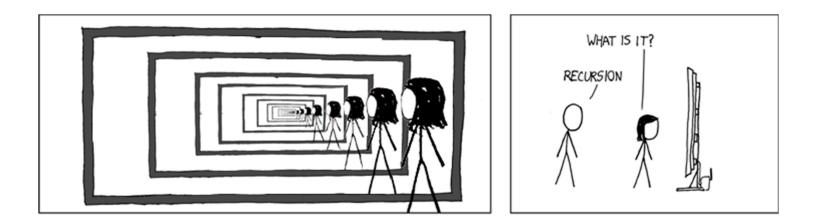
CSE 311: Foundations of Computing

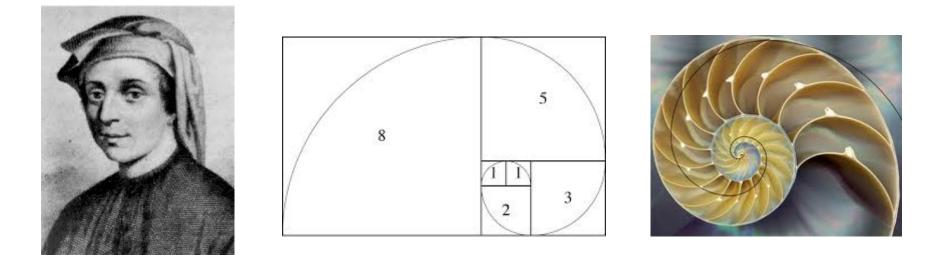
Lecture 16: Recursively Defined Sets & Structural Induction



Last time: Fibonacci Numbers

$$f_0 = 0$$

 $f_1 = 1$
 $f_n = f_{n-1} + f_{n-2}$ for all $n \ge 2$



Last Time: Upper Bound $f_n < 2^n$ for all $n \ge 0$

- **1.** Let P(n) be " $f_n < 2^n$ ". We prove that P(n) is true for all integers $n \ge 0$ by strong induction.
- **2.** Base Case: $f_0 = 0 < 1 = 2^0$ so P(0) is true.
- 3. Inductive Hypothesis: Assume that for some arbitrary integer $k \ge 0$, we have $f_i < 2^j$ for every integer j from 0 to k.
- 4. Inductive Step: Goal: Show P(k+1); that is, $f_{k+1} < 2^{k+1}$

<u>Case k+1 = 1</u>: Then $f_1 = 1 < 2 = 2^1$ so P(k+1) is true here.

Case k+1 ≥ 2: Then
$$f_{k+1} = f_k + f_{k-1}$$
 by definition
 $< 2^k + 2^{k-1}$ by the IH since k-1 ≥ 0
 $< 2^k + 2^k = 2 \cdot 2^k = 2^{k+1}$
so P(k+1) is true in this case.

These are the only cases so P(k+1) follows.

5. Therefore by strong induction,

 $f_n < 2^n$ for all integers $n \ge 0$.

$$f_0 = 0$$
 $f_1 = 1$
 $f_n = f_{n-1} + f_{n-2}$ for all $n \ge 2$

Inductive Proofs with Multiple Base Cases

- **1.** "Let P(n) be.... We will show that P(n) is true for all integers $n \ge b$ by induction."
- **2.** "Base Cases:" Prove P(b), P(b + 1), ..., P(c)
- **3. "Inductive Hypothesis:**

Assume P(k) is true for an arbitrary integer $k \ge c$

4. "Inductive Step:" Prove that P(k + 1) is true:

Use the goal to figure out what you need.

Make sure you are using I.H. and point out where you are using it. (Don't assume P(k + 1) !!)

5. "Conclusion: P(n) is true for all integers $n \ge b$ "

(Strong) Inductive Proofs with Multiple Base Cases

- **1.** "Let P(n) be.... We will show that P(n) is true for all integers $n \ge b$ by strong induction."
- **2.** "Base Cases:" Prove P(b), P(b + 1), ..., P(c)
- **3. "Inductive Hypothesis:**

Assume that for some arbitrary integer $k \ge c$,

P(j) is true for every integer *j* from *b* to k"

4. "Inductive Step:" Prove that P(k + 1) is true:

Use the goal to figure out what you need.

Make sure you are using I.H. (that P(b), ..., P(k) are true) and point out where you are using it. (Don't assume P(k + 1) !!)

5. "Conclusion: P(n) is true for all integers $n \ge b$ "

Original Version

Bounding Fibonacci I: $f_n < 2^n$ for all $n \ge 0$

- **1.** Let P(n) be " $f_n < 2^n$ ". We prove that P(n) is true for all integers $n \ge 0$ by strong induction.
- **2.** Base Case: $f_0 = 0 < 1 = 2^0$ so P(0) is true.
- 3. Inductive Hypothesis: Assume that for some arbitrary integer $k \ge 0$, we have $f_i < 2^j$ for every integer j from 0 to k.
- 4. Inductive Step: Goal: Show P(k+1); that is, $f_{k+1} < 2^{k+1}$

<u>Case k+1 = 1</u>: Then $f_1 = 1 < 2 = 2^1$ so P(k+1) is true here.

Case $k+1 \ge 2$: Then $f_{k+1} = f_k + f_{k-1}$ by definitionFirst case in
inductive step
didn't need IH $< 2^k + 2^{k-1}$ by the IH since $k-1 \ge 0$
 $< 2^k + 2^k = 2 \cdot 2^k = 2^{k+1}$
so P(k+1) is true in this case.

These are the only cases so P(k+1) follows.

5. Therefore by strong induction,

 $f_n < 2^n$ for all integers $n \ge 0$.

$$f_0 = 0$$
 $f_1 = 1$
 $f_n = f_{n-1} + f_{n-2}$ for all $n \ge 2$

Multiple Base Case Version

Bounding Fibonacci I: $f_n < 2^n$ for all $n \ge 0$

- **1.** Let P(n) be " $f_n < 2^n$ ". We prove that P(n) is true for all integers $n \ge 0$ by strong induction.
- **2.** Base Cases: $f_0 = 0 < 1 = 2^0$ so P(0) is true.Two base casesLargest base case $f_1 = 1 < 2 = 2^1$ so P(1) is true.Smallest base case
- 3. Inductive Hypothesis: Assume that for some arbitrary integer $k \ge 1$, we have $f_j < 2^j$ for every integer j from 0 to k.
- 4. Inductive Step: Goal: Show P(k+1); that is, $f_{k+1} < 2^{k+1}$
 - We have $f_{k+1} = f_k + f_{k-1}$ by definition since $k+1 \ge 2$ $< 2^k + 2^{k-1}$ by the IH since $k-1 \ge 0$ $< 2^k + 2^k = 2 \cdot 2^k = 2^{k+1}$

so P(k+1) is true.

5. Therefore, by strong induction, $f_n < 2^n$ for all integers $n \ge 0$.

Two base cases, and two previous values used

$$f_0 = 0 \quad f_1 = 1 \\ f_n = f_{n-1} + f_{n-2} \text{ for all } n \ge 2$$

1. Let P(n) be " $f_n \ge 2^{n/2 - 1}$ ". We prove that P(n) is true for all integers $n \ge 2$ by strong induction.

Two base cases, and two previous values used

$$f_0 = 0 \quad f_1 = 1 f_n = f_{n-1} + f_{n-2} \text{ for all } n \ge 2$$

- **1.** Let P(n) be " $f_n \ge 2^{n/2 1}$ ". We prove that P(n) is true for all integers $n \ge 2$ by strong induction.
- **2.** Base Cases: $f_2 = f_1 + f_0 = 1$ and $2^{2/2 1} = 2^0 = 1$ so P(2) holds $f_3 = f_2 + f_1 = 2 \ge 2^{1/2} = 2^{3/2 - 1}$ so P(3) holds

$$f_0 = 0$$
 $f_1 = 1$
 $f_n = f_{n-1} + f_{n-2}$ for all $n \ge 2$

- **1.** Let P(n) be " $f_n \ge 2^{n/2 1}$ ". We prove that P(n) is true for all integers $n \ge 2$ by strong induction.
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- 3. Inductive Hypothesis: Assume that for some arbitrary integer $k \ge 3$, P(j) is true for every integer j from 2 to k.

$$f_0 = 0$$
 $f_1 = 1$
 $f_n = f_{n-1} + f_{n-2}$ for all $n \ge 2$

- **1.** Let P(n) be " $f_n \ge 2^{n/2 1}$ ". We prove that P(n) is true for all integers $n \ge 2$ by strong induction.
- **2.** Base Cases: $f_2 = f_1 + f_0 = 1$ and $2^{2/2 1} = 2^0 = 1$ so P(2) holds $f_3 = f_2 + f_1 = 2 \ge 2^{1/2} = 2^{3/2 - 1}$ so P(3) holds
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- 4. Inductive Step: Goal: Show P(k+1); that is, $f_{k+1} \ge 2^{(k+1)/2 1}$

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- **1.** Let P(n) be " $f_n \ge 2^{n/2 1}$ ". We prove that P(n) is true for all integers $n \ge 2$ by strong induction.
- **2.** Base Cases: $f_2 = f_1 + f_0 = 1$ and $2^{2/2 1} = 2^0 = 1$ so P(2) holds $f_3 = f_2 + f_1 = 2 \ge 2^{1/2} = 2^{3/2 - 1}$ so P(3) holds
- 3. Inductive Hypothesis: Assume that for some arbitrary integer $k \ge 3$, P(j) is true for every integer j from 2 to k.
- 4. Inductive Step: Goal: Show P(k+1); that is, $f_{k+1} \ge 2^{(k+1)/2 1}$

We have $f_{k+1} = f_k + f_{k-1}$ by definition since $k+1 \ge 2$ $\ge 2^{k/2-1} + 2^{(k-1)/2-1}$ by the IH since $k-1 \ge 2$ $\ge 2^{(k-1)/2-1} + 2^{(k-1)/2-1} = 2^{(k-1)/2} = 2^{(k+1)/2-1}$

so P(k+1) is true.

5. Therefore by strong induction, $f_n \ge 2^{n/2-1}$ for all integers $n \ge 2$.

Theorem: Suppose that Euclid's Algorithm takes *n* steps for gcd(a, b) with $a \ge b > 0$. Then, $a \ge f_{n+1}$.

Theorem: Suppose that Euclid's Algorithm takes *n* steps for gcd(a, b) with $a \ge b > 0$. Then, $a \ge f_{n+1}$.

Why does this help us bound the running time of Euclid's Algorithm?

We already proved that $f_n \ge 2^{n/2 - 1}$ so $f_{n+1} \ge 2^{(n-1)/2}$

Therefore: if Euclid's Algorithm takes n steps for gcd(a, b) with $a \ge b > 0$ then $a \ge 2^{(n-1)/2}$

> so $(n-1)/2 \le \log_2 a$ or $n \le 1+2 \log_2 a$ i.e., # of steps ≤ 1 + twice the # of bits in a.

Theorem: Suppose that Euclid's Algorithm takes *n* steps for gcd(a, b) with $a \ge b > 0$. Then, $a \ge f_{n+1}$.

An informal way to get the idea: Consider an n step gcd calculation starting with r_{n+1} =a and r_n =b:

Now $r_1 \ge 1$ and each q_k must be ≥ 1 . If we replace all the q_k 's by 1 and replace r_1 by 1, we can only reduce the r_k 's. After that reduction, $r_k = f_k$ for every k.

Theorem: Suppose that Euclid's Algorithm takes *n* steps for gcd(a, b) with $a \ge b > 0$. Then, $a \ge f_{n+1}$.

We go by strong induction on n.

Let P(n) be "gcd(a,b) with $a \ge b>0$ takes n steps $\rightarrow a \ge f_{n+1}$ " for all $n \ge 1$.

Base Case: n=1 Suppose Euclid's Algorithm with $a \ge b > 0$ takes 1 step. By assumption, $a \ge b \ge 1 = f_2$ so P(1) holds.

> n=2 Suppose Euclid's Algorithm with $a \ge b > 0$ takes 2 steps. Then a = qb + r

 $b = q' r + 0 \quad \text{for } r \ge 1.$

Since $a \ge b > 0$, we must have $q \ge 1$ and $b \ge 1$ so

a = qb + r \ge b + r \ge 1+1 = 2 = f₃ and P(2) holds

Induction Hypothesis: Suppose that for some integer $k \ge 2$, P(j) is true for all integers j s.t. $1 \le j \le k$

Induction Hypothesis: Suppose that for some integer $k \ge 2$, P(j) is true for all integers j s.t. $1 \le j \le k$

Inductive Step: Goal: if gcd(a,b) with $a \ge b > 0$ takes k+1 steps, then $a \ge f_{k+2}$.

Since $k \ge 2$, if gcd(a,b) with $a \ge b>0$ takes $k+1 \ge 3$ steps, the first 3 steps of Euclid's algorithm on a and b give us

and there are k-2 more steps after this. Note that this means that the gcd(b, r) takes k steps and gcd(r, r') takes k-1 steps.

So since k, $k-1 \ge 1$, by the IH we have $b \ge f_{k+1}$ and $r \ge f_k$.

Induction Hypothesis: Suppose that for some integer $k \ge 2$, P(j) is true for all integers j s.t. $1 \le j \le k$

Inductive Step: Goal: if gcd(a,b) with $a \ge b > 0$ takes k+1 steps, then $a \ge f_{k+2}$.

Since $k \ge 2$, if gcd(a,b) with $a \ge b>0$ takes $k+1 \ge 3$ steps, the first 3 steps of Euclid's algorithm on a and b give us

and there are k-2 more steps after this. Note that this means that the gcd(b, r) takes k steps and gcd(r, r') takes k-1 steps.

So since k, k-1 \ge 1, by the IH we have $b \ge f_{k+1}$ and $r \ge f_k$.

Also, since $a \ge b$, we must have $q \ge 1$.

So $a = qb + r \ge b + r \ge f_{k+1} + f_k = f_{k+2}$ as required.

Last time: Recursive definitions of functions

- $0! = 1; (n+1)! = (n+1) \cdot n!$ for all $n \ge 0$.
- F(0) = 0; F(n+1) = F(n) + 1 for all $n \ge 0$.
- G(0) = 1; $G(n+1) = 2 \cdot G(n)$ for all $n \ge 0$.
- H(0) = 1; $H(n + 1) = 2^{H(n)}$ for all $n \ge 0$.

Last time: Recursive definitions of functions

- Recursive functions allow general computation
 - saw examples not expressible with simple expressions
- So far, we have considered only simple data
 inputs and outputs were just integers
- We need general data as well...
 - these will also be described recursively
 - will allow us to describe data of real programs
 e.g., strings, lists, trees, expressions, propositions, ...
- We'll start simple: sets of numbers

Recursive Definitions of Sets (Data)

Natural numbers

Basis: $0 \in S$ **Recursive:** If $x \in S$, then $x+1 \in S$

Even numbers (≥ 0)Basis: $0 \in S$ Recursive:If $x \in S$, then $x+2 \in S$

Recursive definition of set S

- **Basis Step:** 0 ∈ S
- Recursive Step: If $x \in S$, then $x + 2 \in S$
- Exclusion Rule: Every element in S follows from the basis step and a finite number of recursive steps.

We need the exclusion rule because otherwise $S=\mathbb{N}$ would satisfy the other two parts. However, we won't always write it down on these slides.

Recursive Definitions of Sets

Natural numbers

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Even numbers (≥ 0)Basis: $0 \in S$ Recursive:If $x \in S$, then $x+2 \in S$

Powers of 3: Basis: $1 \in S$ Recursive: If $x \in S$, then $3x \in S$.

Basis: $(0, 0) \in S, (1, 1) \in S$ Recursive: If $(n-1, x) \in S$ and $(n, y) \in S$, then $(n+1, x + y) \in S$.

?

Recursive Definitions of Sets

Natural numbers

Basis: $0 \in S$ **Recursive:** If $x \in S$, then $x+1 \in S$

Even numbers (≥ 0)Basis: $0 \in S$ Recursive:If $x \in S$, then $x+2 \in S$

Powers of 3: Basis: $1 \in S$ Recursive: If $x \in S$, then $3x \in S$.

Basis: $(0, 0) \in S, (1, 1) \in S$ Recursive: If (n-1, x) ∈ S and (n, y) ∈ S, then (n+1, x + y) ∈ S. "Indexed" Fibonacci numbers $\{(n,f_n): n \in \mathbb{N} \}$

Last time: Recursive definitions of functions

- Before, we considered only simple data
 - inputs and outputs were just integers
- Proved facts about those functions with induction
 - n! ≤ nⁿ $f_n < 2^n$ and $f_n \ge 2^{n/2-1}$
- How do we prove facts about functions that work with more complex (recursively defined) data?
 - we need a more sophisticated form of induction

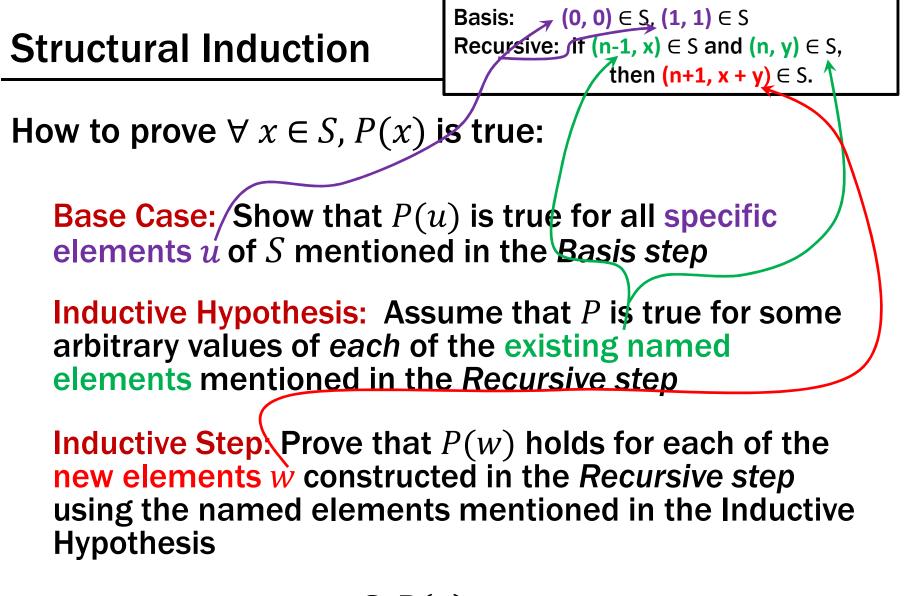
How to prove $\forall x \in S, P(x)$ is true:

Base Case: Show that P(u) is true for all specific elements u of S mentioned in the Basis step

Inductive Hypothesis: Assume that *P* is true for some arbitrary values of *each* of the **existing named elements** mentioned in the *Recursive step*

Inductive Step: Prove that P(w) holds for each of the new elements *w* constructed in the *Recursive step* using the named elements mentioned in the Inductive Hypothesis

Conclude that $\forall x \in S, P(x)$



Conclude that $\forall x \in S, P(x)$

Structural Induction vs. Ordinary Induction

Structural induction follows from ordinary induction:

Define Q(n) to be "for all $x \in S$ that can be constructed in at most n recursive steps, P(x) is true."

Ordinary induction is a special case of structural induction:

Recursive definition of $\ensuremath{\mathbb{N}}$

Basis: $0 \in \mathbb{N}$

Recursive step: If $k \in \mathbb{N}$ then $k + 1 \in \mathbb{N}$

Using Structural Induction

- Let *S* be given by...
 - **Basis:** $6 \in S$; $15 \in S$
 - **Recursive:** if $x, y \in S$ then $x + y \in S$.

Claim: Every element of *S* is divisible by 3.

1. Let P(x) be "3|x". We prove that P(x) is true for all $x \in S$ by structural induction.

Basis: $6 \in S$; $15 \in S$ **Recursive:** if $x, y \in S$ then $x + y \in S$

1. Let P(x) be "3|x". We prove that P(x) is true for all $x \in S$ by structural induction.

2. Base Case: 3 | 6 and 3 | 15 so P(6) and P(15) are true

Basis: $6 \in S$; $15 \in S$ **Recursive:** if $x, y \in S$ then $x + y \in S$

- **1**. Let P(x) be "3 | x". We prove that P(x) is true for all $x \in S$ by structural induction.
- **2.** Base Case: 3 | 6 and 3 | 15 so P(6) and P(15) are true
- **3. Inductive Hypothesis:** Suppose that P(x) and P(y) are true for some arbitrary $x,y \in S$

4. Inductive Step: Goal: Show P(x+y)

Basis: $6 \in S$; $15 \in S$

Recursive: if $x, y \in S$ then $x + y \in S$

- **1.** Let P(x) be "3 | x". We prove that P(x) is true for all $x \in S$ by structural induction.
- **2.** Base Case: 3 | 6 and 3 | 15 so P(6) and P(15) are true
- **3. Inductive Hypothesis:** Suppose that P(x) and P(y) are true for some arbitrary $x,y \in S$
- **4. Inductive Step:** Goal: Show P(x+y)

Since P(x) is true, 3 | x and so x=3m for some integer m and since P(y) is true, 3 | y and so y=3n for some integer n. Therefore x+y=3m+3n=3(m+n) and thus 3 | (x+y). Hence P(x+y) is true.

5. Therefore by induction 3 | x for all $x \in S$.

Basis: $6 \in S$; $15 \in S$ **Recursive:** if $x, y \in S$ then $x + y \in S$

- Let *R* be given by...
 - **Basis:** $12 \in R$; $15 \in R$
 - **Recursive:** if $x \in R$, then $x + 6 \in R$ and $x + 15 \in R$

• Two base cases and two *recursive* cases, one existing element.

Claim: $R \subseteq S$; i.e. every element of R is also in S.

Proof needs structural induction using definition of *R* since statement is of the form $\forall x \in R.P(x)$

1. Let P(x) be " $x \in S$ ". We prove that P(x) is true for all $x \in R$ by structural induction.

Basis: $6 \in S$; $15 \in S$ Basis: $12 \in R$; $15 \in R$ Recursive: if $x, y \in S$,Recursive: if $x \in R$, then $x + 6 \in R$ then $x + y \in S$ and $x + 15 \in R$

- **1**. Let P(x) be " $x \in S$ ". We prove that P(x) is true for all $x \in R$ by structural induction.
- **2.** Base Case: (12): $6 \in S$ so $6+6=12 \in S$ by definition of S, so P(12) (15): $15 \in S$, so P(15) is also true

Basis: $6 \in S$; $15 \in S$ Basis: $12 \in R$; $15 \in R$ Recursive: if $x, y \in S$,Recursive: if $x \in R$, then $x + 6 \in R$ then $x + y \in S$ and $x + 15 \in R$

- **1**. Let P(x) be " $x \in S$ ". We prove that P(x) is true for all $x \in R$ by structural induction.
- **2.** Base Case: (12): $6 \in S$ so $6+6=12 \in S$ by definition of S, so P(12) (15): $15 \in S$, so P(15) is also true
- **3.** Ind. Hyp: Suppose that P(x) is true for some arbitrary $x \in R$ **4.** Inductive Step: Goal: Show P(x+6) and P(x+15)

Basis: $6 \in S$; $15 \in S$ Basis: $12 \in R$; $15 \in R$ Recursive: if $x, y \in S$,Recursive: if $x \in R$, then $x + 6 \in R$ then $x + y \in S$ and $x + 15 \in R$

- **1.** Let P(x) be " $x \in S$ ". We prove that P(x) is true for all $x \in R$ by structural induction.
- **2.** Base Case: (12): $6 \in S$ so $6+6=12 \in S$ by definition of S, so P(12) (15): $15 \in S$, so P(15) is also true
- **3.** Ind. Hyp: Suppose that P(x) is true for some arbitrary $x \in R$
- **4. Inductive Step:** Goal: Show P(x+6) and P(x+15)

Since P(x) holds, we have $x \in S$. Since $6 \in S$ from the recursive step of S, we get $x + 6 \in S$, so P(x+6) is true, and since $15 \in S$ we get $x + 15 \in S$, so P(x+15) is true.

5. Therefore P(x) (i.e., $x \in S$) for all $x \in R$ by induction.

Basis: $6 \in S$; $15 \in S$	Basis: $12 \in R$; $15 \in R$
Recursive: if $x, y \in S$,	Recursive: if $x \in R$, then $x + 6 \in R$
then $x + y \in S$	and $x + 15 \in R$