

# Structural Induction

CSE 311: Foundations of  
Computing I  
Lecture 17

# Announcements

- Congrats on completing the Midterm! Grades will be released later this week.
- HW5 grades published tonight for those who used late days.  
Solutions will be distributed in class on Wednesday.
- HW6 will be released this Wednesday.

# Induction Big Picture

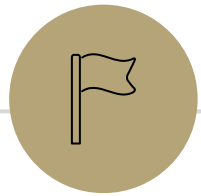
Weak and Strong Induction: Prove statements over the natural numbers.

“Prove that  $P(n)$  holds for all natural numbers  $n$ .”

Structural Induction: In CS, we deal with Strings, Lists, Trees, and other objects. Now we prove statements about these objects.

“Prove that  $P(T)$  holds for all trees  $T$ .”

“Prove that  $P(x)$  holds for all strings  $x$ .”



# Recursively Defined Sets

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# Recursively Defined Sets

In order to prove a fact about all trees or all lists, we need rigorous mathematical definitions for these sets.

We will define these sets *recursively*. A **recursively defined set** has 3 components:

- \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

# Recursively Defined Sets

For example, define a set  $S$  as follows:

Basis Step:  $0 \in S$

Recursive Step: If  $x \in S$  then  $x + 2 \in S$ .

Exclusion Rule: Every element of  $S$  follows from the basis step or a finite number of recursive steps.

What is  $S$ ?

Why do we need the exclusion rule?

# Recursively Defined Sets

Natural Numbers ( $\mathbb{N}$ )

Integers ( $\mathbb{Z}$ )

Integer coordinates in the line  $y = x$

# Recursively Defined Sets

Q1: What is this set?

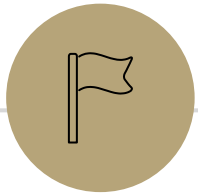
Basis Step:  $6 \in S, 15 \in S$

Recursive Step: If  $x, y \in S$  then  $x + y \in S$

Q2: Write a recursive definition for the set of powers of 3  $\{1, 3, 9, 27, \dots\}$

Basis Step:

Recursive Step:



# Structural Induction

On Sets of Numbers

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# Claim about a Recursively Defined Set

Let  $S$  be the set defined:

Basis Step:  $6 \in S, 15 \in S$

Recursive Step: if  $x, y \in S$  then  $x + y \in S$ .

Claim: Every element of  $S$  is divisible by 3.

How would we prove this?

# Structural Induction Idea

Basis:  $6 \in S, 15 \in S$

Recursive: if  $x, y \in S$  then  $x + y \in S$ .

To show  $P(s)$  for all  $s \in S$ ...

Base Case: Show  $P(b)$  for all elements  $b$  in the basis step.

Inductive Hypothesis: Assume  $P()$  holds for arbitrary element(s) that we've already constructed.

Inductive Step: Prove that  $P()$  holds for a new element constructed using the recursive step.

# Structural Induction

Basis:  $6 \in S, 15 \in S$

Recursive: if  $x, y \in S$  then  $x + y \in S$ .

1. Define  $P()$ :

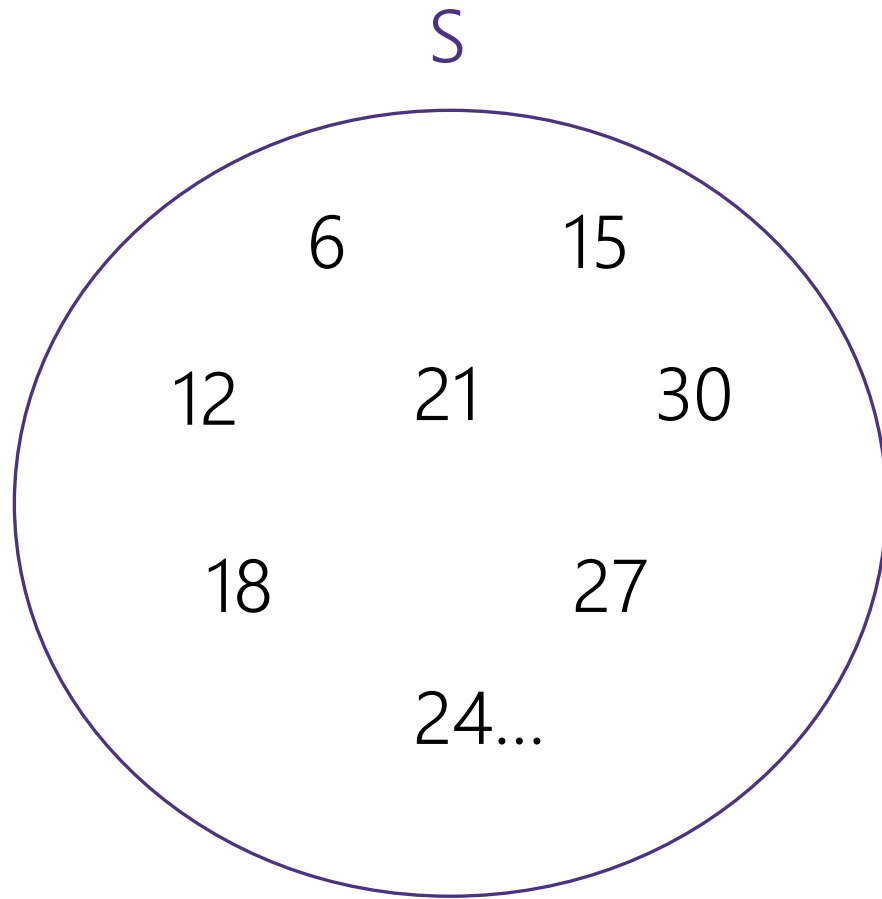
2. Base Case(s):

3. Inductive Hypothesis:

4. Inductive Step:

5. Conclusion:

# How does this work?



Basis:  $6 \in S, 15 \in S$

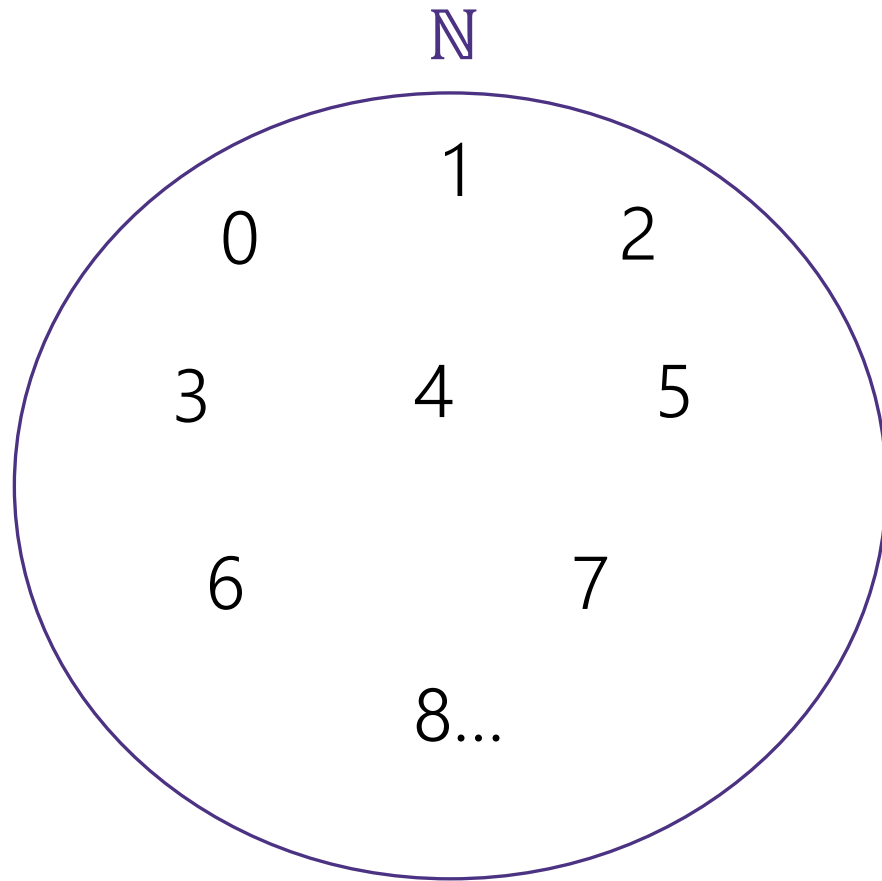
Recursive: if  $x, y \in S$  then  $x + y \in S$ .

**We proved:**

Base Case:  $P(6)$  and  $P(15)$

IH  $\rightarrow$  IS: If  $P(x)$  and  $P(y)$ , then  $P(x+y)$

# Weak Induction is a special case of Structural



Basis:  $0 \in \mathbb{N}$

Recursive: if  $k \in \mathbb{N}$  then  $k + 1 \in \mathbb{N}$ .

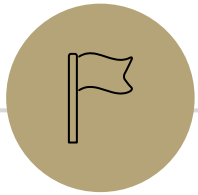
**We proved:**

Base Case:  $P(0)$

IH  $\rightarrow$  IS: If  $P(k)$ , then  $P(k+1)$

# Structural Induction Template

1. Define  $P()$ . Claim that  $P(s)$  holds for all  $s \in S$ . State your proof is by structural induction.
2. Base Case: Show  $P(b_1), \dots, P(b_n)$  holds for each basis step  $b_1, \dots, b_n$  in  $S$ .
3. Inductive Hypothesis: Suppose  $P(x_1), \dots, P(x_m)$  for all values listed in the recursive rules.
4. Inductive Step: Show  $P()$  holds for the "new element" given by the recursive step. **You will need a separate step for every rule.**
5. Conclusion: Conclude that  $P(s)$  holds for all  $s \in S$  by structural induction.



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# Structural Induction

On Strings



# String Terminology

$\Sigma$  is \_\_\_\_\_

For example:

$\Sigma^*$  is \_\_\_\_\_

For example:

$\varepsilon$  is \_\_\_\_\_

Analogous to:

# Recursive definition of Strings

$\Sigma$  is the alphabet  
 $\Sigma^*$  is the set of all strings  
 $\varepsilon$  is the empty string

The set of all strings  $\Sigma^*$  can be defined recursively (using  $\Sigma, \varepsilon$ ):

# Functions on Strings

Basis:  $\varepsilon \in \Sigma^*$

Recursive: If  $w \in \Sigma^*$  and  $a \in \Sigma$ ,  
then  $wa \in \Sigma^*$

To prove interesting facts about strings, we need functions on strings.

Length:

Reversal:

# Claim about Strings

Claim: For any string  $s \in \Sigma^*$ ,  $\text{len}(s^R) = \text{len}(s)$

# Proof

$$\begin{aligned}\text{len}(\varepsilon) &= 0 \\ \text{len}(wa) &= \text{len}(w) + 1\end{aligned}$$

$$\begin{aligned}\varepsilon^R &= \varepsilon \\ (wa)^R &= aw^R\end{aligned}$$

**Basis:**  $\varepsilon \in \Sigma^*$   
**Recursive:** If  $w \in \Sigma^*$  and  $a \in \Sigma$ ,  
then  $wa \in \Sigma^*$

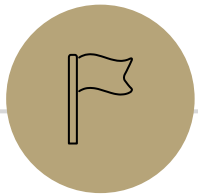
1. Define  $P()$ :

2. Base Case(s):

3. Inductive Hypothesis:

4. Inductive Step:

5. Conclusion:



# Structural Induction

On Lists and Trees



# Recursive Definition for Lists of Integers

Basis Step:

Recursive Step:

# Recursive Definition for Binary Trees of Integers

Basis Step:

Recursive Step: