



# Context Free Grammars

CSE 311 Winter 2024  
Lecture 19

# Where Are We?

We're working our way up to proving theorems about computation

A statement like "there is no Java program that solves this problem"

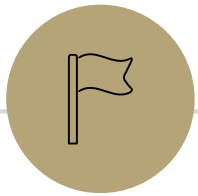
Before we get there, we've got a grab bag of background topics.

The mathematical model for programs is defining a language, so we're seeing different tools computer scientists use to do that.

The set of all inputs that will cause the program to return true.

Last time: Regular expressions: a common practical tool

Today: CFGs: a key to defining a programming language



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# Context Free Grammars

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Another way of defining a language

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# What Can't Regular Expressions Do?

Some easy things

Things where you could say whether a string matches with just a loop

  $\{0^k 1^k : k \geq 0\}$

The set of all palindromes.

And some harder things

Expressions with matched parentheses

Properly formed arithmetic expressions

Context Free Grammars can solve all of these problems!

# Context Free Grammars

A context free grammar (CFG) is a finite set of production rules over:

An alphabet  $\Sigma$  of "terminal symbols"

A finite set  $V$  of "nonterminal symbols"

A start symbol (one of the elements of  $V$ ) usually denoted  $S$ .

A production rule for a nonterminal  $A \in V$  takes the form

$$A \rightarrow w_1 | w_2 | \dots | w_k$$

Where each  $w_i \in (V \cup \Sigma)^*$  is a string of nonterminals and terminals.

# Context Free Grammars

We think of context free grammars as **generating** strings.

1. Start from the start symbol  $S$ .

2. Choose a nonterminal in the string, and a production rule  $A \rightarrow w_1 | w_2 | \dots | w_k$  replace that copy of the nonterminal with  $w_i$ .

3. If no nonterminals remain, you're done! Otherwise, goto step 2.

A string is in the language of the CFG iff it can be generated starting from  $S$ .

# Examples

$$\Sigma = \{0, 1\}$$
$$V = \{S\}$$

$$S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \epsilon$$

$$S \rightarrow 0S \mid S1 \mid \epsilon$$

$$S \rightarrow (S) \mid SS \mid \epsilon$$

The alphabet here is  $\{(,)\}$  i.e. parentheses are the characters.

$$S \rightarrow 1S1 \rightarrow 10S0$$
$$\rightarrow 101S10 \rightarrow 1010S010$$

$$S \rightarrow AB$$
$$A \rightarrow 0A1 \mid \epsilon$$
$$B \rightarrow 1B0 \mid \epsilon$$

# Examples

$$S \rightarrow 0S0 | 1S1 | 0 | 1 | \varepsilon$$

The set of all binary palindromes

$$S \rightarrow 0S | S1 | \varepsilon$$

The set of all strings with any 0's coming before any 1's (i.e.  $0^*1^*$ )

$$S \rightarrow (S) | SS | \varepsilon$$

Balanced parentheses

$$S \rightarrow AB$$

$$A \rightarrow 0A1 | \varepsilon$$

$$B \rightarrow 1B0 | \varepsilon$$

$$\{0^j 1^{j+k} 0^k; j, k \geq 0\}$$



# Arithmetic

$E \rightarrow E + E | E * E | (E) | x | y | z | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9$

$(2 + 3) * 4$

Generate  $(2 * x) + y$

Generate  $2 + 3 * 4$  in two different ways

start

$E \Rightarrow E + E$

$E \Rightarrow E * E$

# Arithmetic

$$E \rightarrow E + E | E * E | (E) | x | y | z | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9$$

Generate  $(2 * x) + y$

$$E \Rightarrow E + E \Rightarrow (E) + E \Rightarrow (E * E) + E \Rightarrow (2 * E) + E \Rightarrow (2 * x) + E \Rightarrow (2 * x) + y$$

Generate  $2 + 3 * 4$  in two different ways

$$E \Rightarrow E + E \Rightarrow E + E * E \Rightarrow 2 + E * E \Rightarrow 2 + 3 * E \Rightarrow 2 + 3 * 4$$

$$E \Rightarrow E * E \Rightarrow E + E * E \Rightarrow 2 + E * E \Rightarrow 2 + 3 * E \Rightarrow 2 + 3 * 4$$

# Multiple ways of generating strings

Generate  $2 + 3 * 4$  in two different ways

$$2 + 3 * 4$$

$$E \Rightarrow E + E \Rightarrow E + E * E \Rightarrow 2 + E * E \Rightarrow 2 + 3 * E \Rightarrow 2 + 3 * 4$$

$$E \Rightarrow E * E \Rightarrow E + E * E \Rightarrow 2 + E * E \Rightarrow 2 + 3 * E \Rightarrow 2 + 3 * 4$$

What did we mean by these being different? They represent different meanings mathematically.

One says "you're adding together two numbers: 2 and (whatever  $3 * 4$  is)"

The other says "you're multiplying two numbers: (whatever  $2 + 3$  is) and 4"

Those have different meanings!

# Parse Trees—remember where parentheses go

Suppose a context free grammar  $G$  generates a string  $x$

A parse tree of  $x$  for  $G$  has

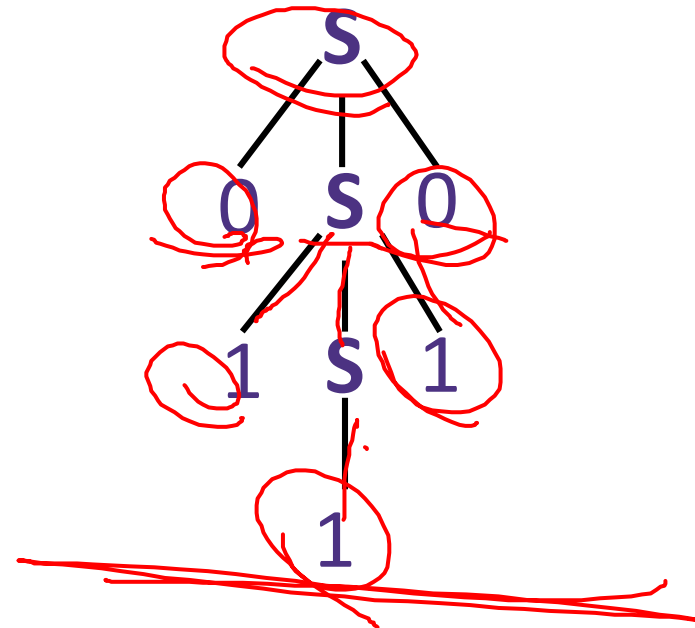
Rooted at  $S$  (start symbol)

Children of every  $A$  node are labeled with the characters of  $w$  for some  $A \rightarrow w$

Reading the leaves from left to right gives  $x$ .

$$S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$$

$$S \rightarrow 0S0$$

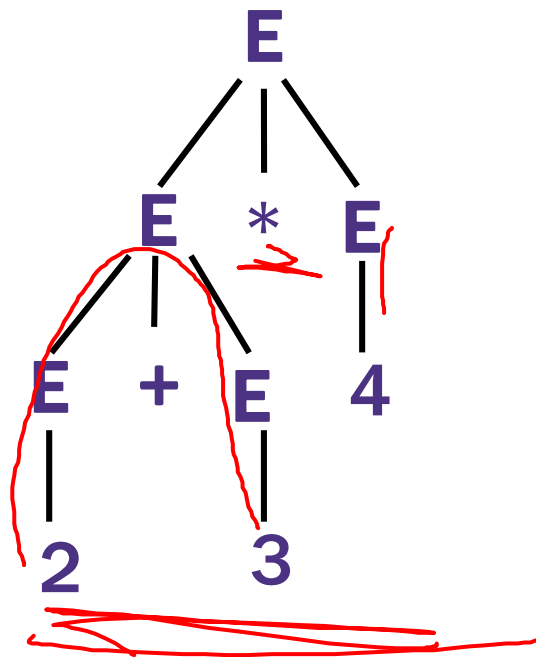
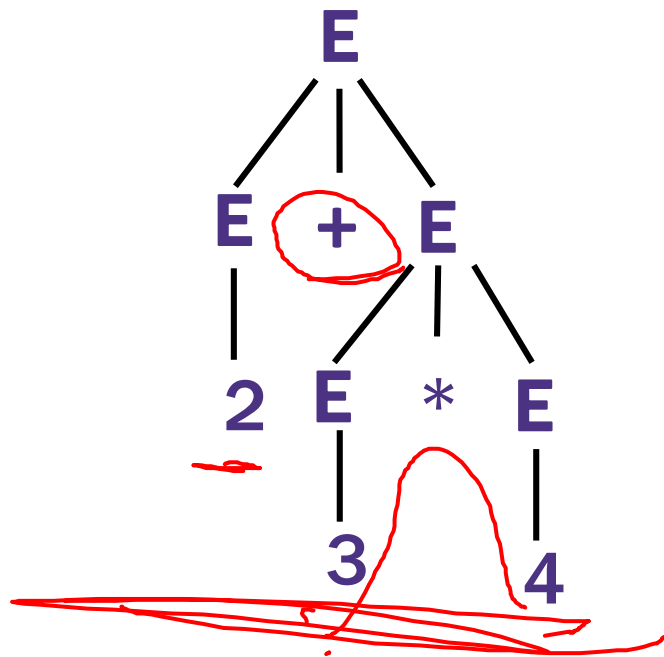


# Back to the arithmetic

$$\underline{2 + 3 * 4}$$

$E \rightarrow E + E | E * E | (E) | x | y | z | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9$

Two parse trees for  $2 + 3 * 4$



# Why do we care about parsing?

2 + 3 \* 4 can only mean one thing!

If I write these symbols in a program, we need to make sure we know which one to do.

The first grammar we saw was "ambiguous" it allows the same string to "mean" two different things.

Sometimes you can fix that!

# How do we encode order of operations

$$2 + 3 - 5$$

If we want to keep "in order" we want there to be only one possible parse tree.

Differentiate between "things to add" and "things to multiply"

Only introduce a \* sign after you've eliminated the possibility of introducing another + sign in that area.

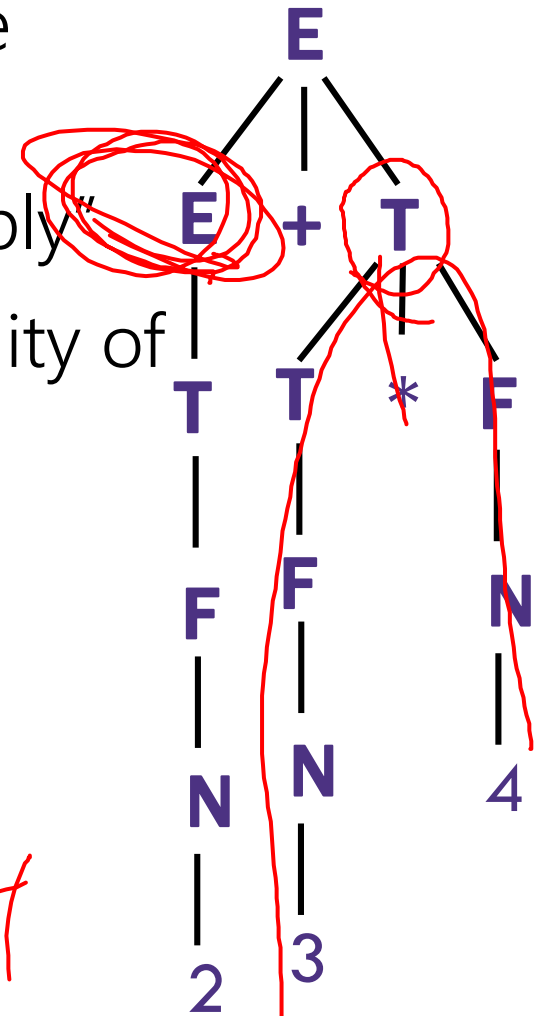
$$E \rightarrow T | E + T$$

$$T \rightarrow F | T * F$$

$$F \rightarrow (E) | N$$

$$N \rightarrow x | y | z | 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9$$

$$2 + 3 = 5$$
$$5 * 4 = 20$$



# How do Computer Scientists use CFGs?

Most programming languages define valid programs as "strings that fit a CFG"  
That makes sure Java breaks down math expressions correctly! And also code like this:

```
if (i > 0)
    if (j > 0)
        System.out.println("hi");
    else
        System.out.println("bye");

if (i > 0)
    if (j > 0)
        System.out.println("hi");
else
    System.out.println("bye");
```

The image shows two code snippets side-by-side. In the first snippet, the 'else' clause is indented under the second 'if' statement. In the second snippet, the 'else' clause is at the same indentation level as the first 'if' statement. Red circles and arrows highlight the ambiguity of the 'else' clause's attachment in both cases.

The else could be attached to either "if"! Java needs a rule to decide which it goes with. Java's convention makes the one on the left the intuitive whitespace.

(You as a programmer should put braces so the humans reading your code don't have to wonder!)



# CFGs in practice

Used to define programming languages.

Often written in Backus-Naur Form – just different notation

**Variables** are <names-in-brackets> (or sometimes without)

like <if-then-else-statement>, <condition>, <identifier>

→ is replaced with ::= or :

# BNF for C (no <...> and uses : instead of ::=)

statement:

```
((identifier | "case" constant-expression | "default") ":")*  
(expression? ";" |  
block |  
"if" "(" expression ")" statement |  
"if" "(" expression ")" statement "else" statement |  
"switch" "(" expression ")" statement |  
"while" "(" expression ")" statement |  
"do" statement "while" "(" expression ")" ";" |  
"for" "(" expression? ";" expression? ";" expression? ")" statement |  
"goto" identifier ";" |  
"continue" ";" |  
"break" ";" |  
"return" expression? ";"  
)
```

block: "{" declaration\* statement\* "}"

expression:

assignment-expression%

assignment-expression: (

unary-expression (

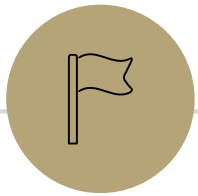
"=" | "\*=" | "/=" | "%=" | "+=" | "-=" | "<<=" | ">>=" | "&=" |  
"^=" | "|="

)

)\* conditional-expression

conditional-expression:

logical-OR-expression ( "?" expression ":" conditional-expression )?

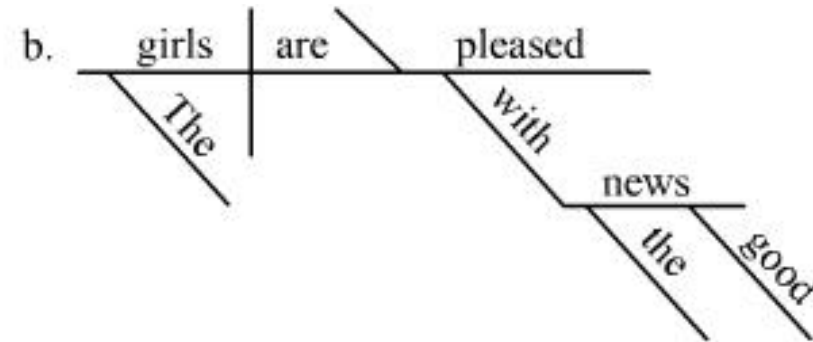
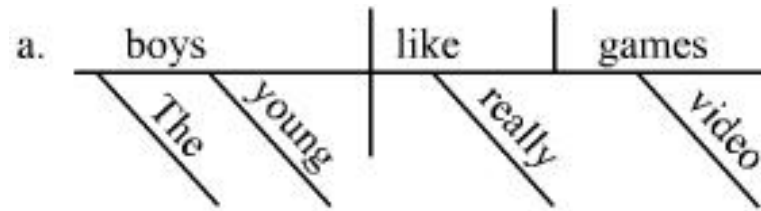


# Some fun CFG applications

If we have time

# Parse Trees

Remember diagramming sentences in middle school?



$\langle \text{sentence} \rangle ::= \langle \text{noun phrase} \rangle \langle \text{verb phrase} \rangle$

$\langle \text{noun phrase} \rangle ::= \langle \text{determiner} \rangle \langle \text{adjective} \rangle \langle \text{noun} \rangle$

$\langle \text{verb phrase} \rangle ::= \langle \text{verb} \rangle \langle \text{adverb} \rangle \mid \langle \text{verb} \rangle \langle \text{object} \rangle$

$\langle \text{object} \rangle ::= \langle \text{noun phrase} \rangle$

# Parse Trees

$\langle \text{sentence} \rangle ::= \langle \text{noun phrase} \rangle \langle \text{verb phrase} \rangle$

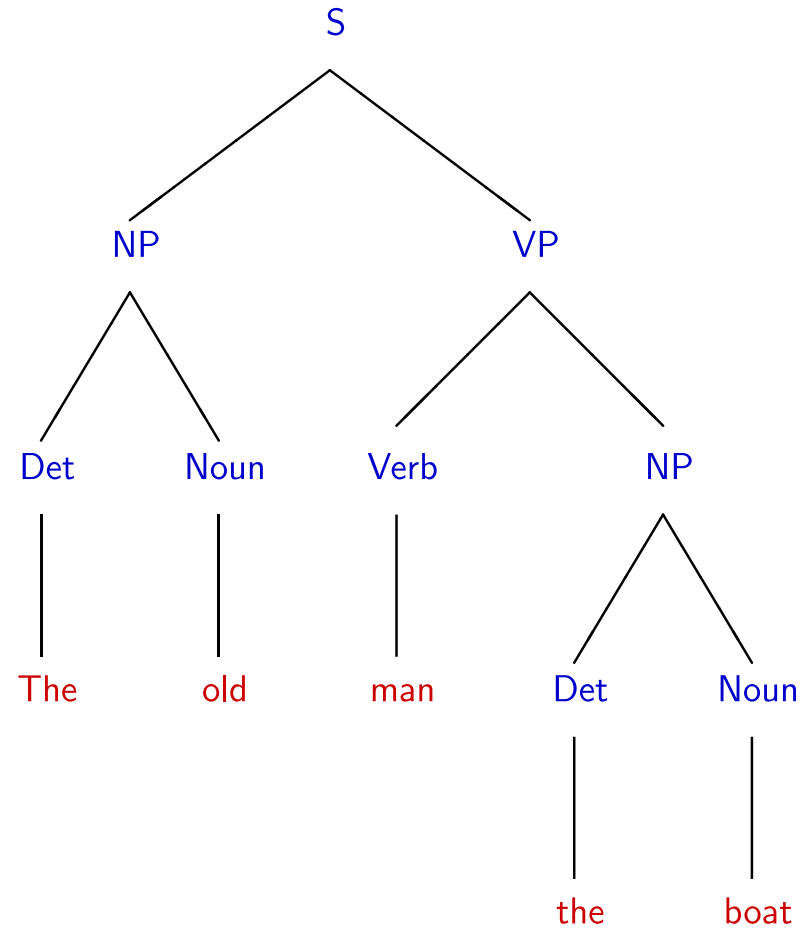
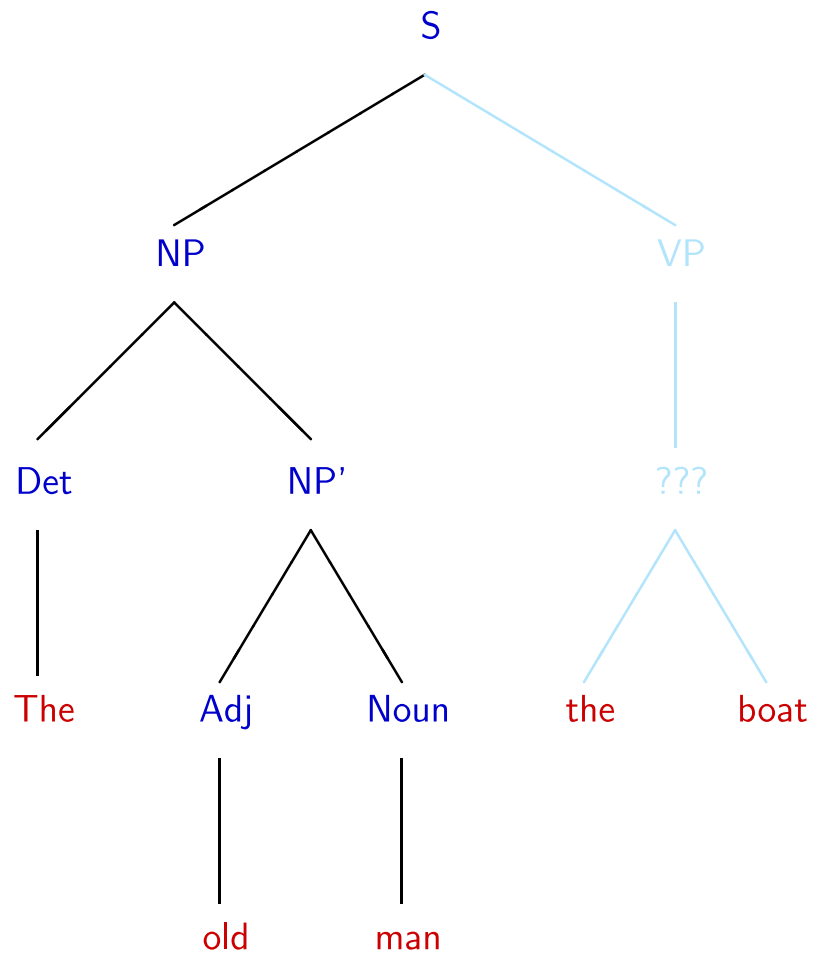
$\langle \text{noun phrase} \rangle ::= \langle \text{determiner} \rangle \langle \text{adjective} \rangle \langle \text{noun} \rangle$

$\langle \text{verb phrase} \rangle ::= \langle \text{verb} \rangle \langle \text{adverb} \rangle \mid \langle \text{verb} \rangle \langle \text{object} \rangle$

$\langle \text{object} \rangle ::= \langle \text{noun phrase} \rangle$

The old man the boat.

# The old man the boat



# English is ambiguous

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(Most of 'standard') English can be represented as a context free grammar.

It's not perfect (ask Robbie details later).

The grammar is ambiguous! That is, there are sentences which have multiple valid parsings (multiple meanings).

Can you find multiple meanings of this sentence:

"Place these 3 exercise balls on the mat at the top of the hill."

[See this video](#)



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## **The Important Takeaways**

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# Power of Context Free Languages

There are languages CFGs can express that regular expressions can't  
e.g. palindromes

What about vice versa – is there a language that a regular expression  
can represent that a CFG can't?

No!

Are there languages even CFGs cannot represent?

Yes!

$\{0^k 1^j 2^k 3^j \mid j, k \geq 0\}$  cannot be written with a context free grammar.

# Takeaways

CFGs and regular expressions gave us ways of succinctly representing sets of strings

Regular expressions super useful for representing things you need to search for  
CFGs represent complicated languages like “java code with valid syntax”

Tomorrow, two more tools for our toolbox (function properties, graphs)

Next week, (mathematical representations of) Tiny computers! And how they relate to regular expressions and CFGs.