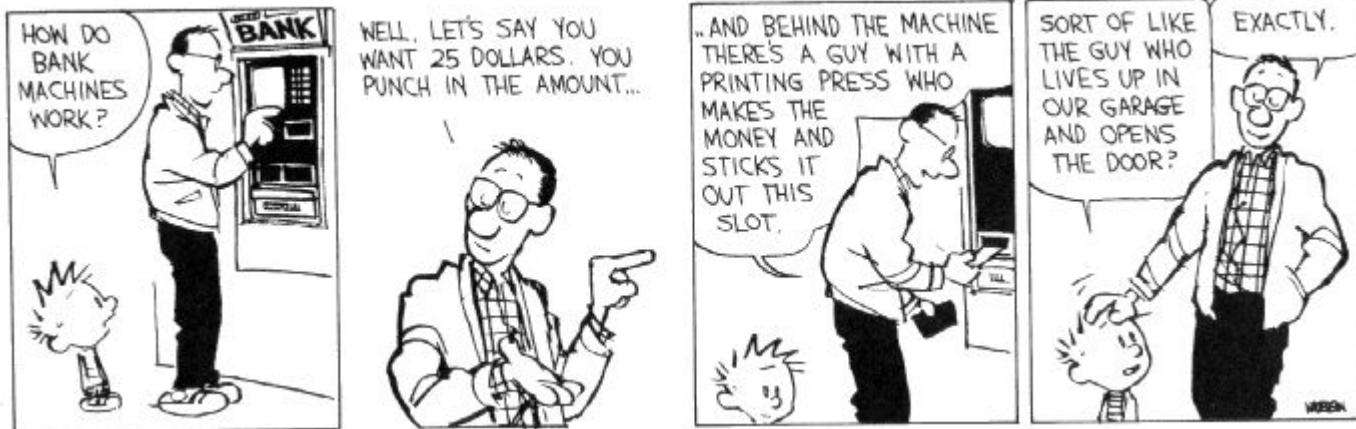


# CSE 311: Foundations of Computing

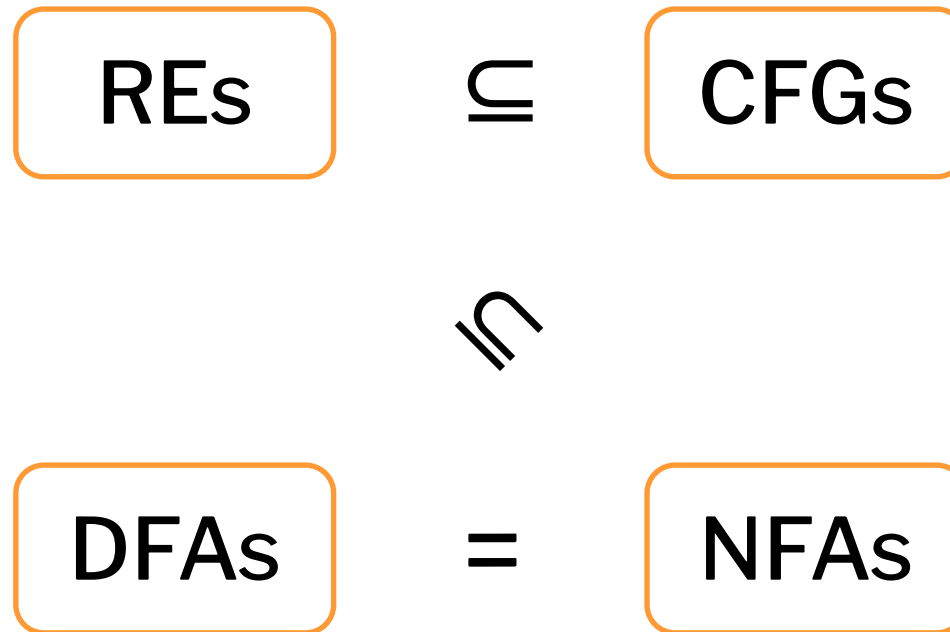
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## Topic 10: Finite State Machines



# The story so far...

---



# Regular expressions $\subseteq$ NFAs $\equiv$ DFAs

---

We have shown how to build an optimal DFA for every regular expression

- Build NFA
- Convert NFA to DFA using subset construction
- Minimize resulting DFA

Thus, we could now implement a RegExp library

- most RegExp libraries actually simulate the NFA
- (even better: one can combine the two approaches: apply DFA minimization lazily while simulating the NFA)

# The story so far...

---

REs  $\subseteq$  CFGs

DFAs = NFAs

Is this  $\subseteq$  really "=" or " $\subsetneq$ "?

# Regular expressions $\equiv$ NFAs $\equiv$ DFAs

---

**Theorem:** For any NFA, there is a regular expression  
that accepts the same language

**Corollary:** A language is recognized by a DFA (or NFA) if and only if it has a regular expression

You need to know these facts

- the construction for the Theorem is included in the slides after this, but you will not be tested on it

# New Machinery: Generalized NFAs

---

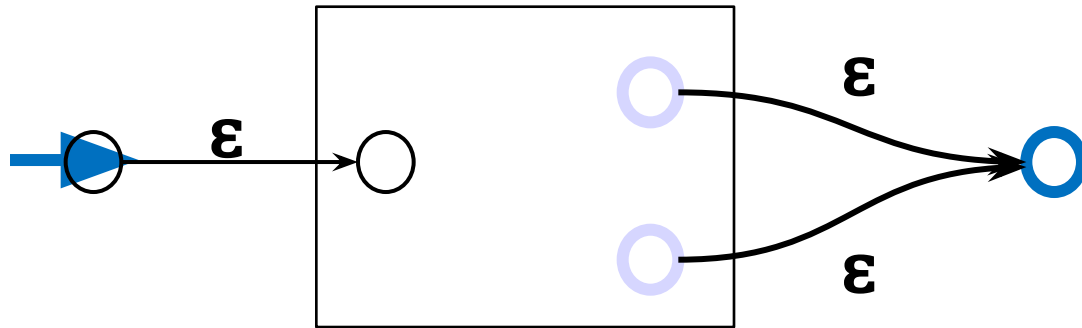
- Like NFAs but allow
  - parallel edges
  - regular expressions as edge labels

NFAs already have edges labeled  $\epsilon$  or  $a$
- The label of a path is now the concatenation of the regular expressions on those edges, making it a regular expression
- Def: A string  $x$  is accepted by a generalized NFA iff there is a *path* from start to final state labeled by a regular expression whose language **contains**  $x$

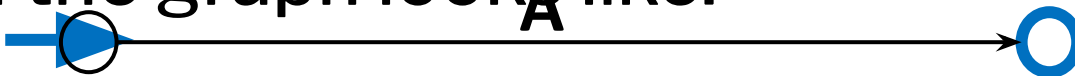
# Construction Idea

---

Add new start state and final state



Then delete the original states one by one, adding edges to keep the same language, until the graph looks like:



# Starting from an NFA

---

Then delete the original states one by one, adding edges to **keep the same language**, until the graph looks like:



Final graph has only one path to the accepting state, which is labeled by  $A$ , so it accepts iff  $x$  is in the language of  $A$

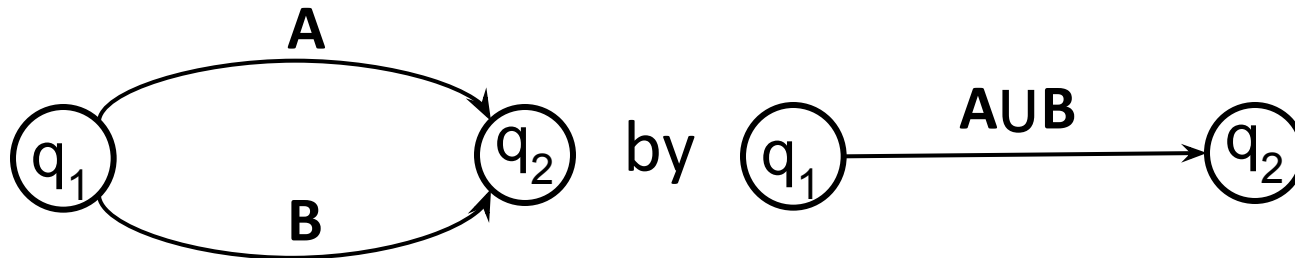
Thus,  $A$  is a regular expression with the same language as the original NFA.



# Only two simplification rules

---

- **Rule 1:** For any two states  $q_1$  and  $q_2$  with parallel edges (possibly  $q_1=q_2$ ), replace



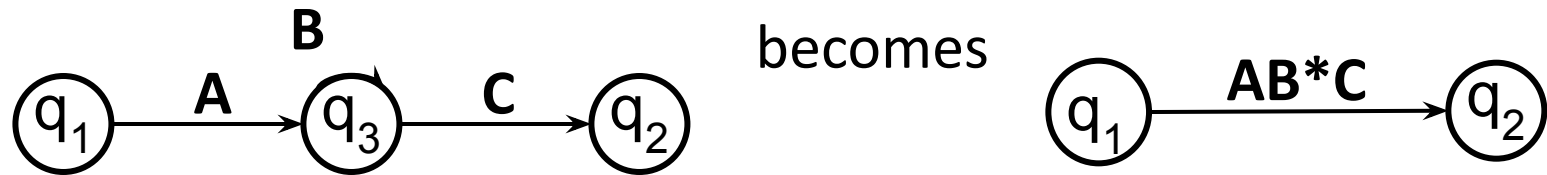
If the machine would have used the edge labeled A by consuming an input  $x$  in the language of A, it can instead use the edge labeled AUB.

Furthermore, this new edge does not allow transitions for any strings other than those that matched A or B.

# Only two simplification rules

---

- **Rule 2:** Eliminate non-start/accepting state  $q_3$  by creating direct edges that skip  $q_3$



for every pair of states  $q_1, q_2$  (even if  $q_1=q_2$ )

Any path from  $q_1$  to  $q_2$  would have to match  $AB^nC$  for some  $n$  (the number of times the self loop was used), so the machine can use the new edge instead. New edge *only* allows strings that were allowed before.

# Construction Overview

---

While the box contains some state  $s$ :  
for all states  $r, t$  with  $(r, s)$  and  $(s, t)$  in  $E$ :  
create a direct edge  $(r, t)$  by Rule 2  
delete  $s$  (no longer needed)  
merge all parallel edges by Rule 1

When the loop exits, the graph looks like this:



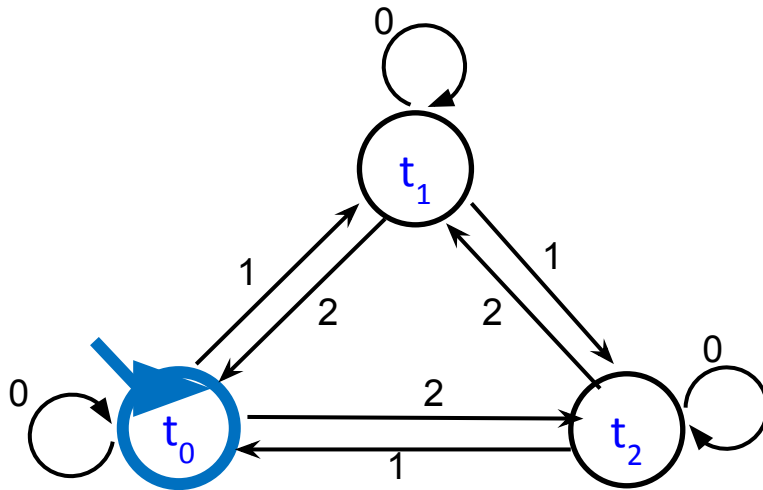
$A$  is a regular expression with the same language as the original NFA.

# Converting an NFA to a regular expression

---

Consider the DFA for the mod 3 sum

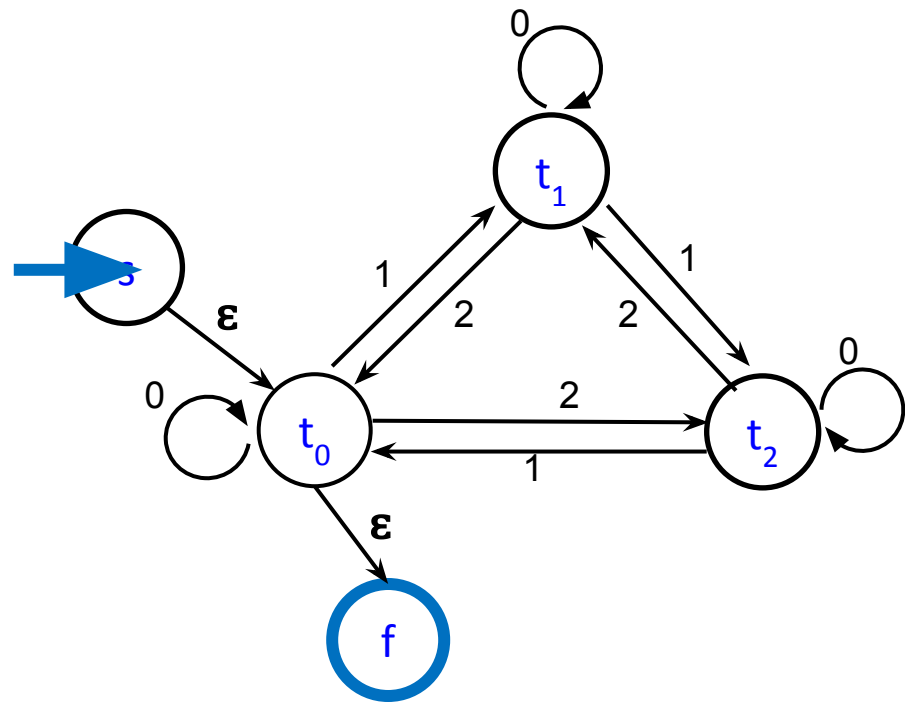
- Accept strings from  $\{0,1,2\}^*$  where the digits mod 3 sum of the digits is 0



# Splicing out a state $t_1$

---

Create direct edges between neighbors of  $t_1$   
(so that we can delete it afterward)

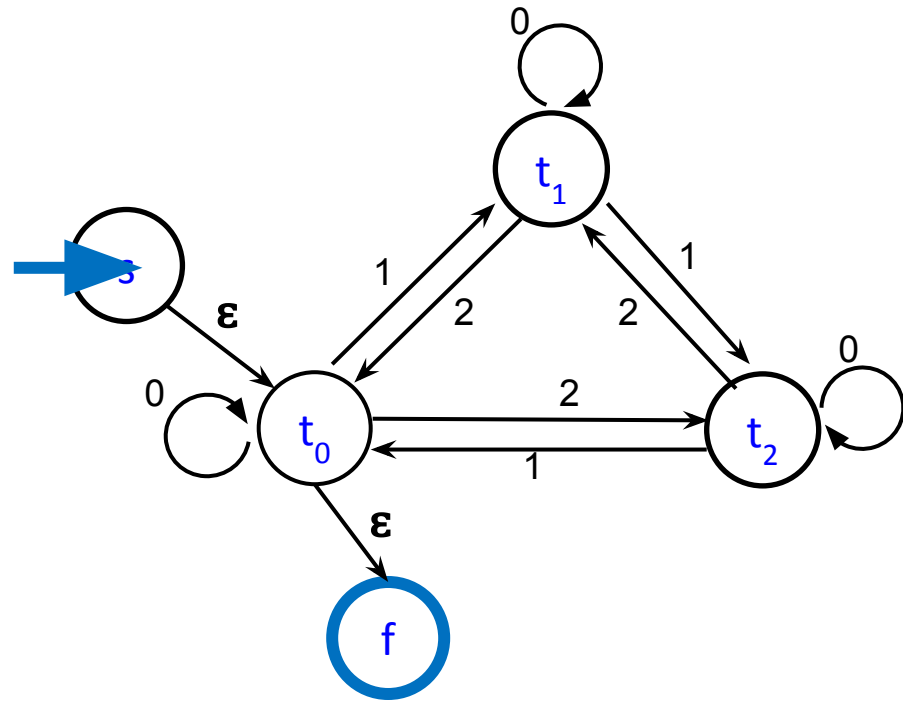


# Splicing out a state $t_1$

---

## Regular expressions to add to edges

$t_0 \rightarrow t_1 \rightarrow t_0 : 10^*2$   
 $t_0 \rightarrow t_1 \rightarrow t_2 : 10^*1$   
 $t_2 \rightarrow t_1 \rightarrow t_0 : 20^*2$   
 $t_2 \rightarrow t_1 \rightarrow t_2 : 20^*1$



# Splicing out a state $t_1$

---

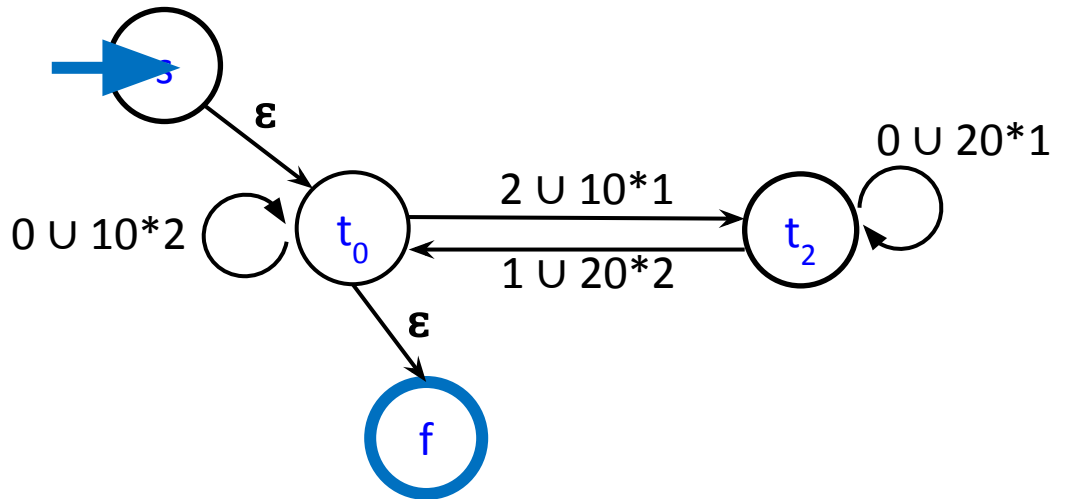
Delete  $t_1$  now that it is redundant

$$t_0 \rightarrow t_1 \rightarrow t_0 : 10^*2$$

$$t_0 \rightarrow t_1 \rightarrow t_2 : 10^*1$$

$$t_2 \rightarrow t_1 \rightarrow t_0 : 20^*2$$

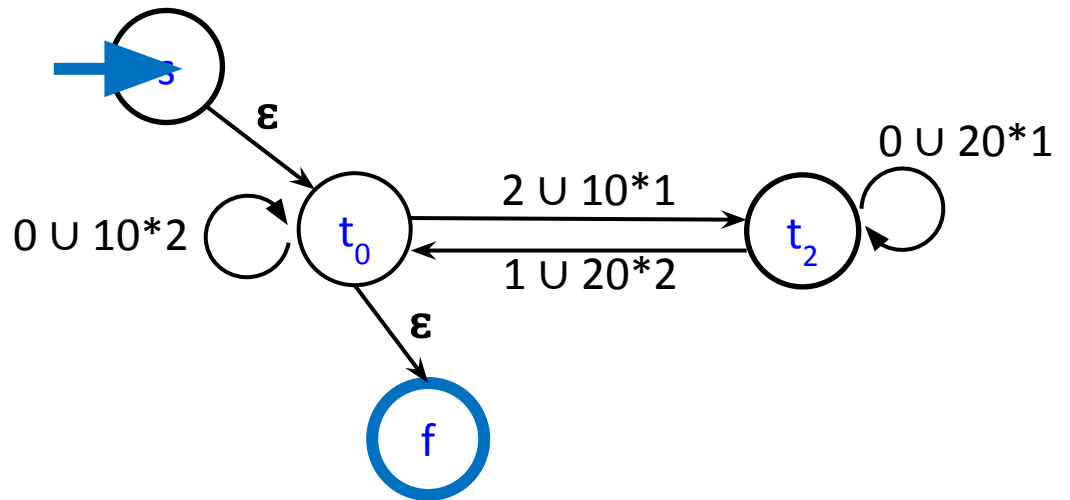
$$t_2 \rightarrow t_1 \rightarrow t_2 : 20^*1$$



# Splicing out a state $t_1$

---

Create direct edges between neighbors of  $t_2$   
(so that we can delete it afterward)



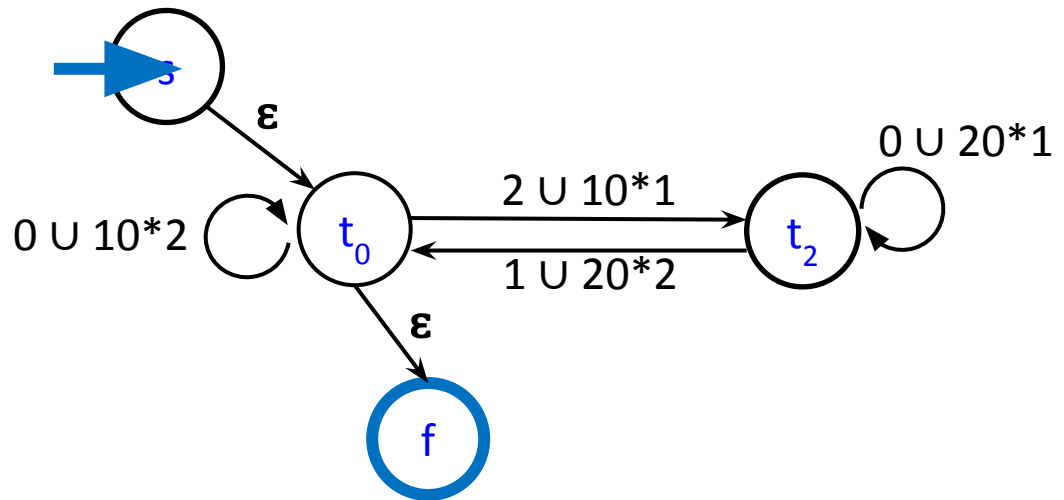


# Splicing out a state $t_1$

---

## Regular expressions to add to edges

$R_1: 0 \cup 10^*2$   
 $R_2: 2 \cup 10^*1$   
 $R_3: 1 \cup 20^*2$   
 $R_4: 0 \cup 20^*1$



# Splicing out state $t_2$ (and then $t_0$ )

---

Delete  $t_2$  now that it is redundant

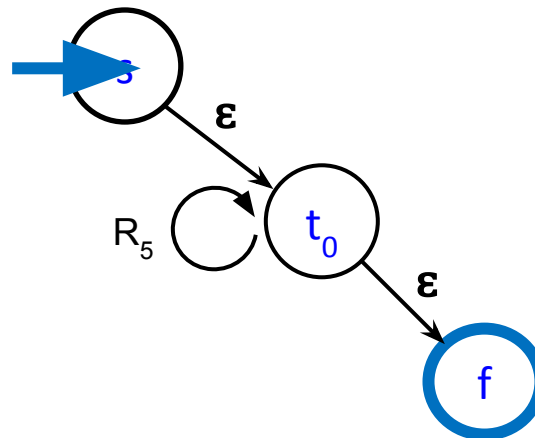
$$R_1: 0 \cup 10^*2$$

$$R_2: 2 \cup 10^*1$$

$$R_3: 1 \cup 20^*2$$

$$R_4: 0 \cup 20^*1$$

$$R_5: R_1 \cup R_2 R_4^* R_3$$

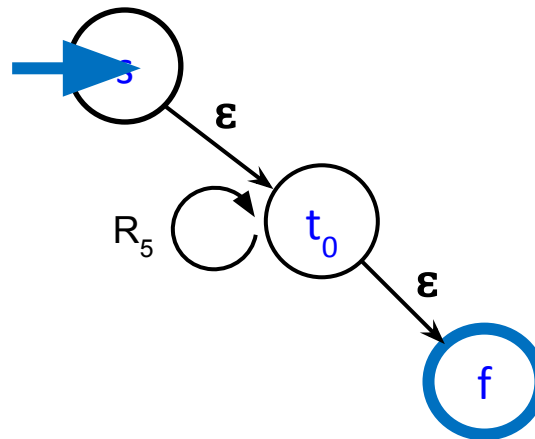


# Splicing out state $t_2$ (and then $t_0$ )

---

Create direct (s,f) edge so we can delete  $t_0$

$R_1: 0 \cup 10^*2$   
 $R_2: 2 \cup 10^*1$   
 $R_3: 1 \cup 20^*2$   
 $R_4: 0 \cup 20^*1$   
 $R_5: R_1 \cup R_2 R_4^* R_3$



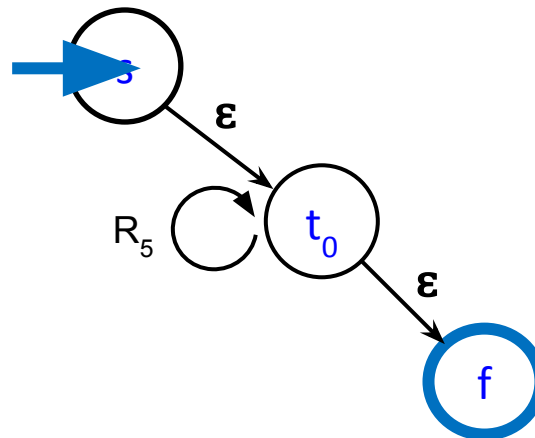
# Splicing out state $t_2$ (and then $t_0$ )

---

## Regular expressions to add to edges

$R_1: 0 \cup 10^*2$   
 $R_2: 2 \cup 10^*1$   
 $R_3: 1 \cup 20^*2$   
 $R_4: 0 \cup 20^*1$   
 $R_5: R_1 \cup R_2 R_4^* R_3$

$t_0 \rightarrow t_1 \rightarrow t_0: R_5^*$



# Splicing out state $t_2$ (and then $t_0$ )

---

Delete  $t_0$  now that it is redundant

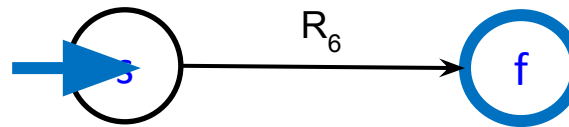
$$R_1: 0 \cup 10^*2$$

$$R_2: 2 \cup 10^*1$$

$$R_3: 1 \cup 20^*2$$

$$R_4: 0 \cup 20^*1$$

$$R_5: R_1 \cup R_2 R_4^* R_3$$



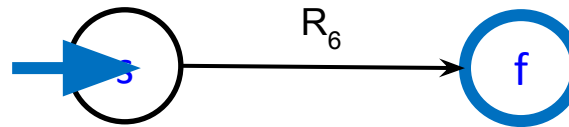
$$R_6: R_5^*$$

# Splicing out state $t_2$ (and then $t_0$ )

---

## Regular expressions to add to edges

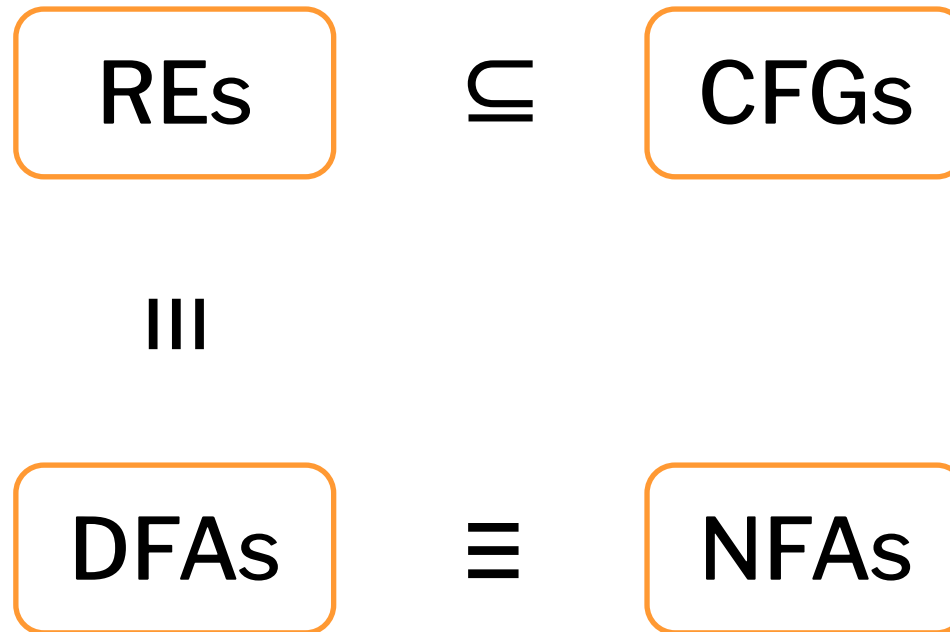
$R_1: 0 \cup 10^*2$   
 $R_2: 2 \cup 10^*1$   
 $R_3: 1 \cup 20^*2$   
 $R_4: 0 \cup 20^*1$   
 $R_5: R_1 \cup R_2 R_4^* R_3$   
 $R_6: R_5^*$



Final regular expression:  $R_6 =$   
 $(0 \cup 10^*2 \cup (2 \cup 10^*1)(0 \cup 20^*1)^*(1 \cup 20^*2))^*$

# The story so far...

---



Languages represented by DFA, NFAs, or regular expressions  
are called **Regular Languages**

# Recall: Algorithms for Regular Languages

---

We have seen algorithms for

- RE to NFA
- NFA to DFA
- DFA/NFA to RE
- DFA minimization



# Example Corollary of These Results

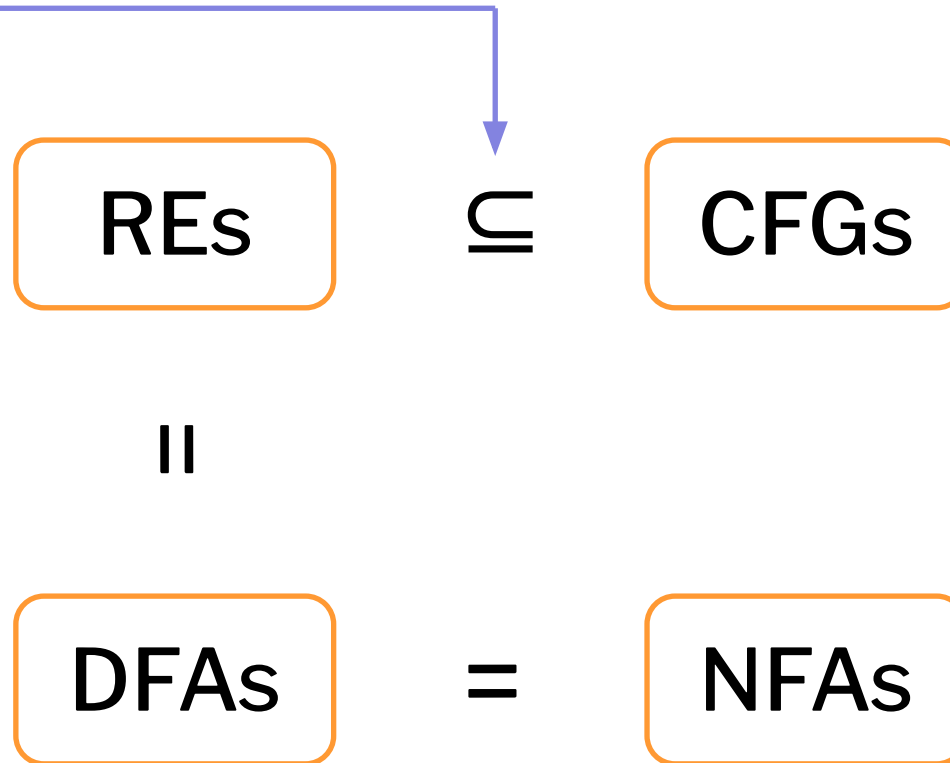
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**Corollary:** If  $A$  is the language of a regular expression, then  $\bar{A}$  is the language of a regular expression\*.

(This is the complement with respect to the universe of all strings over the alphabet, i.e.,  $\bar{A} = \Sigma^* \setminus A$ .)

# The story so far...

---



Now: Is this  $\subseteq$  really “=” or “ $\subsetneq$ ”?

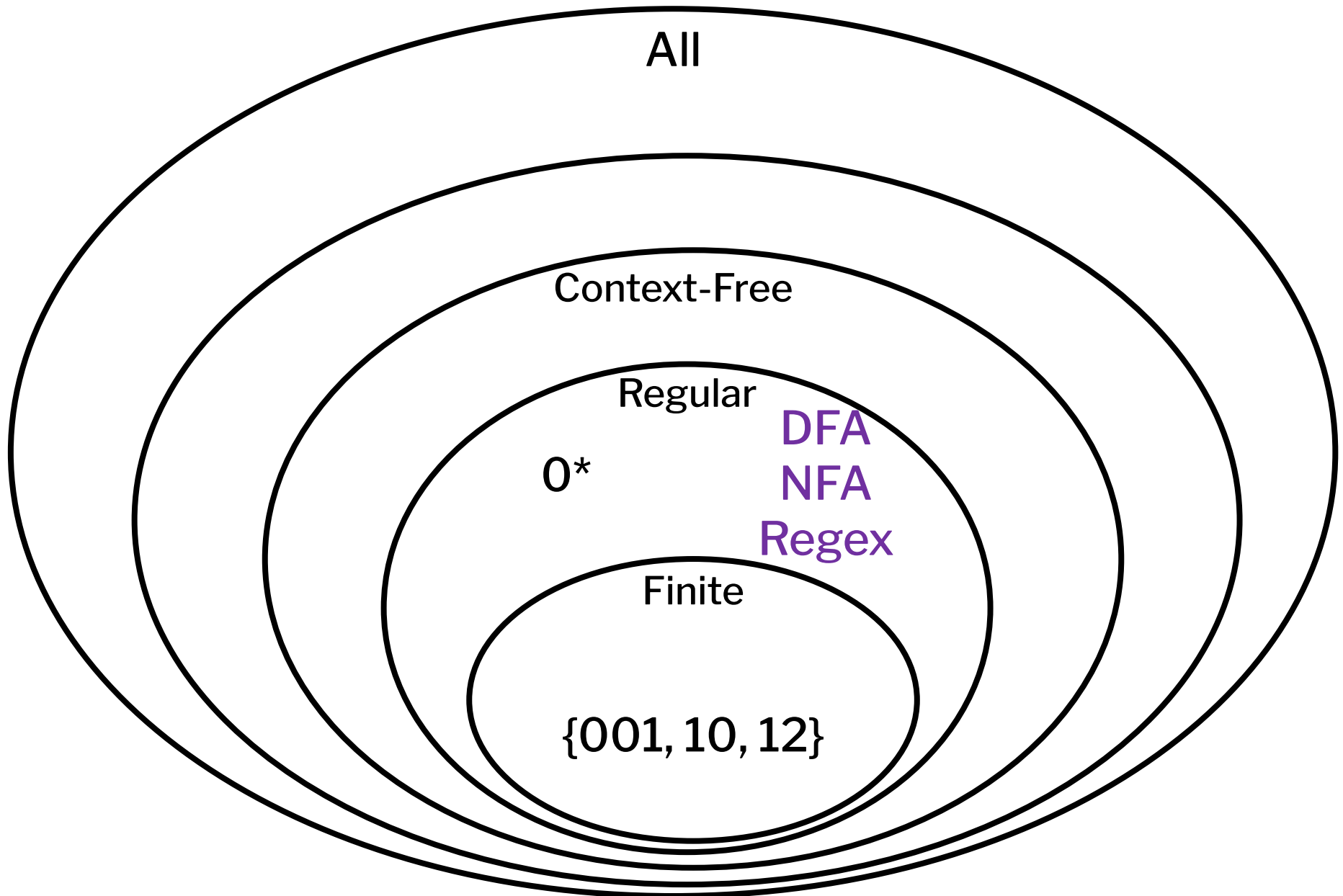
# What languages have DFAs? CFGs?

---

All of  
them?

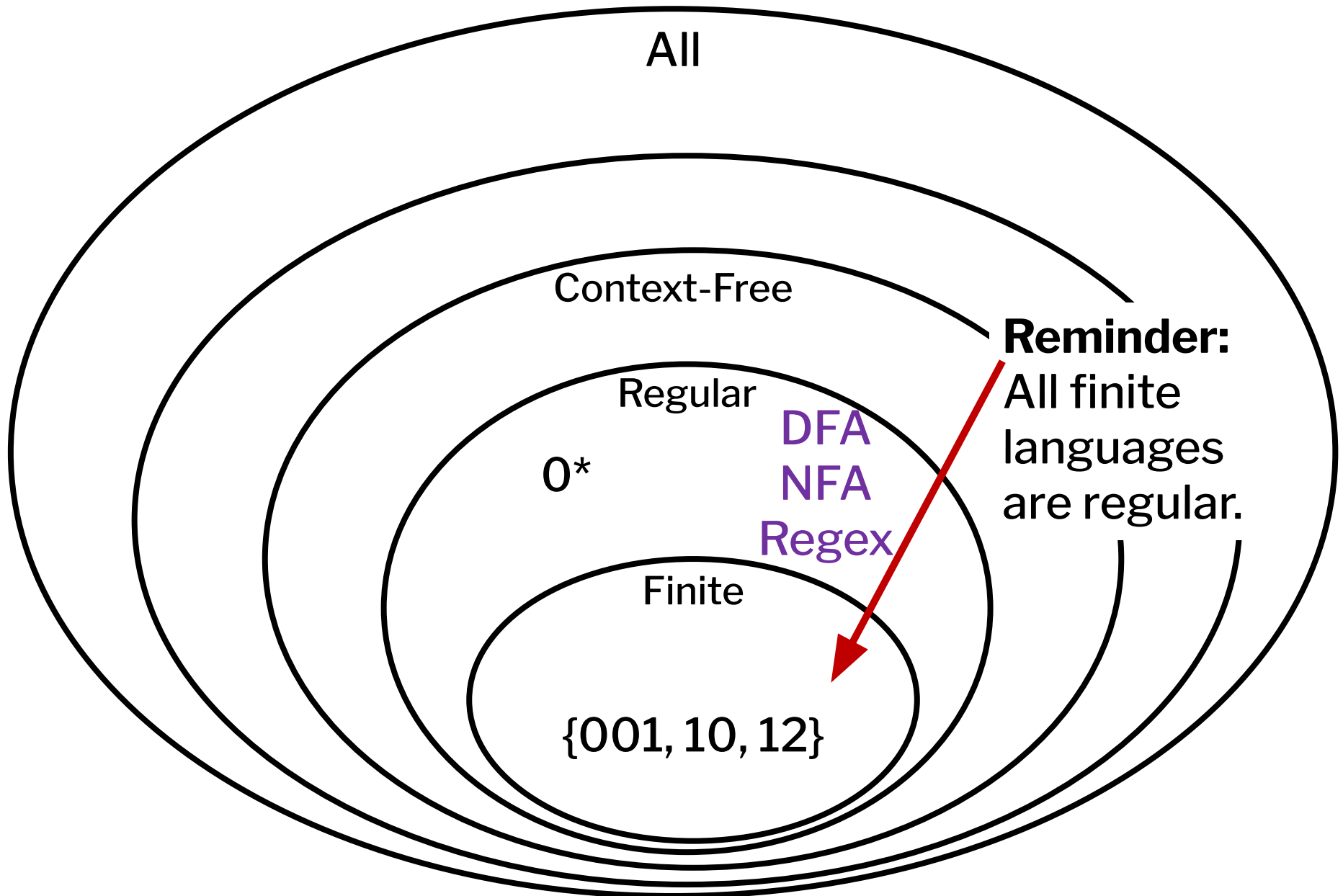
# Languages and Representations!

---



# Languages and Representations!

---



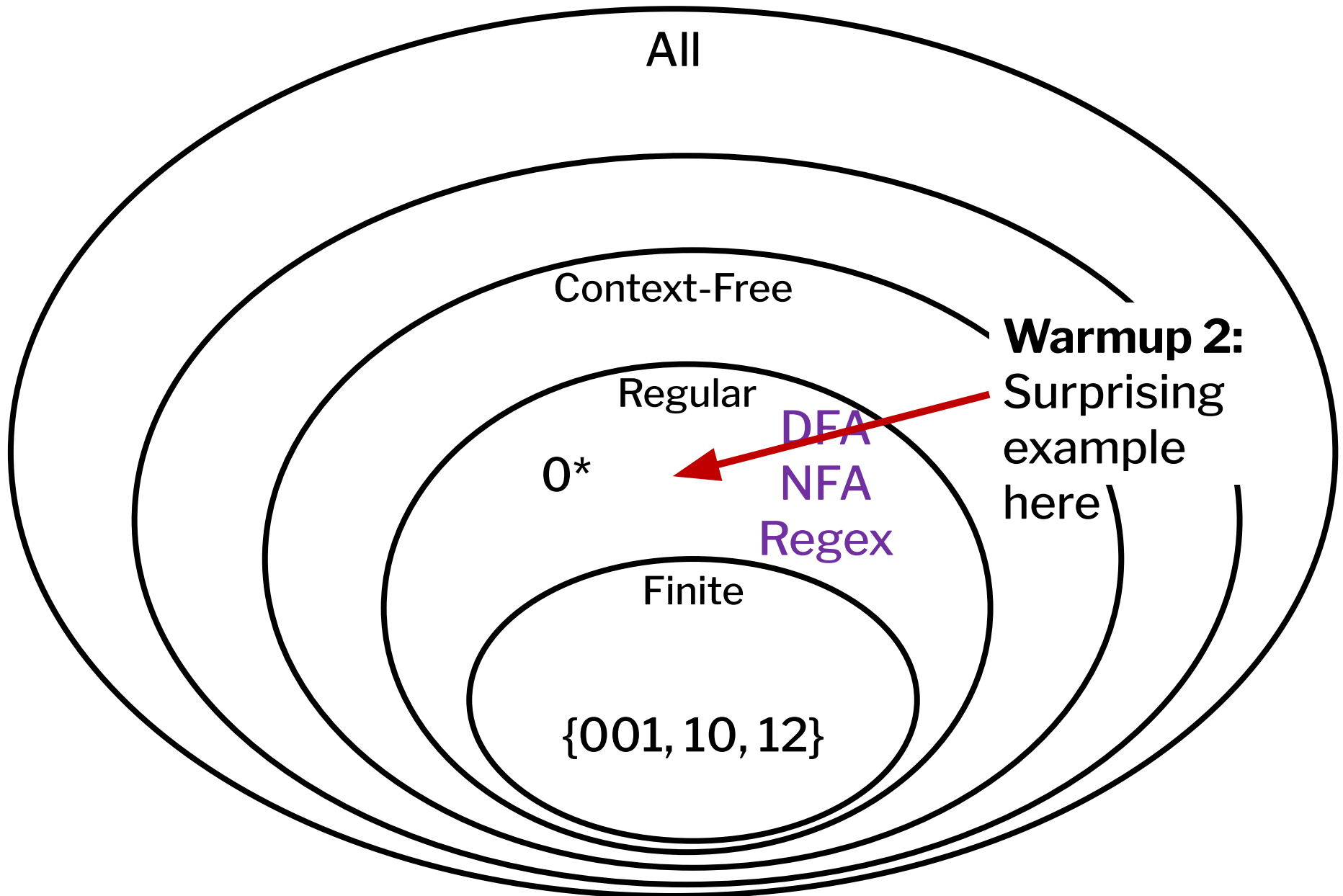
# DFAs Recognize Any Finite Language

Construct a DFA for each string in the language.

Then, put them together using the union construction.

# Languages and Machines!

---



# An Interesting Infinite Regular Language

---

$L = \{x \in \{0, 1\}^* : x \text{ has an equal number of substrings } 01 \text{ and } 10\}$ .

L is infinite.

0, 00, 000, ...

L is regular. How could this be?

That seems to require comparing counts...

- easy for a CFG
- but seems hard for DFAs!



# An Interesting Infinite Regular Language

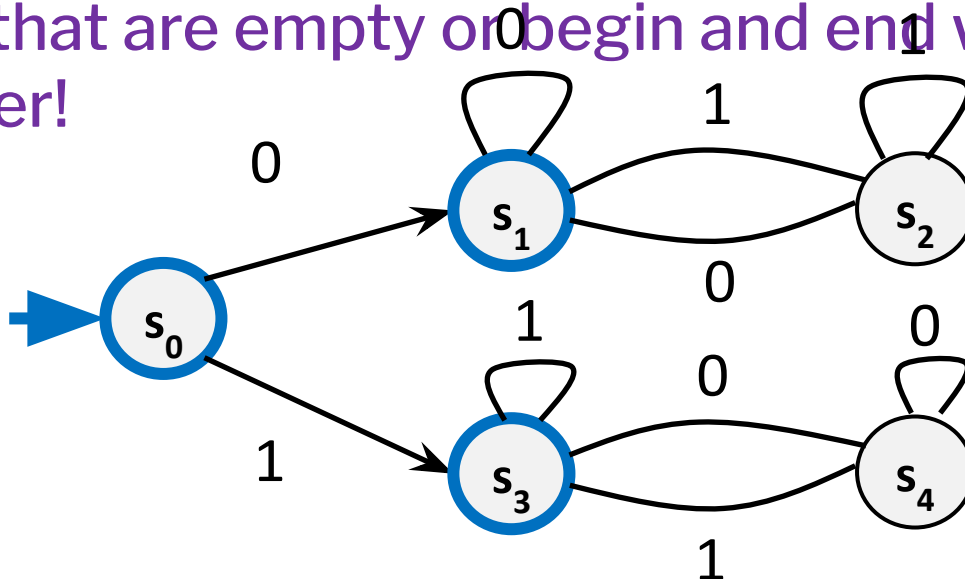
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L is infinite.

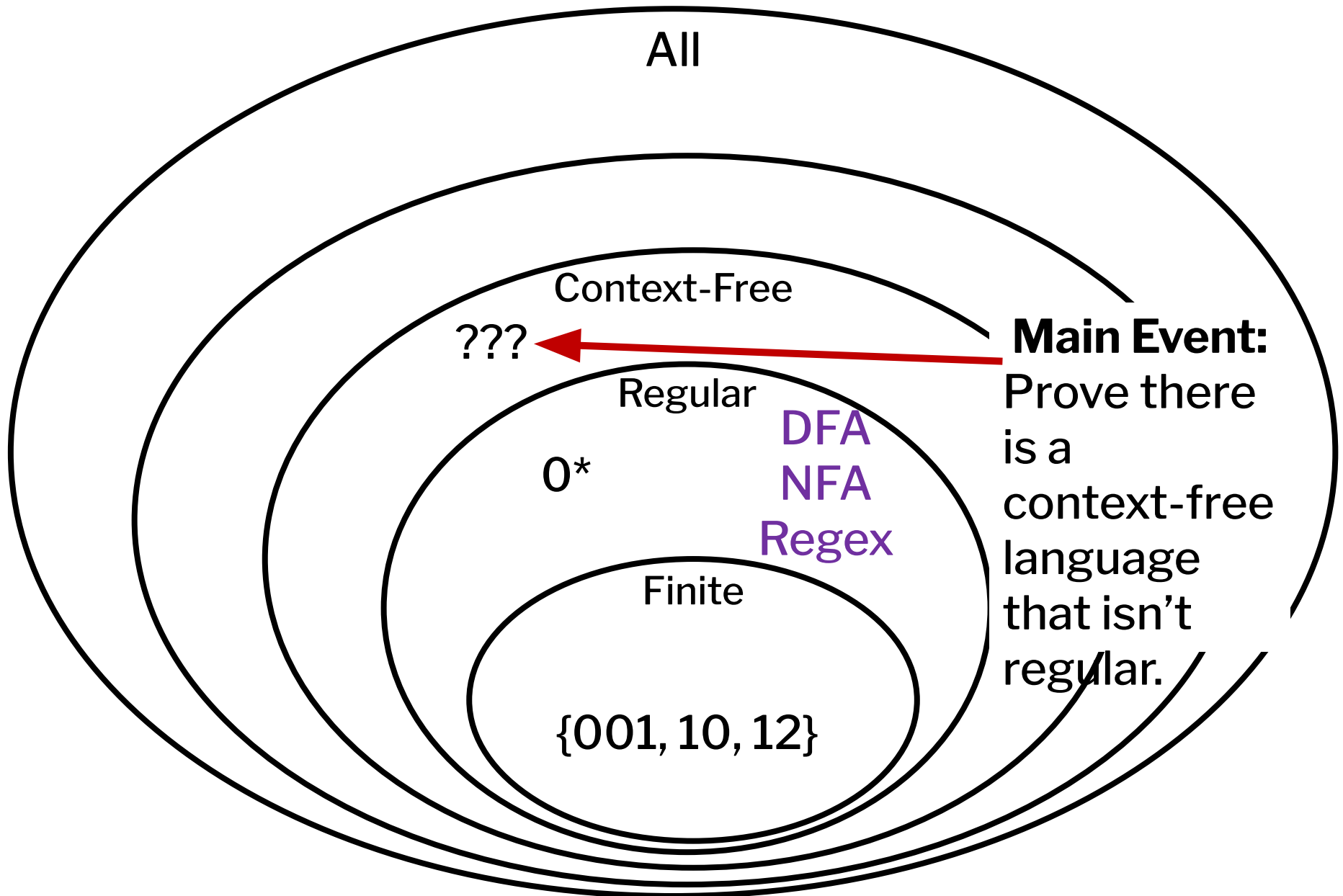
0, 00, 000, ...

L is regular. How could this be? It is just the set of binary strings that are empty or begin and end with the same character!



# Languages and Representations!

---



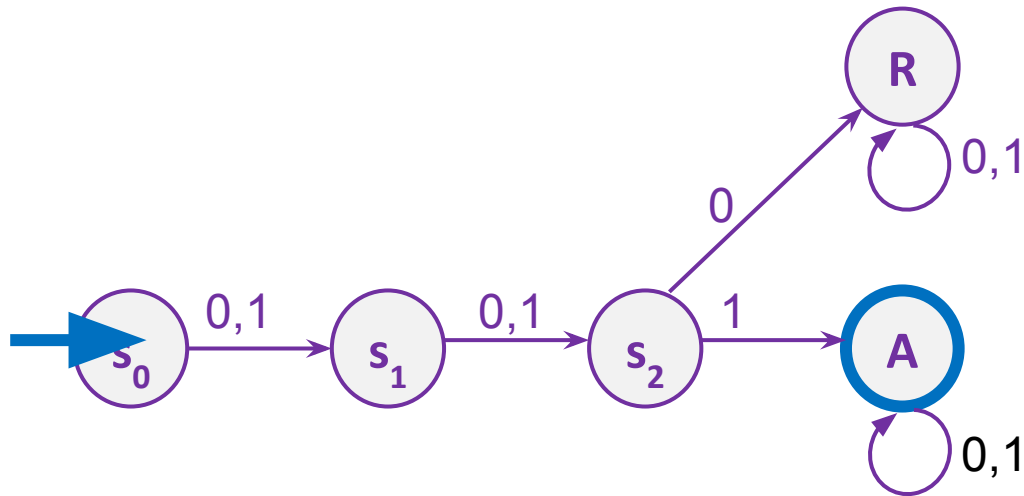
# Tangent: How to prove a DFA minimal?

---

- Show there is no smaller DFA...
- Find a set of strings that *must* be distinguished
  - Such a set is a lower bound on the DFA size

Recall: Binary strings with a 1 in the 3<sup>rd</sup> position from the start

---



**Distinguishing set:**

**{ $\epsilon$ , 0, 00, 000, 001}**

The language of “Binary Palindromes” is  
~~Context-Free~~

---

- 

**$S \rightarrow \varepsilon \mid 0 \mid 1 \mid 0S0 \mid 1S1$**

# Is the language of “Binary Palindromes” Regular ?

---

Intuition (NOT A PROOF!):

**Q:** What would a DFA need to keep track of to decide?

**A:** It would need to keep track of the “first part” of the input in order to check the second part against it

...but there are an infinite # of possible first parts and we only have finitely many states.

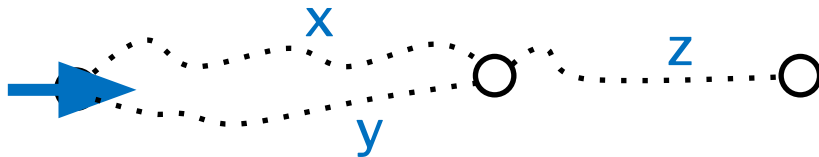
Proof idea: any machine that does not remember the entire first half will be wrong for some inputs

# Useful Lemmas about DFAs

---

**Lemma 1:** If DFA  $M$  takes  $x, y \in \Sigma^*$  to the same state, then for every  $z \in \Sigma^*$ ,  $M$  accepts  $x \cdot z$  iff it accepts  $y \cdot z$ .

$M$  can't remember that the input was  $x$ , not  $y$ .



$$x \cdot z = x_1 x_2 \dots x_n z_1 z_2 \dots z_k$$

$$y \cdot z = y_1 y_2 \dots y_m z_1 z_2 \dots z_k$$

# Useful Lemmas about DFAs

---

**Lemma 2:** If DFA **M** has **n** states and a set **S** contains *more* than **n** strings, then **M** takes at least two strings from **S** to the same state.

**M** can't take  $n+1$  or more strings to different states because it doesn't have  $n+1$  different states.

So, some pair of strings must go to the same state.



**B** = {binary palindromes} can't be recognized by any ~~DFA~~

---

Suppose for contradiction that some DFA, **M**, recognizes **B**.

We will show **M** accepts or rejects a string it shouldn't.

*Consider*  $S = \{1, 01, 001, 0001, 00001, \dots\} = \{0^n1 : n \geq 0\}$ .

**B** = {binary palindromes} can't be recognized by any ~~DFA~~

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Suppose for contradiction that some DFA, **M**, accepts **B**.

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Consider  $S = \{1, 01, 001, 0001, 00001, \dots\} = \{0^n1 : n \geq 0\}$ .

*Since there are finitely many states in **M** and infinitely many strings in  $S$ , by Lemma 2, there exist strings  $0^a1 \in S$  and  $0^b1 \in S$  with  $a \neq b$  that end in the same state of **M**.*

**SUPER IMPORTANT POINT:** You do not get to choose what  $a$  and  $b$  are. Remember, we've just proven they exist...we must take the ones we're given!

**B** = {binary palindromes} can't be recognized by any ~~DFA~~

---

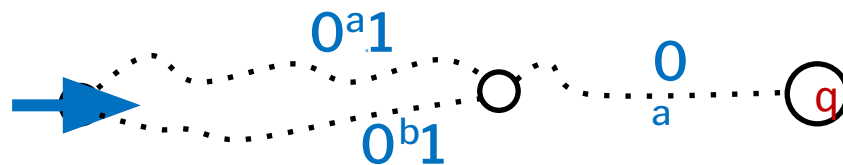
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Consider  $S = \{1, 01, 001, 0001, 00001, \dots\} = \{0^n1 : n \geq 0\}$ .

Since there are finitely many states in **M** and infinitely many strings in  $S$ , by Lemma 2, there exist strings  $0^a1 \in S$  and  $0^b1 \in S$  with  $a \neq b$  that end in the same state of **M**.

*Now, consider appending  $0^a$  to both strings.*



**B** = {binary palindromes} can't be recognized by any **DFA**

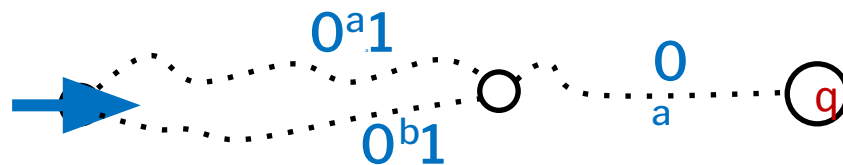
---

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Consider  $S = \{1, 01, 001, 0001, 00001, \dots\} = \{0^n1 : n \geq 0\}$ .

Since there are finitely many states in **M** and infinitely many strings in  $S$ , by Lemma 2, there exist strings  $0^a1 \in S$  and  $0^b1 \in S$  with  $a \neq b$  that end in the same state of **M**.

Now, consider appending  $0^a$  to both strings.



Since  $0^a1$  and  $0^b1$  end in the same state,  $0^a10^a$  and  $0^b10^a$  also end in the same state, call it  $q$ . But then **M** makes a mistake:  $q$  needs to be an accept state since  $0^a10^a \in B$ , but **M** would accept  $0^b10^a \notin B$ , which is an error.

**B** = {binary palindromes} can't be recognized by any ~~DFA~~

---

Suppose for contradiction that some DFA, **M**, accepts **B**.

We will show **M** accepts or rejects a string it shouldn't.

Consider  $S = \{1, 01, 001, 0001, 00001, \dots\} = \{0^n1 : n \geq 0\}$ .

Since there are finitely many states in **M** and infinitely many strings in  $S$ , by Lemma 2, there exist strings  $0^a1 \in S$  and  $0^b1 \in S$  with  $a \neq b$  that end in the same state of **M**.

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*This proves that **M** does not recognize **B**, contradicting our assumption that it does. Thus, no DFA recognizes **B**.*

# Showing that a Language $L$ is not regular

---

1. “Suppose for contradiction that some DFA  $M$  recognizes  $L$ .”
2. Consider an **INFINITE** set  $S$  of prefixes (which we intend to complete later).
3. “Since  $S$  is infinite and  $M$  has finitely many states, there must be two strings  $s_a$  and  $s_b$  in  $S$  for  $s_a \neq s_b$  that end up at the same state of  $M$ .”
4. Consider appending the (correct) completion  $t$  to each of the two strings.
5. “Since  $s_a$  and  $s_b$  both end up at the same state of  $M$ , and we appended the same string  $t$ , both  $s_a t$  and  $s_b t$  end at the same state  $q$  of  $M$ . Since  $s_a t \in L$  and  $s_b t \notin L$ ,  $M$  does not recognize  $L$ .”
6. “Thus, no DFA recognizes  $L$ .”

# Showing that a Language **L** is not regular

---

The choice of **S** is the creative part of the proof

You must find an infinite set **S** with the property that *no two* strings can be taken to the same state

- i.e., for **every pair** of strings **S** there is an “accept” completion that the two strings **DO NOT SHARE**

# Prove $A = \{0^n 1^n : n \geq 0\}$ is not regular

---

Suppose for contradiction that some DFA,  $M$ , recognizes  $A$ .

Let  $S =$



# Prove $A = \{0^n 1^n : n \geq 0\}$ is not regular

---

Suppose for contradiction that some DFA,  $M$ , recognizes  $A$ .

Let  $S = \{0^n : n \geq 0\}$ . Since  $S$  is infinite and  $M$  has finitely many states, there must be two strings,  $0^a$  and  $0^b$  for some  $a \neq b$  that end in the same state in  $M$ .

# Prove $A = \{0^n 1^n : n \geq 0\}$ is not regular

---

Suppose for contradiction that some DFA,  $M$ , recognizes  $A$ .

Let  $S = \{0^n : n \geq 0\}$ . Since  $S$  is infinite and  $M$  has finitely many states, there must be two strings,  $0^a$  and  $0^b$  for some  $a \neq b$  that end in the same state in  $M$ .

Consider appending  $1^a$  to both strings.

# Prove $A = \{0^n 1^n : n \geq 0\}$ is not regular

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Suppose for contradiction that some DFA,  $M$ , recognizes  $A$ .

Let  $S = \{0^n : n \geq 0\}$ . Since  $S$  is infinite and  $M$  has finitely many states, there must be two strings,  $0^a$  and  $0^b$  for some  $a \neq b$  that end in the same state in  $M$ .

Consider appending  $1^a$  to both strings.

Note that  $0^a 1^a \in A$ , but  $0^b 1^a \notin A$  since  $a \neq b$ . But they both end up in the same state of  $M$ , call it  $q$ . Since  $0^a 1^a \in A$ , state  $q$  must be an accept state but then  $M$  would incorrectly accept  $0^b 1^a \notin A$  so  $M$  does not recognize  $A$ .

Thus, no DFA recognizes  $A$ .

Prove  $P = \{\text{balanced parentheses}\}$  is not  
regular

---

Suppose for contradiction that some DFA,  $M$ , accepts  $P$ .

Let  $S =$

# Prove $P = \{\text{balanced parentheses}\}$ is not regular

---

Suppose for contradiction that some DFA,  $M$ , recognizes  $P$ .

Let  $S = \{ (^n : n \geq 0 \}$ . Since  $S$  is infinite and  $M$  has finitely many states, there must be two strings,  $(^a$  and  $(^b$  for some  $a \neq b$  that end in the same state in  $M$ .

# Prove $P = \{\text{balanced parentheses}\}$ is not regular

---

Suppose for contradiction that some DFA,  $M$ , recognizes  $P$ .

Let  $S = \{ (^n : n \geq 0 \}$ . Since  $S$  is infinite and  $M$  has finitely many states, there must be two strings,  $(^a$  and  $(^b$  for some  $a \neq b$  that end in the same state in  $M$ .

Consider appending  $)^a$  to both strings.

# Prove $P = \{\text{balanced parentheses}\}$ is not regular

---

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Let  $S = \{(^n : n \geq 0\}$ . Since  $S$  is infinite and  $M$  has finitely many states, there must be two strings,  $(^a$  and  $(^b$  for some  $a \neq b$  that end in the same state in  $M$ .

Consider appending  $)^a$  to both strings.

Note that  $(^a)^a \in P$ , but  $(^b)^a \notin P$  since  $a \neq b$ . But they both end up in the same state of  $M$ , call it  $q$ . Since  $(^a)^a \in P$ , state  $q$  must be an accept state but then  $M$  would incorrectly accept  $(^b)^a \notin P$  so  $M$  does not recognize  $P$ .

Thus, no DFA recognizes  $P$ .

# Showing that a Language $L$ is not regular

---

1. “Suppose for contradiction that some DFA  $M$  recognizes  $L$ .”
2. Consider an **INFINITE** set  $S$  of prefixes (which we intend to complete later). It is imperative that for **every pair** of strings in our set there is an “accept” completion that the two strings **DO NOT SHARE**.
3. “Since  $S$  is infinite and  $M$  has finitely many states, there must be two strings  $s_a$  and  $s_b$  in  $S$  for  $s_a \neq s_b$  that end up at the same state of  $M$ .”
4. Consider appending the (correct) completion  $t$  to each of the two strings.
5. “Since  $s_a$  and  $s_b$  both end up at the same state of  $M$ , and we appended the same string  $t$ , both  $s_a t$  and  $s_b t$  end at the same state  $q$  of  $M$ . Since  $s_a t \in L$  and  $s_b t \notin L$ ,  $M$  does not recognize  $L$ .”
6. “Thus, no DFA recognizes  $L$ .”



# Fact: This method is optimal

---

- Suppose that for a language  $L$ , the set  $S$  is a *largest* set of prefixes with the property that, for every pair  $s_a \neq s_b \in S$ , there is some string  $t$  such that one of  $s_a t, s_b t$  is in  $L$  but the other isn't.
- If  $S$  is infinite, then  $L$  is not regular
- If  $S$  is finite, then the minimal DFA for  $L$  has precisely  $|S|$  states, one reached by each member of  $S$ .

# Fact: This method is optimal

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- If  $S$  is finite, then the minimal DFA for  $L$  has precisely  $|S|$  states, one reached by each member of  $S$ .

**Corollary:** Our minimization algorithm was correct.

- we separated *exactly* those states for which some  $t$  would make one accept and another not accept

# Important Notes

---

- It is not necessary for our strings  $xz$  with  $x \in L$  to allow any string in the language
  - we only need to find a small “core” set of strings that must be distinguished by the machine
- It is not true that, if  $L$  is irregular and  $L \subseteq U$ , then  $U$  is irregular!
  - we always have  $L \subseteq \Sigma^*$  and  $\Sigma^*$  is regular!
  - our argument needs different answers:  $xz \in L \Leftrightarrow yz \in L$  for  $\Sigma^*$ , both strings are always in the language

Do not claim in your proof that,  
because  $L \subseteq U$ ,  $U$  is also irregular

# New Machinery: Generalized NFAs

---

- Like NFAs but allow
  - parallel edges (between the same pair of states)
  - regular expressions as edge labels
    - NFAs already have edges labeled  $\epsilon$  or  $a$
- Machine can follow an edge labeled by **A** by reading a string of input characters in the language of A
  - (if A is  $a$  or  $\epsilon$ , this matches the original definition, but we now allow REs built with recursive steps.)

# New Machinery: Generalized NFAs

---

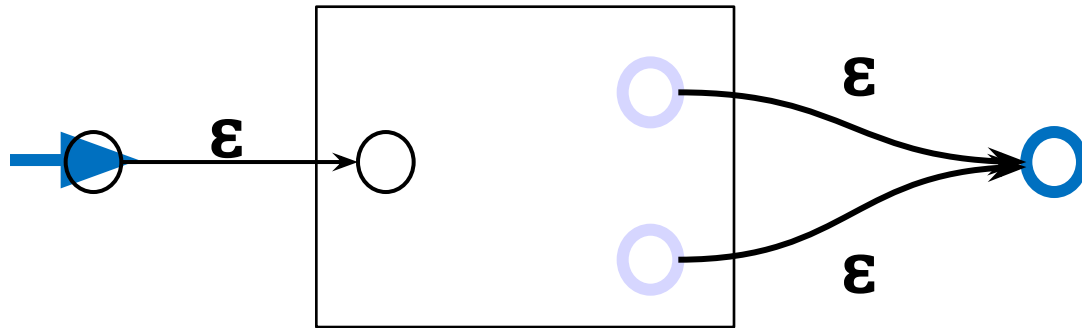
- Like NFAs but allow
  - parallel edges
  - regular expressions as edge labels

NFAs already have edges labeled  $\epsilon$  or  $a$
- The label of a path is now the concatenation of the regular expressions on those edges, making it a regular expression
- Def: A string  $x$  is accepted by a generalized NFA iff there is a *path* from start to final state labeled by a regular expression whose language **contains**  $x$

# Construction Idea

---

Add new start state and final state



Then delete the original states one by one, adding edges to keep the same language, until the graph looks like:



# Starting from an NFA

---

Then delete the original states one by one, adding edges to **keep the same language**, until the graph looks like:



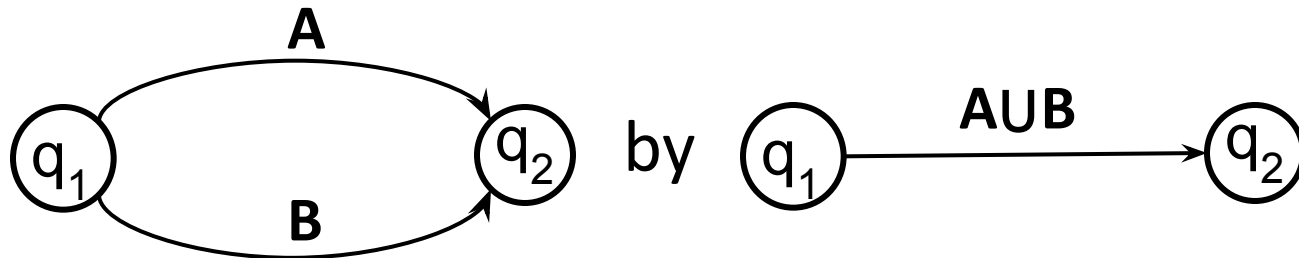
Final graph has only one path to the accepting state, which is labeled by  $A$ , so it accepts iff  $x$  is in the language of  $A$

Thus,  $A$  is a regular expression with the same language as the original NFA.

# Only two simplification rules

---

- **Rule 1:** For any two states  $q_1$  and  $q_2$  with parallel edges (possibly  $q_1=q_2$ ), replace



If the machine would have used the edge labeled A by consuming an input  $x$  in the language of A, it can instead use the edge labeled AUB.

Furthermore, this new edge does not allow transitions for any strings other than those that matched A or B.



# Only two simplification rules

---

- **Rule 2:** Eliminate non-start/accepting state  $q_3$  by creating direct edges that skip  $q_3$



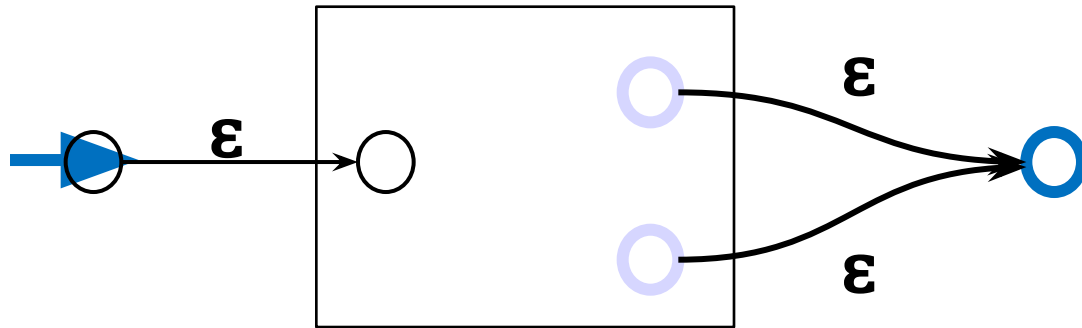
for every pair of states  $q_1, q_2$  (even if  $q_1=q_2$ )

Any path from  $q_1$  to  $q_2$  would have to match  $AB^nC$  for some  $n$  (the number of times the self loop was used), so the machine can use the new edge instead. New edge *only* allows strings that were allowed before.

# Construction Overview

---

Add new start state and final state



While the box contains some state  $s$ :  
for all states  $r, t$  with  $(r, s)$  and  $(s, t)$  in  $E$ :  
    create a direct edge  $(r, t)$  by Rule 2  
delete  $s$  (no longer needed)  
merge all parallel edges by Rule 1

# Construction Overview

---

While the box contains some state  $s$ :  
for all states  $r, t$  with  $(r, s)$  and  $(s, t)$  in  $E$ :  
    create a direct edge  $(r, t)$  by Rule 2  
delete  $s$  (no longer needed)  
merge all parallel edges by Rule 1

When the loop exits, the graph looks like this:



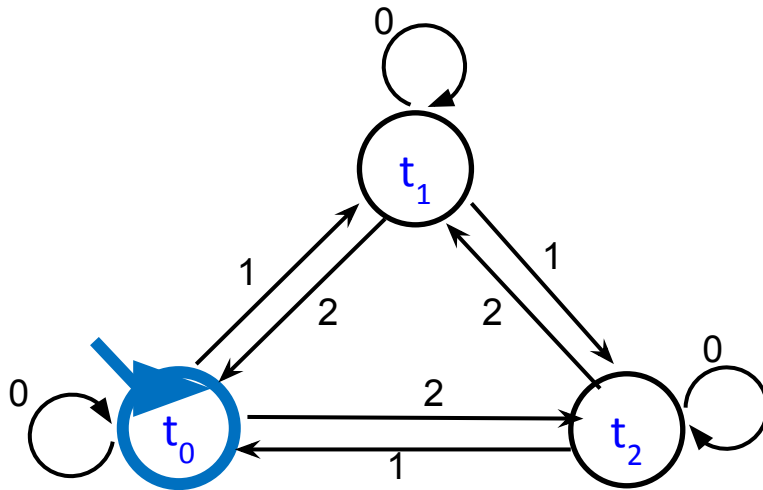
$A$  is a regular expression with the same language as the original NFA.

# Converting an NFA to a regular expression

---

Consider the DFA for the mod 3 sum

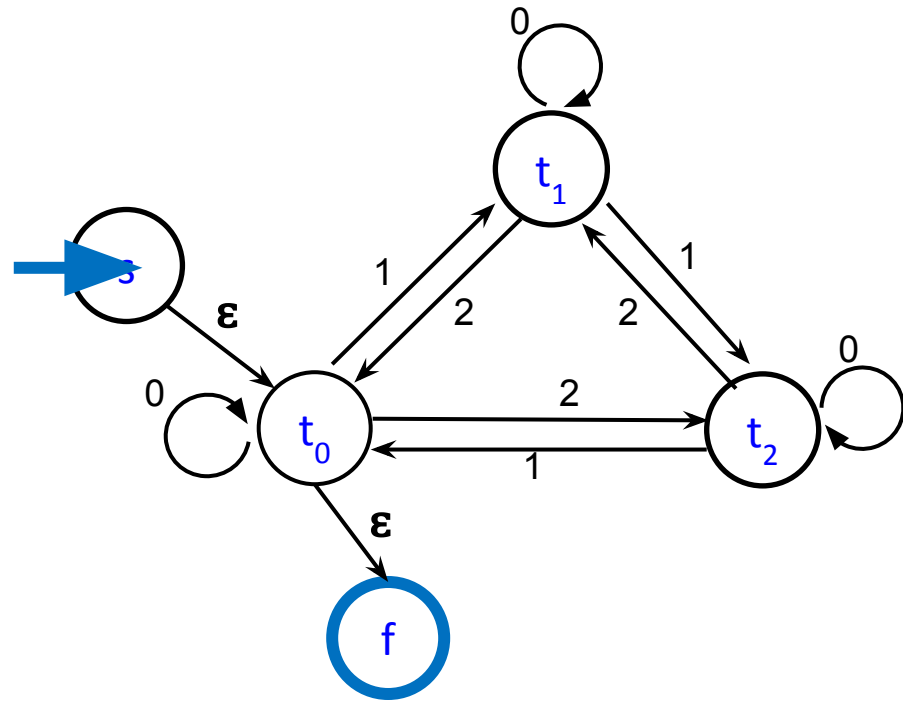
- Accept strings from  $\{0,1,2\}^*$  where the digits mod 3 sum of the digits is 0



# Splicing out a state $t_1$

---

Create direct edges between neighbors of  $t_1$   
(so that we can delete it afterward)

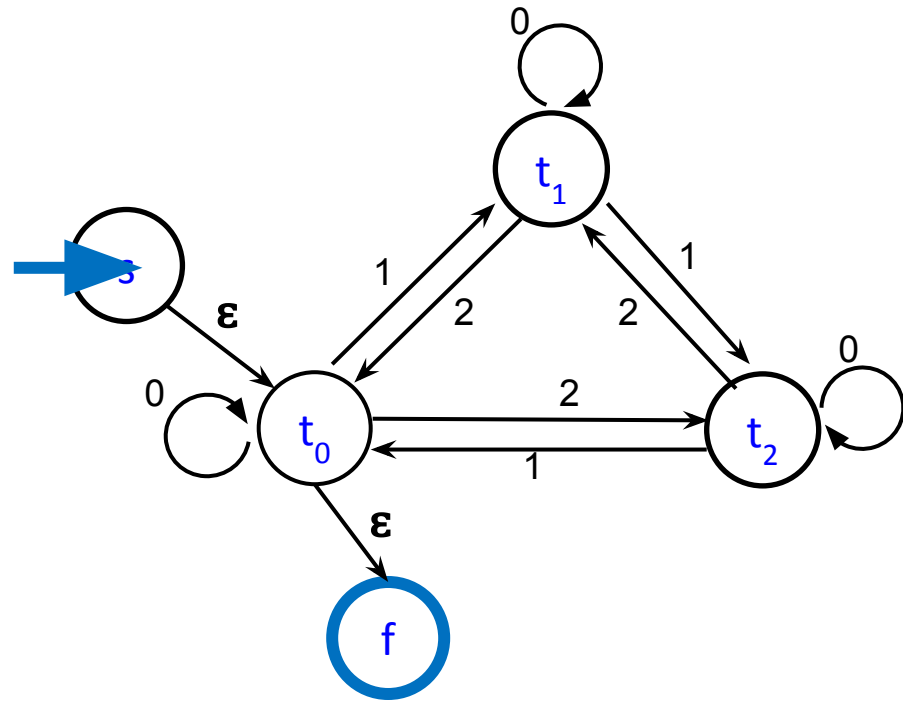


# Splicing out a state $t_1$

---

## Regular expressions to add to edges

$t_0 \rightarrow t_1 \rightarrow t_0 : 10^*2$   
 $t_0 \rightarrow t_1 \rightarrow t_2 : 10^*1$   
 $t_2 \rightarrow t_1 \rightarrow t_0 : 20^*2$   
 $t_2 \rightarrow t_1 \rightarrow t_2 : 20^*1$



# Splicing out a state $t_1$

---

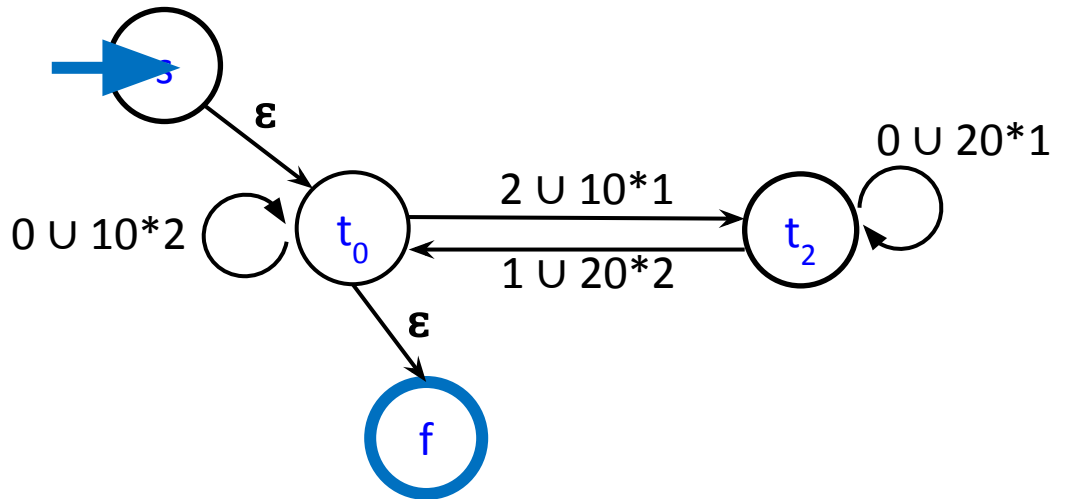
Delete  $t_1$  now that it is redundant

$$t_0 \rightarrow t_1 \rightarrow t_0 : 10^*2$$

$$t_0 \rightarrow t_1 \rightarrow t_2 : 10^*1$$

$$t_2 \rightarrow t_1 \rightarrow t_0 : 20^*2$$

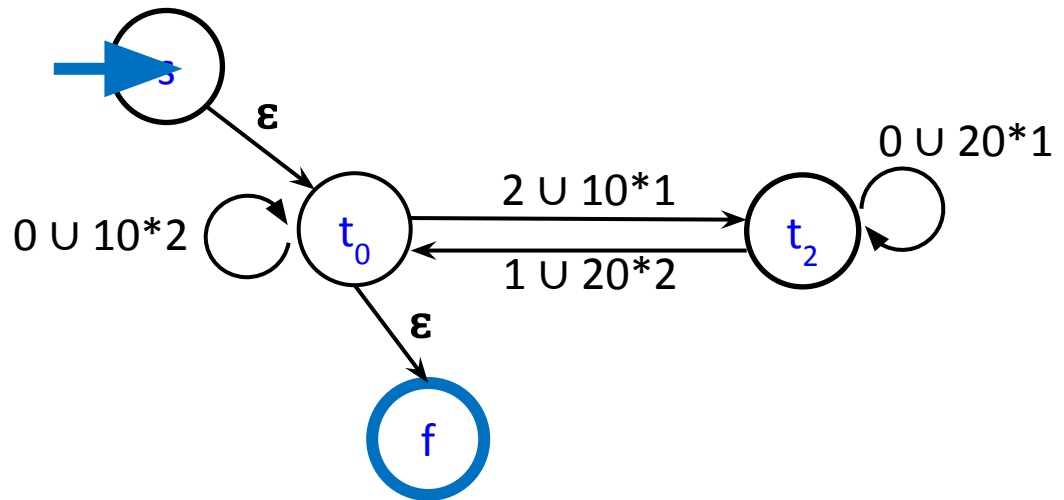
$$t_2 \rightarrow t_1 \rightarrow t_2 : 20^*1$$



# Splicing out a state $t_1$

---

Create direct edges between neighbors of  $t_2$   
(so that we can delete it afterward)





# Splicing out a state $t_1$

---

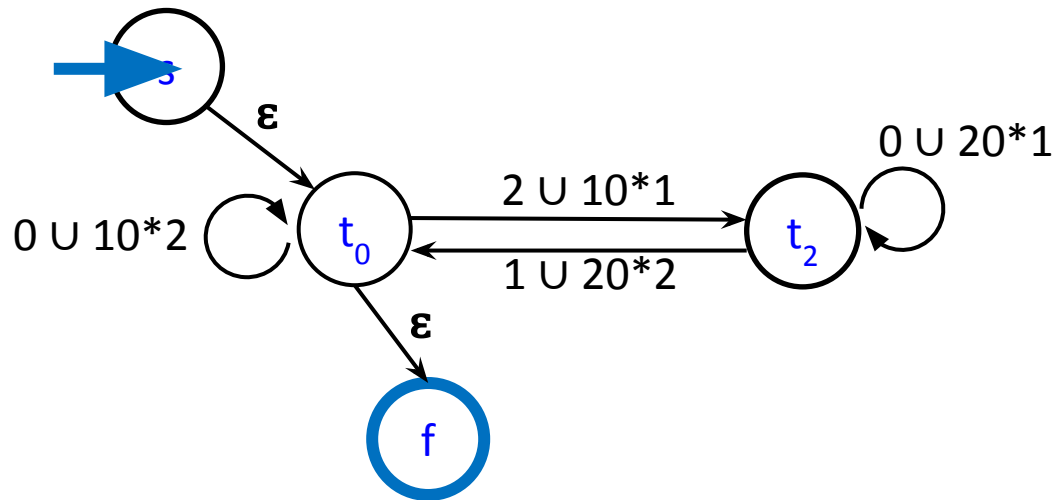
## Regular expressions to add to edges

$R_1: 0 \cup 10^*2$

$R_2: 2 \cup 10^*1$

$R_3: 1 \cup 20^*2$

$R_4: 0 \cup 20^*1$



# Splicing out state $t_2$ (and then $t_0$ )

---

Delete  $t_2$  now that it is redundant

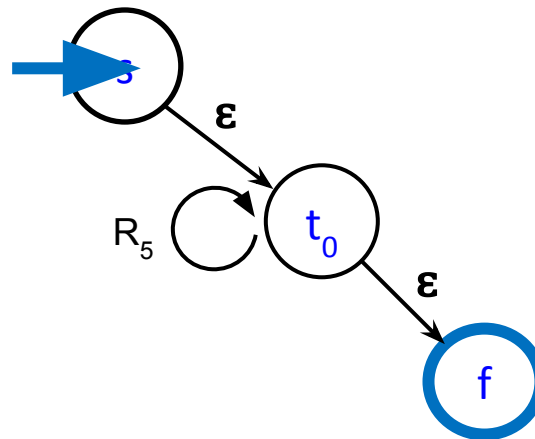
$$R_1: 0 \cup 10^*2$$

$$R_2: 2 \cup 10^*1$$

$$R_3: 1 \cup 20^*2$$

$$R_4: 0 \cup 20^*1$$

$$R_5: R_1 \cup R_2 R_4^* R_3$$

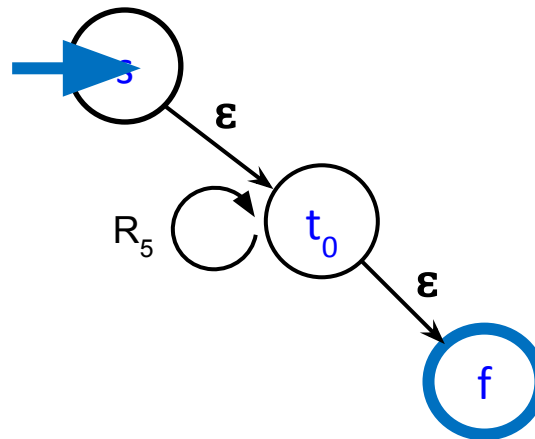


# Splicing out state $t_2$ (and then $t_0$ )

---

Create direct (s,f) edge so we can delete  $t_0$

$R_1: 0 \cup 10^*2$   
 $R_2: 2 \cup 10^*1$   
 $R_3: 1 \cup 20^*2$   
 $R_4: 0 \cup 20^*1$   
 $R_5: R_1 \cup R_2 R_4^* R_3$



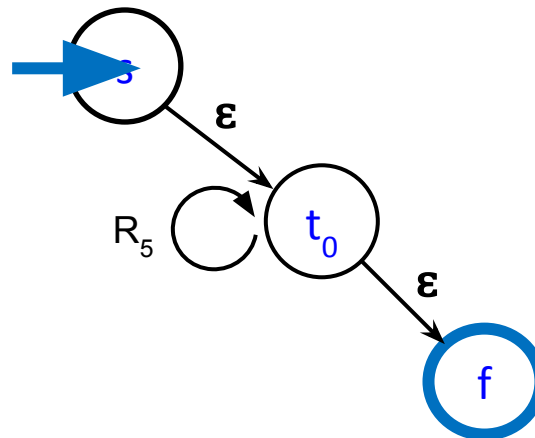
# Splicing out state $t_2$ (and then $t_0$ )

---

## Regular expressions to add to edges

$R_1: 0 \cup 10^*2$   
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 $R_3: 1 \cup 20^*2$   
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 $R_5: R_1 \cup R_2 R_4^* R_3$

$t_0 \rightarrow t_1 \rightarrow t_0: R_5^*$

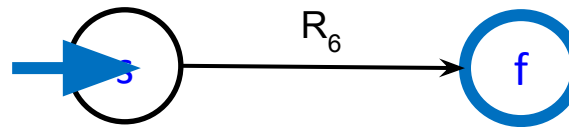


# Splicing out state $t_2$ (and then $t_0$ )

---

Delete  $t_0$  now that it is redundant

$R_1: 0 \cup 10^*2$   
 $R_2: 2 \cup 10^*1$   
 $R_3: 1 \cup 20^*2$   
 $R_4: 0 \cup 20^*1$   
 $R_5: R_1 \cup R_2 R_4^* R_3$



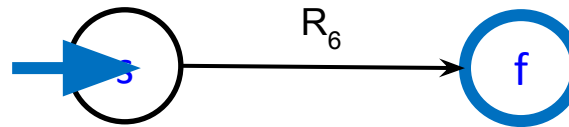
$R_6: R_5^*$

# Splicing out state $t_2$ (and then $t_0$ )

---

## Regular expressions to add to edges

$R_1: 0 \cup 10^*2$   
 $R_2: 2 \cup 10^*1$   
 $R_3: 1 \cup 20^*2$   
 $R_4: 0 \cup 20^*1$   
 $R_5: R_1 \cup R_2 R_4^* R_3$   
 $R_6: R_5^*$



Final regular expression:  $R_6 =$   
 $(0 \cup 10^*2 \cup (2 \cup 10^*1)(0 \cup 20^*1)^*(1 \cup 20^*2))^*$

# Application of FSMs: Pattern matching

---

- Given
  - a string  $s$  of  $n$  characters
  - a pattern  $p$  of  $m$  characters
  - usually  $m \ll n$
- Find
  - all occurrences of the pattern  $p$  in the string  $s$
- Obvious algorithm:
  - try to see if  $p$  matches at each of the positions in  $s$   
stop at a failed match and try matching at the next

# Application of FSMs: Pattern Matching

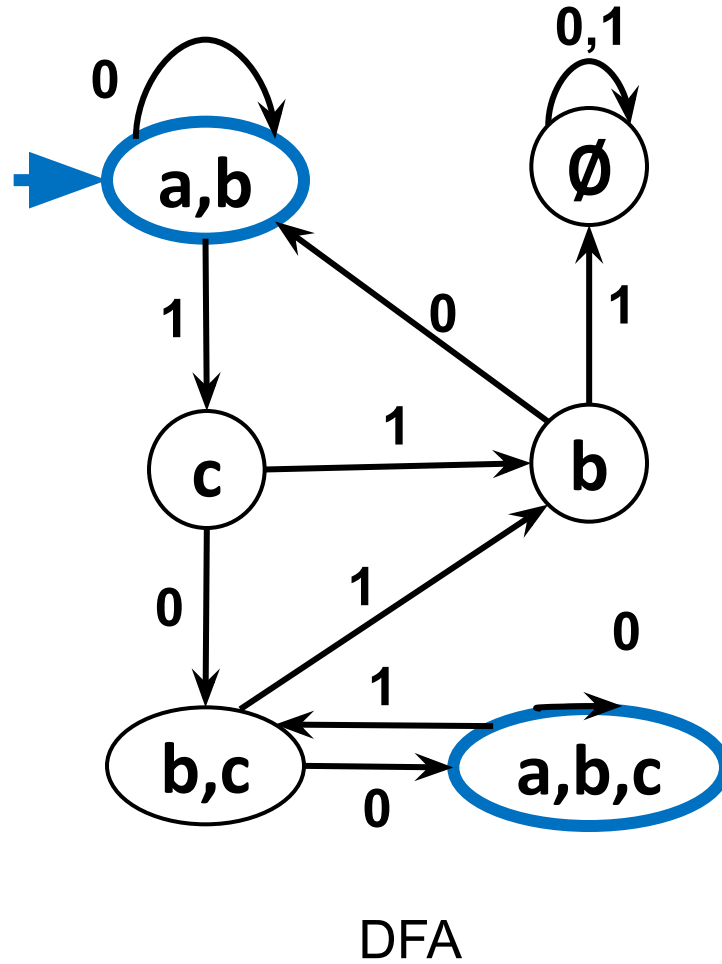
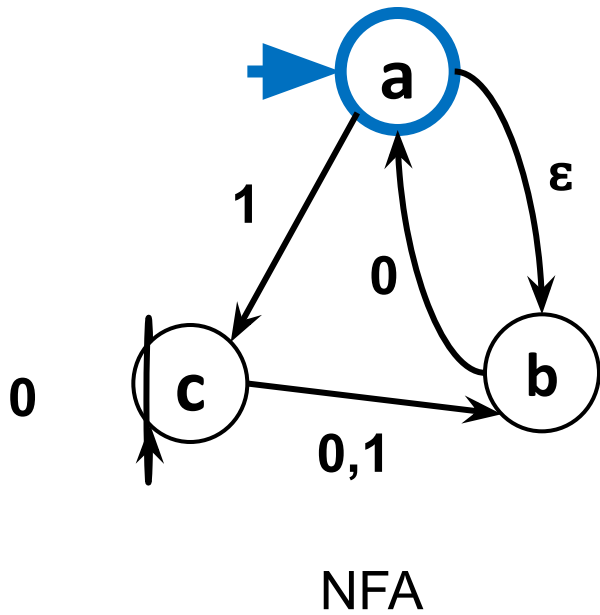
---

- With DFAs can do this in  $O(m + n)$  time.
- See Extra Credit problem on HW8 for some ideas of how to get to  $O(m^2 + n)$ .



# Last time: NFA to DFA

---



# Exponential Blow-up in Simulating Nondeterminism

---

- In general the DFA might need a state for every subset of states of the NFA
  - Power set of the set of states of the NFA
  - $n$ -state NFA yields DFA with at most  $2^n$  states
  - We saw an example where roughly  $2^n$  is necessary
    - “Is the  $n^{\text{th}}$  char from the end a 1?”

The famous “P=NP?” question asks whether a similar blow-up is always necessary to get rid of nondeterminism for polynomial-time algorithms