

CSE 312 Foundations II

Counting

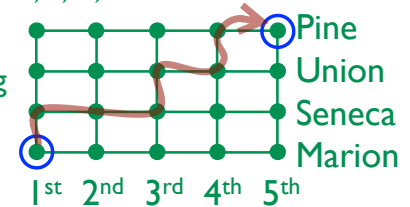
from slides by W.L. Ruzzo and others

counting – as easy as 1, 2, 3 ?

How many ways are there to do X?

E.g., X = “choose an integer 1, 2, ..., 10”

E.g., X = “Walk from 1st & Marion to 5th & Pine, going only North or East at each intersection.”



The Point:

Counting gets hard when numbers are large, implicit and/or constraints are complex.
Systematic approaches help.

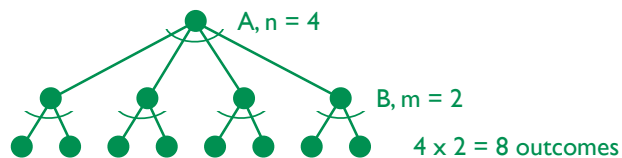
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the basic principle of counting: the product rule

If there are

n outcomes/choices for some event A,
sequentially followed by m outcomes/choices for
event B,

then there are $n \cdot m$ outcomes/choices overall.



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examples

Q. How many n -bit numbers are there?

A. 1st bit 0 or 1, then 2nd bit 0 or 1, then ...



$$2 \cdot 2 \cdot \dots \cdot 2 = 2^n$$

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examples

Q. How many subsets of a set of size n are there?

A. 1st member in or out; 2nd member in or out,... ⇒ 2ⁿ

Tip: Visualize an order in which decisions are being made

examples

Q. How many 4-character passwords are there, if each character must be one of a, b, ..., z, 0, 1, ..., 9 ?

A. 36 • 36 • 36 • 36 = 1,679,616 ≈ 1.7 million

Q. Ditto, but no character may be repeated?

A. 36 • 35 • 34 • 33 = 1,413,720 ≈ 1.4 million

permutations

Q. How many arrangements of n distinct items are possible?

n	choices for 1st
(n-1)	choices for 2nd
(n-2)	choices for 3rd
...	...
1	choices for last

A. $n \cdot (n-1) \cdot (n-2) \cdot \dots \cdot 1 = n!$ (n factorial)

examples

Q. How many permutations of DAWGY are there?

A. 5! = 120

Q. How many of DAGGY?

A. $5!/2! = 60$ DAG₁G₂Y = DAG₂G₁Y
ADG₁YG₂ = ADG₂YG₁
...



Q. How many of GODOGGY ?

A. $\frac{7!}{3!2!1!1!} = 420$

combinations

Q. Your elf-lord avatar can carry 3 objects chosen from

1. sword
2. knife
3. staff
4. water jug
5. iPad w/magic WiFi

How many ways can you equip him/her?

$$\frac{5 \cdot 4 \cdot 3}{3!} = \frac{5!}{3! \cdot 2!} = 10$$

← ordered ways in which to pick objects

← but picking abc is equiv to acb, and bca, and ...

combinations

Combinations: number of ways to choose r unordered things from n distinct things

“n choose r” aka binomial coefficients

$$\binom{n}{r} = \frac{n(n-1)(n-2)\dots(n-r+1)}{r(r-1)(r-2)\dots 1} = \frac{n!}{r!(n-r)!}$$

Important special case:

how many (unordered) pairs from n objects

$$\binom{n}{2} = \frac{n(n-1)}{2} = \Theta(n^2)$$

combinations: examples

Q. How many different poker hands are possible (i.e., 5 cards chosen from a deck of 52 distinct possibilities)?

A. $\binom{52}{5} = \frac{52 \cdot 51 \cdot 50 \cdot 49 \cdot 48}{5 \cdot 4 \cdot 3 \cdot 2 \cdot 1} = 2,598,960$

Q. 10 people meet at a party. If everyone shakes hands with everyone else, how many handshakes happen?

A. $\binom{10}{2} = \frac{10 \cdot 9}{2 \cdot 1} = 45$

combinations

Combinations: number of ways to choose r unordered things from n distinct things

“n choose r” aka binomial coefficients

$$\binom{n}{r} = \frac{n(n-1)(n-2)\dots(n-r+1)}{r(r-1)(r-2)\dots 1} = \frac{n!}{r!(n-r)!}$$

Many Identities. E.g.:

$$\binom{n}{r} = \binom{n}{n-r} \quad \leftarrow \text{by symmetry of definition}$$

$$\binom{n}{r} = \binom{n-1}{r-1} + \binom{n-1}{r} \quad \leftarrow \text{first object either in or out; disjoint cases add}$$

$$\binom{n}{r} = \frac{n}{r} \binom{n-1}{r-1} \quad \leftarrow \text{by definition + algebra}$$

Combinatorial argument:

Let S be a set of objects.

Show how to count the set one way $\rightarrow N$

Show how to count the set another way $\rightarrow M$

Conclude that $N=M$

the binomial theorem

$$(x + y)^n = \sum_k \binom{n}{k} x^k y^{n-k}$$

proof 1: induction ...

proof 2: counting –

$$(x+y) \cdot (x+y) \cdot (x+y) \cdot \dots \cdot (x+y)$$

pick either x or y from each factor

How many ways did you get exactly k x 's? $\binom{n}{k}$

another identity w/ binomial coefficients

$$\sum_{k=0}^n \binom{n}{k} = 2^n$$

Proof:

$$\sum_{k=0}^n \binom{n}{k} = \sum_{k=0}^n \binom{n}{k} 1^k 1^{n-k} = (1 + 1)^n = 2^n$$

another binomial theorem question

coefficient of y^3 in $(7x + 3y)^5$?

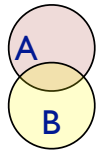
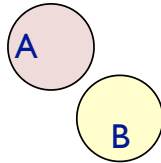
$$\text{Relevant term: } \binom{5}{2} (7x)^2 (3y)^3$$

$$\text{Coefficient: } \binom{5}{2} (7x)^2 3^3$$

another general counting rule: inclusion-exclusion

If two sets or events A and B are *disjoint*, aka *mutually exclusive*, then

$$|A \cup B| = |A| + |B|$$

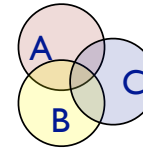
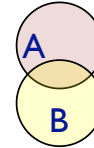


More generally, for two sets or events A and B, *whether or not they are disjoint*,

$$|A \cup B| = |A| + |B| - |A \cap B|$$

inclusion-exclusion

inclusion-exclusion in general



$$|A \cup B| = |A| + |B| - |A \cap B|$$

$$|A \cup B \cup C| = |A| + |B| + |C| - |B \cap C| - |A \cap C| - |A \cap B| + |A \cap B \cap C|$$

General: + singles - pairs + triples - quads + ...

example

How many of 1, 2, ..., 10 are divisible by 2, 3, and/or 5?

Let

$$E_2 = \{x \mid 1 \leq x \leq 10 \wedge x \text{ is a multiple of } 2\}$$

$$E_3 = \{x \mid 1 \leq x \leq 10 \wedge x \text{ is a multiple of } 3\}$$

$$E_5 = \{x \mid 1 \leq x \leq 10 \wedge x \text{ is a multiple of } 5\}$$

$$|E_2 \cup E_3 \cup E_5|$$

$$= |E_2| + |E_3| + |E_5| - |E_2 E_3| - |E_2 E_5| - |E_3 E_5| + |E_2 E_3 E_5|$$

$$= \left\lfloor \frac{10}{2} \right\rfloor + \left\lfloor \frac{10}{3} \right\rfloor + \left\lfloor \frac{10}{5} \right\rfloor - \left\lfloor \frac{10}{2 \cdot 3} \right\rfloor - \left\lfloor \frac{10}{2 \cdot 5} \right\rfloor - \left\lfloor \frac{10}{3 \cdot 5} \right\rfloor + \left\lfloor \frac{10}{2 \cdot 3 \cdot 5} \right\rfloor$$

$$= 5 + 3 + 2 - 1 - 1 - 0 + 0$$

$$= 8$$

Notation: "AB" means "A and B"

more counting: the pigeonhole principle



pigeonhole principle

If there are n pigeons in k holes and $n > k$, then some hole contains more than one pigeon.
More precisely, some hole contains at least $\lceil n/k \rceil$ pigeons.

To solve a pigeonhole principle problem:

1. Define the pigeons
2. Define the pigeonholes
3. Define the mapping of pigeons to pigeonholes

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pigeonhole principle

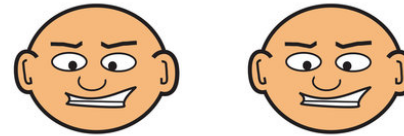
If there are n pigeons in k holes and $n > k$, then some hole contains more than one pigeon.
More precisely, some hole contains at least $\lceil n/k \rceil$ pigeons.

There are two people in London who have the same number of hairs on their head.

Typical head \sim 150,000 hairs

Londoners have between 0 and 999,999 hairs on their head.

Since there are more than 1,000,000 people in London...



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Hairs on head

Pigeons: People in London $>$ 1 million

i-th pigeonhole: i hairs on head (# pigeonholes 1 million)

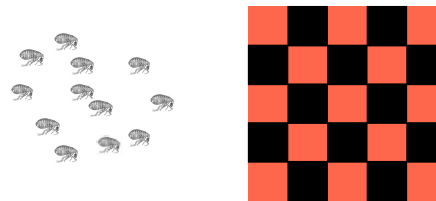
pigeon \rightarrow pigeonhole: a person goes in i -th pigeonhole if that person has i hairs on his/her head.

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pigeonhole principle

Another example:

25 fleas sit on a 5×5 checkerboard, one per square. At the stroke of noon, all jump across an edge (not a corner) of their square to an adjacent square. Two must end up in the same square. Why?



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Fleas on checkerboard

13 red squares, 12 black squares

Pigeons: fleas on red squares

Pigeonholes: black squares

Pigeon -> pigeonhole: red square flea maps to black square it jumps to.

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summary

Product Rule: n_i outcomes for A_i ; $\prod_i n_i$ in total (tree diagram)

Permutations:

ordered lists of n objects, no repeats: $n(n-1)\dots 1 = n!$

ordered lists of r objects from n , no repeats: $n!/(n-r)!$

Combinations:

“ n choose r ,” aka binomial coefficients,

unordered lists of r objects from n $\binom{n}{r} = \frac{n!}{r!(n-r)!}$

Binomial Theorem: $(x+y)^n = \sum_k \binom{n}{k} x^k y^{n-k}$

Inclusion-Exclusion: $|A \cup B| = |A| + |B| - |A \cap B|$

Pigeonhole Principle

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