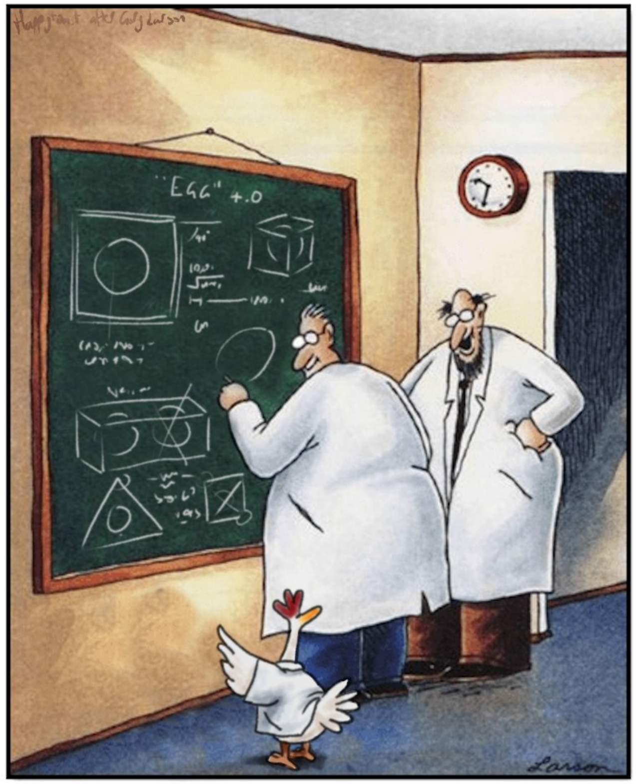
CSE 311: Foundations of Computing

Lecture 17: Structural Induction



What's that Doctor McCluckles? Making them ovoid would increase structural integrity and enable a more comfortable delivery? He's right again Professor!

Midterm

- Midterm in class next Wednesday
- Covers material up to ordinary induction (HW5)
- Closed book, closed notes
  - will provide reference sheets
- No calculators
  - arithmetic is intended to be straightforward
  - (only a small point deduction anyway)

Section 5
lecture 14

DON'T ERASE

Zoom Monday 4:30
Ask w/

Midterm

- 5 problems covering:
  - Propositional Logic

    Including circuits / Boolean algebra / normal forms
  - Predicate Logic/English Translation
  - Modular arithmetic
  - Set theory
  - Induction

- 10 minutes per problem
  - write quickly, don't get stuck on one problem
  - focus on the overall structure of the solution

CSE 311: Foundations of Computing

Lecture 17: Structural Induction

Last time: Structural Induction

How to prove $\forall\, x \in S, P(x)$ is true:

Base Case: Show that $P(u)$ is true for all specific elements u of S mentioned in the ***Basis step***

Inductive Hypothesis: Assume that P is true for some arbitrary values of ***each*** of the existing named elements mentioned in the ***Recursive step***

Inductive Step: Prove that $P(w)$ holds for each of the new elements w constructed in the ***Recursive step*** using the named elements mentioned in the Inductive Hypothesis

Conclude that $\forall\, x \in S, P(x)$

Last time: Using Structural Induction

- Let S be given by…
  - Basis: $6 \in S$; $15 \in S$
  - Recursive: if $x, y \in S$ then $x + y \in S$.

(& nothing else is: Everything in the set is constructed ~~from the~~ from a finite # of recursive steps after base case.

Exclusion Rule

Claim: Every element of S is divisible by 3.

Last time: Every element of S is divisible by 3.

1. **Let** P(x) **be** “3|x”. **We prove that** P(x) **is true for all** x ∈ S **by structural induction.**
2. **Base Case:** 3|6 **and** 3|15 **so** P(6) **and** P(15) **are true**
3. **Inductive Hypothesis: Suppose that** P(x) **and** P(y) **are true for some arbitrary** x,y ∈ S
4. **Inductive Step:** **Goal: Show** P(x+y)

   Since P(x) **is true,** 3|x **and so** x=3m **for some integer** m **and since** P(y) **is true,** 3|y **and so** y=3n **for some integer** n**.** **Therefore** x+y=3m+3n=3(m+n) **and thus** 3|(x+y).

   Hence P(x+y) **is true.**
5. **Therefore by induction** 3|x **for all** x ∈ S.

Basis: $6 \in S$; $15 \in S$

Recursive: if $x, y \in S$ then $x + y \in S$

More Structural Induction

- Let R be given by…
  - Basis: $12 \in R$; $15 \in R$
  - Recursive: if $x \in R$, then $x + 6 \in R$ and $x + 15 \in R$

- Two base cases and two *recursive* cases, one existing element.

Claim: $R \subseteq S$; i.e. every element of R is also in S.

Proof needs structural induction using definition of R since statement is of the form $\forall x \in R.\ P(x)$

Claim: Every element of R is in S. ($R \subseteq S$)

1. **Let** P(x) **be** "x ∈ S". **We prove that** P(x) **is true for all** x ∈ R **by structural induction.**
2. **Base Case:** (12): 6 ∈ S **so** 6+6=12 ∈ S **by definition of** S, **so** P(12)
   (15): 15 ∈ S, **so** P(15) **is also true**
3. **Ind. Hyp: Suppose that** P(x) **is true for some arbitrary** x ∈ R
4. **Inductive Step:** **Goal: Show** P(x+6) **and** P(x+15)

   Since P(x) **holds, we have** x ∈ S. **Since** 6 ∈ S **from the recursive step of** S, **we get** x + 6 ∈ S, **so** P(x+6) **is true, and since** 15 ∈ S **we get** x + 15 ∈ S, **so** P(x+15) **is true.**
5. **Therefore** P(x) (**i.e.,** x ∈ S) **for all** x ∈ R **by induction.**

Basis: $6 \in S$; $15 \in S$	**Basis:** $12 \in R$; $15 \in R$
Recursive: if $x, y \in S$, then $x + y \in S$	**Recursive:** if $x \in R$, then $x + 6 \in R$ and $x + 15 \in R$

Recursive Definitions

- Recursively defined functions and sets are our mathematical models of code and the data it uses
  - recursively defined sets can be translated into Java classes
  - recursively defined functions can be translated into Java functions
    - some (but not all) can be written more cleanly as loops

- Can now do proofs about CS-specific objects

Lists of Integers

- **Basis:** nil ∈ **List**
- **Recursive step:**

  if L ∈ **List and** a ∈ ℤ,

  then a :: L ∈ **List**

Examples:

– nil	[]
– 1 :: nil	[1]
– 1 :: 2 :: nil	[1, 2]
– 1 :: 2 :: 3 :: nil	[1, 2, 3]

nil

3 :: nil

2 :: 3 :: nil

3 :: 1 :: 2 :: nil

[3, 1, 2]

Functions on Recursively Defined Sets

Assume that the recursive definition of S gives a unique way to construct every element of S.

We can define the values of a function f on S recursively as follows:

Basis: Define $f(u)$ for all specific elements u of S mentioned in the *Basis step*

Recursive Step: Define $f(w)$ for each of the new elements w constructed in terms of f applied to each of the existing named elements mentioned in the *Recursive step*

Functions on Lists

Basis: nil ∈ **List**

Recursive step:

if L ∈ **List and** a ∈ ℤ,

then a :: L ∈ **List**

[ ]
nil

Length:

len(nil) := 0

len(a :: L) := len(L) + 1 **for any** L ∈ **List and** a ∈ ℤ

Concatenation:

concat(nil, R) := R **for any** R ∈ **List**

concat(a :: L, R) := a :: concat(L, R) **for any** L, R ∈ **List and any** a ∈ ℤ

Structural Induction

Basis: $\mathrm{nil} \in$ **List**

Recursive step:

if $\mathrm{L} \in$ **List and** $\mathrm{a} \in \mathbb{Z}$,

then $\mathrm{a} :: \mathrm{L} \in$ **List**

How to prove $\forall\, x \in S, P(x)$ is true:

Base Case: Show that $P(u)$ is true for all specific elements u of S mentioned in the *Basis step*

Inductive Hypothesis: Assume that P is true for some arbitrary values of *each* of the existing named elements mentioned in the *Recursive step*

Inductive Step: Prove that $P(w)$ holds for each of the new elements w constructed in the *Recursive step* using the named elements mentioned in the Inductive Hypothesis

Conclude that $\forall\, x \in S, P(x)$

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let P(L) be "len(concat(L, R)) = len(L) + len(R) for all R ∈ List"

Length:

$\text{len}(\text{nil}) := 0$

$\text{len}(a :: L) := \text{len}(L) + 1$

Concatenation:

$\text{concat}(\text{nil}, R) := R$

$\text{concat}(a :: L, R) := a :: \text{concat}(L, R)$

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let $P(L)$ **be "**$\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $R \in$ **List".**
We prove $P(L)$ **for all** $L \in$ **List by structural induction.**

Base Case: P(nil)

concat(nil, R) = R

LHS = len(R)

RHS len(nil) + len(R)

0

= len(R)

Length:

$\text{len}(\text{nil}) := 0$
$\text{len}(a :: L) := \text{len}(L) + 1$

Concatenation:

$\text{concat}(\text{nil}, R) := R$
$\text{concat}(a :: L, R) := a :: \text{concat}(L, R)$

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let $P(L)$ **be** "$\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $R \in$ **List**" .
We prove $P(L)$ **for all** $L \in$ **List by structural induction.**

Base Case (nil): **Let** $R \in$ **List be arbitrary. Then,**

Length:

$\text{len}(\text{nil}) := 0$
$\text{len}(a :: L) := \text{len}(L) + 1$

Concatenation:

$\text{concat}(\text{nil}, R) := R$
$\text{concat}(a :: L, R) := a :: \text{concat}(L, R)$

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let P(L) **be** "$\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** R ∈ **List**" .
We prove P(L) **for all** L ∈ **List by structural induction.**

Base Case (nil): **Let** R ∈ **List be arbitrary. Then,**

$$\begin{aligned} \text{len}(\text{concat}(\text{nil}, R)) &= \text{len}(R) && \textbf{def of concat} \\ &= 0 + \text{len}(R) \\ &= \text{len}(\text{nil}) + \text{len}(R) && \textbf{def of len} \end{aligned}$$

Since R **was arbitrary,** P(nil) **holds.**

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let $P(L)$ **be** "$\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $R \in$ **List**".
We prove $P(L)$ **for all** $L \in$ **List by structural induction.**

Base Case (nil)**: Let** $R \in$ **List be arbitrary. Then,** $\text{len}(\text{concat}(\text{nil}, R))$ $= \text{len}(R) = 0 + \text{len}(R) = \text{len}(\text{nil}) + \text{len}(R)$**, showing** $P(\text{nil})$**.**

Inductive Hypothesis: Assume that $P(L)$ **is true for some arbitrary** $L \in$ List**, i.e.,** $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $R \in$ **List.**

$P(a :: L)$

for all $a \in \mathbb{Z}$.

$P(\text{nil})$

$\forall L\ (P(L) \rightarrow P(a :: L))$

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let P(L) **be** "$\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** R ∈ **List**".
We prove P(L) **for all** L ∈ **List by structural induction.**

Base Case (nil)**: Let** R ∈ **List be arbitrary. Then,** $\text{len}(\text{concat}(\text{nil}, R))$
$= \text{len}(R) = 0 + \text{len}(R) = \text{len}(\text{nil}) + \text{len}(R)$**, showing** P(nil)**.**

Inductive Hypothesis: Assume that P(L) **is true for some arbitrary** L ∈ List**, i.e.,** $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** R ∈ **List.**

Inductive Step: **Goal: Show that** P(a :: L) **is true**

$\text{len}(\text{concat}(a :: L, R)) = \text{len}(a :: \text{concat}(L, R))$
$= \text{len}(\text{concat}(L, R)) + 1$
$= \text{len}(L) + \text{len}(R) + 1$ by I.H.
$= \text{len}(a :: L) + \text{len}(R)$

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let P(L) **be** "$\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** R ∈ **List**".
We prove P(L) **for all** L ∈ **List by structural induction.**

Base Case (nil): **Let** R ∈ **List be arbitrary. Then,** $\text{len}(\text{concat}(\text{nil}, R)) = \text{len}(R) = 0 + \text{len}(R) = \text{len}(\text{nil}) + \text{len}(R)$, **showing** P(nil).

Inductive Hypothesis: Assume that P(L) **is true for some arbitrary** L ∈ List, **i.e.,** $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** R ∈ **List.**

Inductive Step: **Goal: Show that** P(a :: L) **is true**

Let R ∈ **List be arbitrary. Then,**

Length:

len(nil) := 0
len(a :: L) := len(L) + 1

Concatenation:

concat(nil, R) := R
concat(a :: L, R) := a :: concat(L, R)

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let P(L) **be** "$\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** R ∈ **List**".
We prove P(L) **for all** L ∈ **List by structural induction.**

Base Case (nil): **Let** R ∈ **List be arbitrary. Then,** $\text{len}(\text{concat}(\text{nil}, R))$ $= \text{len}(R) = 0 + \text{len}(R) = \text{len}(\text{nil}) + \text{len}(R)$, **showing** P(nil).

Inductive Hypothesis: Assume that P(L) **is true for some arbitrary** L ∈ List, **i.e.,** $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** R ∈ **List.**

Inductive Step: **Goal: Show that** P(a :: L) **is true**

Let R ∈ **List be arbitrary. Then, we can calculate**

$$
\begin{aligned}
\text{len}(\text{concat}(a :: L, R)) &= \text{len}(a :: \text{concat}(L, R)) && \textbf{def of } \text{concat} \\
&= 1 + \text{len}(\text{concat}(L, R)) && \textbf{def of } \text{len} \\
&= 1 + \text{len}(L) + \text{len}(R) && \textbf{IH} \\
&= \text{len}(a :: L) + \text{len}(R) && \textbf{def of } \text{len}
\end{aligned}
$$

Claim: $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $L, R \in$ **List**

Let P(L) **be** "$\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $R \in$ **List**".
We prove P(L) **for all** $L \in$ **List by structural induction.**

Base Case (nil): **Let** $R \in$ **List be arbitrary. Then,** $\text{len}(\text{concat}(\text{nil}, R)) = \text{len}(R) = 0 + \text{len}(R) = \text{len}(\text{nil}) + \text{len}(R)$, **showing** P(nil).

Inductive Hypothesis: Assume that P(L) **is true for some arbitrary** $L \in$ List, **i.e.,** $\text{len}(\text{concat}(L, R)) = \text{len}(L) + \text{len}(R)$ **for all** $R \in$ **List.**

Inductive Step: **Goal: Show that** $P(a :: L)$ **is true**

Let $R \in$ **List be arbitrary. Then, we can calculate**

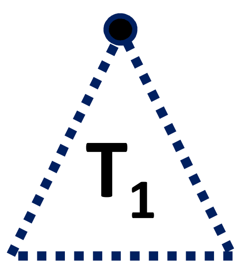
$$
\begin{aligned}
\text{len}(\text{concat}(a :: L, R)) &= \text{len}(a :: \text{concat}(L, R)) && \textbf{def of } \text{concat} \\
&= 1 + \text{len}(\text{concat}(L, R)) && \textbf{def of } \text{len} \\
&= 1 + \text{len}(L) + \text{len}(R) && \textbf{IH} \\
&= \text{len}(a :: L) + \text{len}(R) && \textbf{def of } \text{len}
\end{aligned}
$$

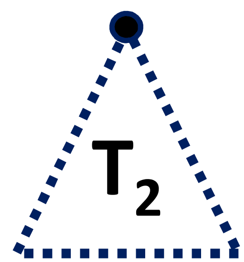
Since R **was arbitrary, we have shown** $P(a :: L)$.

By induction, we have shown the claim holds for all $L \in$ **List.**

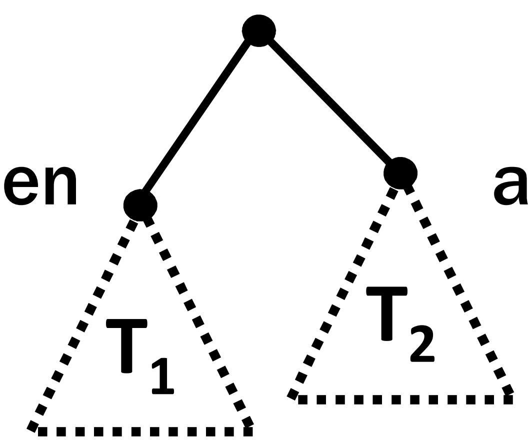
Rooted Binary Trees

- **Basis:**  is a rooted binary tree
- **Recursive step:**

If 

and 

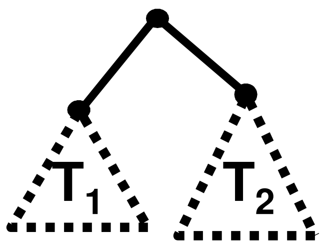
are rooted binary trees,

then 

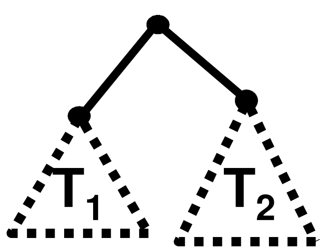
also is a rooted binary tree.

Defining Functions on Rooted Binary Trees

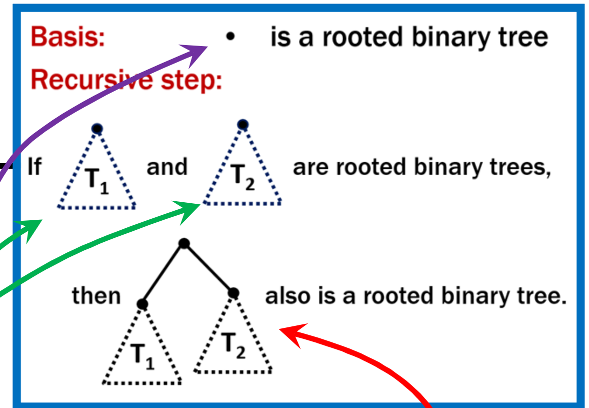
- size(•) := 1

- size () := 1 + size($\mathbf{T_1}$) + size($\mathbf{T_2}$)

- height(•) := 0

- height () := 1 + max{height($\mathbf{T_1}$), height($\mathbf{T_2}$)}

Last time: Structural Induction



How to prove $\forall\, x \in S, P(x)$ is true:

Base Case: Show that $P(u)$ is true for all specific elements u of S mentioned in the *Basis step*

Inductive Hypothesis: Assume that P is true for some arbitrary values of *each* of the existing named elements mentioned in the *Recursive step*

Inductive Step: Prove that $P(w)$ holds for each of the new elements w constructed in the *Recursive step* using the named elements mentioned in the Inductive Hypothesis

Conclude that $\forall\, x \in S, P(x)$

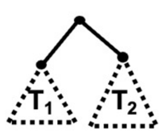
Claim: **For every rooted binary tree T,** $\text{size}(\mathbf{T}) \leq 2^{\text{height}(\mathbf{T}) + 1} - 1$

Claim: **For every rooted binary tree T,** size(**T**) ≤ $2^{\text{height}(\mathbf{T}) + 1} - 1$

---

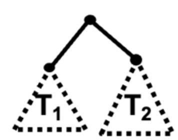
1. Let P(**T**) **be** "size(T) ≤ $2^{\text{height(T)+1}}$–1". **We prove** P(**T**) **for all rooted binary trees T by structural induction.**

size(•) ::= 1

size (

) ::= 1 + size($\mathbf{T_1}$) + size($\mathbf{T_2}$)

height(•) ::= 0

height (

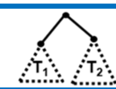
) ::= 1 + max{height($\mathbf{T_1}$), height($\mathbf{T_2}$)}

Claim: **For every rooted binary tree T,** $\text{size}(\mathbf{T}) \leq 2^{\text{height}(\mathbf{T})+1} - 1$

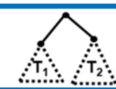
1. **Let** $P(\mathbf{T})$ **be** "$\text{size}(T) \leq 2^{\text{height}(T)+1}-1$". **We prove** $P(\mathbf{T})$ **for all rooted binary trees T by structural induction.**
2. **Base Case:** $\text{size}(\bullet)=1$, $\text{height}(\bullet)=0$, **and** $2^{0+1}-1=2^1-1=1$ **so** $P(\bullet)$ **is true.**

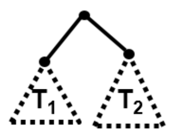
Claim: For every rooted binary tree T, size(T) $\leq 2^{\text{height}(T)+1} - 1$

1. **Let** P(**T**) **be** "size(T) $\leq 2^{\text{height}(T)+1}-1$". **We prove** P(**T**) **for all rooted binary trees T by structural induction.**
2. **Base Case:** size(•)=1, height(•)=0, **and** $2^{0+1}-1=2^1-1=1$ **so** P(•) **is true.**
3. **Inductive Hypothesis: Suppose that** P(T_1) **and** P(T_2) **are true for some rooted binary trees T_1 and T_2, i.e.,** size(T_k) $\leq 2^{\text{height}(T_k)+1} - 1$ **for** k=1,2
4. **Inductive Step:**

Goal: Prove P().

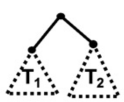
Claim: For every rooted binary tree T, size(T) ≤ $2^{\text{height}(T)+1} - 1$

1. Let P(T) be "size(T) ≤ $2^{\text{height}(T)+1}-1$". We prove P(T) for all rooted binary trees T by structural induction.
2. Base Case: size(•)=1, height(•)=0, and $2^{0+1}-1=2^1-1=1$ so P(•) is true.
3. Inductive Hypothesis: Suppose that P(T_1) and P(T_2) are true for some rooted binary trees T_1 and T_2, i.e., size(T_k) ≤ $2^{\text{height}(T_k)+1} - 1$ for k=1,2
4. Inductive Step: **Goal: Prove P().**

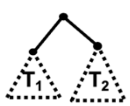
size()

≤ $2^{\text{height}(}$  $^{)+1} - 1$

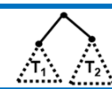
size(•) ::= 1

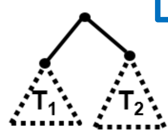
size () ::= 1 + size(T_1) + size(T_2)

height(•) ::= 0

height () ::= 1 + max{height(T_1), height(T_2)}

Claim: For every rooted binary tree T, size(T) ≤ $2^{\text{height}(T)+1} - 1$

1. **Let** P(**T**) **be** "size(T) ≤ $2^{\text{height}(T)+1}-1$". **We prove** P(**T**) **for all rooted binary trees T by structural induction.**
2. **Base Case:** size(•)=1, height(•)=0, **and** $2^{0+1}-1=2^1-1=1$ **so** P(•) **is true.**
3. **Inductive Hypothesis: Suppose that** P($\mathbf{T_1}$) **and** P($\mathbf{T_2}$) **are true for some rooted binary trees $\mathbf{T_1}$ and $\mathbf{T_2}$, i.e.,** size($\mathbf{T_k}$) ≤ $2^{\text{height}(T_k)+1} - 1$ **for** k=1,2
4. **Inductive Step:** **Goal: Prove** P().

By def, size() $=1+\text{size}(\mathbf{T_1})+\text{size}(\mathbf{T_2})$

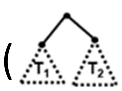
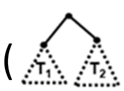
$\leq 1+2^{\text{height}(\mathbf{T_1})+1}-1+2^{\text{height}(\mathbf{T_2})+1}-1$

by IH for $\mathbf{T_1}$ and $\mathbf{T_2}$

$\leq 2^{\text{height}(\mathbf{T_1})+1}+2^{\text{height}(\mathbf{T_2})+1}-1$

$\leq 2\cdot\max(2^{\text{height}(\mathbf{T_1})+1},2^{\text{height}(\mathbf{T_2})+1})-1$

$\leq 2(2^{\max(\text{height}(\mathbf{T_1}),\text{height}(\mathbf{T_2}))+1})-1$

≤ 2(2^height()) − 1 ≤ 2^height()+1 − 1

which is what we wanted to show.

5. **So, the** P(T) **is true for all rooted binary trees by structural induction.**