

## CSE 326: Data Structures

### Topic #5: Skew Heaps and Binomial Qs

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## Today's Outline

- Binary Heaps: average runtime of *insert*
- Leftist Heaps: re-do proof of property #1
- **Amortized Runtime**
- **Skew Heaps**
- **Binomial Queues**
- Comparing Implementations of Priority Qs

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### Binary Heaps: Average runtime of *Insert*

```
Recall: Insert-in-Binary-Heap(x) {  
    Put x in the next available position  
    percolateUp(last node)  
}
```

How long does this `percolateUp(last node)` take?

- Worst case:  $\Theta(\text{tree height})$ , i.e.  $\Theta(\log n)$
- Average case:  $\Theta(1)$  Why??

Average runtime of insert in binary heap =  $\Theta(1)$

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### Right Path in a Leftist Tree is Short (#1)

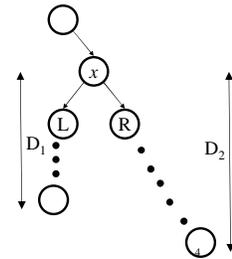
Claim: The right path is as short as *any* in the tree.

Proof: (By contradiction)

Pick a shorter path:  $D_1 < D_2$   
Say it diverges from right path at  $x$

$npl(L) \leq D_1 - 1$  because of the path of length  $D_1 - 1$  to null

$npl(R) \geq D_2 - 1$  because every node on right path is leftist



Leftist property at  $x$  violated!

### A Twist in Complexity Analysis: The Amortized Case

**If a sequence of  $M$  operations takes  $O(M f(n))$  time, we say the amortized runtime is  $O(f(n))$ .**

- Worst case time *per operation* can still be large, say  $O(n)$
- Worst case time for *any* sequence of  $M$  operations is  $O(M f(n))$
- Average time *per operation* for *any* sequence is  $O(f(n))$

Is this the same as average time?

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### Skew Heaps

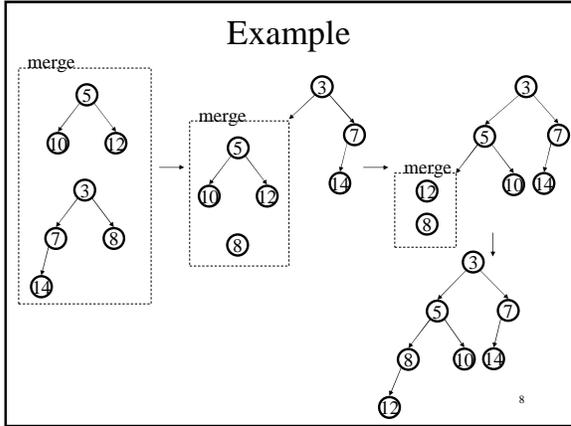
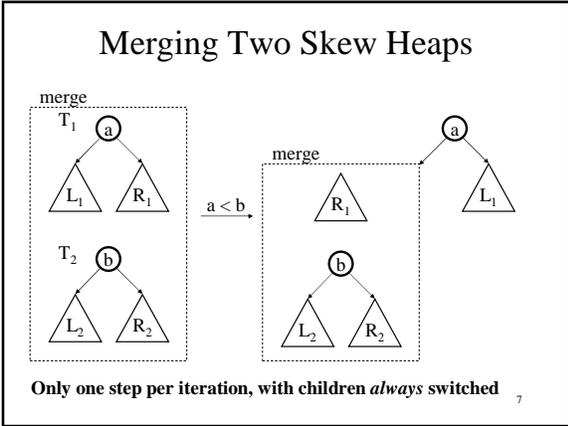
Problems with leftist heaps

- extra storage for  $npl$
- extra complexity/logic to maintain and check  $npl$
- two pass iterative merge (requires stack!)
- right side is "often" heavy and requires a switch

Solution: skew heaps

- blind adjusting version of leftist heaps
- merge *always* switches children when fixing right path
- iterative method has only one pass
- amortized time for merge, insert, and deleteMin is  $\Theta(\log n)$
- however, worst case time for all three is  $\Theta(n)$

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### Skew Heap Code

```

void merge(heap1, heap2) {
  case {
    heap1 == NULL: return heap2;
    heap2 == NULL: return heap1;
    heap1.findMin() < heap2.findMin():
      temp = heap1.right;
      heap1.right = heap1.left;
      heap1.left = merge(heap2, temp);
      return heap1;
    otherwise:
      return merge(heap2, heap1);
  }
}

```

### Runtime Analysis: Worst-case and Amortized

- No worst case guarantee on right path length!
- All operations rely on merge
  - ⇒ worst case complexity of all ops =
- Will do amortized analysis later in the course (see chapter 11 if curious)
- Result:  $M$  merges take time  $M \log n$ 
  - ⇒ amortized complexity of all ops =

### ATaB: Comparing Heaps

• Binary Heaps	• Leftist Heaps
• d-Heaps	• Skew Heaps

**Still scope for improvement!**

### Yet Another Data Structure: Binomial Queues

- Structural property
  - Forest of binomial trees with at most one tree of any height

What's a forest?

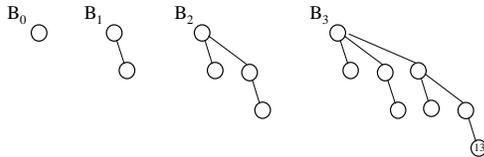
What's a binomial tree?

- Order property
  - Each binomial tree has the heap-order property

**My opinion: Beautiful and elegant!**

## The Binomial Tree, $B_h$

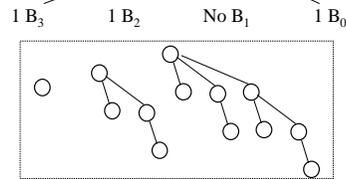
- $B_h$  has height  $h$  and exactly  $2^h$  nodes
- $B_h$  is formed by making  $B_{h-1}$  a child of another  $B_{h-1}$
- Root has exactly  $h$  children
- Number of nodes at depth  $d$  is binomial coeff.  $\binom{h}{d}$ 
  - Hence the name; we will *not* use this last property



## Binomial Q with $n$ elements

Binomial Q with  $n$  elements has a *unique* structural representation in terms of binomial trees!

Write  $n$  in binary:  $n = 1101_{(base\ 2)} = 13_{(base\ 10)}$



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## Properties of Binomial Q

- At most one binomial tree of any height
- $n$  nodes  $\Rightarrow$  binary representation is of size ?
  - $\Rightarrow$  deepest tree has height ?
  - $\Rightarrow$  number of trees is ?

*Define:* height(forest  $F$ ) =  $\max_{\text{tree } T \text{ in } F} \{ \text{height}(T) \}$

**Binomial Q with  $n$  nodes has height  $\Theta(\log n)$**

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## Operations on Binomial Q

- Will again define *merge* as the base operation
  - insert, deleteMin, buildBinomialQ will use merge
- Can we do increaseKey efficiently?  
decreaseKey?
- What about findMin?

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## Merging Two Binomial Qs

Essentially like adding two binary numbers!

1. Combine the two forests
2. For  $k$  from 1 to maxheight {
 

a. $m \leftarrow$ total number of $B_k$ 's in the two BQs	# of 1's
b. if $m=0$ : continue;	0+0 = 0
c. if $m=1$ : continue;	1+0 = 1
d. if $m=2$ : combine the two $B_k$ 's to form a $B_{k+1}$	1+1 = 1+c
e. if $m=3$ : retain one $B_k$ and combine the other two to form a $B_{k+1}$	1+1+c = 1+c

**Claim: When this process ends, the forest has at most one tree of any height**

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## Complexity of Merge

Constant time for each height

Max height is  $\log n$

$\Rightarrow$  worst case running time =  $\Theta(\quad)$

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## Insert in a Binomial Q

Insert(x): Similar to leftist or skew heap

*runtime*

Worst case complexity: same as merge  
 $\Theta(\log n)$

Average case complexity:  $\Theta(1)$

Why?? *Hint: Think of adding 1 to 1101*

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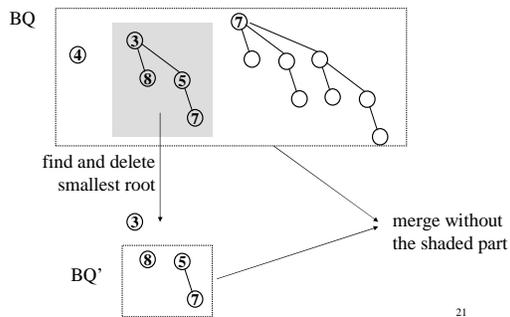
## deleteMin in Binomial Q

deleteMin: Similar to leftist and skew heaps

A tiny bit more complicated

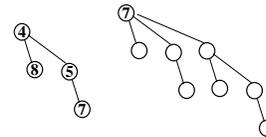
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## deleteMin: Example



## deleteMin: Example

Result:



*runtime:*

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## buildBinomialQ

Call insert  $n$  times on an initially empty BQ

*runtime:* naïve  $O(n \log n)$

careful analysis  $\Theta(n)$

idea: count the number of times  
 one needs to combine trees

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## To Do

- Project #1 due tonight!
  - Bring printout to section tomorrow
- Written homework #1
  - will be out later today; I'll send an email
- Revise binary search tree basics
- Begin reading chapter 4 in the book

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