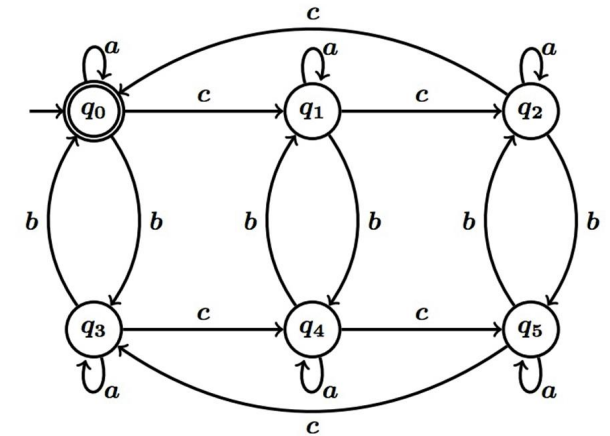


Me: Can I have  
**automata**?



CS: We have  
**automata**  
at home.



# Nondeterministic Finite Automata (NFA)

CSE 311: Foundations of Computing I  
Lecture 22

# Announcements

- HW7 due Wednesday, 8/16 at 11:59 pm on Grin
  - A demo video of how to use Grin is linked in the HW writeup
  - No Late Days permitted
- Midterm solutions are at the front

# Final Exam

- All information posted on Exams page of the course website.
- Final is in two parts:
  - **Part 1:** Thursday, August 17<sup>th</sup> in section Thursday (1 hour)  
Covers Lecture 1 – Lecture 16 (includes Strong Induction & Contradiction)
  - **Part 2:** Friday, August 18<sup>th</sup> in class Friday (1 hour)  
Covers Lecture 17 – Lecture 24
- Closed note, closed book. Same 3 reference sheets will be provided as the midterm.
- One practice final and solutions are posted
- Optional review session this Tuesday, August 15<sup>th</sup> from 3:00 – 4:20pm in DEM 104. Will be recorded on Panopto.

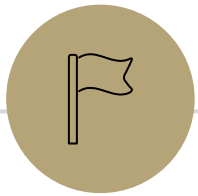
# Final Exam Problem Types

## Part 1:

- **General Concepts** – 1 or 2 true/false, multiple choice, or short-response problems.
- **Induction Proof** – 1 strong or weak induction proof
- **Other Proof** – 1 other proof (e.g. direct, contrapositive, cases, contradiction, biconditional)

## Part 2:

- **General Concepts** – 1 or 2 true/false, multiple choice, or short-response problems.
- **Structural Induction Proof** – 1 structural induction & recursively-defined sets problem
- **Models of Computation** – 1 constructing Regular Expressions, CFGs, DFAs, and/or NFAs problem



# Nondeterministic Finite Automata

NFAs

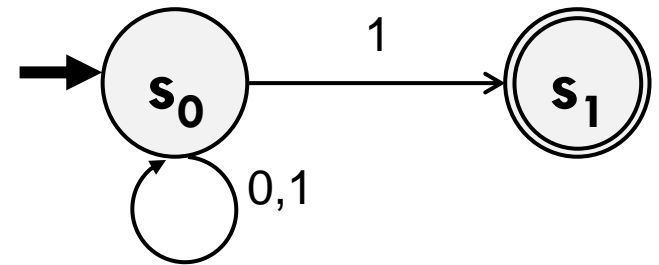
# Review

- We have discussed two classes of languages: Regular Languages and Context Free Languages.
- We have discussed one model of computation: Deterministic Finite Automata
  - DFAs read in strings and accept or reject them. Each DFA recognizes a language.
- We (informally) observed that DFAs are limited
  - For example, DFAs cannot recognize the language  $\{0^n 1^n : n \geq 0\}$
- Now, we will remove some of the restrictions on DFAs, to see if we can develop more powerful machine (i.e. a machine that can recognize more languages)

# Nondeterministic Finite Automata

What are the components of an NFA?

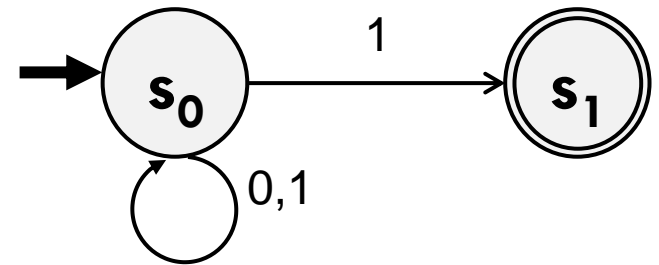
- Finite number of states
  - Accept States, Reject States, Start State (same as DFAs)
- Labelled directed edges between states, but...
  - Not required to have exactly 1 outgoing edge from each state per symbol in the alphabet. Could have 0 or  $>1$ .
  - Edges can also be labeled by the empty string  $\varepsilon$



# Nondeterministic Finite Automata

What language does an NFA recognize?

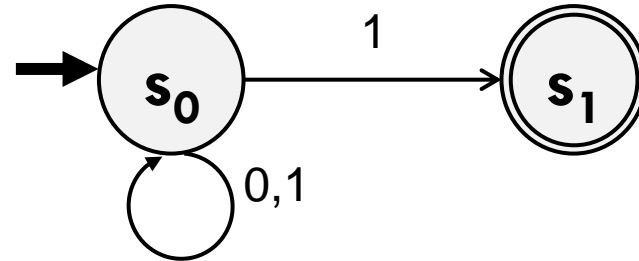
- The NFA accepts a string  $x$  iff there is **some** path from the start state to an accept state.
- For example, a single state might have several outgoing 1 edges. If you process the character "1", you can choose *any* of the outgoing 1 edges to try to reach an accept state.



# Nondeterministic Finite Automata

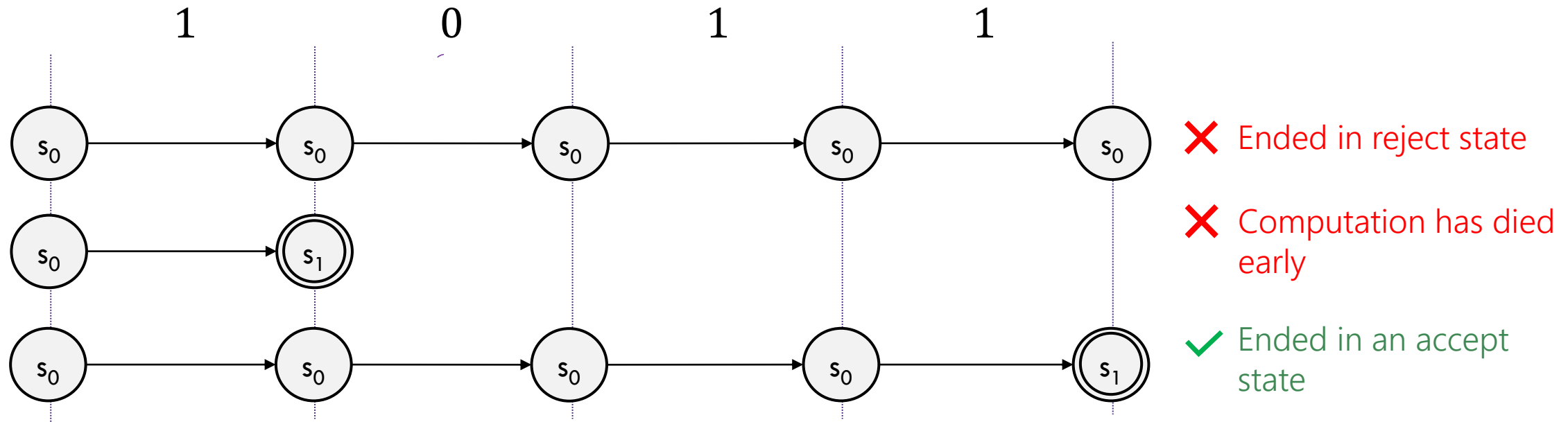
For Example:

1011



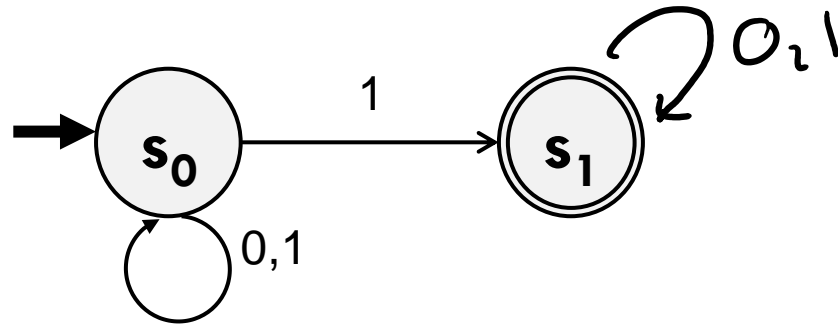
The string 1011 is accepted, because there is a path where the computation ends in an accept state!

The processing begins at  $s_0$ . From there, there are several paths through the NFA that this string could take.



# Nondeterministic Finite Automata

What language does this NFA recognize?



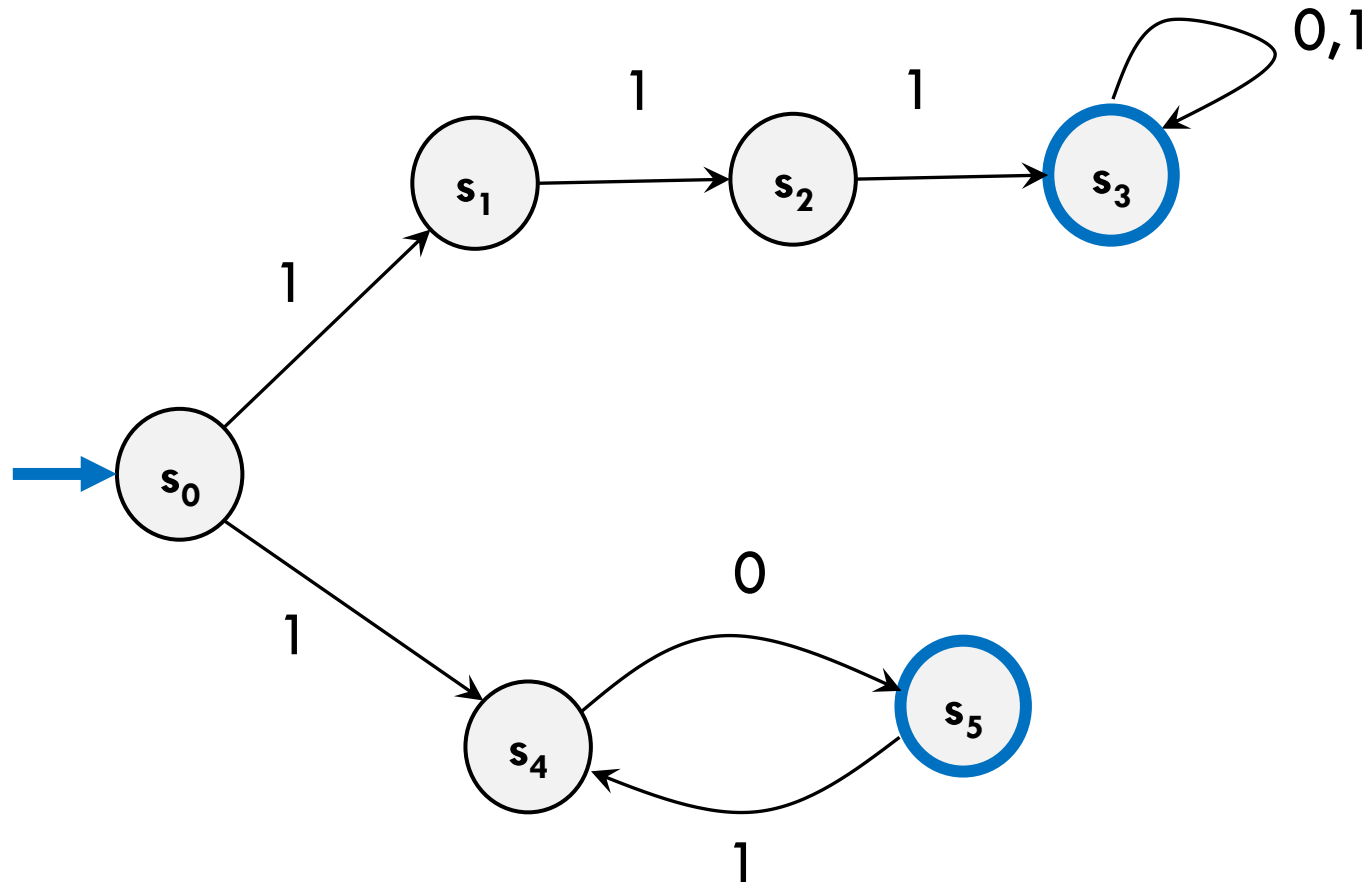
All binary strings  
containing a 1

All binary strings that end in a 1

# Nondeterministic Finite Automata

What language does this NFA recognize?

$111(001)^* \cup 10(10)^*$



$111(001)^*$

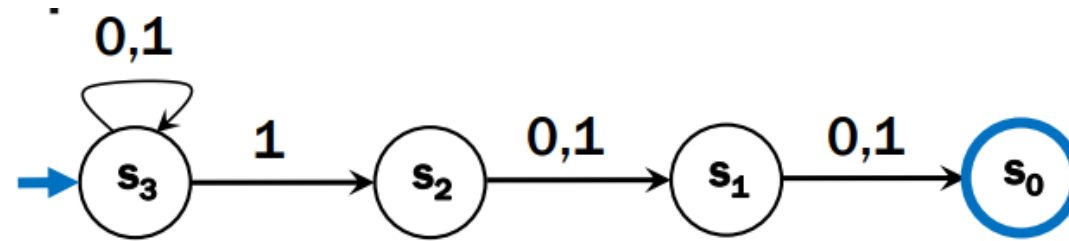
$10(10)^*$

# Two ways to think about NFAs

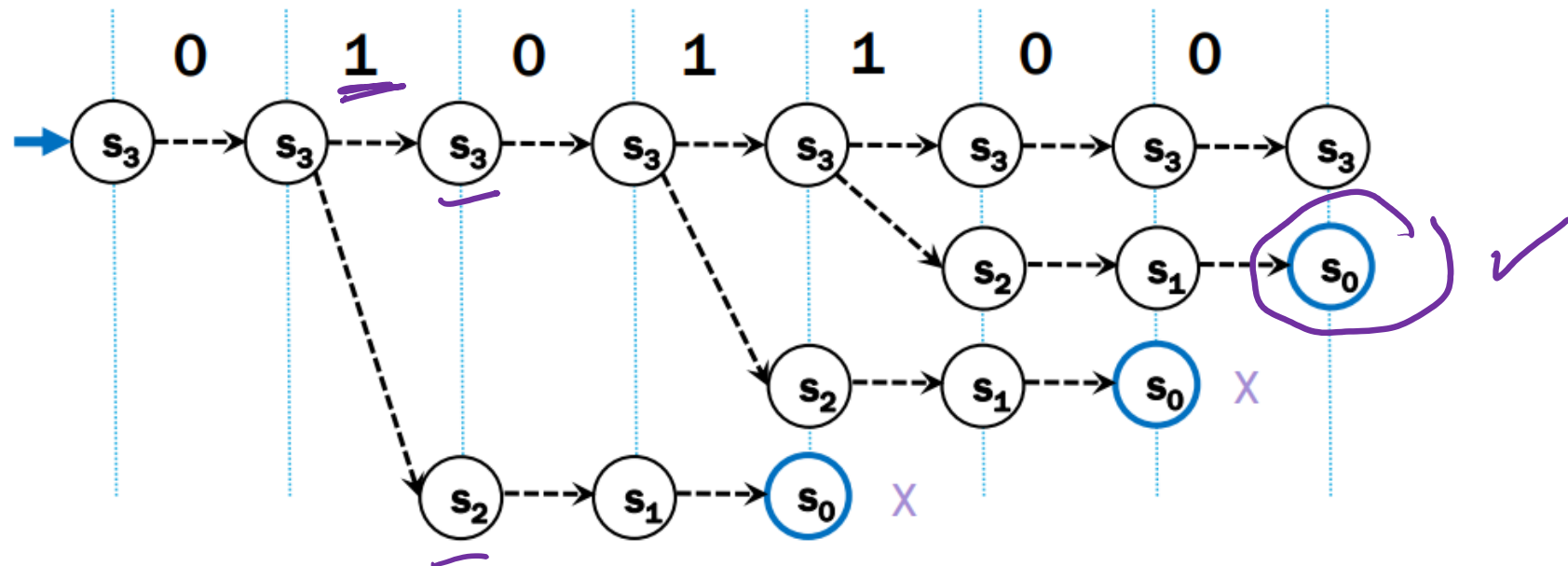
**Perfect Guesser:** Whenever there is a choice of what to do, the NFA correctly guesses the transition that will eventually lead to an accept state, if it exists.

**Parallel Exploration:** The NFA runs all possible paths that the input could take in parallel, and checks to see if any of them end in an accept state.

# Parallel Exploration View of an NFA



Input string 0101100



# What's with the name?

## Nondeterministic Finite Automata

### Nondeterministic:

Given the same input, may exhibit different behaviors on different runs.

There is more than one path a string might take through the NFA.

**Finite:** Not infinite; eventually ends.

An NFA has a finite number of states.

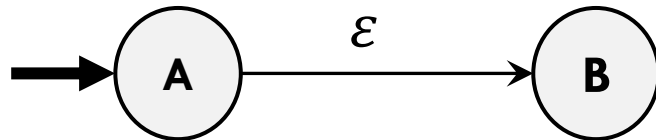
**Automata:** A machine that performs a function.

$P \stackrel{?}{=} \underline{NP}$

# NFAs with $\varepsilon$ transitions

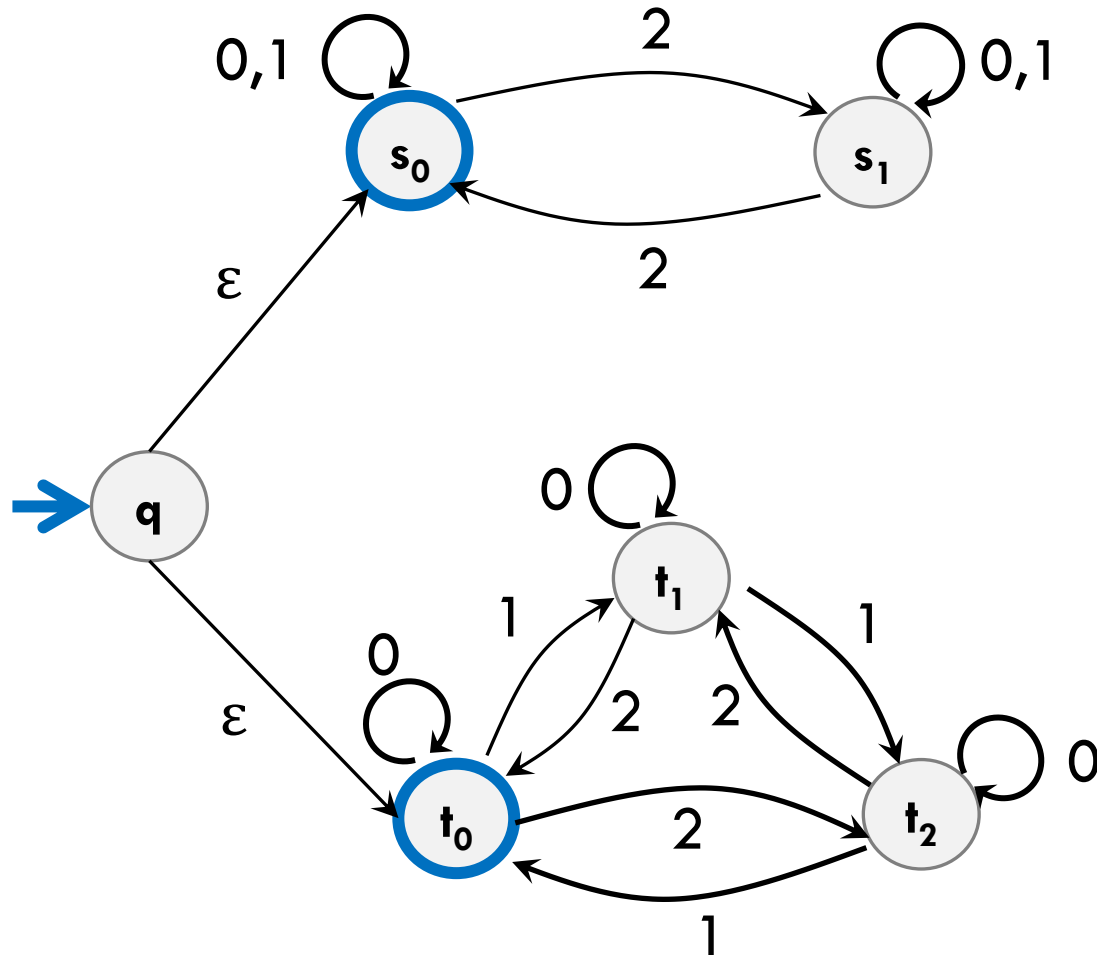
Edges labelled with  $\varepsilon$  are also known as epsilon transitions.

An  $\varepsilon$  transition from state A to state B means that the NFA can take the path from A to B without processing additional characters.



# NFAs with $\epsilon$ transitions

What language does this NFA recognize?



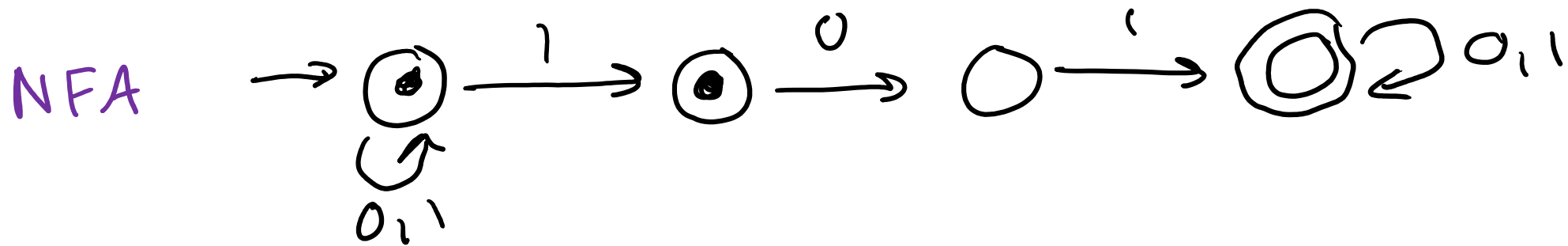
Strings over  $\{0, 1, 2\}$  with  
an even number of 2's

OR

Strings over  $\{0, 1, 2\}$   
whose sum of digits  
 $\% 3 = 0$ .

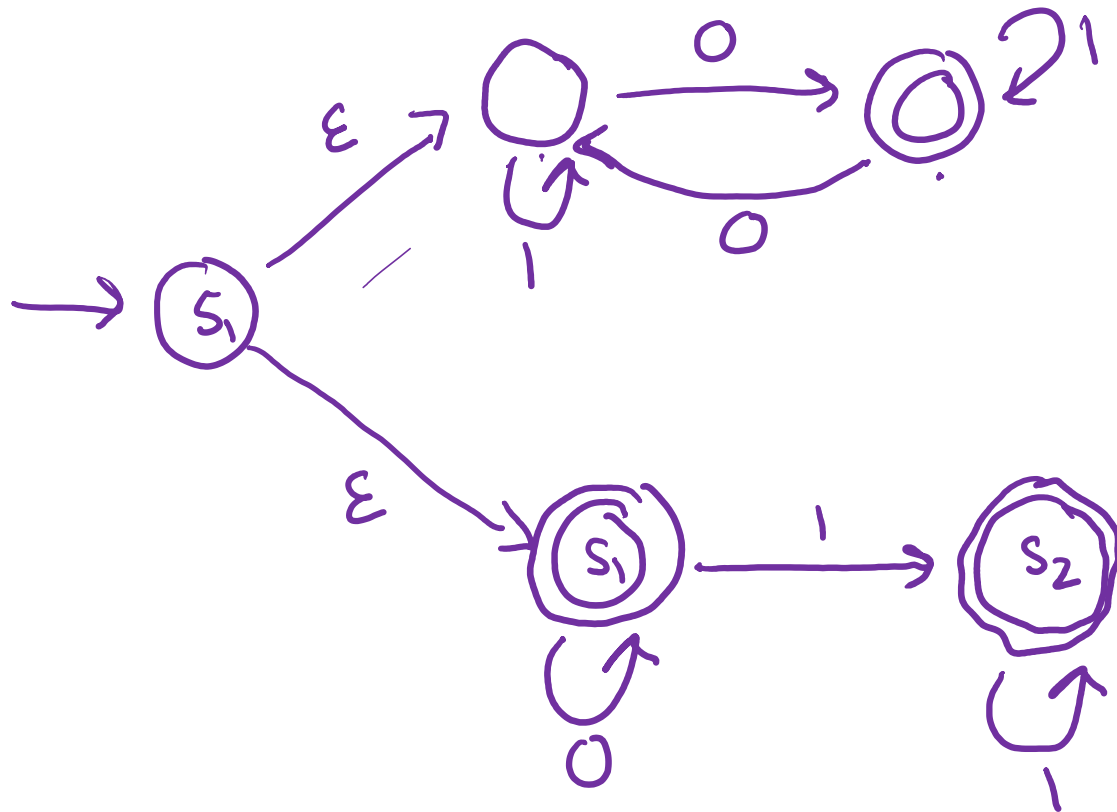
# Constructing DFAs vs NFAs

Construct a DFA and an NFA recognizing the language of binary strings containing the substring 101.



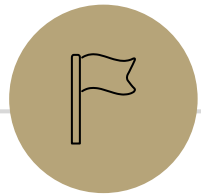
# Exercise

Construct an NFA that recognizes the language of binary strings with an odd number of 0s, or not containing the substring 10.



101

1110



## Relationship between DFAs & NFAs

# Relationship between DFAs & NFAs

DFAs have more constraints than NFAs. Every DFA is also an NFA.

It follows that every language that can be recognized by a DFA can be recognized by an NFA.

But are there languages that can be recognized by an NFA but not by any DFA?  
Which?

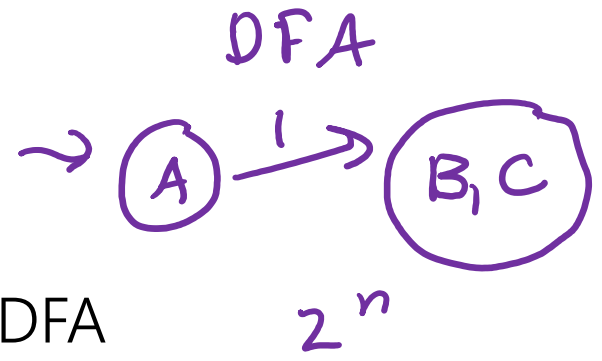
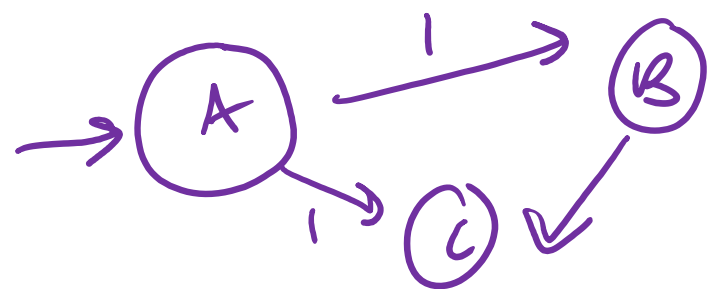


# Relationship between DFAs & NFAs

Theorem: For every NFA, there is a DFA that  
recognizes the same language.

Corollary: The set of languages recognized by a DFA is  
equal to the set of languages recognized by an NFA.

# Proof Idea

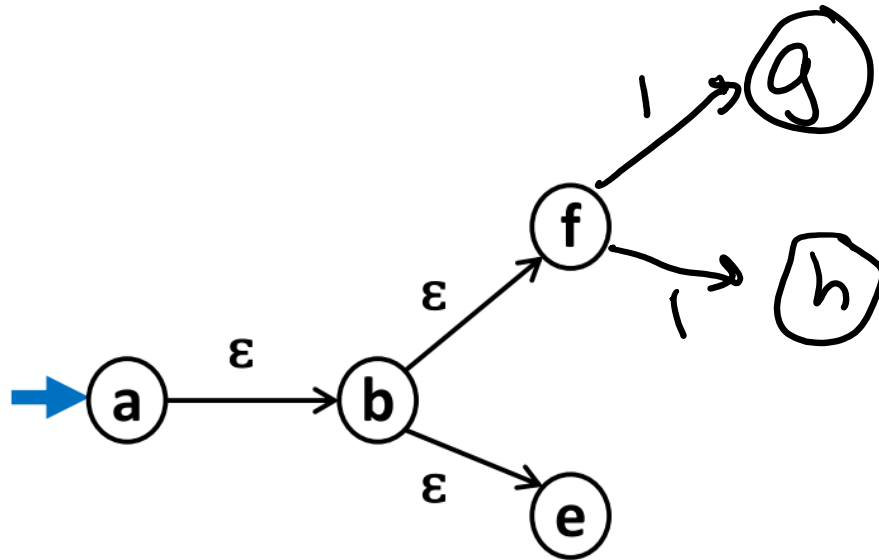


- From an NFA, we will see how to construct the corresponding DFA
- Recall the "Parallel Exploration" viewpoint of an NFA:  
The NFA runs all possible computations on the input  $x$  step-by-step at the same time in parallel.
- Construction Idea:

- The DFA keeps track of ALL states that are reachable in the NFA along a path with some input.
- There will be one state in the DFA for each subset of states in the NFA that can be reached by some string.

# NFA to DFA Construction

**Start state for the DFA:** The set of all states reachable from the start state of the NFA, using only  $\epsilon$  transitions.



NFA

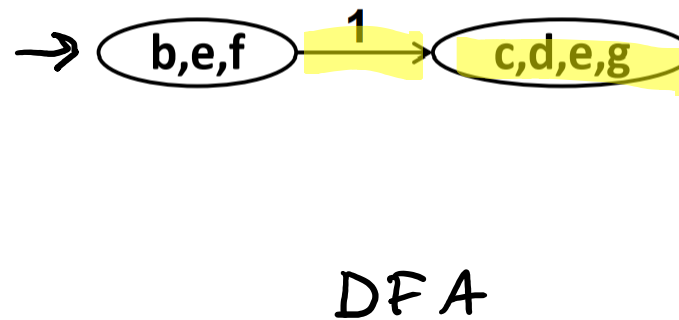
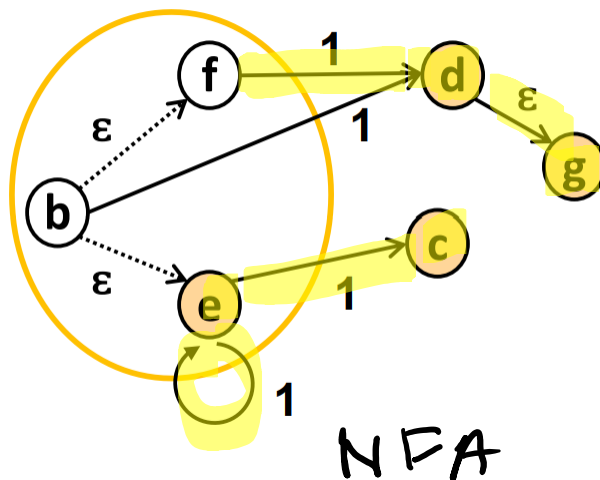


DFA

# NFA to DFA Construction

Other states in the DFA:

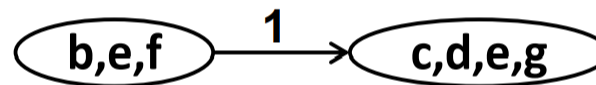
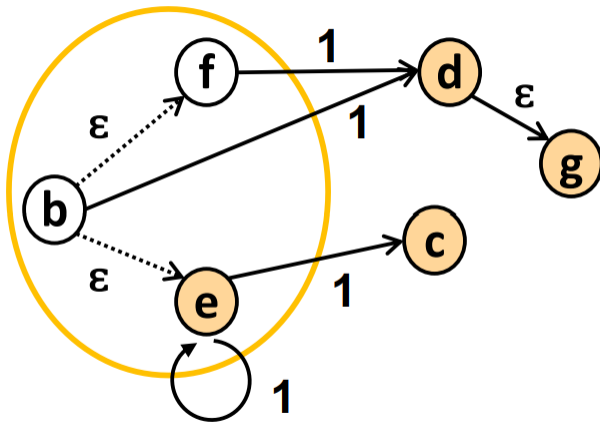
- Choose a state in the DFA, for example the state labelled  $b, e, f$ .
- Choose a character in the alphabet, for example 1.
- Find all the states in the NFA that can be reached from  $b, e, f$  by the character 1, in this example  $c, d, e, g$ .
- Create a new state in the DFA labelled  $c, d, e, g$ , with a 1-edge from  $b, e, f$



# NFA to DFA Construction

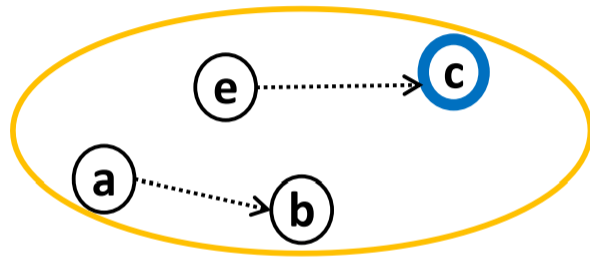
**Other states in the DFA:** Continue this process until each state in the DFA has one outgoing edge for each character in the alphabet.

In the worst case, if the NFA had  $n$  states, the DFA will have  $2^n$  states, since each of its states represents a subset of the original NFA's states.



# NFA to DFA Construction

**Accept states in the DFA:** In the end, find all states in the DFA whose set contains an accept state in the NFA. These will be your accept states.

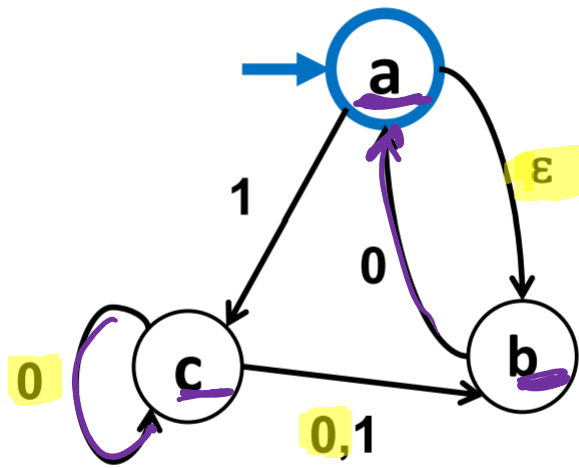


NFA

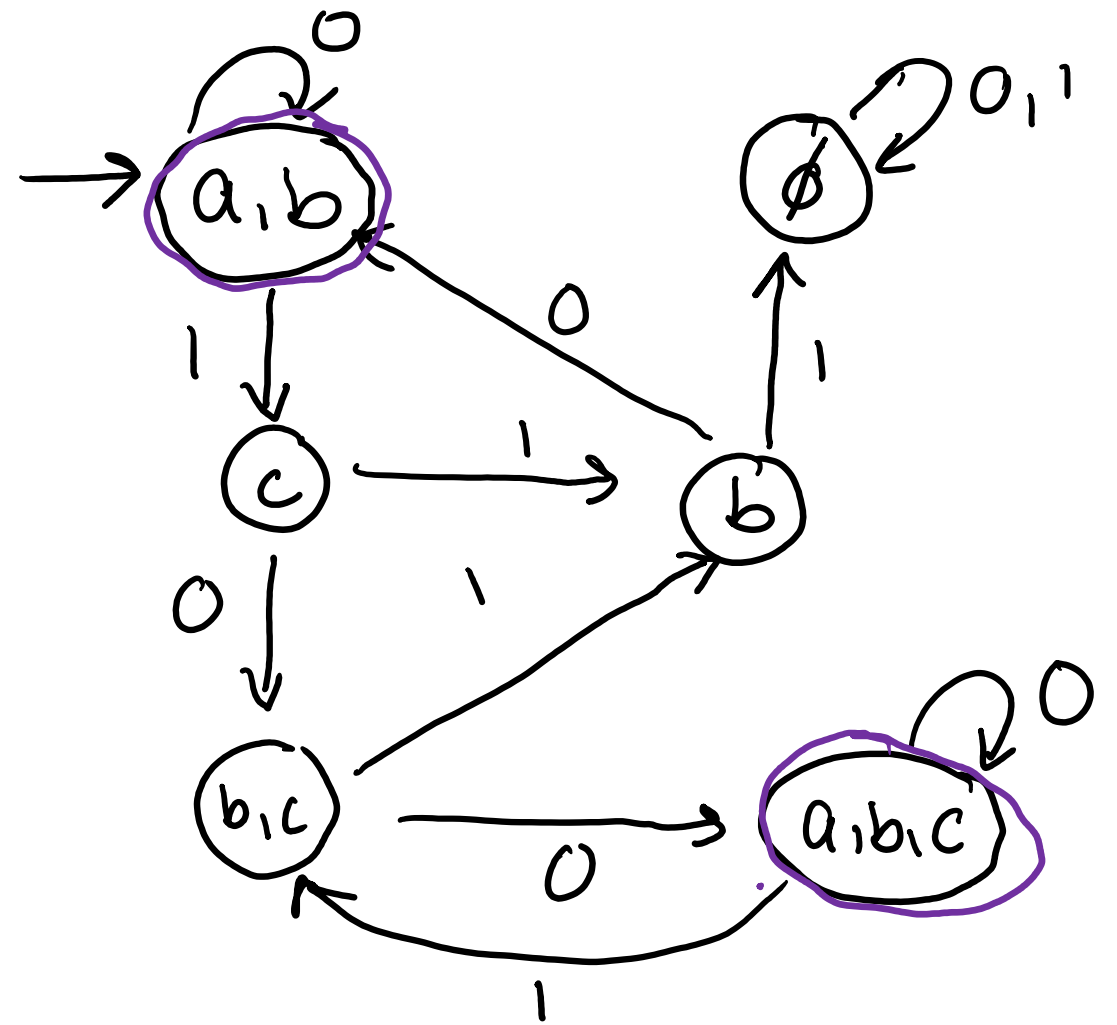


DFA

# Example: NFA to DFA



NFA



# Takeaways

- The set of languages recognized by DFAs is **exactly the same** as the set of languages recognized by NFAs.
- Non-determinism didn't offer a larger set of languages, but it did offer efficiency.