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# Algorithms and Computational Complexity: an Overview

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# Design of Algorithms – a taste

design methods

common or important types of problems

analysis of algorithms - efficiency

## Complexity & intractability – a taste

solving problems in principle is not enough

algorithms must be efficient

some problems have no efficient solution

### NP-complete problems

important & useful class of problems whose solutions  
(seemingly) cannot be found efficiently

## Cryptography (e.g. RSA, SSL in browsers)

Secret:  $p, q$  prime, say 512 bits each

Public:  $n$  which equals  $p \times q$ , 1024 bits

### In principle

*there is an algorithm* that given  $n$  will find  $p$  and  $q$ :  
try all  $2^{512} \approx 1.3 \times 10^{154}$  possible  $p$ 's (but that's kinda big...  
for comparison, the age of the universe is  $\approx 5 \times 10^{29}$  picosec)

### In practice

*no fast algorithm* known for this problem (on non-quantum computers)  
security of RSA depends on this fact  
(and research in “quantum computing” is strongly driven  
by the possibility of changing this)

Moore's Law and the exponential improvements in hardware...

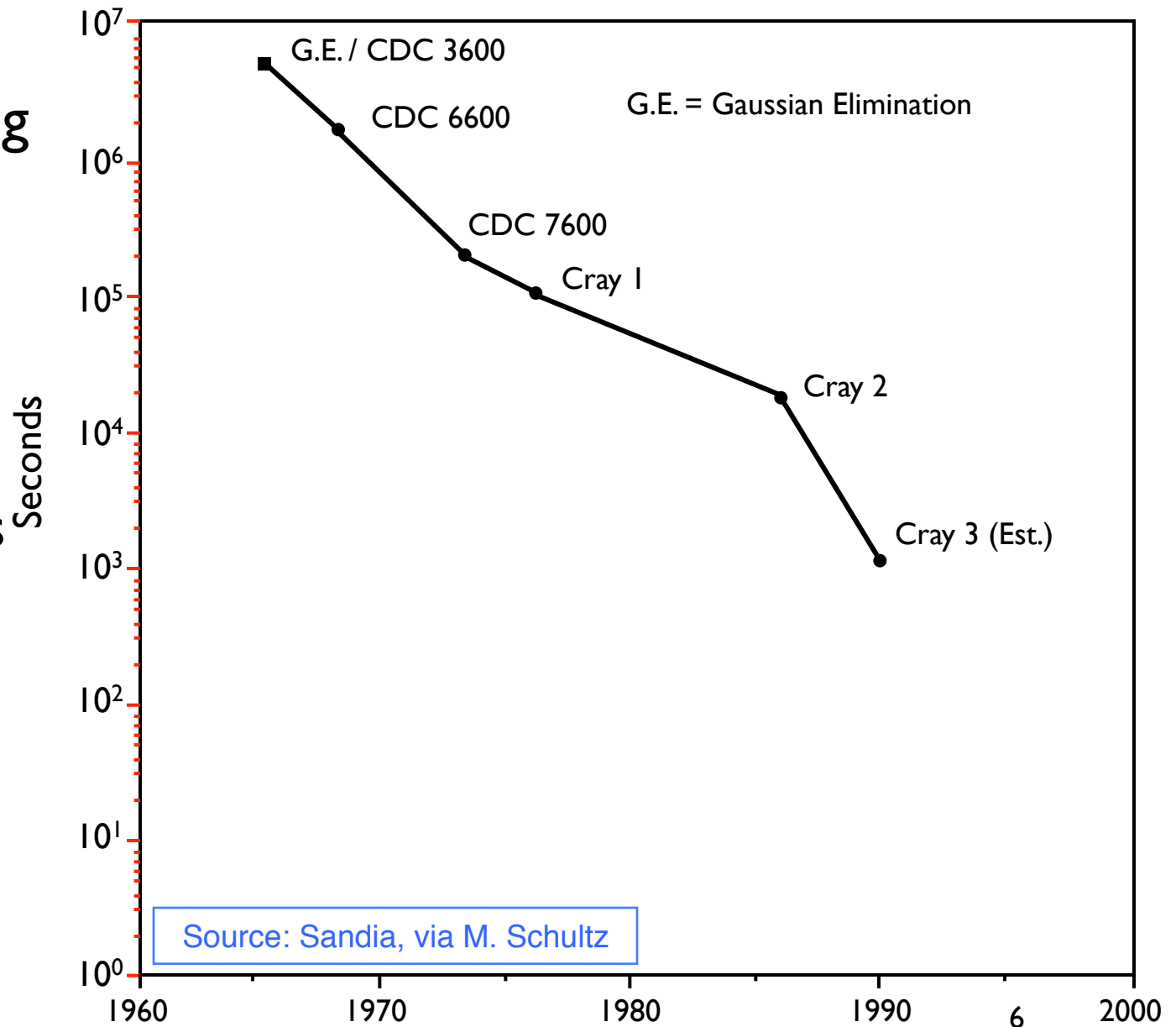
Ex: sparse linear equations over 25 years

10 orders of magnitude improvement!

## algorithms or hardware?

25 years  
progress solving  
sparse linear  
systems

Hardware  
alone: 4 orders  
of magnitude

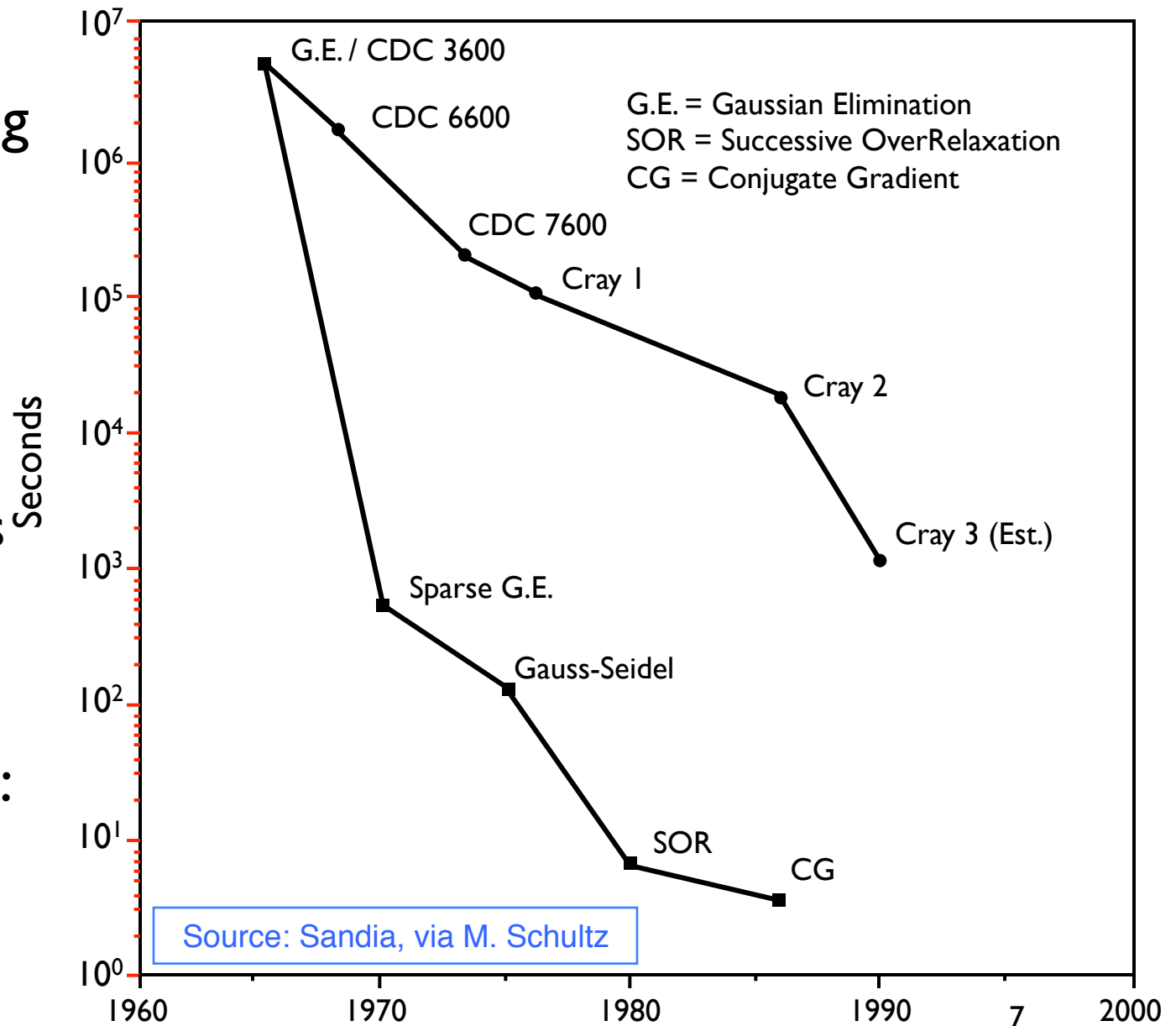


## algorithms or hardware?

25 years  
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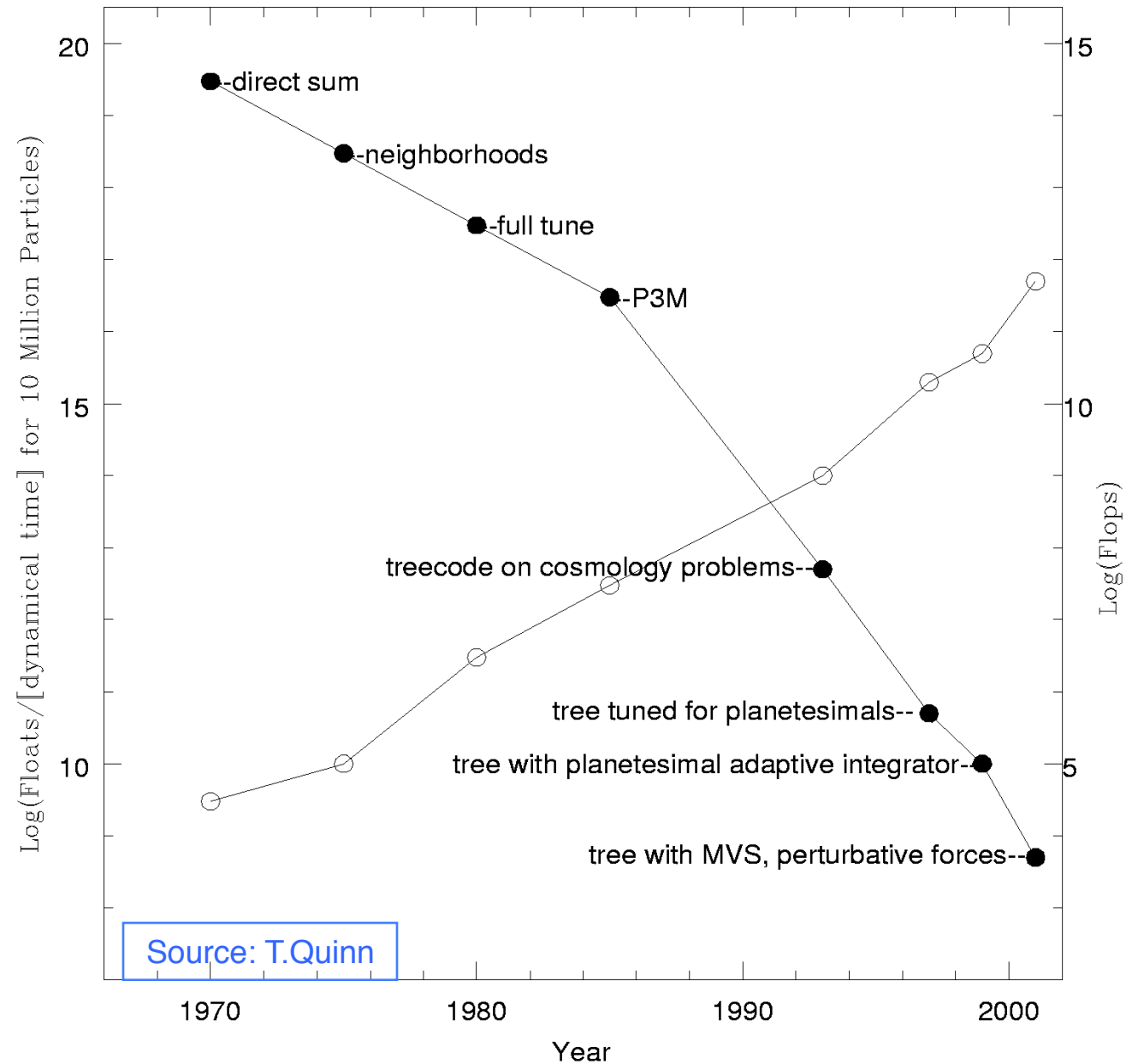
Hardware  
alone: 4 orders  
of magnitude

Software alone:  
6 orders of  
magnitude



# The N-Body Problem:

in 30 years  
 $10^7$  hardware  
 $10^{10}$  software





Procedure to accomplish a task or solve a well-specified problem

Well-specified: know what all possible inputs look like and what output looks like given them

“accomplish” via simple, well-defined steps

Ex: sorting names (via comparison)

Ex: checking for primality (via  $+$ ,  $-$ ,  $*$ ,  $/$ ,  $\leq$ )

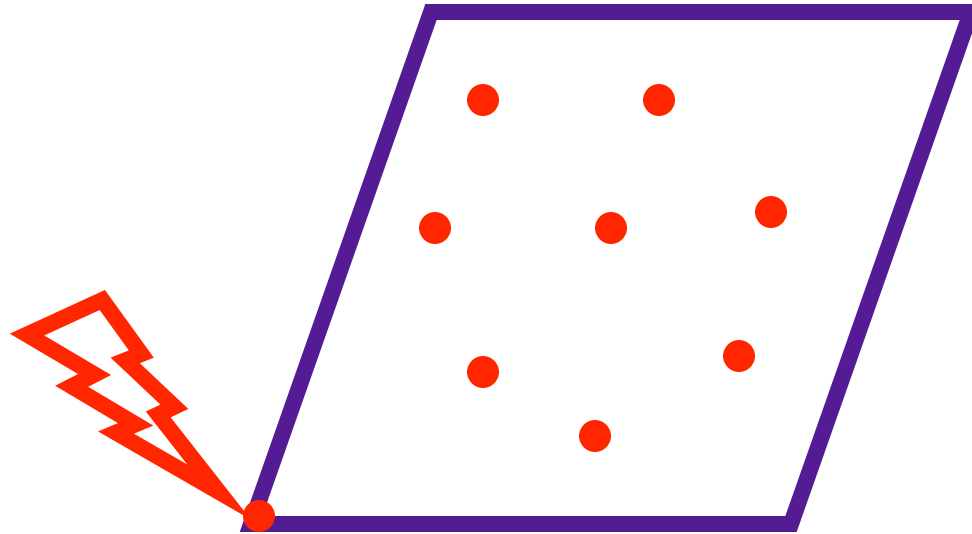
Printed circuit-board company has a robot arm that solders components to the board

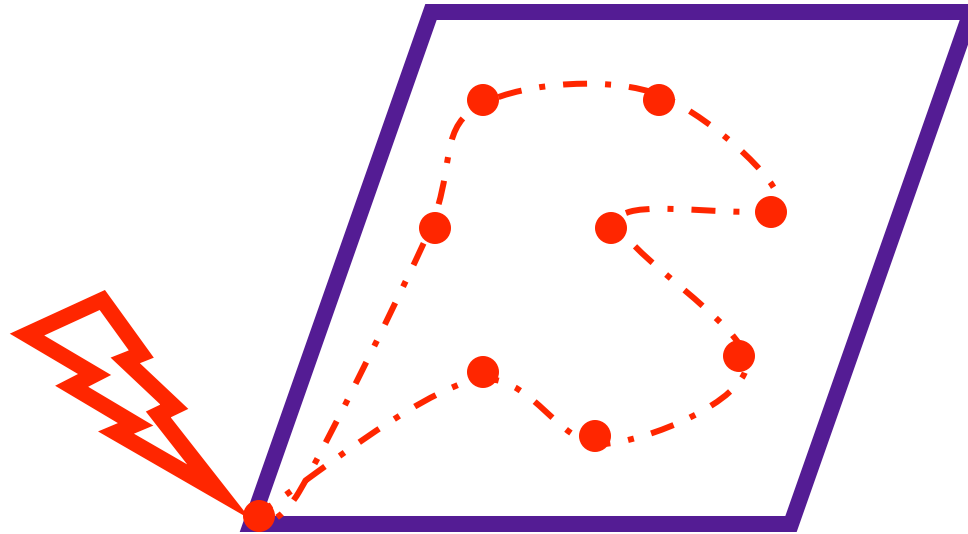
Time: proportional to total distance the arm must move from initial rest position around the board and back to the initial position

For each board design, find best order to do the soldering

# printed circuit board

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Input: Given a set  $S$  of  $n$  points in the plane

Output: The shortest cycle tour that visits each point in the set  $S$ .

Better known as “TSP”

How might you solve it?

Start at some point  $p_0$

Walk first to its  
nearest neighbor  $p_1$

Walk to the nearest  
unvisited neighbor  $p_2$ ,  
then nearest unvisited  
 $p_3$ , ... until all points  
have been visited

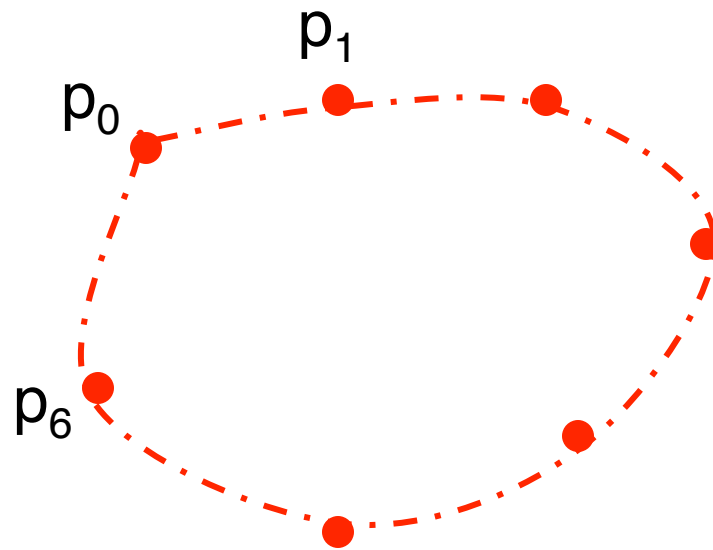
Then walk back to  $p_0$

### **heuristic:**

A rule of thumb, simplification, or educated guess that reduces or limits the search for solutions in domains that are difficult and poorly understood. May be good, but usually *not* guaranteed to give the best or fastest solution. (And often difficult to analyze precisely.)

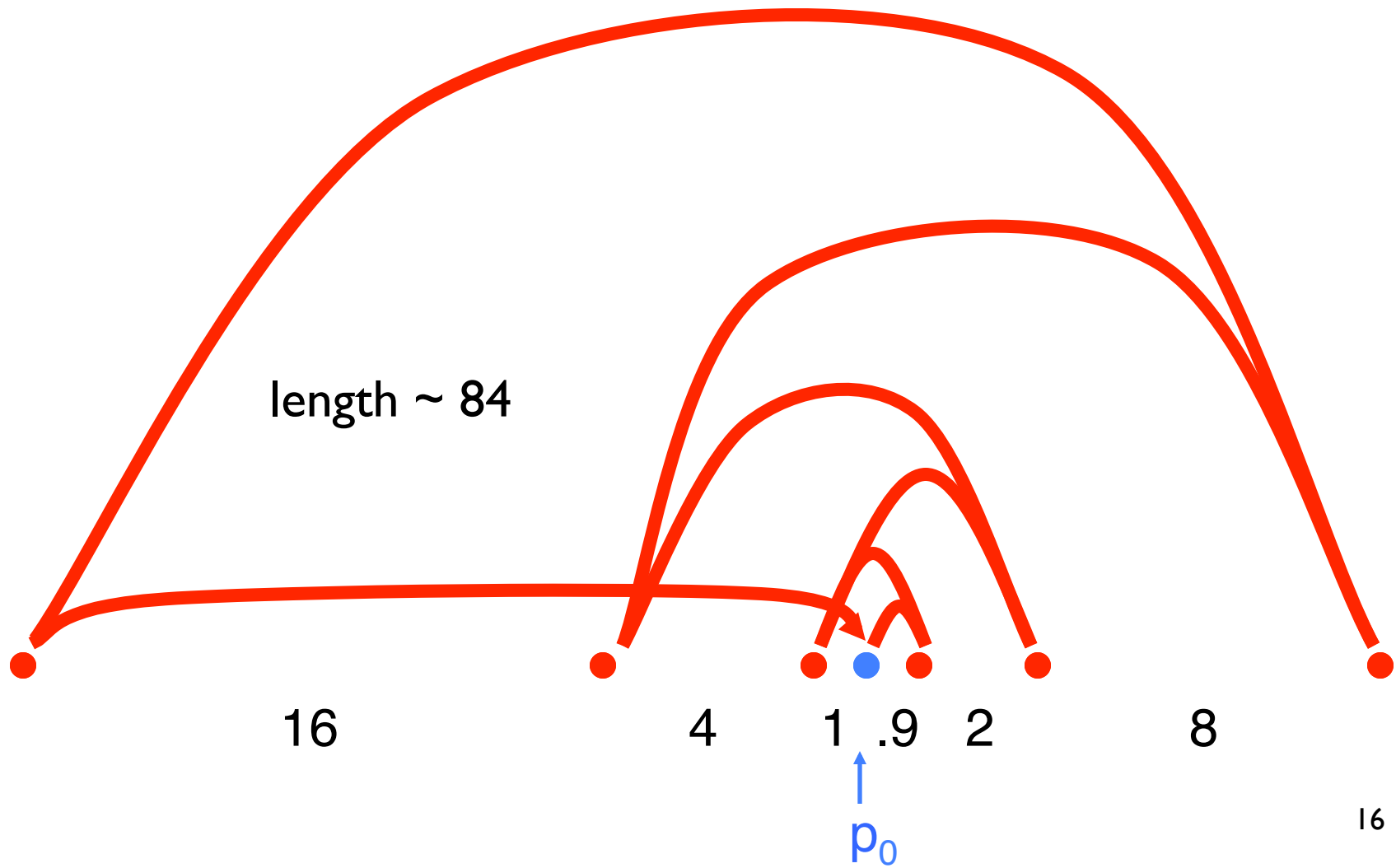
# nearest neighbor heuristic

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# an input where nn works badly

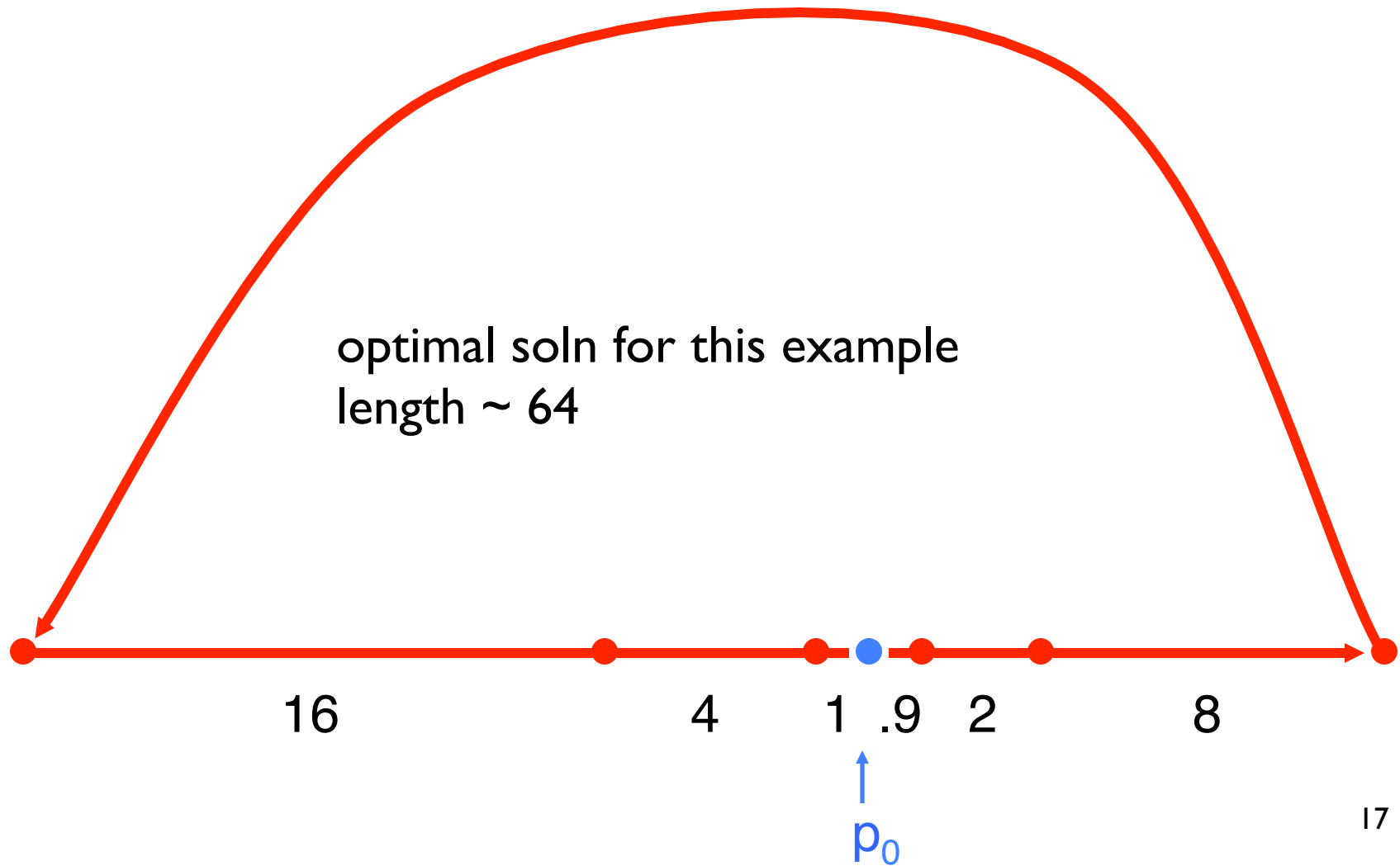
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# an input where nn works badly

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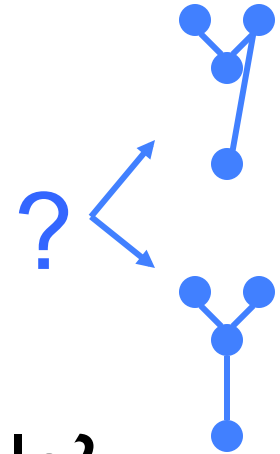


## revised heuristic – closest pairs first

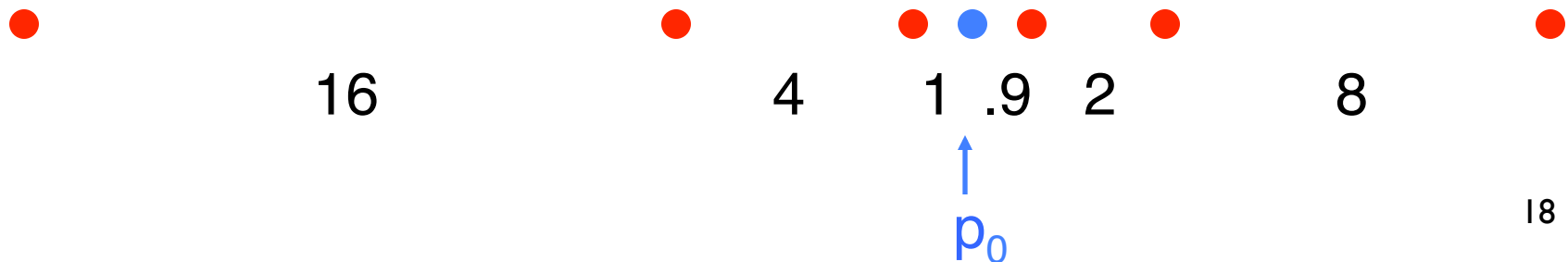
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Repeatedly join the closest pair of points

(such that result can still be part of a single loop in the end. I.e., join endpoints, but not points in middle, of path segments already created.)

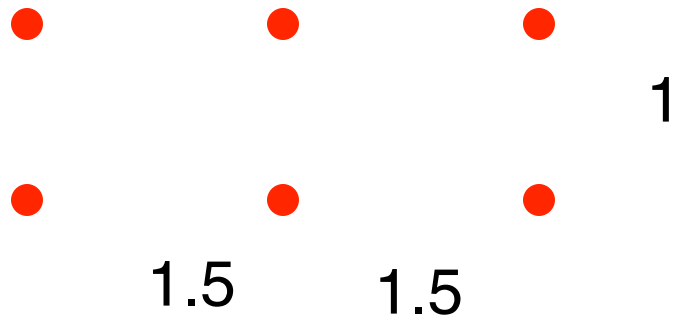


How does this work on our bad example?



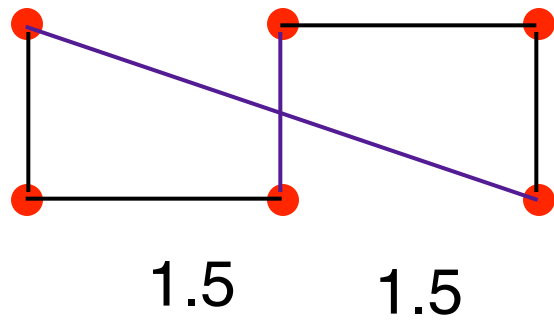
## a bad example for closest pair

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# a bad example for closest pair

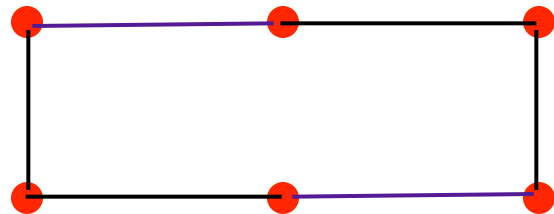
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$$6 + \sqrt{10} = 9.16$$

VS



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“Brute Force Search”:

For each of the  $n! = n(n-1)(n-2)\dots 1$  orderings of the points, check the length of the cycle;

Keep the best one

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The two *incorrect* algorithms were greedy

Often very natural & tempting ideas

They make choices that look great “locally” (and never reconsider them)

When greed works, the algorithms are typically efficient

BUT: often does not work - you get boxed in

Our correct alg avoids this, but is *incredibly* slow

20! is so large that checking one billion per second would take 2.4 billion seconds (around 70 years!)

And *growing*:  $n! \sim \sqrt{2 \pi n} \cdot (n/e)^n \sim 2^{O(n \log n)}$

Algorithms are important

Many performance gains outstrip Moore's law

Simple problems can be hard

Factoring, TSP, *many* others

Simple ideas don't always work

Nearest neighbor, closest pair heuristics

Simple algorithms can be very slow

Brute-force factoring, TSP

A point we hope to make: for some problems, even the *best* algorithms are slow

A brief overview of the theory of algorithms

Efficiency & asymptotic analysis

Some scattered examples of simple problems where clever algorithms help

A brief overview of the theory of intractability

Especially NP-complete problems

“Basics every educated CSE student should know”



The *complexity* of an algorithm associates a number  $T(n)$ , the worst-case time the algorithm takes, with each problem size  $n$ .

Mathematically,

$$T: \mathbb{N}^+ \rightarrow \mathbb{R}^+$$

i.e.,  $T$  is a function mapping positive integers (problem sizes) to positive real numbers (number of steps).

Asymptotic growth rate, i.e., characterize growth rate of worst-case run time as a function of problem size, up to a constant factor, e.g.  $T(n) = O(n^2)$

Why not try to be more precise?

Average-case, e.g., is hard to define, analyze

Technological variations (computer, compiler, OS, ...)  
easily 10x or more

Being more precise is a ton of work

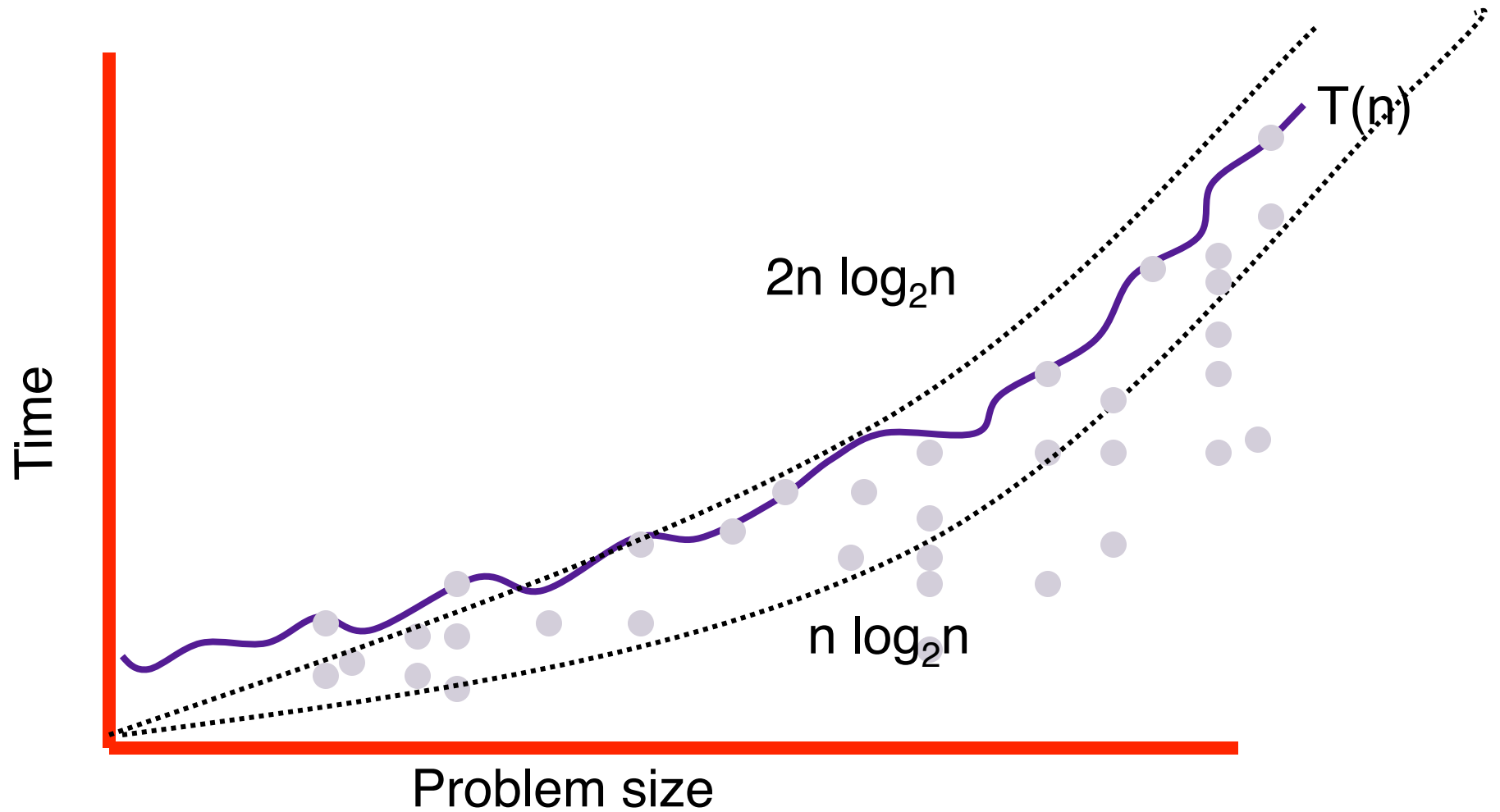
A key question is “scale up”: if I can afford this today, how much longer will it take when my business is 2x larger?

(E.g. today:  $cn^2$ , next year:  $c(2n)^2 = 4cn^2$  : 4 x longer.)

Big-O analysis is adequate to address this.

# computational complexity

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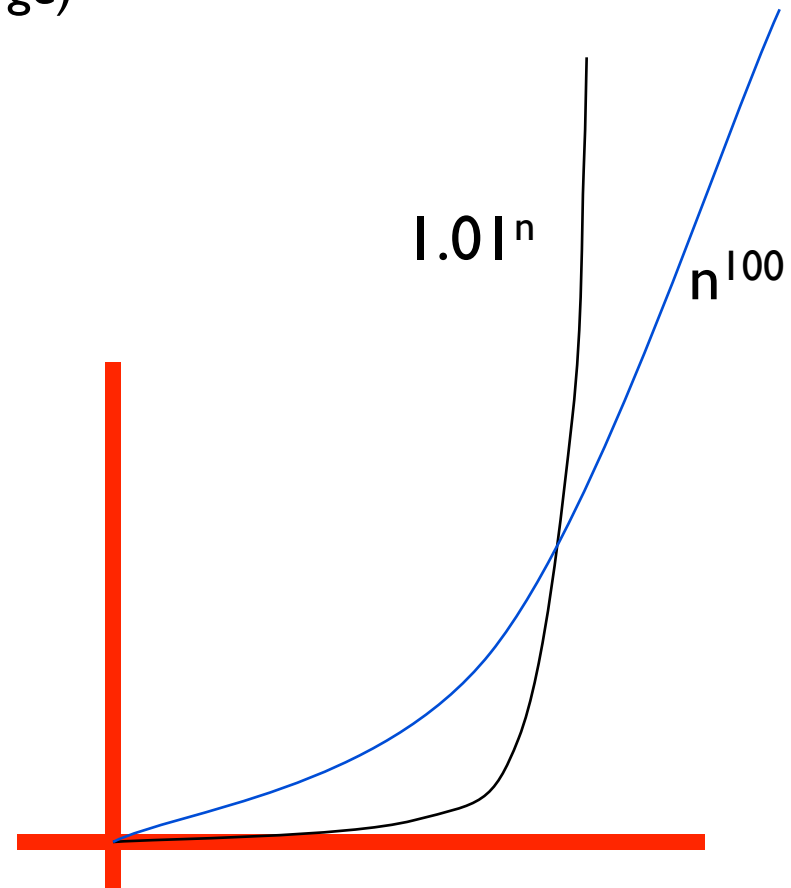


## polynomial vs exponential

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For all  $r > 1$  (no matter how small)  
and all  $d > 0$ , (no matter how large)  
 $n^d = O(r^n)$ .

In short, every exponential  
grows faster than every  
polynomial!



## the complexity class P: polynomial time

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**P: Running time  $O(n^d)$  for some constant  $d$**   
( $d$  is independent of the input size  $n$ )

*Nice scaling property:* there is a constant  $c$  s.t. *doubling*  $n$ , time increases only by a factor of  $c$ .

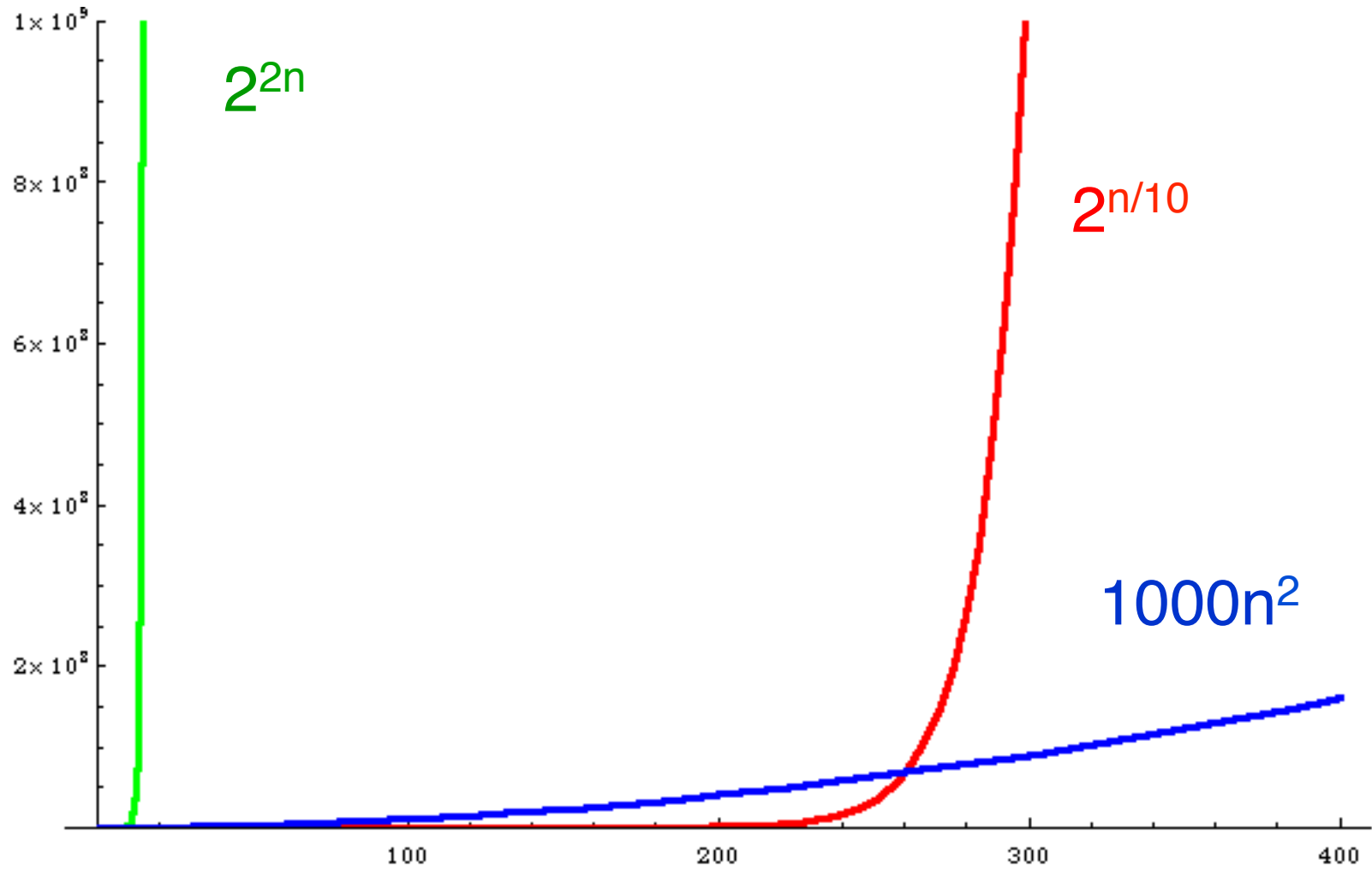
(E.g.,  $c \sim 2^d$ )

**Contrast with exponential:** For any constant  $c$ , there is a  $d$  such that  $n \rightarrow n+d$  increases time by a factor of more than  $c$ .

(E.g.,  $c = 100$  and  $d = 7$  for  $2^n$  vs  $2^{n+7}$ )

# polynomial vs exponential growth

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## why it matters

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**Table 2.1** The running times (rounded up) of different algorithms on inputs of increasing size, for a processor performing a million high-level instructions per second. In cases where the running time exceeds  $10^{25}$  years, we simply record the algorithm as taking a very long time.

	$n$	$n \log_2 n$	$n^2$	$n^3$	$1.5^n$	$2^n$	$n!$
$n = 10$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	4 sec
$n = 30$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	< 1 sec	18 min	$10^{25}$ years
$n = 50$	< 1 sec	< 1 sec	< 1 sec	< 1 sec	11 min	36 years	very long
$n = 100$	< 1 sec	< 1 sec	< 1 sec	1 sec	12,892 years	$10^{17}$ years	very long
$n = 1,000$	< 1 sec	< 1 sec	1 sec	18 min	very long	very long	very long
$n = 10,000$	< 1 sec	< 1 sec	2 min	12 days	very long	very long	very long
$n = 100,000$	< 1 sec	2 sec	3 hours	32 years	very long	very long	very long
$n = 1,000,000$	1 sec	20 sec	12 days	31,710 years	very long	very long	very long

not only get very big, but do so abruptly, which likely yields erratic performance on small instances

Next year's computer will be 2x faster. If I can solve problem of size  $n_0$  today, how large a problem can I solve in the same time next year?

Complexity	Increase	E.g. $T=10^{12}$
$O(n)$	$n_0 \rightarrow 2n_0$	$10^{12} \rightarrow 2 \times 10^{12}$
$O(n^2)$	$n_0 \rightarrow \sqrt{2} n_0$	$10^6 \rightarrow 1.4 \times 10^6$
$O(n^3)$	$n_0 \rightarrow \sqrt[3]{2} n_0$	$10^4 \rightarrow 1.25 \times 10^4$
$2^{n/10}$	$n_0 \rightarrow n_0 + 10$	$400 \rightarrow 410$
$2^n$	$n_0 \rightarrow n_0 + 1$	$40 \rightarrow 41$



Typical initial goal for algorithm analysis is to find an

asymptotic

upper bound on

worst case running time

as a function of problem size

This is rarely the last word, but often helps separate good algorithms from blatantly poor ones - concentrate on the good ones!

Point is not that  $n^{2000}$  is a nice time bound, or that the differences among  $n$  and  $2n$  and  $n^2$  are negligible.

Rather, simple theoretical tools may not easily capture such differences, whereas exponentials are qualitatively different from polynomials, so more amenable to theoretical analysis.

“My problem is in  $P$ ” is a starting point for a more detailed analysis

“My problem is *not* in  $P$ ” may suggest that you need to shift to a more tractable variant, or otherwise readjust expectations