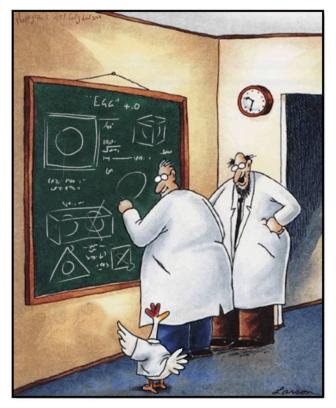
# **CSE 311: Foundations of Computing**

# Lecture 17: Structural Induction



What's that Doctor McCluckles? Making them ovoid would increase structural integrity and enable a more comfortable delivery? He's right again Professor!

- Midterm in class next Wednesday
- Covers material up to ordinary induction (HW5)
- Closed book, closed notes
   will provide reference sheets
- No calculators
  - arithmetic is intended to be straightforward
  - (only a small point deduction anyway)

# Midterm

- 5 problems covering:
  - Propositional Logic
    - Including circuits / Boolean algebra / normal forms
  - Predicate Logic/English Translation
  - Modular arithmetic
  - Set theory
  - Induction
- 10 minutes per problem
  - write quickly, don't get stuck on one problem
  - focus on the overall structure of the solution

# **CSE 311: Foundations of Computing**

### Lecture 17: Structural Induction



How to prove  $\forall x \in S, P(x)$  is true:

**Base Case:** Show that P(u) is true for all specific elements u of S mentioned in the Basis step

**Inductive Hypothesis:** Assume that *P* is true for some arbitrary values of *each* of the existing named elements mentioned in the *Recursive step* 

**Inductive Step:** Prove that P(w) holds for each of the new elements *w* constructed in the *Recursive step* using the named elements mentioned in the Inductive Hypothesis

**Conclude** that  $\forall x \in S, P(x)$ 

# Last time: Using Structural Induction

- Let *S* be given by...
  - **Basis:**  $6 \in S$ ;  $15 \in S$
  - **Recursive:** if  $x, y \in S$  then  $x + y \in S$ .

**Claim:** Every element of S is divisible by 3.

**1**. Let P(x) be "3 | x". We prove that P(x) is true for all  $x \in S$  by structural induction.

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- **1.** Let P(x) be "3 | x". We prove that P(x) is true for all  $x \in S$  by structural induction.
- **2.** Base Case: 3|6 and 3|15 so P(6) and P(15) are true
- **3. Inductive Hypothesis:** Suppose that P(x) and P(y) are true for some arbitrary  $x,y \in S$

**4. Inductive Step:** Goal: Show P(x+y)

- **1**. Let P(x) be "3 | x". We prove that P(x) is true for all  $x \in S$  by structural induction.
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- **4. Inductive Step:** Goal: Show P(x+y)

Since P(x) is true, 3 | x and so x=3m for some integer m and since P(y) is true, 3 | y and so y=3n for some integer n. Therefore x+y=3m+3n=3(m+n) and thus 3 | (x+y).

Hence P(x+y) is true.

**5.** Therefore by induction 3 | x for all  $x \in S$ .

- Let *R* be given by...
  - **Basis:**  $12 \in R$ ;  $15 \in R$
  - **Recursive:** if  $x \in R$ , then  $x + 6 \in R$  and  $x + 15 \in R$

• Two base cases and two *recursive* cases, one existing element.

**Claim:**  $R \subseteq S$ ; i.e. every element of R is also in S. **Proof needs structural induction using definition of** R **since statement is of the form**  $\forall x \in R.P(x)$ 

# **Claim:** Every element of *R* is in *S*. ( $R \subseteq S$ )

- **1**. Let P(x) be " $x \in S$ ". We prove that P(x) is true for all  $x \in R$  by structural induction.
- **2. Base Case:** (12):  $6 \in S$  so  $6+6=12 \in S$  by definition of S, so P(12) (15):  $15 \in S$ , so P(15) is also true
- **3.** Ind. Hyp: Suppose that P(x) is true for some arbitrary  $x \in R$
- 4. Inductive Step: Goal: Show P(x+6) and P(x+15)Since P(x) holds, we have  $x \in S$ . Since  $6 \in S$  from the recursive step of S, we get  $x + 6 \in S$ , so P(x+6) is true, and since  $15 \in S$ we get  $x + 15 \in S$ , so P(x+15) is true.
- **5.** Therefore P(x) (i.e.,  $x \in S$ ) for all  $x \in R$  by induction.

<b>Basis:</b> $6 \in S$ ; $15 \in S$	<b>Basis:</b> $12 \in R$ ; $15 \in R$
<b>Recursive:</b> if $x, y \in S$ ,	<b>Recursive:</b> if $x \in R$ , then $x + 6 \in R$
then $x + y \in S$	and $x + 15 \in R$

- Recursively defined functions and sets are our mathematical models of code and the data it uses
  - recursively defined sets can be translated into Java classes
  - recursively defined functions can be translated into Java functions

some (but not all) can be written more cleanly as loops

• Can now do proofs about CS-specific objects

# **Lists of Integers**

- **Basis:** nil ∈ **List**
- Recursive step:
   if L ∈ List and a ∈ Z,
   then a :: L ∈ List

# **Examples:**

- nil
- 1 :: nil
- 2 :: 1 :: nil
- 3 :: 2 :: 1 :: nil

[] [1] [2, 1] [3, 2, 1] Assume that the recursive definition of *S* gives a unique way to construct every element of *S*.

We can define the values of a function *f* on *S* recursively as follows:

**Basis:** Define f(u) for all specific elements u of S mentioned in the Basis step

**Recursive Step:** Define f(w) for each of the new elements w constructed in terms of f applied to each of the existing named elements mentioned in the *Recursive step* 

Basis: nil  $\in$  ListRecursive step:if  $L \in$  List and  $a \in \mathbb{Z}$ ,then  $a :: L \in$  List

### Length:

len(nil) := 0len(a :: L) := len(L) + 1

for any  $L \in \textbf{List}$  and  $a \in \mathbb{Z}$ 

### **Concatenation:**

concat(nil, R) := R
concat(a :: L, R) := a :: concat(L, R)

for any  $R \in List$ for any L,  $R \in List$  and any  $a \in \mathbb{Z}$  How to prove  $\forall x \in S, P(x)$  is true:

Basis→ nil ∈ List

**Recursive step:** 

if  $L \in List$  and  $a \in \mathbb{Z}$ ,

then a :: L ∈ List

**Base Case:** Show that P(u) is true for all specific elements u of S mentioned in the Basis step

Inductive Hypothesis: Assume that *P* is true for some arbitrary values of *each* of the existing named elements mentioned in the *Recursive step* 

**Inductive Step:** Prove that P(w) holds for each of the new elements w constructed in the Recursive step using the named elements mentioned in the Inductive Hypothesis

**Conclude** that  $\forall x \in S, P(x)$ 

### **Claim:** len(concat(L, R)) = len(L) + len(R) for all $L, R \in List$

#### Length:

 $\frac{\text{len(nil)} := 0}{\text{len}(a :: L) := \frac{\text{len}(L) + 1}{2}$ 

#### **Concatenation:**

Length:

 $\frac{\text{len(nil)} := 0}{\text{len(a :: L)} := \frac{\text{len(L)} + 1}{\text{len(L)} + 1}$ 

**Concatenation:** 

**Base Case** (nil): Let  $R \in List$  be arbitrary. Then,

Length:

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**Concatenation:** 

**Base Case** (nil): Let  $R \in$  List be arbitrary. Then,

$$len(concat(nil, R)) = len(R) def of concat= 0 + len(R)= len(nil) + len(R) def of len$$

Since R was arbitrary, P(nil) holds.

**Base Case** (nil): Let  $R \in$  List be arbitrary. Then, len(concat(nil, R)) = len(R) = 0 + len(R) = len(nil) + len(R), showing P(nil).

**Inductive Hypothesis:** Assume that P(L) is true for some arbitrary  $L \in List$ , i.e., len(concat(L, R)) = len(L) + len(R) for all  $R \in List$ .

**Basis:** nil  $\in$  List **Recursive step: if**  $L \in$  List and  $a \in \mathbb{Z}$ , **then**  $a :: L \in$  List

**Base Case** (nil): Let  $R \in$  List be arbitrary. Then, len(concat(nil, R)) = len(R) = 0 + len(R) = len(nil) + len(R), showing P(nil).

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Let  $R \in List$  be arbitrary. Then, we can calculate len(concat(a :: L, R)) = len(a :: concat(L, R)) = 1 + len(concat(L, R)) = 1 + len(L) + len(R)= len(a :: L) + len(R)

def of concat def of len IH def of len

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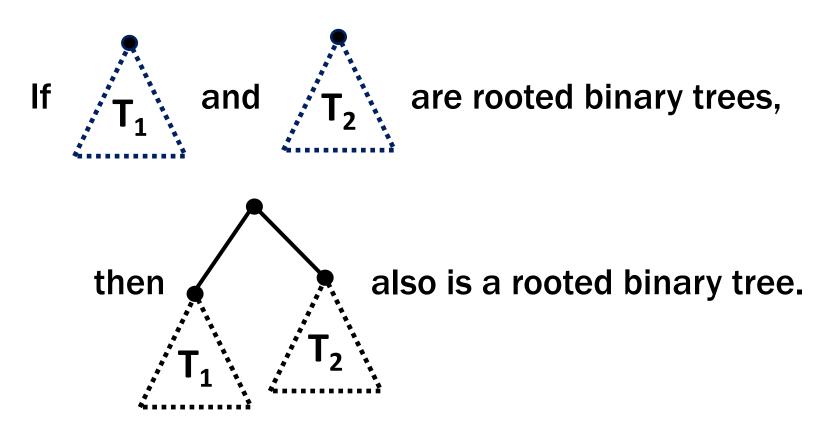
Let  $R \in List$  be arbitrary. Then, we can calculate len(concat(a :: L, R)) = len(a :: concat(L, R)) = 1 + len(concat(L, R)) = 1 + len(L) + len(R)= len(a :: L) + len(R)

def of concat def of len IH def of len

**Since** R was arbitrary, we have shown P(a :: L).

By induction, we have shown the claim holds for all  $L \in List$ .

- Basis:
   is a rooted binary tree
- Recursive step:



# **Defining Functions on Rooted Binary Trees**

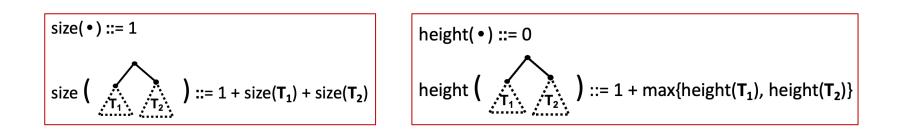
• size(•) := 1

• size 
$$\left( \begin{array}{c} & & \\ &$$

• height(•) := 0

• height 
$$\left( \begin{array}{c} & & \\ & & & \\ & & \\ & & & \\ & & \\ & & & \\ & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\$$

**1.** Let P(T) be "size(T)  $\leq 2^{height(T)+1}-1$ ". We prove P(T) for all rooted binary trees T by structural induction.



**1.** Let P(T) be "size(T)  $\leq 2^{height(T)+1}-1$ ". We prove P(T) for all rooted binary trees T by structural induction.

**2.** Base Case: size(•)=1, height(•)=0, and 2<sup>0+1</sup>-1=2<sup>1</sup>-1=1 so P(•) is true.

- **1.** Let P(T) be "size(T)  $\leq 2^{height(T)+1}-1$ ". We prove P(T) for all rooted binary trees T by structural induction.
- **2.** Base Case: size(•)=1, height(•)=0, and 2<sup>0+1</sup>-1=2<sup>1</sup>-1=1 so P(•) is true.
- 3. Inductive Hypothesis: Suppose that  $P(T_1)$  and  $P(T_2)$  are true for some rooted binary trees  $T_1$  and  $T_2$ , i.e., size $(T_k) \le 2^{height(T_k) + 1} 1$  for k=1,2
- 4. Inductive Step:

Goal: Prove P(

- **1.** Let P(T) be "size(T)  $\leq 2^{height(T)+1}-1$ ". We prove P(T) for all rooted binary trees T by structural induction.
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Goal: Prove P(

size(•) ::= 1  
size 
$$\left( \begin{array}{c} & & \\$$

$$\begin{array}{c} \text{height}(\bullet) ::= 0\\ \text{height}\left(\overbrace{\uparrow_1},\overbrace{\uparrow_2}\right) ::= 1 + \max\{\text{height}(\mathsf{T}_1), \text{height}(\mathsf{T}_2)\} \\ \end{array} \leq 2 \end{array}$$

size( 🏑

$$2^{\text{height}}$$
  $( )^{+1} - 1$ 

- **1.** Let P(T) be "size(T)  $\leq 2^{height(T)+1}-1$ ". We prove P(T) for all rooted binary trees T by structural induction.
- **2.** Base Case: size(•)=1, height(•)=0, and 2<sup>0+1</sup>-1=2<sup>1</sup>-1=1 so P(•) is true.
- 3. Inductive Hypothesis: Suppose that  $P(T_1)$  and  $P(T_2)$  are true for some rooted binary trees  $T_1$  and  $T_2$ , i.e., size $(T_k) \le 2^{height(T_k) + 1} 1$  for k=1,2
- Goal: Prove P( 4. Inductive Step: By def, size(  $\lambda_1$  ) =1+size(T<sub>1</sub>)+size(T<sub>2</sub>)  $< 1+2^{height}(T_1)+1-1+2^{height}(T_2)+1-1$ by IH for  $T_1$  and  $T_2$  $= 2^{height}(T_1)+1+2^{height}(T_2)+1-1$  $\leq 2 \cdot \max(2^{\operatorname{height}(T_1)+1}, 2^{\operatorname{height}(T_2)+1}) - 1$  $\leq 2(2^{\max(\operatorname{height}(T_1),\operatorname{height}(T_2))+1})-1$  $\leq 2(2^{\text{height}}(\sqrt{2})) - 1 \leq 2^{\text{height}}(\sqrt{2})^{+1} - 1$ which is what we wanted to show. **5.** So, the P(T) is true for all rooted binary trees by structural induction.

- An alphabet  $\Sigma$  is any finite set of characters
- The set  $\Sigma^*$  of strings over the alphabet  $\Sigma$ 
  - example: {0,1}\* is the set of binary strings 0, 1, 00, 01, 10, 11, 000, 001, ... and ""
- Σ\* is defined recursively by
  Basis: ε ∈ Σ\* (ε is the empty string, i.e., "")
  Recursive: if w ∈ Σ\*, a ∈ Σ, then wa ∈ Σ\*

# Palindromes are strings that are the same when read backwards and forwards

### **Basis:**

 $\epsilon$  is a palindrome any  $a \in \Sigma$  is a palindrome

### **Recursive step:**

If p is a palindrome, then apa is a palindrome for every  $a \in \Sigma$ 

# Functions on Recursively Defined Sets (on $\Sigma^*$ )

Length:

len( $\varepsilon$ ) := 0 len(wa) := len(w) + 1 for w  $\in \Sigma^*$ , a  $\in \Sigma$ 

**Concatenation:** 

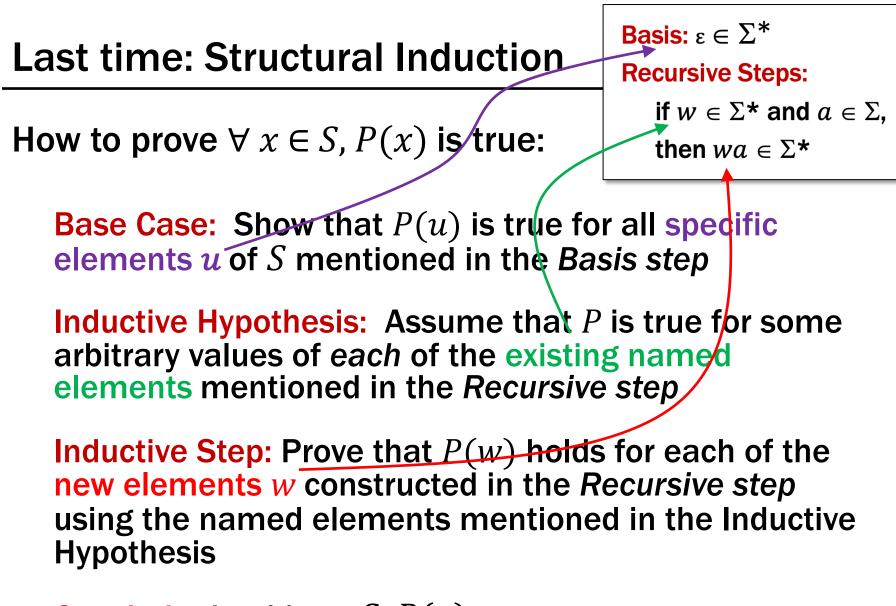
$$x \bullet \varepsilon := x \text{ for } x \in \Sigma^*$$
  
  $x \bullet wa := (x \bullet w)a \text{ for } x \in \Sigma^*, a \in \Sigma$ 

**Reversal:** 

$$\varepsilon^{R} := \varepsilon$$
  
(wa)<sup>R</sup> := a • w<sup>R</sup> for w  $\in \Sigma^{*}$ , a  $\in \Sigma$ 

Number of c's in a string:

$$\begin{aligned} \#_{c}(\varepsilon) &:= 0 & \text{separate cases for} \\ \#_{c}(wc) &:= \#_{c}(w) + 1 \text{ for } w \in \Sigma^{*} & \text{c vs } a \neq c \\ \#_{c}(wa) &:= \#_{c}(w) \text{ for } w \in \Sigma^{*}, a \in \Sigma, a \neq c \end{aligned}$$



**Conclude** that  $\forall x \in S, P(x)$ 

# **Claim:** len(x•y) = len(x) + len(y) for all $x, y \in \Sigma^*$

Let P(y) be "len(x•y) = len(x) + len(y) for all  $x \in \Sigma^*$ ". We prove P(y) for all  $y \in \Sigma^*$  by structural induction.

**Base Case**  $(y = \varepsilon)$ : Let  $x \in \Sigma^*$  be arbitrary. Then,  $len(x \bullet \varepsilon) = len(x) = len(x) + len(\varepsilon)$  since  $len(\varepsilon)=0$ . Since x was arbitrary,  $P(\varepsilon)$  holds.

**Inductive Hypothesis:** Assume that P(w) is true for some arbitrary  $w \in \Sigma^*$ , i.e.,  $len(x \bullet w) = len(x) + len(w)$  for all x

# **Claim:** len(x•y) = len(x) + len(y) for all $x,y \in \Sigma^*$

Let P(y) be "len(x•y) = len(x) + len(y) for all  $x \in$ We prove P(y) for all  $y \in \Sigma^*$  by structural indu Does this look familiar?

**Base Case**  $(y = \varepsilon)$ : Let  $x \in \Sigma^*$  be arbitrary. Then,  $len(x \bullet \varepsilon) = len(x) = len(x) + len(\varepsilon)$  since  $len(\varepsilon)=0$ . Since x was arbitrary,  $P(\varepsilon)$  holds.

**Inductive Hypothesis:** Assume that P(w) is true for some arbitrary  $w \in \Sigma^*$ , i.e.,  $len(x \bullet w) = len(x) + len(w)$  for all x

**Inductive Step:** Goal: Show that P(wa) is true for every  $a \in \Sigma$ 

Let  $a \in \Sigma$  and  $x \in \Sigma^*$ . Then  $len(x \bullet wa) = len((x \bullet w)a)$  by def of  $\bullet$ 

=  $len(x \bullet w)+1$  by def of len

= len(x)+len(w)+1 **by I.H.** 

= len(x)+len(wa) by def of len

**Therefore**,  $len(x \bullet wa) = len(x) + len(wa)$  for all  $x \in \Sigma^*$ , so P(wa) is true.

So, by induction  $len(x \bullet y) = len(x) + len(y)$  for all  $x, y \in \Sigma^*$