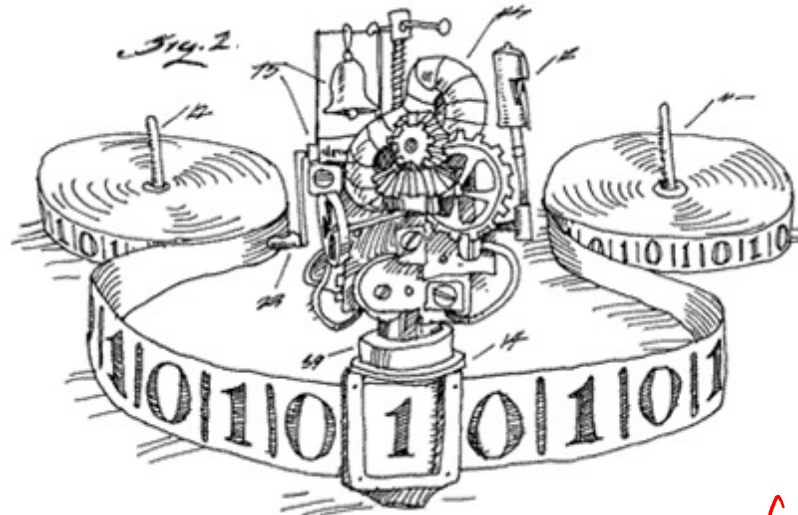


# CSE 311: Foundations of Computing

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## Lecture 28: Undecidability, Reductions, and Turing Machines



*Course evals*

# Final exam Monday, Review session Sunday

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- **Monday** at either **2:30-4:20** or **4:30-6:20**
  - **JHN 102**
  - **Must select your exam time by Saturday**  
No changes permitted after that
  - Bring your **UW ID**
- **Comprehensive:** Full probs only on topics that were covered in homework. May have small probs on other topics.
  - May includes pre-midterm topics, e.g., formal proofs.
  - Reference sheets will be included. Closed book. No notes.
- **Review session: *Sunday starting at 1 pm on Zoom***
  - **Bring your questions !!**

# Review: Countability vs Uncountability

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- To prove a set  $A$  countable you must show
  - There exists a listing  $x_1, x_2, x_3, \dots$  such that every element of  $A$  is in the list.
- To prove a set  $B$  uncountable you must show
  - For every listing  $x_1, x_2, x_3, \dots$  there exists some element in  $B$  that is not in the list.
  - The diagonalization proof shows how to describe a missing element  $d$  in  $B$  based on the listing  $x_1, x_2, x_3, \dots$ .  
*Important: the proof produces a  $d$  no matter what the listing is.*

# Last time: Undecidability of the Halting Problem

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**CODE(P)** means “the code of the program **P**”

## The Halting Problem

**Given:** - CODE(P) for any program **P**  
- input **x**

**Output:** **true** if **P** halts on input **x**  
**false** if **P** does not halt on input **x**

**Theorem [Turing]: There is no program that solves the Halting Problem**

**Proof:** By contradiction.

Assume that a program **H** solving the Halting program does exist. Then program **D** must exist

Does  $D(\text{CODE}(D))$  halt?

```
public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
    }  
    else {  
        return; /* halt */  
    }  
}
```

$H$  solves the halting problem implies that

$H(\text{CODE}(D),x)$  is true iff  $D(x)$  halts,  $H(\text{CODE}(D),\text{CODE}(D))$  is not

Suppose that  $D(\text{CODE}(D))$  halts.

Then, by definition of  $H$  it must be that

$H(\text{CODE}(D), \text{CODE}(D))$  is true

Which by the definition of  $D$

means  $D(\text{CODE}(D))$  doesn't halt

Suppose that  $D(\text{CODE}(D))$  doesn't halt.

Then, by definition of  $H$  it must be that

$H(\text{CODE}(D), \text{CODE}(D))$  is false

Which by the definition of  $D$  means  $D(\text{CODE}(D))$  halts

**The ONLY assumption was the program  $H$  exists so that assumption must have been false.**

**Contradiction!**

# SCOOPING THE LOOP SNOOPER

## A proof that the Halting Problem is undecidable

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by **Geoffrey K. Pullum (U. Edinburgh)**

*No general procedure for bug checks succeeds.*

Now, I won't just assert that, I'll show where it leads:

I will prove that although you might work till you drop, you cannot tell if computation will stop.

For imagine we have a procedure called *P* that for specified input permits you to see whether specified source code, with all of its faults, defines a routine that eventually halts.

You feed in your program, with suitable data, and *P* gets to work, and a little while later (in finite compute time) correctly infers whether infinite looping behavior occurs...

# SCOOPING THE LOOP SNOOPER

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...

Here's the trick that I'll use – and it's simple to do.  
I'll define a procedure, which I will call *Q*,  
that will use *P*'s predictions of halting success  
to stir up a terrible logical mess.

...

And this program called *Q* wouldn't stay on the shelf;  
I would ask it to forecast its run on *itself*.  
When it reads its own source code, just what will it do?  
What's the looping behavior of *Q* run on *Q*?

...

Full poem at:

<http://www.lel.ed.ac.uk/~gpullum/loopsnoop.html>

# The Halting Problem isn't the only hard problem

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Can use the fact that the Halting Problem is undecidable to show that other problems are undecidable

General method:

Prove that if there were a program deciding **B** then you can use it to build a program deciding the Halting Problem.

1. “**B** decidable  $\rightarrow$  Halting Problem decidable”      Shown by general method
2. “Halting problem undecidable”      Turing
3. “Halting Problem undecidable  $\rightarrow$  **B** undecidable”      Contrapositive from 1
4. “**B** undecidable”      Modus Ponens 2 & 3



# A CSE 121 assignment

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**Students should write a Java program that:**

- Prints “Hello” to the console
- Eventually exits

**Our auto-grading program needs to grade the students.**

**How do we write that grading program?**

**WE CAN'T: THIS IS IMPOSSIBLE!**

# A related undecidable problem

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- **HelloWorldTesting Problem:**
  - Input: **CODE(Q)** and **x**
  - Output:
    - True if **Q** outputs “HELLO WORLD” on input **x**
    - False if **Q** does not output “HELLO WORLD” on input **x**
- **Theorem:** The **HelloWorldTesting Problem** is undecidable.
- **Proof idea:** Show that if there is a program **T** to decide **HelloWorldTesting** then there is a program **H** to decide the **Halting Problem** for **code(P)** and **x**.

# A related undecidable problem

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- Suppose there is a program **T** that solves the **HelloWorldTesting** problem. Define program **H** that takes input **CODE(P)** and **x** and does the following:
  - Creates **CODE(Q)** from **CODE(P)** by
    - (1) removing all output statements from **CODE(P)**, and
    - (2) adding a `System.out.println("HELLO WORLD")` immediately before any spot where **P** could halt
- Then runs **T** on input **CODE(Q)** and **x**.
- If **P** halts on input **x** then **Q** prints HELLO WORLD and halts and so **H** outputs **true** (because **T** outputs true on input **CODE(Q)**)
- If **P** doesn't halt on input **x** then **Q** won't print anything since we removed any other print statement from **CODE(Q)** so **H** outputs **false**

We know that such an **H** cannot exist. Therefore **T** cannot exist.

# The HaltsNoInput Problem

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- **Input:**  $\text{CODE}(R)$  for program  $R$
- **Output:** True if  $R$  halts without reading input  
False otherwise.

**Theorem:** HaltsNoInput is undecidable

**General idea “hard-coding the input”:**

- Show how to use  $\text{CODE}(P)$  and  $x$  to build  $\text{CODE}(R)$  so  
 $P$  halts on input  $x \iff R$  halts without reading input

# The HaltsNoInput Problem

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## “Hard-coding the input”:

- Show how to use **CODE(P)** and **x** to build **CODE(R)** so **P** halts on input **x**  $\Leftrightarrow$  **R** halts without reading input
- Replace input statement in **CODE(P)** that reads input **x** into variable **var**, by a hard-coded assignment statement:

**var = x**

to produce **CODE(R)**.

- So if we have a program **N** to decide HaltsNoInput then we can use it as a subroutine as follows to decide the Halting Problem, which we know is impossible:
  - On input **CODE(P)** and **x**, produce **CODE(R)**. Then run **N** on input **CODE(R)** and output the answer that **N** gives.

- 
- The impossibility of writing the **CSE 121** grading program follows by combining the ideas from the undecidability of **HaltsNoInput** and **HelloWorld**.



# Rice's theorem

*True  $\rightarrow$  P*

Not every problem on programs is undecidable!

*P  $\rightarrow$  Q*

Which of these is decidable?

• Input CODE (P) and x  
Output: **true** if P prints "ERROR" on input x  
after less than 100 steps  
**false** otherwise

• Input CODE (P) and x  
Output: **true** if P prints "ERROR" on input x  
after more than 100 steps  
**false** otherwise

*decidable*

*undec.*

**Rice's Theorem (a.k.a. Compilers Suck Theorem - informal):**

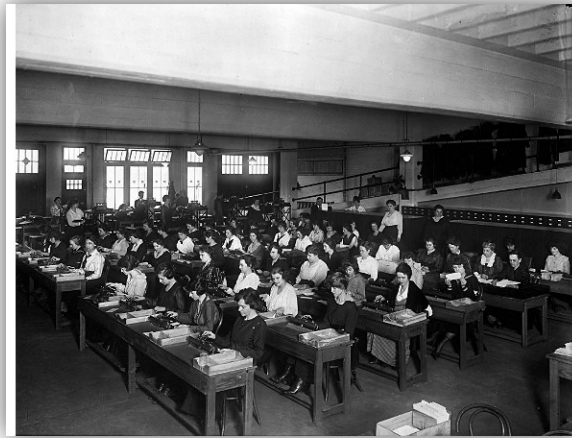
Any "non-trivial" property of the input-output behavior of Java programs is undecidable.



# Computers and algorithms

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- Does Java (or any programming language) cover all possible computation? Every possible algorithm?
- There was a time when computers were people who did calculations on sheets paper to solve computational problems



- Computers as we know them arose from trying to understand everything these people could do.

# Before Java

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1930's:

How can we formalize what algorithms are possible?

- **Turing machines** (Turing, Post)
  - basis of modern computers
- **Lambda Calculus** (Church)
  - basis for functional programming, LISP
- **$\mu$ -recursive functions** (Kleene)
  - alternative functional programming basis

# Turing machines

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## Church-Turing Thesis:

Any reasonable model of computation that includes all possible algorithms is equivalent in power to a Turing machine

## Evidence

- ✓ Intuitive justification
- ✓ Huge numbers of models based on radically different ideas turned out to be equivalent to TMs

# Turing machines

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- **Finite Control**

- Brain/CPU that has only a finite # of possible “states of mind”

- **Recording medium**

- An unlimited supply of blank “scratch paper” on which to write & read symbols, each chosen from a finite set of possibilities
- Input also supplied on the scratch paper



- **Focus of attention**

- Finite control can only focus on a small portion of the recording medium at once
- Focus of attention can only shift a small amount at a time


# Turing machines

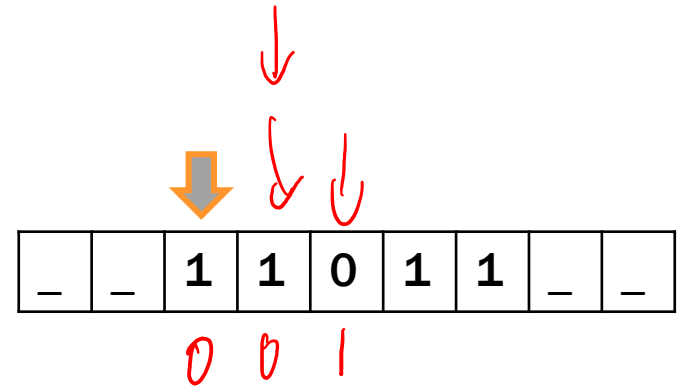
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- **Recording medium**
  - An infinite read/write tape marked off into cells
  - Each cell can store one symbol or be “blank”
  - Tape is initially all blank except a few cells of the tape containing the input string
  - Read/write head can scan one cell of the tape - starts on input
- **In each step**, a Turing machine
  1. Reads the currently scanned cell
  2. Based on current state and scanned symbol
    - i. Overwrites symbol in scanned cell
    - ii. Moves read/write head left or right one cell
    - iii. Changes to a new state
- Each Turing Machine is specified by its **finite set of rules**

# Turing machines

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	-	0	1
 $s_1$	(1, L, $s_3$ )	(1, L, $s_4$ )	(0, R, $s_2$ )
$s_2$	(0, R, $s_1$ )	(1, R, $s_1$ )	(0, R, $s_1$ )
$s_3$			
$s_4$			



# UW CSE's Steam-Powered Turing Machine



Original in Sieg Hall stairwell

# Turing machines

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## Ideal Java/C programs:

- Just like the Java/C you're used to programming with, except you never run out of memory
  - Constructor methods always succeed
  - **malloc** in C never fails

## Equivalent to Turing machines except a lot easier to program:

- Turing machine definition is useful for breaking computation down into simplest steps
- We only care about high level so we use programs



# Turing's big idea part 1: Machines as data

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## Original Turing machine definition:

- A different “machine” **M** for each task
- Each machine **M** is defined by a finite set of possible operations on finite set of symbols
- So... **M** has a finite description as a sequence of symbols, its “code”, which we denote **<M>**

You already are used to this idea with the notion of the program code or text but this was a new idea in Turing's time.

# Turing's big idea part 2: A Universal TM

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- A Turing machine interpreter **U**
  - On input  $\langle M \rangle$  and its input  $x$ ,  
**U** outputs the same thing as **M** does on input  $x$
  - At each step it decodes which operation **M** would have performed and simulates it.
- One Turing machine is enough
  - Basis for modern stored-program computer  
Von Neumann studied Turing's UTM design



# Takeaway from undecidability

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- **You can't rely on the idea of improved compilers and programming languages to eliminate major programming errors**
  - truly safe languages can't possibly do general computation
- **Document your code**
  - there is no way you can expect someone else to figure out what your program does with just your code; since in general it is provably impossible to do this!

# **We've come a long way!**

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- **Propositional Logic.**
- **Boolean logic and circuits.**
- **Boolean algebra.**
- **Predicates, quantifiers and predicate logic.**
- **Inference rules and formal proofs for propositional and predicate logic.**
- **English proofs.**
- **Modular arithmetic.**
- **Prime numbers.**
- **GCD, Euclid's algorithm, modular inverse, and exponentiation.**
- **Set theory.**

# **We've come a long way!**

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- **Induction and Strong Induction.**
- **Recursively defined functions and sets.**
- **Structural induction.**
- **Regular expressions.**
- **Context-free grammars and languages.**
- **Relations and composition.**
- **Transitive-reflexive closure.**
- **Graph representation of relations and their closures.**

# We've come a long way!

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- DFAs, NFAs and language recognition.
- Product construction for DFAs.
- Finite state machines with outputs at states.
- Minimization algorithm for finite state machines
- Conversion of regular expressions to NFAs.
- Subset construction to convert NFAs to DFAs.
- Equivalence of DFAs, NFAs, Regular Expressions
- Finite automata for pattern matching.
- Method to prove languages not accepted by DFAs.
- Cardinality, countability and diagonalization
- Undecidability: Halting problem and evaluating properties of programs.

# What's next? ...after the final exam...

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431

- **Foundations II (312)**
  - Fundamentals of counting, discrete probability, applications of randomness to computing, statistical algorithms and analysis
  - Ideas critical for machine learning, algorithms
- **Data Abstractions (332)**
  - Data structures, a few key algorithms, parallelism
  - Brings programming and theory together
  - Makes heavy use of induction and recursive defs

# Course Evaluation Online

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- **Fill this out by Sunday night!**
  - Your ability to fill it out will disappear at **11:59 p.m. on Sunday.**
  - **We really value your feedback!**



# Final exam Monday, Review session Sunday

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