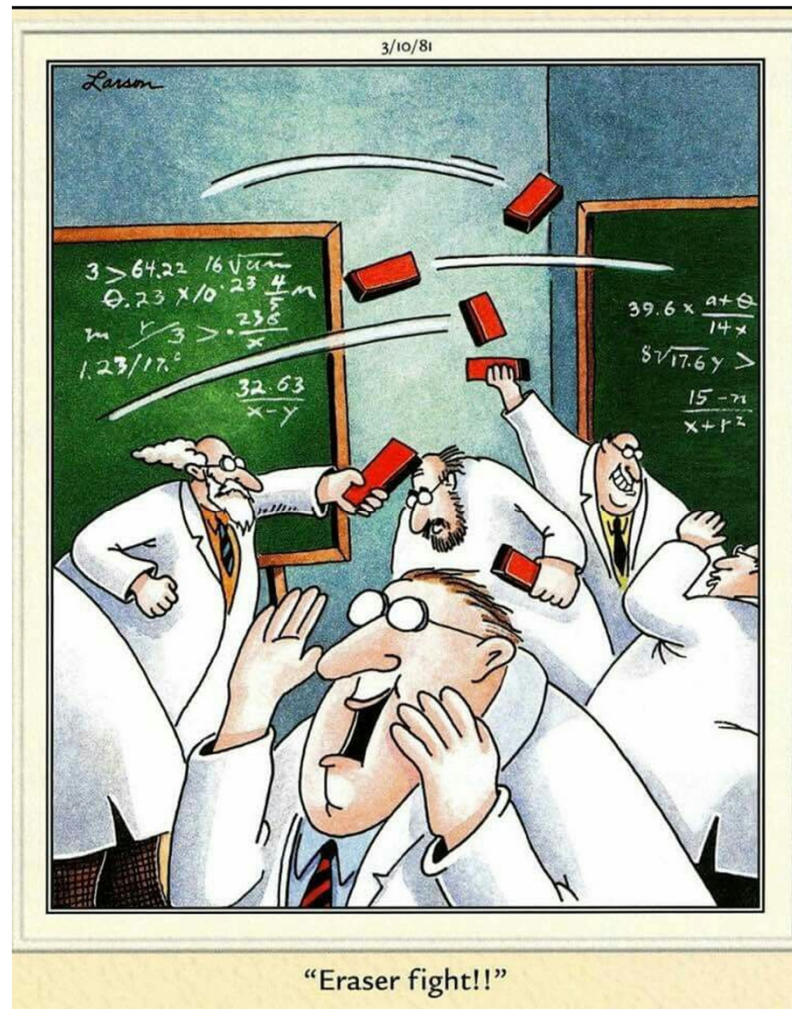


# CSE 311: Foundations of Computing

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## Lecture 13: Set Theory



## Last class: Some Common Sets

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$\mathbb{N}$  is the set of **Natural Numbers**;  $\mathbb{N} = \{0, 1, 2, \dots\}$

$\mathbb{Z}$  is the set of **Integers**;  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$

$\mathbb{Q}$  is the set of **Rational Numbers**; e.g.  $\frac{1}{2}$ ,  $-17$ ,  $\frac{32}{48}$

$\mathbb{R}$  is the set of **Real Numbers**; e.g.  $1$ ,  $-17$ ,  $\frac{32}{48}$ ,  $\pi$ ,  $\sqrt{2}$

$[n]$  is the set  $\{1, 2, \dots, n\}$  when  $n$  is a natural number

$\emptyset = \{\}$  is the **empty set**; the *only* set with no elements

## Last class: Definitions

---

- A and B are *equal* if they have the same elements

$$A = B := \forall x (x \in A \leftrightarrow x \in B)$$

- A is a *subset* of B if every element of A is also in B

$$A \subseteq B := \forall x (x \in A \rightarrow x \in B)$$

- Notes:  $(A = B) \equiv (A \subseteq B) \wedge (B \subseteq A)$

$$A \supseteq B \text{ means } B \subseteq A$$

$A \subsetneq B$  -  $A \subset B$  means  $A \subseteq B$  but  $A \neq B$

# Definition: Subset

---

A is a *subset* of B if every element of A is also in B

$$A \subseteq B := \forall x (x \in A \rightarrow x \in B)$$

$$A = \{1, 2, 3\}$$

$$B = \{3, 4, 5\}$$

$$C = \{3, 4\}$$

## QUESTIONS

$$\emptyset \subseteq A?$$



$$A \subseteq B?$$



$$C \subseteq B?$$



## Definition: Subset

---

A is a *subset* of B if every element of A is also in B

$$A \subseteq B := \forall x (x \in A \rightarrow x \in B)$$

Another way to write domain restriction.

We will use a shorthand for restriction to a set

$$\forall x \in A. P(x) := \forall x (x \in A \rightarrow P(x))$$


Restricting all quantified variables improves *clarity*

# **Sets & Logic**

# Building Sets from Predicates

---

Every set  $S$  defines a predicate " $x \in S$ ".

We can also define a set from a predicate  $P$ :

$$S := \{x : P(x)\}$$

$S$  = the set of all  $x$  (in some universe  $U$ ) for which  $P(x)$  is true

In other words...  $x \in S \leftrightarrow P(x)$

# Proofs About Sets

---

$$A := \{x : P(x)\}$$

$$B := \{x : Q(x)\}$$

Suppose we want to prove  $A \subseteq B$ .

This is a predicate:

$$A \subseteq B := \forall x (x \in A \rightarrow x \in B)$$

Typically: use direct proof of the implication



# Proofs About Sets

$$A \subseteq B := \forall x (x \in A \rightarrow x \in B)$$

$$A := \{x : P(x)\}$$

$$B := \{x : Q(x)\}$$

Prove that  $A \subseteq B$  for  $P(x) := "x > 2"$  and  $Q(x) := "x^2 > 3"$

*Let  $x$  be an arbitrary  
elt of  $A$*

**Proof:** Let  $x$  be an arbitrary object (in the universe)

Suppose that  $x \in A$ . By definition, this means  $P(x)$ .

... Therefore  $x > 2$  so  $x^2 > 4$  which implies  $x^2 > 3$ .

Thus, we have  $Q(x)$ . By definition, this means  $x \in B$ .

Since  $x$  was arbitrary, we have shown, by definition, that  $A \subseteq B$ . ■

# Operations on Sets

# Set Operations



$$A \cup B := \{x : (x \in A) \vee (x \in B)\}$$

Union



$$A \cap B := \{x : (x \in A) \wedge (x \in B)\}$$

Intersection

$$A \setminus B := \{x : (x \in A) \wedge (x \notin B)\}$$

Set Difference

$$A = \{1, 2, 3\}$$

$$B = \{3, 5, 6\}$$

$$C = \{3, 4\}$$

## QUESTIONS

Using A, B, C and set operations, make...

$$\{6\} = \{1, 2, 3, 4, 5, 6\} \quad A \cup B \cup C$$

$$\{3\} = B \cap C = A \cap B = A \cap C$$

$$\{1, 2\} = A \setminus B = A \setminus C$$

# More Set Operations



$$A \oplus B := \{x : (x \in A) \oplus (x \in B)\}$$

Symmetric  
Difference

$$\bar{A} = A^c := \{x : x \in U \wedge x \notin A\}$$

(with respect to universe U)



Complement

*A bar or A complement*

$$\text{Equivalently } x \in \bar{A} \leftrightarrow x \notin A \leftrightarrow \neg(x \in A)$$

$$A = \{1, 2, 3\}$$

$$B = \{1, 2, 4, 6\}$$

Universe:

$$U = \{1, 2, 3, 4, 5, 6\}$$

$$A \oplus B = \{3, 4, 6\}$$

$$\bar{A} = \{4, 5, 6\}$$

~~$A \oplus B = \{1, 2, 4, 6\}$~~

# Set Complement

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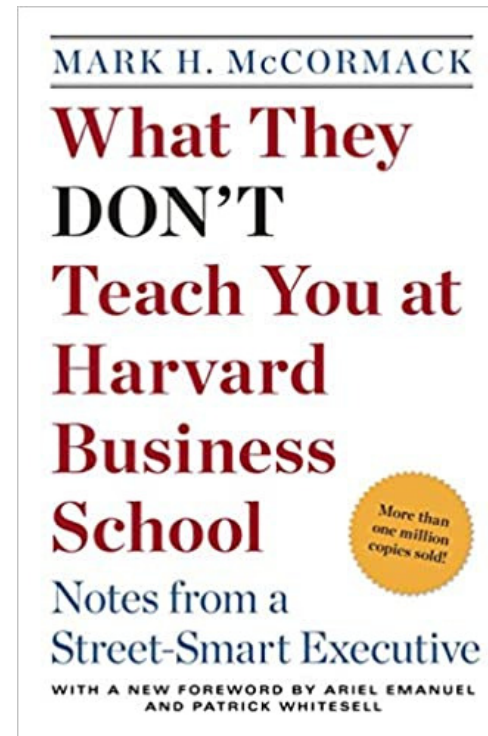
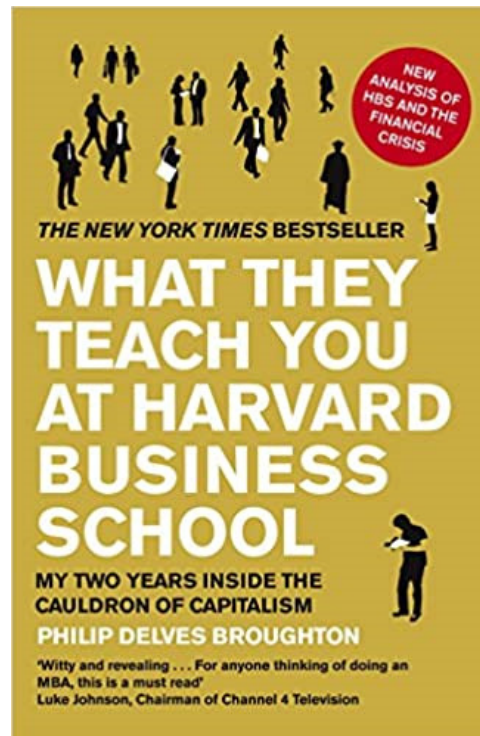


**Erik Brynjolfsson** ✓  
@erikbryn



It's remarkable that as recently as 11 years ago, the sum of all human knowledge could be provided in just two books.

1:55 PM · Sep 10, 2021



# De Morgan's Laws

---

$$\overline{A \cup B} = \bar{A} \cap \bar{B}$$

$$\overline{A \cap B} = \bar{A} \cup \bar{B}$$

# De Morgan's Laws

---

Prove that  $\overline{A \cup B} = \overline{A} \cap \overline{B}$

Formally, prove  $\forall x (x \in \overline{A \cup B} \leftrightarrow x \in \overline{A} \cap \overline{B})$

**Proof:** Let  $x$  be an arbitrary object.

( $\Rightarrow$ ) Suppose that  $x \in \overline{A \cup B}$ .

...

Thus, we have  $x \in \overline{A} \cap \overline{B}$ .

Proof technique:

To show  $C = D$  show

$x \in C \rightarrow x \in D$  and

$x \in D \rightarrow x \in C$

# De Morgan's Laws

---

Prove that  $\overline{A \cup B} = \bar{A} \cap \bar{B}$

Formally, prove  $\forall x (x \in \overline{A \cup B} \leftrightarrow x \in \bar{A} \cap \bar{B})$

**Proof:** Let  $x$  be an arbitrary object.

( $\Rightarrow$ ) Suppose that  $x \in \overline{A \cup B}$ . Then, by the definition of complement, we have  $\neg(x \in A \cup B)$ .

...

Thus, we have  $x \in \bar{A} \cap \bar{B}$ .



# De Morgan's Laws

---

Prove that  $\overline{A \cup B} = \bar{A} \cap \bar{B}$

Formally, prove  $\forall x (x \in \overline{A \cup B} \leftrightarrow x \in \bar{A} \cap \bar{B})$

**Proof:** Let  $x$  be an arbitrary object.

( $\Rightarrow$ ) Suppose that  $x \in \overline{A \cup B}$ . Then, by the definition of complement, we have  $\neg(x \in A \cup B)$ . The latter says, by the definition of union, that  $\neg(x \in A \vee x \in B)$ .

...

Thus, we have  $x \in \bar{A} \cap \bar{B}$ .

# De Morgan's Laws

---

Prove that  $\overline{A \cup B} = \bar{A} \cap \bar{B}$

Formally, prove  $\forall x (x \in \overline{A \cup B} \leftrightarrow x \in \bar{A} \cap \bar{B})$

**Proof:** Let  $x$  be an arbitrary object.

( $\Rightarrow$ ) Suppose that  $x \in \overline{A \cup B}$ . Then, by the definition of complement, we have  $\neg(x \in A \cup B)$ . The latter says, by the definition of union, that  $\neg(x \in A \vee x \in B)$ .

...

Thus,  $x \in \bar{A}$  and  $x \in \bar{B}$ .

Then  $x \in \bar{A} \cap \bar{B}$  by the definition of intersection.

# De Morgan's Laws

---

Prove that  $\overline{A \cup B} = \bar{A} \cap \bar{B}$

Formally, prove  $\forall x (x \in \overline{A \cup B} \leftrightarrow x \in \bar{A} \cap \bar{B})$

**Proof:** Let  $x$  be an arbitrary object.

( $\Rightarrow$ ) Suppose that  $x \in \overline{A \cup B}$ . Then, by the definition of complement, we have  $\neg(x \in A \cup B)$ . The latter says, by the definition of union, that  $\neg(x \in A \vee x \in B)$ .

...

$$\neg(x \in A) \wedge \neg(x \in B)$$

*De Morgan*

Thus,  $\neg(x \in A)$  and  $\neg(x \in B)$ , so  $x \in \bar{A}$  and  $x \in \bar{B}$  by the definition of complement, and then  $x \in \bar{A} \cap \bar{B}$  by the definition of intersection.

# De Morgan's Laws

---

Prove that  $\overline{A \cup B} = \bar{A} \cap \bar{B}$

Formally, prove  $\forall x (x \in \overline{A \cup B} \leftrightarrow x \in \bar{A} \cap \bar{B})$

**Proof:** Let  $x$  be an arbitrary object.

( $\Rightarrow$ ) Suppose that  $x \in \overline{A \cup B}$ . Then, by the definition of complement, we have  $\neg(x \in A \cup B)$ . The latter says, by the definition of union, that  $\neg(x \in A \vee x \in B)$ , or equivalently  $\neg(x \in A) \wedge \neg(x \in B)$  by De Morgan's law. Thus, we have  $x \in \bar{A}$  and  $x \in \bar{B}$  by the definition of complement, and then  $x \in \bar{A} \cap \bar{B}$  by the definition of intersection.

To show $C = D$ show $x \in C \rightarrow x \in D$ and $x \in D \rightarrow x \in C$
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# De Morgan's Laws

---

Prove that  $\overline{A \cup B} = \bar{A} \cap \bar{B}$

Formally, prove  $\forall x (x \in \overline{A \cup B} \leftrightarrow x \in \bar{A} \cap \bar{B})$

**Proof:** Let  $x$  be an arbitrary object.

( $\Rightarrow$ ) Suppose that  $x \in \overline{A \cup B}$ .... Then,  $x \in \bar{A} \cap \bar{B}$ .

( $\Leftarrow$ ) Suppose that  $x \in \bar{A} \cap \bar{B}$ . Then, by the definition of intersection, we have  $x \in \bar{A}$  and  $x \in \bar{B}$ . That is, we have  $\neg(x \in A) \wedge \neg(x \in B)$ , which is equivalent to  $\neg(x \in A \vee x \in B)$  by De Morgan's law. The last is equivalent to  $\neg(x \in A \cup B)$ , by the definition of union, so we have shown  $x \in \overline{A \cup B}$ , by the definition of complement. ■

# Proofs About Set Equality

---

A lot of *repetitive* work to show  $\rightarrow$  and  $\leftarrow$ .

Do we have a way to prove  $\leftrightarrow$  directly?

Recall that  $P \equiv Q$  and  $(P \leftrightarrow Q) \equiv T$  are the same

We can use an equivalence chain to prove that a biconditional holds.

# De Morgan's Laws

---

Prove that  $\overline{A \cup B} = \bar{A} \cap \bar{B}$

Formally, prove  $\forall x (x \in \overline{A \cup B} \leftrightarrow x \in \bar{A} \cap \bar{B})$

**Proof:** Let  $x$  be an arbitrary object.

The stated biconditional holds since:

$x \in \overline{A \cup B}$	$\equiv \neg(x \in A \cup B)$	Def of Comp
	$\equiv \neg(x \in A \vee x \in B)$	Def of Union
	$\equiv \neg(x \in A) \wedge \neg(x \in B)$	De Morgan
	$\equiv x \in \bar{A} \wedge x \in \bar{B}$	Def of Comp
	$\equiv x \in \bar{A} \cap \bar{B}$	Def of Union

Chains of equivalences are often easier to read like this rather than as English text

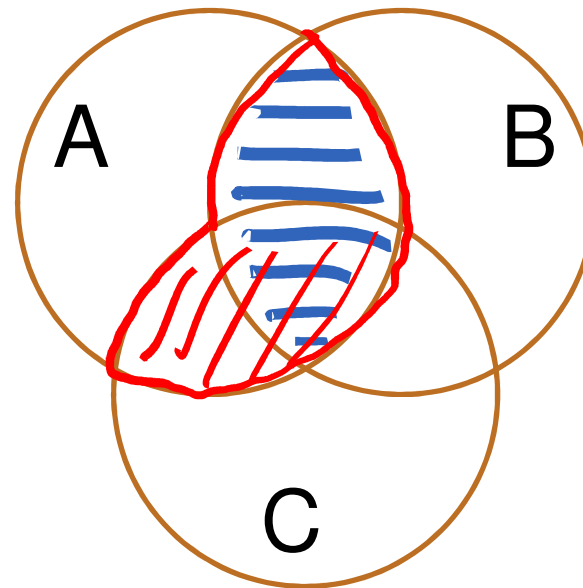
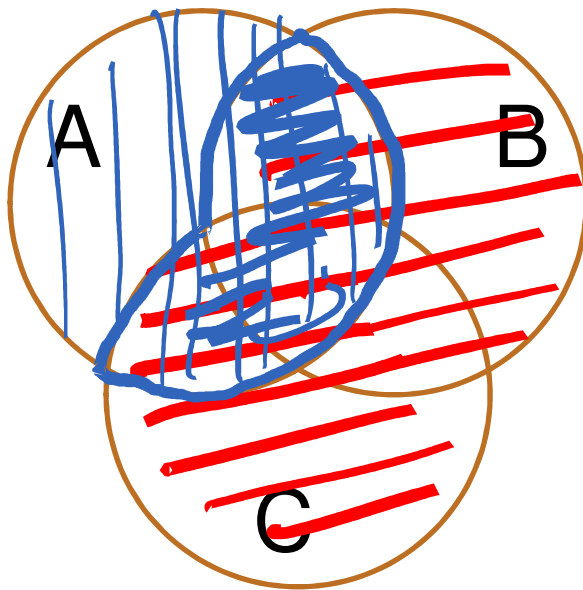
*Intersect*

Since  $x$  was arbitrary, we have shown the sets are equal. ■

# Distributive Laws

---

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$
$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$





# It's Propositional Logic Again!

---

**Meta-Theorem:** Translate any Propositional Logic equivalence into “=” relationship between sets by replacing  $\cup$  with  $\vee$ ,  $\cap$  with  $\wedge$ , and complement with  $\neg$ .

**“Proof”:** Let  $x$  be an arbitrary object.

The stated bi-condition holds since:

$x \in \text{left side} \quad \equiv$  replace set ops with propositional logic  
 $\equiv$  apply Propositional Logic equivalence  
 $\equiv$  replace propositional logic with set ops  
 $\equiv x \in \text{right side}$

Since  $x$  was arbitrary, we have shown the sets are equal. ■

# It's Boolean Algebra Again!

---

- Usual notation used in circuit design
- Boolean algebra
  - a set of elements B containing {0, 1}
  - binary operations { + , • }
  - and a unary operation { ' }
  - such that the following axioms hold:

+ is  $\cup$  ✓  
• is  $\cap$  ✓  
0 is  $\emptyset$  F  
1 is universe  $\mathcal{U}$   
 $A'$  is  $\bar{A}$   $\neg$

For any a, b, c in B:

1. closure:  $a + b$  is in B
2. commutativity:  $a + b = b + a$
3. associativity:  $a + (b + c) = (a + b) + c$
4. distributivity:  $a + (b \cdot c) = (a + b) \cdot (a + c)$
5. identity:  $a + 0 = a$
6. complementarity:  $a + a' = 1$
7. null:  $a + 1 = 1$
8. idempotency:  $a + a = a$
9. involution:  $(a')' = a$

- $a \cdot b$  is in B
- $a \cdot b = b \cdot a$
- $a \cdot (b \cdot c) = (a \cdot b) \cdot c$
- $a \cdot (b + c) = (a \cdot b) + (a \cdot c)$
- $a \cdot 1 = a$
- $a \cdot a' = 0$
- $a \cdot 0 = 0$
- $a \cdot a = a$

# Note on Proofs of Set Equality

---

Even though it was overly tedious in the De Morgan case...

... the best strategy for proving other cases of set equality  $A = B$  is often:

Let  $x$  be an arbitrary object.

**Show  $A \subseteq B$ :** Assume that  $x \in A$  and show that  $x \in B$

**Show  $B \subseteq A$ :** Assume that  $x \in B$  and show that  $x \in A$

# Power Set

Note ~~is~~  $(B \in \mathcal{P}(A) \iff B \subseteq A)$

- Power Set of a set  $A$  = set of all subsets of  $A$

$$\mathcal{P}(A) := \{B : B \subseteq A\}$$

- e.g., let  $\text{Days} = \{M, W, F\}$  and consider all the possible sets of days in a week you could ask a question in class

$\mathcal{P}(\text{Days}) = ?$   $\{\emptyset, \{M\}, \{W\}, \{F\}, \{M, W\}, \{M, F\}, \{W, F\}, \{M, W, F\}\}$  8

$\mathcal{P}(\emptyset) = ?$   $\{\emptyset\}$

$\neq \emptyset$

# of elts  $|A| = k$   
# of elements  $|\mathcal{P}(A)| = 2^k$

# Power Set

---

- Power Set of a set  $A$  = set of all subsets of  $A$

$$\mathcal{P}(A) := \{B : B \subseteq A\}$$

- e.g., let  $\text{Days} = \{M, W, F\}$  and consider all the possible sets of days in a week you could ask a question in class

$$\mathcal{P}(\text{Days}) = \{\{M, W, F\}, \{M, W\}, \{M, F\}, \{W, F\}, \{M\}, \{W\}, \{F\}, \emptyset\}$$

$$\mathcal{P}(\emptyset) = ?$$

# Power Set

---

- Power Set of a set  $A$  = set of all subsets of  $A$

$$\mathcal{P}(A) := \{B : B \subseteq A\}$$

- e.g., let  $\text{Days} = \{M, W, F\}$  and consider all the possible sets of days in a week you could ask a question in class

$$\mathcal{P}(\text{Days}) = \{\{M, W, F\}, \{M, W\}, \{M, F\}, \{W, F\}, \{M\}, \{W\}, \{F\}, \emptyset\}$$

$$\mathcal{P}(\emptyset) = \{\emptyset\} \neq \emptyset$$

# Cartesian Product

---

$$A \times B := \{x : \exists a \in A, \exists b \in B (x = (a, b))\}$$

$$\{(a, b) : a \in A \text{ and } b \in B\}$$

$\mathbb{R} \times \mathbb{R}$  is the real plane. You've seen ordered pairs before.

These are just for arbitrary sets.

$\mathbb{Z} \times \mathbb{Z}$  is “the set of all pairs of integers”

If  $A = \{1, 2\}$ ,  $B = \{a, b, c\}$ , then  $A \times B = \{(1, a), (1, b), (1, c), (2, a), (2, b), (2, c)\}$ .

# Cartesian Product

---

$$A \times B := \{x : \exists a \in A, \exists b \in B (x = (a, b))\}$$

*Handwritten notes:* A red circle highlights the expression  $\exists b \in B$ . Above it, the text "always false for  $B = \emptyset$ " is written in red. Below the circle, there are two red arrows pointing upwards towards the  $\exists b \in B$  part of the formula.

$\mathbb{R} \times \mathbb{R}$  is the real plane. You've seen ordered pairs before.

These are just for arbitrary sets.

$\mathbb{Z} \times \mathbb{Z}$  is "the set of all pairs of integers"

If  $A = \{1, 2\}$ ,  $B = \{a, b, c\}$ , then  $A \times B = \{(1,a), (1,b), (1,c), (2,a), (2,b), (2,c)\}$ .

What is  $A \times \emptyset$ ?  $= \emptyset$  no pairs



# Cartesian Product

---

$$A \times B := \{x : \exists a \in A, \exists b \in B (x = (a, b))\}$$

$\mathbb{R} \times \mathbb{R}$  is the real plane. You've seen ordered pairs before.

These are just for arbitrary sets.

$\mathbb{Z} \times \mathbb{Z}$  is “the set of all pairs of integers”

If  $A = \{1, 2\}$ ,  $B = \{a, b, c\}$ , then  $A \times B = \{(1,a), (1,b), (1,c), (2,a), (2,b), (2,c)\}$ .

$$A \times \emptyset = \{(a, b) : a \in A \wedge b \in \emptyset\} = \{(a, b) : a \in A \wedge \mathbf{F}\} = \emptyset$$

# Russell's Paradox

---

$$S := \{x : x \notin x\}$$

Suppose that  $S \in S$ ...

# Russell's Paradox

---

$$\forall x (x \in S \leftrightarrow x \notin x)$$

$$S := \{x : x \notin x\}$$

Suppose that  $S \in S$ . Then, by the definition of  $S$ ,  $S \notin S$ , but that's a contradiction.

Suppose that  $S \notin S$ . Then, by the definition of  $S$ ,  $S \in S$ , but that's a contradiction too.

This is reminiscent of the truth value of the statement "This statement is false."

*need to pick a first universe first*

# Representing Sets Using Bits

---

- Suppose that universe  $U$  is  $\{1, 2, \dots, n\}$
- Can represent set  $B \subseteq U$  as a vector of bits:

$$\underline{b_1 b_2 \dots b_n} \text{ where } \begin{aligned} b_i &= 1 \text{ when } i \in B \\ b_i &= 0 \text{ when } i \notin B \end{aligned}$$

– Called the *characteristic vector* of set  ~~$B$~~

- Given characteristic vectors for  $A$  and  $B$

What is characteristic vector for  $A \cup B$ ?  $A \cap B$ ?

$A \cap B$	1 0 0 0 0 1 0 0	bitwise AND
$A$	1 1 0 1 0 1 0 1	
$B$	1 0 1 0 1 1 0 0	
$A \cup B$	1 1 1 1 1 1 0 1	bitwise OR

$\bar{A}$ ?  
 $\bar{B}$ ?  
 flip bits

# Bitwise Operations

---

*Union {1,2,3,...,8}*  
*1 2 3 4 5 6 7 8*

$$\begin{array}{r} 01101101 \\ \vee \\ \hline 00110111 \\ \hline 01111111 \end{array}$$

Java:  $z = x | y$

$$\begin{array}{r} 00101010 \\ \wedge \\ \hline 00001111 \\ \hline 00001010 \end{array}$$

Java:  $z = x \& y$


$$\begin{array}{r} \neg 01101101 \\ \oplus \\ \hline 00110111 \\ \uparrow \\ 01011010 \\ \hline 001 \end{array}$$

Java:  $z = x \wedge y$

*bitwise XOR*

## A Useful Identity

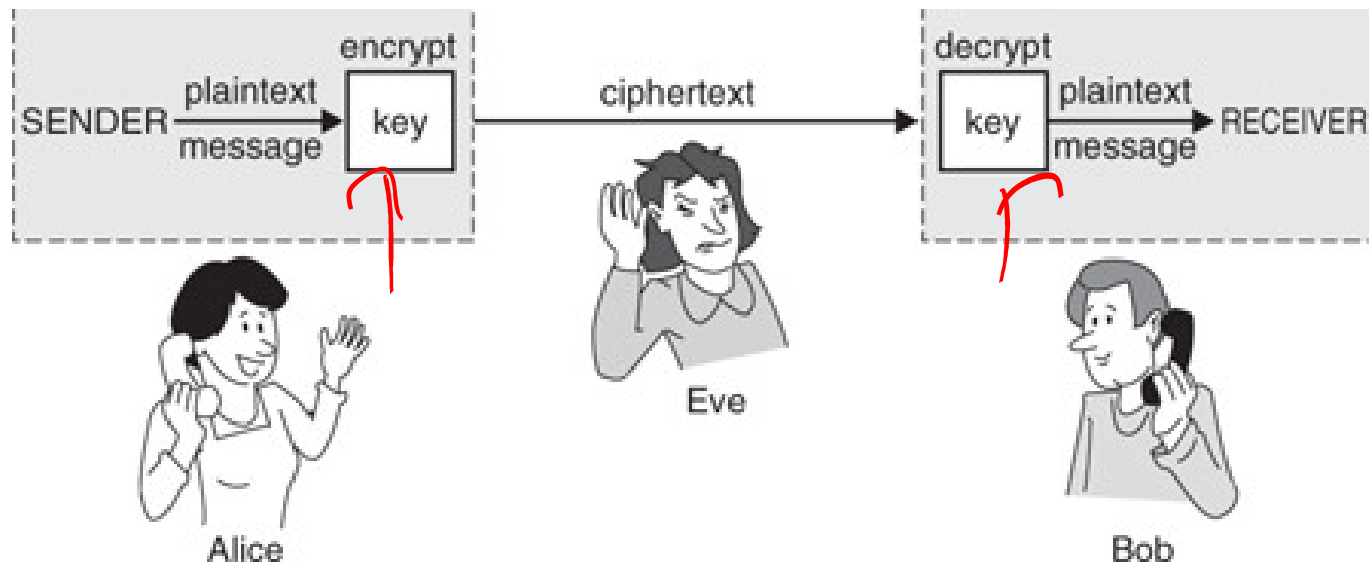
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- If  $x$  and  $y$  are bits:  $(x \oplus y) \oplus y = ?$  
- What if  $x$  and  $y$  are bit-vectors?

# Private Key Cryptography

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- Alice wants to communicate message secretly to Bob so that eavesdropper Eve who hears their conversation cannot tell what Alice's message is.
- Alice and Bob can get together and privately share a secret key **K** ahead of time.



# One-Time Pad

---

- Alice and Bob privately share random n-bit vector K
  - Eve does not know K
- Later, Alice has n-bit message m to send to Bob
  - Alice computes  $C = m \oplus K$
  - Alice sends C to Bob
  - Bob computes  $m = C \oplus K$  which is  $(m \oplus K) \oplus K$   $= m$
- Eve cannot figure out m from C unless she can guess K