## CSE 311: Foundations of Computing

## Topic 11: Undecidability

```
DEFINE DOESITHALT(PROGRAM):
{
    RETufN TRUE;
}
```

THE BIG PICTURE SOUTION TO THE HALTNG PROBLEM

## Last time: Languages and Representations



## Computers from Thought

Computers as we know them grew out of a desire to avoid bugs in mathematical reasoning.

Hilbert in a famous speech at the International Congress of Mathematicians in 1900 set out the goal to mechanize all of mathematics.

In the 1930s, work of Gödel and Turing showed that Hilbert's program is impossible.

## Gödel's Incompleteness Theorem <br> Undecidability of the Halting Problem

Both of these employ an idea we will see called diagonalization.
The ideas are simple but so revolutionary that their inventor Georg Cantor was initially shunned by the mathematical leaders of the time:

Poincaré referred to them as a "grave disease infecting mathematics."
 Kronecker fought to keep Cantor's papers out of his journals.

Full employment for mathematicians and computer scientists!!

## Cardinality

What does it mean that two sets have the same size?


## Cardinality

What does it mean that two sets have the same size?


## 1-1 and onto

A function $f: A \rightarrow B$ is one-to-one (1-1) if every output corresponds to at most one input;
i.e. $f(x)=f\left(x^{\prime}\right) \Rightarrow x=x^{\prime}$ for all $x, x^{\prime} \in A$.

A function $f: A \rightarrow B$ is onto if every output gets hit;
i.e. for every $y \in B$, there exists $x \in A$ such that $f(x)=y$.


## Cardinality

Definition: Two sets $A$ and $B$ have the same cardinality if there is a one-to-one correspondence between the elements of $A$ and those of $B$. More precisely, if there is a 1-1 and onto function $f: A \rightarrow B$.


The definition also makes sense for infinite sets!

## Cardinality

Do the natural numbers and the even natural numbers have the same cardinality?

Yes!

| 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | 10 | 11 | 12 | 13 | 14 | $\ldots$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 2 | 4 | 6 | 8 | 10 | 12 | 14 | 16 | 18 | 20 | 22 | 24 | 26 | 28 | $\ldots$ |

What's the $\operatorname{map} \boldsymbol{f}: \mathbb{N} \rightarrow \mathbf{2} \mathbb{N}$ ?

$$
f(n)=2 n
$$

## Countable sets

Definition: A set is countable iff it has the same cardinality as some subset of $\mathbb{N}$.

Equivalent: A set $S$ is countable iff there is an onto function $\boldsymbol{g}: \mathbb{N} \rightarrow \boldsymbol{S}$

Equivalent: A set $S$ is countable iff we can order the elements

$$
S=\left\{x_{1}, x_{2}, x_{3}, \ldots\right\}
$$

The set $\mathbb{Z}$ of all integers

## The set $\mathbb{Z}$ of all integers

$$
\begin{array}{ccccccccccccccccc}
0 & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 & 11 & 12 & 13 & 14 & \ldots \\
0 & 1 & -1 & 2 & -2 & 3 & -3 & 4 & -4 & 5 & -5 & 6 & -6 & 7 & -7 & \ldots
\end{array}
$$

## The set $\mathbb{Q}$ of rational numbers

We can't do the same thing we did for the integers.
Between any two rational numbers there are an infinite number of others.

## The set of positive rational numbers

$\begin{array}{llllllll}1 / 1 & 1 / 2 & 1 / 3 & 1 / 4 & 1 / 5 & 1 / 6 & 1 / 7 & 1 / 8\end{array}$



5/1 5/2 5/3 5/4 5/5 5/6 5/7 ...
6/1 6/2 6/3 6/4 6/5 6/6
7/1 7/2 7/3 7/4 7/5


## The set of positive rational numbers

The set of all positive rational numbers is countable.

$$
=\{1 / 1,2 / 1,1 / 2,3 / 1,2 / 2,1 / 3,4 / 1,2 / 3,3 / 2,1 / 4,5 / 1,4 / 2,3 / 3,2 / 4,1 / 5, \ldots\}
$$

List elements in order of numerator+denominator, breaking ties according to denominator.

Only $\boldsymbol{k}$ numbers have total of sum of $\boldsymbol{k}+\mathbf{1}$, so every positive rational number comes up some point.

The technique is called "dovetailing."
More generally:

- Put all elements into finite groups
- Order the groups
- List elements in order by group (arbitrary order within each group)


## The set of positive rational numbers



## Claim: $\Sigma^{*}$ is countable for every finite $\Sigma$

Dictionary/Alphabetical/Lexicographical order is bad

- Never get past the A's
- A, AA, AAA, AAAA, AAAAA, AAAAAA, ....


## Claim: $\Sigma^{*}$ is countable for every finite $\Sigma$

Dictionary/Alphabetical/Lexicographical order is bad

- Never get past the A's
- A, AA, AAA, AAAA, AAAAA, AAAAAA, ....

Instead, use same "dovetailing" idea, except that we group based on length: only $|\Sigma|^{k}$ strings of length $k$.
e.g. $\{0,1\}^{*}$ is countable:
$\{\varepsilon, 0,1,00,01,10,11,000,001,010,011,100,101,110,111, \ldots\}$

## The set of all Java programs is countable

Java programs are just strings in $\Sigma^{*}$ where $\Sigma$ is the alphabet of ASCII characters.

Since $\Sigma^{*}$ is countable, so is the set of all Java programs.

More generally, any subset of a countable set is countable: it has same cardinality as an (even smaller) subset of $\mathbb{N}$

## OK OK... Is Everything Countable ?!!

## Are the real numbers countable?

Theorem [Cantor]:
The set of real numbers between 0 and 1 is not countable.

Proof will be by contradiction.
Uses a new method called diagonalization.

## Real numbers between 0 and 1: [0,1)

Every number between 0 and 1 has an infinite decimal expansion:

$$
\begin{aligned}
1 / 2 & =0.50000000000000000000000 \ldots \\
1 / 3 & =0.33333333333333333333333 \ldots \\
1 / 7 & =0.14285714285714285714285 \ldots \\
\pi-3 & =0.14159265358979323846264 \ldots \\
1 / 5 & =0.19999999999999999999999 \ldots \\
& =0.20000000000000000000000 \ldots
\end{aligned}
$$

Representation is unique except for the cases that the decimal expansion ends in all 0's or all 9's.
We will never use the all 9's representation.

## Proof that $[0,1)$ is not countable

Suppose, for a contradiction, that there is a list of them:
$r_{1}$ 0.50000000...
$r_{2}$ 0.33333333...
$r_{3} \quad 0.14285714 \ldots$
$r_{4}$ 0.14159265...
$r_{5} \quad 0.12122122 .$.
$r_{6} \quad 0.25000000$...
$r_{7}$ 0.71828182...
$r_{8} \quad 0.61803394 \ldots$

## Proof that $[0,1)$ is not countable

Suppose, for a contradiction, that there is a list of them:

|  |  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | $\ldots$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $r_{1}$ | 0. | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $\ldots$ | $\ldots$ |
| $r_{2}$ | 0. | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | $\ldots$ | $\ldots$ |
| $r_{3}$ | 0. | 1 | 4 | 2 | 8 | 5 | 7 | 1 | 4 | $\ldots$ | $\ldots$ |
| $r_{4}$ | 0. | 1 | 4 | 1 | 5 | 9 | 2 | 6 | 5 | $\ldots$ | $\ldots$ |
| $r_{5}$ | 0. | 1 | 2 | 1 | 2 | 2 | 1 | 2 | 2 | $\ldots$ | $\ldots$ |
| $r_{6}$ | 0. | 2 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | $\ldots$ | $\ldots$ |
| $r_{7}$ | 0. | 7 | 1 | 8 | 2 | 8 | 1 | 8 | 2 | $\ldots$ | $\ldots$ |
| $r_{8}$ | 0. | 6 | 1 | 8 | 0 | 3 | 3 | 9 | 4 | $\ldots$ | $\ldots$ |

## Proof that $[0,1)$ is not countable

Suppose, for a contradiction, that there is a list of them:

|  |  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | $\ldots$ |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $r_{1}$ | 0. | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $\ldots$ | $\ldots$ |
| $r_{2}$ | 0. | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | $\ldots$ | $\ldots$ |
| $r_{3}$ | 0. | 1 | 4 | 2 | 8 | 5 | 7 | 1 | 4 | $\ldots$ | $\ldots$ |
| $r_{4}$ | 0. | 1 | 4 | 1 | 5 | 9 | 2 | 6 | 5 | $\ldots$ | $\ldots$ |
| $r_{5}$ | 0. | 1 | 2 | 1 | 2 | 2 | 1 | 2 | 2 | $\ldots$ | $\ldots$ |
| $r_{6}$ | 0. | 2 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | $\ldots$ | $\ldots$ |
| $r_{7}$ | 0. | 7 | 1 | 8 | 2 | 8 | 1 | 8 | 2 | $\ldots$ | $\ldots$ |
| $r_{8}$ | 0. | 6 | 1 | 8 | 0 | 3 | 3 | 9 | 4 | $\ldots$ | $\ldots$ |

## Proof that $[0,1)$ is not countable

Suppose, for a contradiction, that there is a list of them:

| $\mathrm{r}_{1}$ | 0. | 1 | 2 0 | 0 | 4 0 | Flipping rule: <br> Only if the other driver deserves it. |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $r_{2}$ | 0. | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | ... | ... |
| $r_{3}$ | 0. | 1 | 4 | 2 | 8 | 5 | 7 | 1 | 4 | ... | ... |
| $\mathrm{r}_{4}$ | 0. | 1 | 4 | 1 | 5 | 9 | 2 | 6 | 5 | ... | ... |
| $\mathrm{r}_{5}$ | 0. | 1 | 2 | 1 | 2 | 2 | 1 | 2 | 2 | ... | ... |
| $\mathrm{r}_{6}$ | 0. | 2 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | ... | ... |
| $\mathrm{r}_{7}$ | 0. | 7 | 1 | 8 | 2 | 8 | 1 | 8 | 2 | ... | ... |
| $\mathrm{r}_{8}$ | 0. | 6 | 1 | 8 | 0 | 3 | 3 | 9 | 4 | ... | ... |

## Proof that $[0,1)$ is not countable

Suppose, for a contradiction, that there is a list of them:

| $r_{1}$ $r_{2}$ | 0. | 1 5 3 | 2 0 3 | 0 | 0 3 | Flipping rule: <br> If digit is 5 , make it 1 . If digit is not 5 , make it 5 . |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{r}_{3}$ | 0. | 1 | 4 | $2^{5}$ | 8 | 5 | 7 | 1 | 4 | ... | .. |
| $\mathrm{r}_{4}$ | 0. | 1 | 4 | 1 | $5^{1}$ | 9 | 2 | 6 | 5 | ... | ... |
| $\mathrm{r}_{5}$ | 0. | 1 | 2 | 1 | 2 | $2^{5}$ | 1 | 2 | 2 | ... | ... |
| $\mathrm{r}_{6}$ | 0. | 2 | 5 | 0 | 0 | 0 | 05 | 0 | 0 | ... | ... |
| $\mathrm{r}_{7}$ | 0. | 7 | 1 | 8 | 2 | 8 | 1 |  | 2 | ... | ... |
| $\mathrm{r}_{8}$ | 0. | 6 | 1 | 8 | 0 | 3 | 3 | 9 | 45 | ... | ... |

## Proof that $[0,1)$ is not countable

Suppose, for a contradiction, that there is a list of them:

| $r_{1}$ $r_{2}$ | 0. | 1 5 3 | 0 3 | 0 3 | 4 0 3 | Flipping rule: <br> If digit is 5 , make it 1 . If digit is not 5 , make it 5 . |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $r_{3}$ | 0. | 1 | 4 | $2^{5}$ | 8 | 5 | 7 | 1 | 4 | ... | ... |
| $\mathrm{r}_{4}$ | 0. | 1 | 4 | 1 | $5{ }^{1}$ | 9 | 2 | 6 | 5 | ... | ... |
| $\mathrm{r}_{5}$ | 0. | 1 | 2 | 1 | 2 | $2^{5}$ | 1 | 2 | 2 | ... | ... |
| $\mathrm{r}_{6}$ | 0. | 2 | 5 | 0 | 0 | 0 |  |  | 0 | ... | ... |
| $\mathrm{r}_{7}$ | 0. | 7 | 1 | 8 | 2 | 8 |  |  | 2 |  | ... |

If diagonal element is $0 . x_{11} x_{22} x_{33} x_{44} x_{55} \cdots$ then let's call the flipped number $0 . \widehat{x}_{11} \widehat{x}_{22} \widehat{x}_{33} \widehat{x}_{44} \widehat{x}_{55} \cdots$

It cannot appear anywhere on the list!

## Proof that $[0,1)$ is not countable

Suppose, for a contradiction, that there is a list of them:


If diagonal element is $0 . x_{11} x_{22} x_{33} x_{44} x_{55} \cdots$ then let's call the flipped number $0 . \widehat{x}_{11} \widehat{x}_{22} \widehat{x}_{33} \widehat{x}_{44} \widehat{x}_{55} \cdots$

It cannot appear anywhere on the list!

## Proof that $[0,1)$ is not countable

Suppose, for a contradiction, that there is a list of them:


So the list is incomplete, which is a contradiction.
Thus the real numbers between 0 and 1 are not countable: "uncountable"

The set of all functions $f: \mathbb{N} \rightarrow\{0, \ldots, 9\}$ is uncountable

The set of all functions $f: \mathbb{N} \rightarrow\{0, \ldots, 9\}$ is uncountable
Supposed listing of all the functions:

|  | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9 | $\ldots$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{f}_{1}$ | 5 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | $\ldots$ | $\ldots$ |
| $\mathrm{f}_{2}$ | 3 | 3 | 3 | 3 | 3 | 3 | 3 | 3 | $\ldots$ | $\ldots$ |
| $\mathrm{f}_{3}$ | 1 | 4 | 2 | 8 | 5 | 7 | 1 | 4 | $\ldots$ | $\ldots$ |
| $\mathrm{f}_{4}$ | 1 | 4 | 1 | 5 | 9 | 2 | 6 | 5 | $\ldots$ | $\ldots$ |
| $\mathrm{f}_{5}$ | 1 | 2 | 1 | 2 | 2 | 1 | 2 | 2 | $\ldots$ | $\ldots$ |
| $\mathrm{f}_{6}$ | 2 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | $\ldots$ | $\ldots$ |
| $\mathrm{f}_{7}$ | 7 | 1 | 8 | 2 | 8 | 1 | 8 | 2 | $\ldots$ | $\ldots$ |
| $\mathrm{f}_{8}$ | 6 | 1 | 8 | 0 | 3 | 3 | 9 | 4 | $\ldots$ | $\ldots$ |
| $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$. | $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ | $\ldots$ |  |

The set of all functions $f: \mathbb{N} \rightarrow\{0, \ldots, 9\}$ is uncountable
Supposed listing of all the functions:

| $\mathrm{f}_{1}$ $\mathrm{f}_{2}$ | 1 5 3 | 2 0 3 | 0 3 | 4 0 3 | Flipping rule:If $f_{n}(n)=5$, set $D(n)=1$If $f_{n}(n) \neq 5$, set $D(n)=5$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{f}_{3}$ | 1 | 4 | $2^{5}$ | 8 | 5 | 7 | 1 | 4 | ... | ... |
| $\mathrm{f}_{4}$ | 1 | 4 | 1 | $5^{1}$ | 9 | 2 | 6 | 5 | ... | ... |
| $\mathrm{f}_{5}$ | 1 | 2 | 1 | 2 | $2^{5}$ | 1 | 2 | 2 | ... | ... |
| $\mathrm{f}_{6}$ | 2 | 5 | 0 | 0 | 0 | $0^{5}$ | 0 | 0 | ... | ... |
| $\mathrm{f}_{7}$ | 7 | 1 | 8 | 2 | 8 | 1 | $8^{5}$ | 2 | ... | ... |
| $\mathrm{f}_{8}$ | 6 | 1 | 8 | 0 | 3 | 3 | 9 | $4^{5}$ | ... | ... |
| ... | ... | $\ldots$ | ... | $\cdots$ | ... | ... | ... | ... | ... |  |

## The set of all functions $f: \mathbb{N} \rightarrow\{0, \ldots, 9\}$ is uncountable

Supposed listing of all the functions:

| $\mathrm{f}_{1}$ $\mathrm{f}_{2}$ | 1 5 3 | 2 0 3 | 3 0 3 | 4 0 3 | Flipping rule:$\begin{aligned} & \text { If } f_{n}(n)=5 \text {, set } D(n)=1 \\ & \text { If } f_{n}(n) \neq 5 \text {, set } D(n)=5 \end{aligned}$ |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $\mathrm{f}_{3}$ | 1 | 4 | $2^{5}$ | 8 | 5 | 7 | 1 | 4 | ... | ... |
| $\mathrm{f}_{4}$ | 1 | 4 | 1 | $5^{1}$ | 9 | 2 | 6 | 5 | ... | ... |
| $\mathrm{f}_{5}$ | 1 | 2 | 1 | 2 | $2^{5}$ | 1 | 2 | 2 | ... | ... |
| $\mathrm{f}_{6}$ | 2 | 5 | 0 | 0 | 0 | 0 | 0 | 0 | ... | ... |
| $\mathrm{f}_{7}$ | 7 | 1 | 8 | 2 | 8 | 1 | 8 | 2 |  | ... |

For all $n$, we have $D(n) \neq f_{n}(n)$. Therefore $D \neq f_{n}$ for any $n$ and the list is incomplete! $\Rightarrow\{\boldsymbol{f} \mid \boldsymbol{f}: \mathbb{N} \rightarrow\{0,1, \ldots, 9\}\}$ is not countable

## Uncomputable functions

We have seen that:

- The set of all (Java) programs is countable
- The set of all functions $f: \mathbb{N} \rightarrow\{0, \ldots, 9\}$ is not countable

So: There must be some function $f: \mathbb{N} \rightarrow\{0, \ldots, 9\}$ that is not computable by any program!

## Recall our language picture



## Uncomputable functions

Interesting... maybe.

Can we come up with an explicit function that is uncomputable?

## Last time: Countable sets

A set $S$ is countable iff we can order the elements of $S$ as

$$
S=\left\{x_{1}, x_{2}, x_{3}, \ldots\right\}
$$

Countable sets:
$\mathbb{N}$ - the natural numbers
$\mathbb{Z}$ - the integers
$\mathbb{Q}$ - the rationals
$\Sigma^{*}$ - the strings over any finite $\Sigma$
Shown
by

The set of all Java programs

## Last time: Not every set is countable

Theorem [Cantor]:
The set of real numbers between 0 and 1 is not countable.

Proof using "diagonalization".

## A note on this proof

- The set of rational numbers in $[0,1)$ also have decimal representations like this
- The only difference is that rational numbers always have repeating decimals in their expansions 0.33333... or .25000000...
- So why wouldn't the same proof show that this set of rational numbers is uncountable?
- Given any listing we could create the flipped diagonal number $d$ as before
- However, $d$ would not have a repeating decimal expansion and so wouldn't be a rational \#
It would not be a "missing" number, so no contradiction.


## Uncomputable functions

We have seen that:

- The set of all (Java) programs is countable
- The set of all functions $f: \mathbb{N} \rightarrow\{0, \ldots, 9\}$ is not countable

So: There must be some function $f: \mathbb{N} \rightarrow\{0, \ldots, 9\}$ that is not computable by any program!

## Recall our language picture



## Uncomputable functions

Interesting... maybe.

Can we produce an explicit function that is uncomputable?

## A "Simple" Program

public static void collatz(n) \{ ..... 11
if ( $\mathrm{n}==1$ ) \{ ..... 34
return 1; ..... 17
\}if (n \% 2 == 0) \{return collatz(n/2)522613
\}
else \{ 40 return collatz(3*n + 1)20
\}10
\}
What does this program do? 8
... on $n=11$ ? 4
... on $n=10000000000000000001$ ?2

## A "Simple" Program

```
public static void collatz(n) {
    if (n == 1) {
        return 1;
    }
    if (n % 2 == 0) {
        return collatz(n/2)
    }
    else {
        return collatz(3*n + 1)
    }
}
```

Nobody knows whether or not this program halts on all inputs!
What does this program do?
... on $n=11$ ?
... on $n=10000000000000000001$ ?

## Some Notation

## We're going to be talking about Java code.

## CODE ( P ) will mean "the code of the program P "

So, consider the following function:
public String $\mathrm{P}($ String x$)$ \{
return new String(Arrays.sort(x.toCharArray());
\}
What is $\mathrm{P}(\operatorname{CODE}(\mathrm{P}))$ ?
"((())))..,;AACPSSaaabceeggghiiiilnnnnnooprrrrrrrrrrrsssttttttuuwxxyy\{\}"

## The Halting Problem

$\operatorname{CODE}(\mathrm{P})$ means "the code of the program P "

## The Halting Problem <br> Given: - $\operatorname{CODE}(\mathbf{P})$ for any program $\mathbf{P}$ - input $\mathbf{x}$ <br> Output: true if $\mathbf{P}$ halts on input $\mathbf{x}$ false if $\mathbf{P}$ does not halt on input $\mathbf{x}$

## Undecidability of the Halting Problem

$\operatorname{CODE}(\mathrm{P})$ means "the code of the program P "

```
The Halting Problem
Given: - CODE(P) for any program P
    - input x
Output: true if \(\mathbf{P}\) halts on input \(\mathbf{x}\) false if \(\mathbf{P}\) does not halt on input \(\mathbf{x}\)
```

Theorem [Turing]: There is no program that solves the Halting Problem

## Proof by contradiction

Suppose that H is a Java program that solves the Halting problem.

## Proof by contradiction

## Suppose that H is a Java program that solves the

 Halting problem.Then we can write this program:

```
public static void D(String s) {
    if (H(s,s)) {
        while (true); // don't halt
    } else {
        return; // halt
    }
}
public static bool H(String s, String x) { ... }
```

Does D(CODE (D) ) halt?

## Does D(CODE(D)) halt?

```
public static void D(s) {
    if (H(s,s)) {
        while (true); // don't halt
    } else {
        return; // halt
        }
    }
```


## Does D(CODE (D) ) halt?

```
public static void D(s) {
    if (H(s,s)) {
        while (true); // don't halt
    } else {
        return;
                            // halt
    }
}
```

H solves the halting problem implies that $H(\operatorname{CODE}(\mathrm{D}), s)$ is true iff $\mathrm{D}(\mathrm{s})$ halts, $\mathrm{H}(\operatorname{CODE}(\mathrm{D}), s)$ is false iff not

## Does D(CODE (D) ) halt?

```
public static void D(s) {
    if (H(s,s)) {
        while (true); // don't halt
    } else {
        return;
                            // halt
    }
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    if (H(s,s)) {
        while (true); // don't halt
    } else {
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                            // halt
    }
}
```

H solves the halting problem implies that
$H(\operatorname{CODE}(\mathrm{D}), s)$ is true iff $\mathrm{D}(\mathrm{s})$ halts, $\mathrm{H}(\operatorname{CODE}(\mathrm{D}), s)$ is false iff not
Suppose that $\mathrm{D}(\operatorname{CODE}(\mathrm{D}))$ halts.
Then, by definition of H it must be that $H(\operatorname{CODE}(\mathrm{D}), \operatorname{CODE}(\mathrm{D}))$ is true
Which by the definition of $D$ means $D(\operatorname{CODE}(\mathrm{D})$ ) doesn't halt

## Does D(CODE(D)) halt?

```
public static void D(s) {
    if (H(s,s)) {
        while (true); // don't halt
    } else {
        return;
                            // halt
    }
}
```

H solves the halting problem implies that
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## Does D(CODE (D) ) halt?

```
public static void D(s) {
    if (H(s,s)) {
        while (true); // don't halt
    } else {
        return;
                            // halt
    }
}
```

H solves the halting problem implies that
$H(\operatorname{CODE}(\mathrm{D}), s)$ is true iff $\mathrm{D}(\mathrm{s})$ halts, $\mathrm{H}(\operatorname{CODE}(\mathrm{D}), s)$ is false iff not
Suppose that $\mathrm{D}(\operatorname{CODE}(\mathrm{D}))$ halts.
Then, by definition of H it must be that $H(\operatorname{CODE}(\mathrm{D}), \operatorname{CODE}(\mathrm{D}))$ is true
Which by the definition of $D$ means $D(\operatorname{CODE}(\mathrm{D})$ ) doesn't halt
Suppose that D (CODE ( D$)$ ) doesn't halt.
Then, by definition of $\mathbf{H}$ it must be that $H(\operatorname{CODE}(\mathrm{D}), \operatorname{CODE}(\mathrm{D}))$ is false
Which by the definition of $D$ means $D(C O D E(D))$ halts

## Does D(CODE(D)) halt?

```
public static void D(s) {
    if (H(s,s)) {
        while (true); // don't halt
    } else {
        return;
    }
}
```

H solves the halting problem implies that $H(\operatorname{CODE}(\mathrm{D}), \mathrm{s})$ is true iff $\mathrm{D}(\mathrm{s})$ halts, $\mathrm{H}(\operatorname{CODE}(\mathrm{D}$

Suppose that $\mathrm{D}(\operatorname{CODE}(\mathrm{D}))$ halts.
Then, by definition of H it mud that the prave been
Which by the defi inption imption must(CODE (D) ) doesn't halt
Suppose th oNN ass assumpesn't halt. Th The ${ }^{\mathrm{O}}$ So that H it must be that


Wh ery the definition of $D$ means $D(\operatorname{CODE}(\mathrm{D})$ ) halts

## Done

- We proved that there is no computer program that can solve the Halting Problem.
- There was nothing special about Java*
[Church-Turing thesis]

- This tells us that there is no compiler that can check our programs and guarantee to find any infinite loops they might have.


## Terminology

- With state machines, we say that a machine
"recognizes" the language L iff
- it accepts $x \in \Sigma^{*}$ if $x \in L$
- it rejects $x \in \Sigma^{*}$ if $x \notin L$
- With Java programs / general computation, we say that the computer "decides" the language Liff
- it halts with output 1 on input $x \in \Sigma^{*}$ if $x \in L$
- it halts with output 0 on input $x \in \Sigma^{*}$ if $x \notin L$ (difference is the possibility that machine doesn't halt)
- If no machine decides $L$, then $L$ is "undecidable"


## Where did the idea for creating D come from?

```
public static void D(s)
    if (H(s,s) == true) {
        while (true); // don't halt
    } else {
        return; // halt
    }
}
```

D halts on input code(P) iff $H(\operatorname{code}(P), \operatorname{code}(P))$ outputs false iff $P$ doesn't halt on input code( $P$ )

Connection to diagonalization
Write < P> for CODE(P)
$\left\langle P_{1}\right\rangle\left\langle P_{2}\right\rangle\left\langle P_{3}\right\rangle\left\langle P_{4}\right\rangle\left\langle P_{5}\right\rangle\left\langle P_{6}\right\rangle \ldots$ Some possible inputs $\mathbf{x}$

This listing of all programs really does exist since the set of all Java programs is countable

The goal of this "diagonal" argument is not to show that the listing is incomplete but rather to show that a "flipped" diagonal element is not in the listing

Connection to diagonalization


Connection to diagonalization


## Where did the idea for creating D come from?

```
public static void D(s) {
    if (H(s,s) == true) {
        while (true); /* don't halt */
    }
    else {
        return; /* halt */
    }
}
```

D halts on input code(P) iff $H(\operatorname{code}(P), \operatorname{code}(P))$ outputs false iff $P$ doesn't halt on input code( P )

Therefore, for any program P, D differs from $P$ on input code(P)

## The Halting Problem isn't the only hard problem

- Can use the fact that the Halting Problem is undecidable to show that other problems are undecidable

General method (a "reduction"):
Prove that, if there were a program deciding $B$, then there would be a program deciding the Halting Problem.
"B decidable $\rightarrow$ Halting Problem decidable" Contrapositive:
"Halting Problem undecidable $\rightarrow \mathrm{B}$ undecidable"
Therefore, $B$ is undecidable

## A CSE 142 assignment

Students should write a Java program that:

- Prints "Hello" to the console
- Eventually exits

Gradelt, Practicelt, etc. need to grade these How do we write that grading program?

WE CAN'T: THIS IS IMPOSSIBLE:

## Another undecidable problem

- CSE 142 Grading problem:
- Input: CODE(Q)
- Output:

True if $\mathbf{Q}$ outputs "HELLO" and exits
False if $\mathbf{Q}$ does not do that

- Theorem: The CSE 142 Grading is undecidable.
- Proof idea: Show that, if there is a program T to decide CSE 142 grading, then there is a program H to decide the Halting Problem for code( P ) and input x .


## Another undecidable problem

Theorem: The CSE 142 Grading is undecidable.

Proof: Suppose there is a program T that decide CSE 142 grading problem. Then, there is a program H to decide the Halting Problem for code $(P)$ and input $x$ by

- transform $P$ (with input $x$ ) into the following program $Q$


## Another undecidable problem

```
public class Q {
    private static String x = "...";
    public static void main(String[] args) {
        PrintStream out = System.out;
        System.setOut(new PrintStreamC
            new WriterOutputStream(new StringWriter()));
        System.setIn(new ReaderInputStream(new StringReader(x)));
        P.main(args);
        out.println("HELLO");
    }
}
class P {
    public static void main(String[] args) { ... }
}
```


## Another undecidable problem

Theorem: The CSE 142 Grading is undecidable.

Proof: Suppose there is a program T that decide CSE 142 grading problem. Then, there is a program H to decide the Halting Problem for code $(P)$ and input $x$ by

- transform $P$ (with input $x$ ) into the following program $Q$
- run T on code( Q )
- if it returns true, then $P$ halted must halt in order to print "HELLO"
- if it returns false, then P did not halt
program Q can't output anything other than "HELLO"


## More Reductions

- Can use undecidability of these problems to show that other problems are undecidable.
- For instance:
$\operatorname{EQUIV}(P, Q)$ : True if $P(x)$ and $Q(x)$ have the same behavior for every input $x$
False otherwise


## Rice's theorem

Not every problem on programs is undecidable!
Which of these is decidable?

- Input CODE (P) and $x$

Output: true if P prints "ERROR" on input $x$ after less than 100 steps false otherwise

- Input CODE (P) and $x$

Output: true if P prints "ERROR" on input $x$ after more than 100 steps
false otherwise

## Rice's Theorem:

Any "non-trivial" property of the input-output behavior of Java programs is undecidable.

## Rice's theorem

Not every problem on programs is undecidable!
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- Input CODE (P) and $x$

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false otherwise
Rice's Theorem (a.k.a. Compilers ARE DIFFICULT
Any "non-trivial" property of the input-output behavior of Java programs is undecidable.

## CFGs are complicated

We know can answer almost any question about REs

- Do two RegExps recognize the same language?

But many problems about CFGs are undecidable!

- Do two CFGs generate the same language?
- Is there any string that two CFGs both generate?
- more general: "CFG intersection" problem
- Does a CFG generate every string?


## Takeaway from undecidability

- You can't rely on the idea of improved compilers and programming languages to eliminate all programming errors
- truly safe languages can't possibly do general computation
- Document your code
- there is no way you can expect someone else to figure out what your program does with just your code; since in general it is provably impossible to do this!


## UW CSE's Steam-Powered Turing Machine



Original in Sieg Hall stairwell

