

## Problem Set 4

Due: Monday, February 16th by 6:00pm

### Instructions

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Write up carefully argued solutions to the following problems. Each solution should be clear enough that it can explain (to someone who does not already understand the answer) why it works.

**Collaboration policy.** You are required to submit your own solutions. You are allowed to discuss the homework with other students. However, the **write-up** must clearly be your own, and moreover, you must be able to explain your solution at any time. We reserve ourselves the right to ask you to explain your work at any time in the course of this class.

**Solutions submission.** Submit your solution via Gradescope. In particular:

- Each numbered task should be solved on its own page (or pages). Do not write your name on the individual pages. (Gradescope will handle that.)
- When you upload your pages, make sure each one is **properly rotated**. If not, you can use the Gradescope controls to turn them to the proper orientation.
- Follow the Gradescope prompt to **link tasks to pages**.
- You are not required to typeset your solution, but your submission must be **legible**. It is your responsibility to make sure solutions are readable — we will *not* grade unreadable write-ups.
- Extra practice problems are included at the bottom of the assignment. These will not be graded, so don't submit solutions to them.

## Task 1 – Keeping Up With the Cartesians

[10 pts]

Let  $A$ ,  $B$ , and  $C$  be sets. Consider the following claim:

$$B \times A \subseteq C \times B$$

a) Suppose that  $A = \{1\}$ ,  $B = \{1, 2\}$ , and  $C = \{1, 2, 3\}$ .

Calculate the values of the sets  $B \times A$  and  $C \times B$ . Check whether the claim holds.

b) Suppose that  $A = \{1, 2\}$ ,  $B = \{1\}$ , and  $C = \{1, 2, 3\}$ .

Calculate the values of the sets  $B \times A$  and  $C \times B$ . Check whether the claim holds.

c) Write an **English proof** that the claim holds given that  $A \subseteq B$  and  $B \subseteq C$ .

(This updated claim describes the situation in part (a) but not part (b).)

Follow the structure of our template for subset proofs.

**Note:** even though we want you to write your proof directly in English, it must still look like the translation of a formal proof. In particular, you must include all steps that would be required of a formal proof, excepting only those that we have explicitly said are okay to skip in English proofs.

## Task 2 – Our Finest Power

[10 pts]

Let  $A$ ,  $B$ , and  $C$  be sets. Consider the following claim:

$$\mathcal{P}(A \cap (B \cup C)) \subseteq \mathcal{P}(A \cap B) \cup \mathcal{P}(A \cap C)$$

a) Suppose that  $A = \{1, 2\}$ ,  $B = \{1, 3\}$ , and  $C = \{2, 4\}$ .

Calculate the values of the sets  $\mathcal{P}(A \cap (B \cup C))$  and  $\mathcal{P}(A \cap B) \cup \mathcal{P}(A \cap C)$ . Check whether the claim holds.

b) Suppose that  $A = \{1\}$ ,  $B = \{1, 2\}$ , and  $C = \{1, 3\}$ .

Calculate the values of the sets  $\mathcal{P}(A \cap (B \cup C))$  and  $\mathcal{P}(A \cap B) \cup \mathcal{P}(A \cap C)$ . Check whether the claim holds.

c) Write an **English proof** that the claim holds given  $(A \cap B) \subseteq (A \cap C)$  holds.

(This updated claim describes the situation in part (b) but not part (a). The claim holds when either  $(A \cap B) \subseteq (A \cap C)$  or  $(A \cap C) \subseteq (A \cap B)$  hold, but the proof is the same for both cases thus one was omitted. Think about why that would intuitively make sense!)

Follow the structure of our template for subset proofs.

In your proof, you are free to use (cite or apply) the following theorems about sets:

$$\text{Transitivity of Subset: } \forall A \forall B \forall C ((A \subseteq B) \wedge (B \subseteq C)) \rightarrow (A \subseteq C)$$

$$\text{Distributivity of Set: } \forall A \forall B \forall C (A \cap (B \cup C) = (A \cap B) \cup (A \cap C))$$

**Note:** even though we want you to write your proof directly in English, it must still look like the translation of a formal proof. In particular, you must include all steps that would be required of a formal proof, excepting only those that we have explicitly said are okay to skip in English proofs.

### Task 3 – Parmesan, Romano, and Meta

[10 pts]

Let  $A$ ,  $B$ , and  $C$  be sets. For each of the following claims:

1. **State** whether the the claim is true or false.
2. If the claim is true, write an **English proof** that the claim holds following the Meta Theorem *template*. (In your equivalence chain, you can skip steps showing commutativity or associativity, as long as each step is easy to follow.)
3. If it the claim false, give a **counterexample**. Provide specific finite sets for  $A$ ,  $B$ , and  $C$ , and then calculate the value of each side of the claim, showing that they do not produce the same set. (Be sure to show the value of each intermediate expression, when calculating each side.)

a)  $A \cap (A \cup (B \cap (B \cup C))) = A$

b)  $(A \setminus B) \cap C = (A \setminus C) \cap B$

c)  $(A \setminus B) \cup C = (A \cup C) \setminus (B \setminus C)$

## Task 4 – List Me By a Mile

[10 pts]

Recall the definition of lists of numbers from lecture:

**Basis Step:**  $\text{nil} \in \mathbf{List}$

**Recursive Step:** for any  $a \in \mathbb{Z}$ , if  $L \in \mathbf{List}$ , then  $a :: L \in \mathbf{List}$ .

For example, the list  $[1, 2, 3]$  would be created recursively from the empty list as  $1 :: (2 :: (3 :: \text{nil}))$ . We will consider “ $::$ ” to associate to the right, so  $1 :: 2 :: 3 :: \text{nil}$  means the same thing.

The parts below use two recursively-defined functions. The first is `sum`, which calculates the sum of the list. It is defined recursively as follows:

$$\begin{aligned} \text{sum}(\text{nil}) &:= 0 \\ \text{sum}(a :: L) &:= a + \text{sum}(L) \quad \forall a \in \mathbb{Z}, \forall L \in \mathbf{List} \end{aligned}$$

The second function, `positives`, which returns only the positive numbers in the list, is defined by:

$$\begin{aligned} \text{positives}(\text{nil}) &:= \text{nil} \\ \text{positives}(a :: L) &:= \text{positives}(L) && \text{if } a \leq 0 \quad \forall a \in \mathbb{Z}, \forall L \in \mathbf{List} \\ \text{positives}(a :: L) &:= a :: \text{positives}(L) && \text{if } a > 0 \quad \forall a \in \mathbb{Z}, \forall L \in \mathbf{List} \end{aligned}$$

For example, from these definitions, we get  $\text{positives}(-1 :: 2 :: -3 :: \text{nil}) = 2 :: \text{nil}$ .

**a)** Write a calculation block, citing the appropriate definitions, showing that

$$\text{positives}(2 :: -4 :: 6 :: \text{nil}) = 2 :: 6 :: \text{nil}$$

**b)** Write a calculation block, citing the appropriate definitions, showing that

$$\text{sum}(-1 :: 3 :: -5 :: \text{nil}) = -3$$

**c)** Use structural induction to prove that

$$\forall L \in \mathbf{List} \quad (\text{sum}(\text{positives}(L)) \geq \text{sum}(L))$$

## Task 5 – Node to Self: Bound the Leaves!

[10 pts]

Recall the definition of rooted binary trees (with no data) from lecture:

**Basis Step:**  $\bullet \in \mathbf{Tree}$

**Recursive Step:** if  $L \in \mathbf{Tree}$  and  $R \in \mathbf{Tree}$ , then  $\mathbf{Tree}(L, R) \in \mathbf{Tree}$ .

Note that, in lecture, we drew “ $\mathbf{Tree}(L, R)$ ” as a picture of a tree, whereas here we are using more normal (functional) notation, which should be easier for calculations.

Define the height of a tree as follows:

$$\begin{aligned}\text{height}(\bullet) &= 0 \\ \text{height}(\mathbf{Tree}(L, R)) &= 1 + \max(\text{height}(L), \text{height}(R))\end{aligned}$$

Define the number of leaves in a tree as follows:

$$\begin{aligned}\text{leaves}(\bullet) &= 1 \\ \text{leaves}(\mathbf{Tree}(L, R)) &= \text{leaves}(L) + \text{leaves}(R)\end{aligned}$$

You are recommended to draw out some binary trees of your own, write their functional notation representations, calculate the height and leaves counts in these representations, and verify the results match the height and leaves of the trees you drew. This will help you build intuition for why the inductive definitions of height and leaves works.

Now, consider the following claim about leaves and height:

$$\forall T \in \mathbf{Tree} (\text{leaves}(T) \leq 2^{\text{height}(T)})$$

This places an exponential bound on the number of leaves a binary tree can have with respect to its height. Prove this claim by structural induction.

## Task 6 – Extra Credit: Walk Like an Encryption

[0 pts]

As we discussed in Topic 3, an important advantage of modular arithmetic over ordinary arithmetic is that the size of the output is fixed. The output of  $(a + b) \bmod m$  or  $ab \bmod m$  is always between 0 and  $m - 1$ . This size limitation is even more important for exponentiation:  $a^k$  could require exponentially more bits than  $a$  or  $k$  to store, whereas  $a^k \bmod m$  is, once again, between 0 and  $m - 1$ .

It turns out that modular exponentiation is also extremely important in practice. In particular, it is the key step of the RSA public-key encryption system. Briefly, if Bob wishes to send a secret number  $a$  to Alice, he will calculate  $b = a^k \bmod m$ , where  $k$  and  $m$  are public numbers published by Alice. Alice receives  $b$  and then calculates  $c = b^\ell \bmod m$  for where  $\ell$  is a private number known only to her. The numbers  $k$  and  $\ell$  are chosen so that we always have  $c = a$ . Furthermore, calculating  $a$  without knowing the number  $\ell$  is believed to be exponentially expensive on current computers.

How do we choose  $k$  and  $\ell$  to have this property? To figure this out, we need some facts about modular exponentiation....

We know that we can reduce the *base* of an exponent modulo  $m$ :  $a^k \equiv_m (a \bmod m)^k$ . But the same is not true of the exponent! That is, we cannot write  $a^k \equiv_m a^{k \bmod m}$ . This is easily seen to be false in general. Consider, for instance, that  $2^{10} \bmod 3 = 1$  but  $2^{10 \bmod 3} \bmod 3 = 2^1 \bmod 3 = 2$ .

The correct law for the exponent is more subtle. We will prove it in steps....

- Let  $R = \{n \in \mathbb{Z} : 1 \leq n \leq m - 1 \wedge \gcd(n, m) = 1\}$ . Define the set  $aR = \{ax \bmod m : x \in R\}$ . Prove that  $aR = R$  for every integer  $a > 0$  with  $\gcd(a, m) = 1$ .
- Consider the product of all the elements in  $R$  modulo  $m$  and the elements in  $aR$  modulo  $m$ . By comparing those two expressions, conclude that, for all  $a \in R$ , we have  $a^{\phi(m)} \equiv_m 1$ , where  $\phi(m) = |R|$ .
- Use the last result to show that, for any  $b \geq 0$  and  $a \in R$ , we have  $a^b \equiv_m a^{b \bmod \phi(m)}$ .
- Finally, prove the following two facts about the function  $\phi$  above. First, if  $p$  is prime, then  $\phi(p) = p - 1$ . Second, for any primes  $a$  and  $b$  with  $a \neq b$ , we have  $\phi(ab) = \phi(a)\phi(b)$ . (Or slightly more challenging: show this second claim for *all positive integers*  $a$  and  $b$  with  $\gcd(a, b) = 1$ .)

The second fact of part (d) implies that, if  $p$  and  $q$  are primes, then  $\phi(pq) = (p - 1)(q - 1)$ .

How does that help us choose  $k$  and  $\ell$ ? Since  $c = b^\ell \bmod m$  and  $b = a^k \bmod m$ , we can see that  $c \equiv_m (a^k)^\ell \equiv_m a^{k\ell}$ . By what we just learned, we can see that  $a^{k\ell} \equiv_m a^{k\ell \bmod \phi(m)}$ . Thus, if we choose  $k$  and  $\ell$  to be multiplicative inverses modulo  $\phi(m)$ , so that we have  $k\ell \equiv_{\phi(m)} 1$ , then we have  $c \equiv_m a^{k\ell} \equiv_m a^{k\ell \bmod \phi(m)} \equiv_m a^1 = a$ , showing that Alice does indeed calculate the secret number that Bob was hoping to send her!

## Task 7 – Optional Practice Problems (Ungraded)

[0 pts]

The problems below are **optional practice problems** that are **not required and will not be graded**. They are provided to help you practice; you do not need to submit solutions to these problems. The difficulty of these problems has not been vetted as thoroughly, so don't fret if they seem challenging.

Prove each of the following claims.

- a) Let  $A$  and  $B$  be sets. If  $\mathcal{P}(A) = \mathcal{P}(B)$ , then  $A = B$ .
- b) Let  $A$  and  $B$  be sets.  $\mathcal{P}(A \cap B) \subseteq \mathcal{P}(A \cup B)$ .
- c) Let  $A$  and  $B$  be sets. If  $A \subseteq B$ , then  $A \times A \subseteq A \times B$ .
- d) Let  $A$  and  $B$  be sets. If  $A \neq B$  but  $A \times B = B \times A$ , then either  $A$  or  $B$  is the empty set.
- e) For any set  $A$  and any  $n \in \mathbb{N}$ , if  $A$  has  $n$  elements, then  $\mathcal{P}(A)$  has  $2^n$  elements.

*Hint:* Use induction. You can use without justification the following fact: If  $A$  is nonempty with  $n$  elements and  $x$  is an element of  $A$ , then  $A \setminus \{x\}$  has  $n - 1$  elements.

Let  $A$ ,  $B$ , and  $C$  be sets. For each of the following claims, either provide an English proof that the claim holds, or give a counterexample showing that the claim does not hold.

- f)  $A \setminus (B \cup C) = (A \setminus B) \cap (A \setminus C)$ .
- g)  $A \cup B = (A \setminus B) \cup (A \cap B)$ .
- h)  $((A \cup \bar{A}) \cap \bar{B}) \cap \bar{C} = \overline{B \cup C}$ .

Use structural induction for the following problems.

- i) Define  $\text{rev}(\text{nil}) := \text{nil}$  and  $\text{rev}(a :: L) := \text{concat}(\text{rev}(L), a :: \text{nil})$ .
  - i. Prove  $\forall L \in \mathbf{List} (\text{len}(\text{rev}(L)) = \text{len}(L))$ .
  - ii. Prove  $\forall L \in \mathbf{List} (\text{len}(\text{positives}(\text{rev}(L))) \leq \text{len}(L))$ .
- j) Define  $\text{nonleaves}(\bullet) := 0$  and  $\text{nonleaves}(\text{Tree}(L, R)) := 1 + \text{nonleaves}(L) + \text{nonleaves}(R)$ .
  - i. Prove  $\forall T \in \mathbf{Tree} (\text{nonleaves}(T) + 1 = \text{leaves}(T))$ .
  - ii. Prove  $\forall T \in \mathbf{Tree} (\text{nonleaves}(T) \geq \text{height}(T))$ .