

# CSE 311: Foundations of Computing

---

## Topic 9: Languages



# **Theoretical Computer Science**

# Strings

---

- An *alphabet*  $\Sigma$  is any finite set of characters
- The set  $\Sigma^*$  of *strings* over the alphabet  $\Sigma$ 
  - example:  $\{0,1\}^*$  is the set of *binary strings*  
0, 1, 00, 01, 10, 11, 000, 001, ... and ""
- $\Sigma^*$  is defined recursively by
  - **Basis:**  $\varepsilon \in \Sigma^*$  ( $\varepsilon$  is the empty string, i.e., "")
  - **Recursive:** if  $w \in \Sigma^*$ ,  $a \in \Sigma$ , then  $wa \in \Sigma^*$

# Languages: Sets of Strings

---

- Subsets of strings are called *languages*
- Examples:
  - $\Sigma^*$  = All strings over alphabet  $\Sigma$
  - Palindromes over  $\Sigma$
  - Binary strings that don't have a 0 after a 1
  - Binary strings with an equal # of 0's and 1's
  - Legal variable names in Java/C/C++
  - Syntactically correct Java/C/C++ programs
  - Valid English sentences

## Foreword on Intro to Theory C.S.

---

- Look at different ways of defining languages
- See which are more **expressive** than others
  - i.e., which can define more languages
- Later: connect ways of defining languages to different types of (restricted) computers
  - computers capable of **recognizing** those languages  
i.e., distinguishing strings in the language from not
- Consequence: computers that recognize more expressive languages are more **powerful**

# Palindromes

---

Palindromes are strings that are the same when read backwards and forwards

## **Basis:**

$\varepsilon$  is a palindrome

any  $a \in \Sigma$  is a palindrome

## **Recursive step:**

If  $p$  is a palindrome,

then  $apa$  is a palindrome for every  $a \in \Sigma$

# Regular Expressions

---

## Regular expressions over $\Sigma$

- **Basis:**

$\epsilon$  is a regular expression (could also include  $\emptyset$ )

$a$  is a regular expression for any  $a \in \Sigma$

- **Recursive step:**

If **A** and **B** are regular expressions, then so are:

**$A \cup B$**

**$AB$**

**$A^*$**

# Each Regular Expression is a “pattern”

---

$\epsilon$  matches only the **empty string**

$a$  matches only the one-character string  $a$

$A \cup B$  matches all strings that either  $A$  matches or  $B$  matches (or both)

$AB$  matches all strings that have a first part that  $A$  matches followed by a second part that  $B$  matches

$A^*$  matches all strings that have any number of strings (even 0) that  $A$  matches, one after another ( $\epsilon \cup A \cup AA \cup AAA \cup \dots$ )

Definition of the *language*  
matched by a regular expression



# Language of a Regular Expression

---

The language defined by a regular expression:

$$L(\varepsilon) = \{\varepsilon\}$$

$$L(a) = \{a\}$$

$$L(A \cup B) = L(A) \cup L(B)$$

$$L(AB) = \{x : \exists y \in L(A), \exists z \in L(B) (x = y \cdot z)\}$$

$$L(A^*) = \bigcup_{n=0}^{\infty} L(A^n)$$

$A^n$  defined recursively by

$$A^0 = \emptyset$$

$$A^{n+1} = A^n A$$

# Examples

---

**$001^*$**

**$0^*1^*$**

# Examples

---

**$001^*$**

{00, 001, 0011, 00111, ...}

**$0^*1^*$**

Any number of 0's followed by any number of 1's

# Examples

---

$(0 \cup 1) 0 (0 \cup 1) 0$

$(0^*1^*)^*$

# Examples

---

$(0 \cup 1) 0 (0 \cup 1) 0$

{0000, 0010, 1000, 1010}

$(0^*1^*)^*$

All binary strings

# Examples

---

- All binary strings that contain 0110

$(0 \cup 1)^* 0110 (0 \cup 1)^*$

- All binary strings that begin with a string of doubled characters (00 or 11) followed by 01010 or 10001

$(00 \cup 11)^* (01010 \cup 10001) (0 \cup 1)^*$

# Examples

---

- All binary strings that have an even # of **1**'s

e.g.,  $0^*(10^*10^*)^*$

- All binary strings that *don't* contain **101**

e.g.,  $0^*(1 \cup 1000^*)^*(\epsilon \cup 10)$

at least two 0s between 1s

# Finite languages vs Regular Expressions

---

- All finite languages have a regular expression.

(a language is finite if its elements can be put into a list)

Why?

- Given a list of strings  $s_1, s_2, \dots, s_n$

Construct the regular expression

$$s_1 \cup s_2 \cup \dots \cup s_n$$

(Could make this formal by induction on n)



# Finite languages vs Regular Expressions

---

- Every regular expression that does not use  $*$  generates a finite language.

Why?

- Prove by structural induction on the syntax of regular expressions!

# Star-free implies finite

---

Let  $A$  be a regular expression that does not use  $*$ . Then  $L(A)$  is finite.

*Proof:* We proceed by structural induction on  $A$ .

Case  $\varepsilon$ :  $L(\varepsilon) = \{\varepsilon\}$ , which is finite

Case  $a$ :  $L(a) = \{a\}$ , which is finite

Case  $A \cup B$ :

$$L(A \cup B) = L(A) \cup L(B)$$

By the IH, each is finite, so their union is finite.

# Star-free implies finite

---

Let  $A$  be a regular expression that does not use  $*$ . Then  $L(A)$  is finite.

*Proof:* We proceed by structural induction on  $A$ .

**Case  $AB$ :**

$$L(AB) = \{x : \exists y \in L(A), \exists z \in L(B) (x = y \cdot z)\}$$

**By the IH,  $L(A)$  and  $L(B)$  are finite.**

**Every element of  $L(AB)$  is covered by a pair  $(y, z)$  where  $y \in L(A)$  and  $z \in L(B)$ , so  $L(AB)$  is finite.**

(No case for  $A^*$ !)

# Finite languages vs Regular Expressions

---

## Key takeaways:

- Regular expressions can represent all finite languages
- To prove a language is represented by a regular expression, just describe the regular expression.
- Regular expressions are more powerful than finite languages (e.g.,  $0^*$  is an infinite language)
- To prove something about *all* regular expressions, use structural induction on the syntax.

# Regular Expressions in Practice

---

- Used to define the “tokens”: e.g., legal variable names, keywords in programming languages and compilers
- Used in **grep**, a program that does pattern matching searches in UNIX/LINUX
- Pattern matching using regular expressions is an essential feature of PHP
- We can use regular expressions in programs to process strings!

# Regular Expressions in Java

---

- `Pattern p = Pattern.compile("a*b");`
- `Matcher m = p.matcher("aaaaab");`
- `boolean b = m.matches();`

`[01]` a 0 or a 1    `^` start of string    `$` end of string

`[0-9]` any single digit    `\.` period    `\,` comma    `\-` minus

`.` any single character

`ab` a followed by b                    **(AB)**

`(a|b)` a or b                            **(A ∪ B)**

`a?` zero or one of a                    **(A ∪ ε)**

`a*` zero or more of a                    **A\***

`a+` one or more of a                    **AA\***

- e.g. `^[\\-+]?[0-9]*\\.([\\-+]?[0-9]+)`

General form of decimal number e.g. 9.12 or -9,8 (Europe)

# Limitations of Regular Expressions

---

- **Not all languages can be specified by regular expressions**
- **Even some easy things like**
  - Palindromes
  - Strings with equal number of 0's and 1's
- **But also more complicated structures in programming languages**
  - Matched parentheses
  - Properly formed arithmetic expressions
  - etc.

# Context-Free Grammars

---

- A Context-Free Grammar (CFG) is given by a finite set of substitution rules involving
  - A finite set  $\mathbf{V}$  of *variables* that can be replaced
  - Alphabet  $\Sigma$  of *terminal symbols* that can't be replaced
  - One variable, usually  $\mathbf{S}$ , is called the *start symbol*
- The substitution rules involving a variable  $\mathbf{A}$ , written as

$$\mathbf{A} \rightarrow w_1 \mid w_2 \mid \cdots \mid w_k$$

where each  $w_i$  is a string of variables and terminals

- that is  $w_i \in (\mathbf{V} \cup \Sigma)^*$



# How CFGs generate strings

---

- Begin with start symbol **S**
- If there is some variable **A** in the current string you can replace it by one of the  $w$ 's in the rules for **A**
  - $A \rightarrow w_1 \mid w_2 \mid \dots \mid w_k$
  - Write this as  $xAy \Rightarrow xwy$
  - Repeat until no variables left
- The set of strings the CFG describes are all strings, containing no variables, that can be *generated* in this manner (after a finite number of steps)

## Example Context-Free Grammars

---

**Example:**      $S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

## Example Context-Free Grammars

---

**Example:**  $S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

The set of all binary palindromes

## Example Context-Free Grammars

---

**Example:**  $S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

The set of all binary palindromes

**Example:**  $S \rightarrow 0S \mid S1 \mid \varepsilon$

## Example Context-Free Grammars

---

**Example:**  $S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

The set of all binary palindromes

**Example:**  $S \rightarrow 0S \mid S1 \mid \varepsilon$

$0^*1^*$

# Example Context-Free Grammars

---

**Grammar for  $\{0^n 1^n : n \geq 0\}$**

(i.e., matching  $0^*1^*$  but with same number of 0's and 1's)

# Example Context-Free Grammars

---

Grammar for  $\{0^n 1^n : n \geq 0\}$

(i.e., matching  $0^*1^*$  but with same number of 0's and 1's)

$$S \rightarrow 0S1 \mid \varepsilon$$

# Example Context-Free Grammars

---

Grammar for  $\{0^n 1^n : n \geq 0\}$

(i.e., matching  $0^*1^*$  but with same number of 0's and 1's)

$$S \rightarrow 0S1 \mid \varepsilon$$

Grammar for  $\{0^n 1^{2n} : n \geq 0\}$



# Example Context-Free Grammars

---

Grammar for  $\{0^n 1^n : n \geq 0\}$

(i.e., matching  $0^*1^*$  but with same number of 0's and 1's)

$$S \rightarrow 0S1 \mid \varepsilon$$

Grammar for  $\{0^n 1^{2n} : n \geq 0\}$

$$S \rightarrow 0S11 \mid \varepsilon$$

# Example Context-Free Grammars

---

Grammar for  $\{0^n 1^n : n \geq 0\}$

(i.e., matching  $0^*1^*$  but with same number of 0's and 1's)

$$S \rightarrow 0S1 \mid \varepsilon$$

Grammar for  $\{0^n 1^{n+1} 0 : n \geq 0\}$

# Example Context-Free Grammars

---

Grammar for  $\{0^n 1^n : n \geq 0\}$

(i.e., matching  $0^*1^*$  but with same number of 0's and 1's)

$$S \rightarrow 0S1 \mid \varepsilon$$

Grammar for  $\{0^n 1^{n+1} 0 : n \geq 0\}$

$$S \rightarrow A10$$

$$A \rightarrow 0A1 \mid \varepsilon$$

## Example Context-Free Grammars

---

Example:  $S \rightarrow (S) \mid SS \mid \varepsilon$

## Example Context-Free Grammars

---

Example:  $S \rightarrow (S) \mid SS \mid \varepsilon$

The set of all strings of matched parentheses

# Example Context-Free Grammars

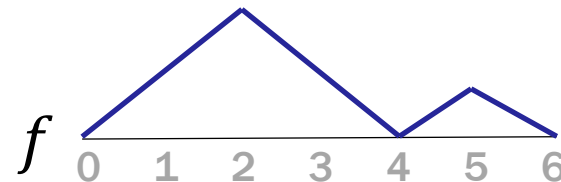
---

Example:  $S \rightarrow (S) \mid SS \mid \varepsilon$

The set of all strings of matched parentheses

Suppose  $S$  generates  $x$ . Define  $f(k)$  to be number of “(”s - “)”s in first  $k$  characters of  $x$

E.g., for  $x = (())(()$



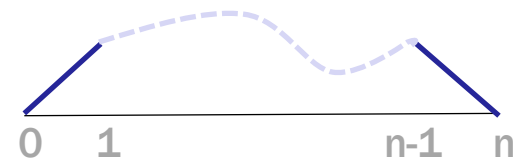
# Example Context-Free Grammars

---

Three possibilities for  $f(k)$  for  $k \in \{1, \dots, n - 1\}$

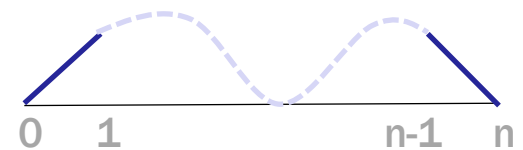
- $f(k) > 0$  for all such  $k$

$$\mathbf{S} \rightarrow (\mathbf{S})$$



- $f(k) = 0$  for some such  $k$

$$\mathbf{S} \rightarrow \mathbf{SS}$$



# Example Context-Free Grammars

---

Binary strings with equal numbers of 0s and 1s  
(not just  $0^n1^n$ , also 0101, 0110, etc.)

$$S \rightarrow SS \mid 0S1 \mid 1S0 \mid \varepsilon$$



# Example Context-Free Grammars

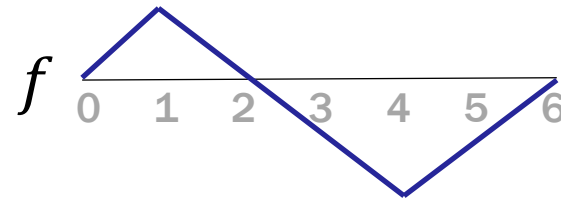
---

Binary strings with equal numbers of 0s and 1s  
(not just  $0^n1^n$ , also 0101, 0110, etc.)

$$S \rightarrow SS \mid 0S1 \mid 1S0 \mid \varepsilon$$

Suppose  $S$  generates  $x$ . Define  $f(k)$  to be #0s – #1s in the first  $k$  characters of  $x$ .

E.g., for  $x = 011100$



# Example Context-Free Grammars

---

Binary strings with equal numbers of 0s and 1s  
(not just  $0^n1^n$ , also 0101, 0110, etc.)

$$S \rightarrow SS \mid 0S1 \mid 1S0 \mid \varepsilon$$

Suppose  $S$  generates  $x$ . Define  $f(k)$  to be #0s - #1s  
in the first  $k$  characters of  $x$ .

If  $k$ -th character is 0, then  $f(k) = f(k - 1) + 1$

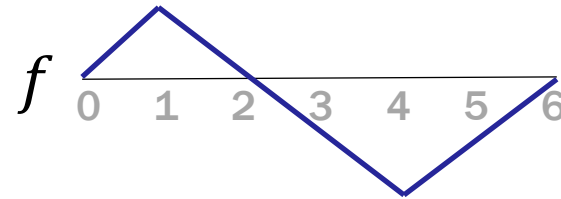
If  $k$ -th character is 1, then  $f(k) = f(k - 1) - 1$

# Example Context-Free Grammars

---

Let  $x \in (0 \cup 1)^*$ . Define  $f_x(k)$  to be the number 0s minus the number of 1s in the  $k$  characters of  $x$ .

E.g., for  $x = 011100$



$f(k) = 0$  when first  $k$  characters have #0s = #1s

– starts out at 0

$$f(0) = 0$$

– ends at 0

$$f(n) = 0$$

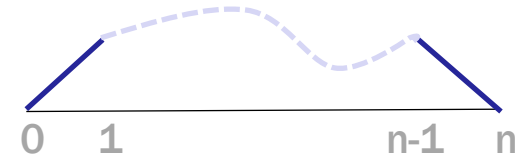
# Example Context-Free Grammars

---

Three possibilities for  $f(k)$  for  $k \in \{1, \dots, n-1\}$

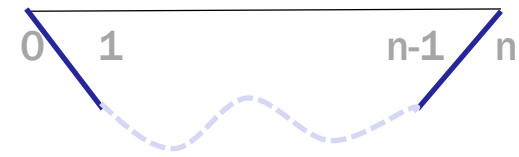
- $f(k) > 0$  for all such  $k$

$$\mathbf{S} \rightarrow \mathbf{0S1}$$



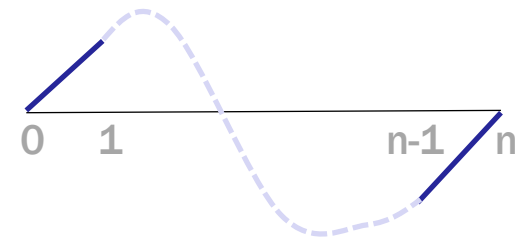
- $f(k) < 0$  for all such  $k$

$$\mathbf{S} \rightarrow \mathbf{1S0}$$



- $f(k) = 0$  for some such  $k$

$$\mathbf{S} \rightarrow \mathbf{SS}$$



# Simple Arithmetic Expressions

---

$E \rightarrow E + E \mid E * E \mid (E) \mid x \mid y \mid z \mid 0 \mid 1 \mid 2 \mid 3 \mid 4$   
 $\mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

Generate  $(2 * x) + y$

# Simple Arithmetic Expressions

---

$E \rightarrow E + E \mid E * E \mid (E) \mid x \mid y \mid z \mid 0 \mid 1 \mid 2 \mid 3 \mid 4$   
 $\mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

Generate  $(2 * x) + y$

$E \Rightarrow E + E \Rightarrow (E) + E \Rightarrow (E * E) + E \Rightarrow (2 * E) + E \Rightarrow (2 * x) + E \Rightarrow (2 * x) + y$

# Parse Trees

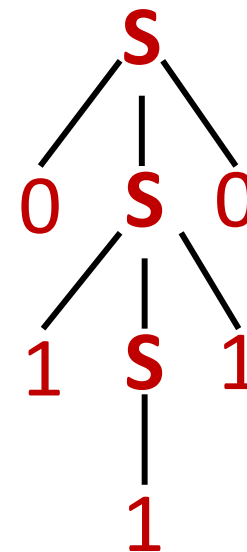
---

Suppose that grammar  $G$  generates a string  $x$

- A *parse tree* of  $x$  for  $G$  has
  - Root labeled  $S$  (start symbol of  $G$ )
  - The children of any node labeled  $A$  are labeled by symbols of  $w$  left-to-right for some rule  $A \rightarrow w$
  - The symbols of  $x$  label the leaves ordered left-to-right

$$S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$$

Parse tree of  $01110$



# Two ways to Define Binary Palindromes

---

## Recursively-Defined Set

### **Basis:**

$\varepsilon$  is a palindrome

any  $a \in \{0, 1\}$  is a palindrome

### **Recursive step:**

If  $p$  is a palindrome,

then  $apa$  is a palindrome for every  $a \in \{0, 1\}$

Grammar

$S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$



# CFGs and recursively-defined sets of strings

---

- A CFG with the start symbol **S** as its *only* variable recursively defines the set of strings of terminals that **S** can generate
- A CFG with more than one variable is a simultaneous recursive definition of the sets of strings generated by *each* of its variables
  - sometimes necessary to use more than one

# CFGs and Regular Expressions

---

**Theorem:** For any set of strings (language)  $A$  described by a regular expression, there is a CFG that recognizes  $A$ .

**Proof idea:**

$P(A)$  is “ $A$  is recognized by some CFG”

Structural induction based on the recursive definition of regular expressions...

# Regular Expressions over $\Sigma$

---

- **Basis:**
  - $\epsilon$  is a regular expression
  - $a$  is a regular expression for any  $a \in \Sigma$
- **Recursive step:**
  - If **A** and **B** are regular expressions then so are:
    - $A \cup B$
    - $AB$
    - $A^*$

# CFGs are more general than REs

---

- CFG to match RE  $\epsilon$

$$S \rightarrow \epsilon$$

- CFG to match RE  $a$  (for any  $a \in \Sigma$ )

$$S \rightarrow a$$

# CFGs are more general than REs

---

Suppose CFG with start symbol  $S_1$  matches RE **A**

CFG with start symbol  $S_2$  matches RE **B**

- CFG to match RE **A**  $\cup$  **B**

$S \rightarrow S_1 \mid S_2$  + rules from original CFGs

- CFG to match RE **AB**

$S \rightarrow S_1 S_2$  + rules from original CFGs

# CFGs are more general than REs

---

Suppose CFG with start symbol  $S_1$  matches RE  $A$

- CFG to match RE  $A^*$  ( $= \varepsilon \cup A \cup AA \cup AAA \cup \dots$ )

$S \rightarrow S_1 S \mid \varepsilon$

+ rules from CFG with  $S_1$