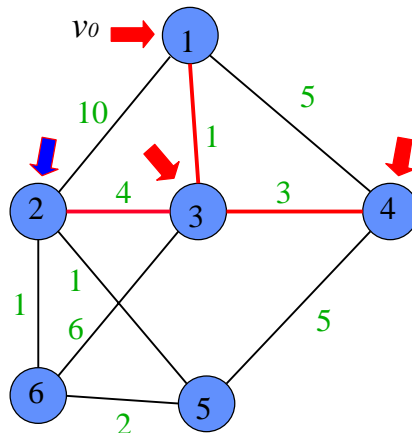
## Prim's Algorithm for Finding the MST

1. Starting from an empty tree,  $T$ , pick a vertex,  $v_0$ , at random and initialize:  $V' = \{v_0\}$  and  $E' = \{\}$
2. Choose vertex  $v$  not in  $V'$  such that edge weight from  $v$  to a vertex in  $V'$  is the least among all such edges
3. Add  $v$  to  $V'$  and the edge to  $E'$  if no cycle is created
4. Repeat until all vertices have been added



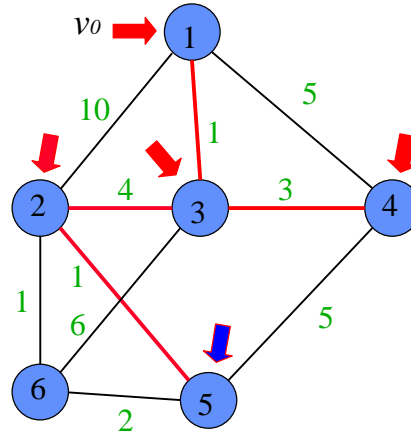
## Prim's Algorithm for Finding the MST

1. Starting from an empty tree,  $T$ , pick a vertex,  $v_0$ , at random and initialize:  $V' = \{v_0\}$  and  $E' = \{\}$
2. Choose a vertex  $v$  not in  $V'$  such that edge weight from  $v$  to a vertex in  $V'$  is the least among all such edges
3. Add  $v$  to  $V'$  and the edge to  $E'$  if no cycle is created
4. Repeat until all vertices have been added



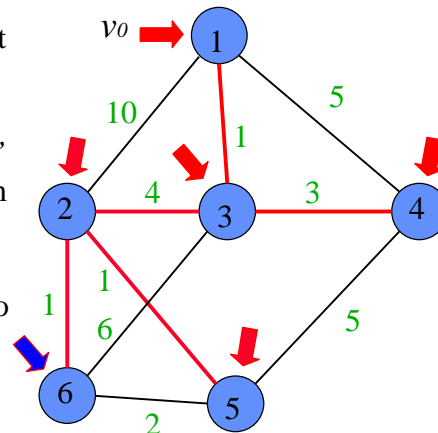
## Prim's Algorithm for Finding the MST

1. Starting from an empty tree,  $T$ , pick a vertex,  $v_0$ , at random and initialize:  $V' = \{v_0\}$  and  $E' = \{\}$
2. Choose a vertex  $v$  not in  $V'$  such that edge weight from  $v$  to a vertex in  $V'$  is the least among all such edges
3. Add  $v$  to  $V'$  and the edge to  $E'$  if no cycle is created
4. Repeat until all vertices have been added



## Prim's Algorithm for Finding the MST

1. Starting from an empty tree,  $T$ , pick a vertex,  $v_0$ , at random and initialize:  $V' = \{v_0\}$  and  $E' = \{\}$
2. Choose a vertex  $v$  not in  $V'$  such that edge weight from  $v$  to a vertex in  $V'$  is the least among all such edges
3. Add  $v$  to  $V'$  and the edge to  $E'$  if no cycle is created
4. Repeat until all vertices have been added





## Prim's Algorithm for Finding the MST

---

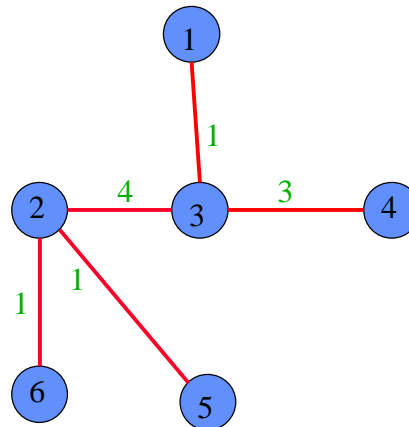
Done!

$$\begin{aligned} \text{Total cost} &= 1 + 3 + 4 + 1 + 1 \\ &= 10 \end{aligned}$$

(verify that this is indeed the MST)

How fast does Prim run?

Hint: Almost identical to Dijkstra's is Prim's algorithm...



---

Next Class (by Vass):

Analysis of Prim's Algorithm

Kruskal takes a bow – faster MST

To Do:

Homework Assignment #4

Continue reading Chapter 9