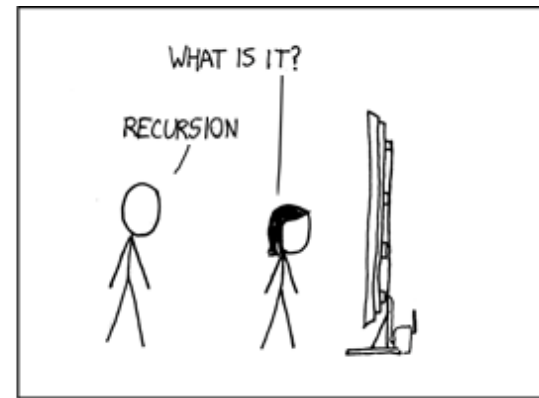
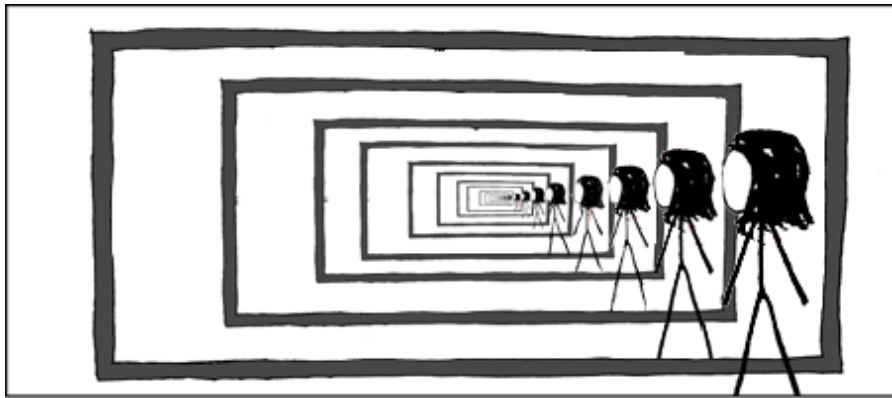


# CSE 311: Foundations of Computing

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## Lecture 18: Recursively Defined Functions & Sets



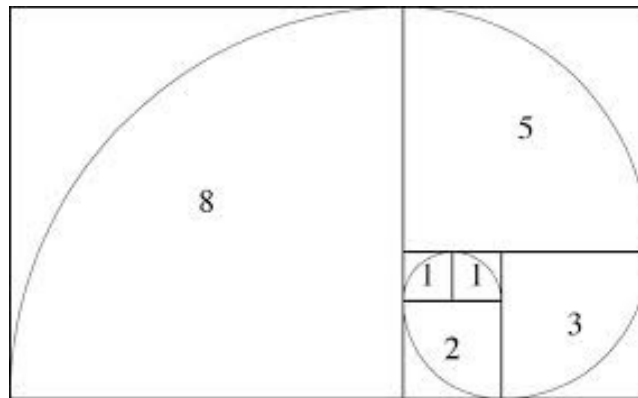
# Last time: Fibonacci Numbers

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$$f_0 = 0$$

$$f_1 = 1$$

$$f_n = f_{n-1} + f_{n-2} \text{ for all } n \geq 2$$



## Last Time: Upper Bound $f_n < 2^n$ for all $n \geq 0$

---

1. Let  $P(n)$  be " $f_n < 2^n$ ". We prove that  $P(n)$  is true for all integers  $n \geq 0$  by strong induction.
2. Base Case:  $f_0=0 < 1=2^0$  so  $P(0)$  is true.
3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \geq 0$ , we have  $f_j < 2^j$  for every integer  $j$  from 0 to  $k$ .

4. Inductive Step: **Goal: Show  $P(k+1)$ ; that is,  $f_{k+1} < 2^{k+1}$**

Case  $k+1 = 1$ : Then  $f_1 = 1 < 2 = 2^1$  so  $P(k+1)$  is true here.

Case  $k+1 \geq 2$ : Then  $f_{k+1} = f_k + f_{k-1}$  by definition

$< 2^k + 2^{k-1}$  by the IH since  $k-1 \geq 0$

$< 2^k + 2^k = 2 \cdot 2^k = 2^{k+1}$

so  $P(k+1)$  is true in this case.

These are the only cases so  $P(k+1)$  follows.

5. Therefore by strong induction,  
 $f_n < 2^n$  for all integers  $n \geq 0$ .

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} & \text{for all } n &\geq 2 \end{aligned}$$

# Inductive Proofs with Multiple Base Cases

---

1. “Let  $P(n)$  be... . We will show that  $P(n)$  is true for all integers  $n \geq b$  by induction.”
2. “Base Cases:” Prove  $P(b), P(b + 1), \dots, P(c)$
3. “Inductive Hypothesis:  
Assume  $P(k)$  is true for an arbitrary integer  $k \geq c$ ”
4. “Inductive Step:” Prove that  $P(k + 1)$  is true:  
*Use the goal to figure out what you need.*  
*Make sure you are using I.H. and point out where you are using it. (Don't assume  $P(k + 1)$  !!)*
5. “Conclusion:  $P(n)$  is true for all integers  $n \geq b$ ”

# Inductive Proofs With Multiple Base Cases

---

1. “Let  $P(n)$  be... . We will show that  $P(n)$  is true for all integers  $n \geq b$  by **strong** induction.”
2. “Base Cases:” Prove  $P(b), P(b + 1), \dots, P(c)$
3. “Inductive Hypothesis:  
Assume that for some arbitrary integer  $k \geq c$ ,  
 *$P(j)$  is true for every integer  $j$  from  $b$  to  $k$* ”
4. “Inductive Step:” Prove that  $P(k + 1)$  is true:  
*Use the goal to figure out what you need.*  
*Make sure you are using I.H. (that  $P(b), \dots, P(k)$  are true) and point out where you are using it.*  
*(Don't assume  $P(k + 1)$  !!)*
5. “Conclusion:  $P(n)$  is true for all integers  $n \geq b$ ”

## Bounding Fibonacci II: $f_n \geq 2^{n/2} - 1$ for all $n \geq 2$

---

1. Let  $P(n)$  be " $f_n \geq 2^{n/2} - 1$ ". We prove that  $P(n)$  is true for all integers  $n \geq 2$  by **strong** induction.

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} \text{ for all } n \geq 2 \end{aligned}$$

## Bounding Fibonacci II: $f_n \geq 2^{n/2} - 1$ for all $n \geq 2$

---

1. Let  $P(n)$  be " $f_n \geq 2^{n/2} - 1$ ". We prove that  $P(n)$  is true for all integers  $n \geq 2$  by strong induction.
2. Base Case:  $f_2 = f_1 + f_0 = 1$  and  $2^{2/2} - 1 = 2^0 = 1$  so  $P(2)$  is true.

$f_0 = 0$	$f_1 = 1$
$f_n = f_{n-1} + f_{n-2}$ for all $n \geq 2$	

## Bounding Fibonacci II: $f_n \geq 2^{n/2} - 1$ for all $n \geq 2$

---

1. Let  $P(n)$  be " $f_n \geq 2^{n/2} - 1$ ". We prove that  $P(n)$  is true for all integers  $n \geq 2$  by strong induction.
2. Base Case:  $f_2 = f_1 + f_0 = 1$  and  $2^{2/2} - 1 = 2^0 = 1$  so  $P(2)$  is true.
3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \geq 2$ ,  $P(j)$  is true for every integer  $j$  from 2 to  $k$ .

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} \text{ for all } n \geq 2 \end{aligned}$$



## Bounding Fibonacci II: $f_n \geq 2^{n/2 - 1}$ for all $n \geq 2$

---

1. Let  $P(n)$  be “ $f_n \geq 2^{n/2 - 1}$ ”. We prove that  $P(n)$  is true for all integers  $n \geq 2$  by strong induction.
2. Base Case:  $f_2 = f_1 + f_0 = 1$  and  $2^{2/2 - 1} = 2^0 = 1$  so  $P(2)$  is true.
3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \geq 2$ ,  $P(j)$  is true for every integer  $j$  from 2 to  $k$ .
4. Inductive Step: Goal: Show  $P(k+1)$ ; that is,  $f_{k+1} \geq 2^{(k+1)/2 - 1}$

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} & \text{for all } n &\geq 2 \end{aligned}$$

## Bounding Fibonacci II: $f_n \geq 2^{n/2} - 1$ for all $n \geq 2$

---

1. Let  $P(n)$  be " $f_n \geq 2^{n/2} - 1$ ". We prove that  $P(n)$  is true for all integers  $n \geq 2$  by strong induction.
2. Base Case:  $f_2 = f_1 + f_0 = 1$  and  $2^{2/2} - 1 = 2^0 = 1$  so  $P(2)$  is true.
3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \geq 2$ ,  $P(j)$  is true for every integer  $j$  from 2 to  $k$ .
4. Inductive Step: Goal: Show  $P(k+1)$ ; that is,  $f_{k+1} \geq 2^{(k+1)/2} - 1$

No need for cases for the definition here:

$$f_{k+1} = f_k + f_{k-1} \text{ since } k+1 \geq 2$$

Now just want to apply the IH to get  $P(k)$  and  $P(k-1)$

Problem: Though we can get  $P(k)$  since  $k \geq 2$ ,

$k-1$  may only be 1 so we can't conclude  $P(k-1)$

Solution: Separate cases for when  $k-1=1$  (or  $k+1=3$ ).

$f_0 = 0 \quad f_1 = 1$ $f_n = f_{n-1} + f_{n-2}$ for all $n \geq 2$
---

## Bounding Fibonacci II: $f_n \geq 2^{n/2} - 1$ for all $n \geq 2$

---

1. Let  $P(n)$  be " $f_n \geq 2^{n/2} - 1$ ". We prove that  $P(n)$  is true for all integers  $n \geq 2$  by strong induction.
2. Base Cases:  $f_2 = f_1 + f_0 = 1$  and  $2^{2/2} - 1 = 2^0 = 1$  so  $P(2)$  holds  
 $f_3 = f_2 + f_1 = 2 \geq 2^{1/2} = 2^{3/2-1}$  so  $P(3)$  holds
3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \geq 3$ ,  $P(j)$  is true for every integer  $j$  from 2 to  $k$ .
4. Inductive Step: Goal: Show  $P(k+1)$ ; that is,  $f_{k+1} \geq 2^{(k+1)/2} - 1$

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} \text{ for all } n \geq 2 \end{aligned}$$

## Bounding Fibonacci II: $f_n \geq 2^{n/2 - 1}$ for all $n \geq 2$

---

1. Let  $P(n)$  be " $f_n \geq 2^{n/2 - 1}$ ". We prove that  $P(n)$  is true for all integers  $n \geq 2$  by strong induction.
2. Base Cases:  $f_2 = f_1 + f_0 = 1$  and  $2^{2/2 - 1} = 2^0 = 1$  so  $P(2)$  holds  
 $f_3 = f_2 + f_1 = 2 \geq 2^{1/2} = 2^{3/2 - 1} = 2^{(k+1)/2 - 1}$  so  $P(3)$  holds
3. Inductive Hypothesis: Assume that for some arbitrary integer  $k \geq 3$ ,  $P(j)$  is true for every integer  $j$  from 2 to  $k$ .
4. Inductive Step: Goal: Show  $P(k+1)$ ; that is,  $f_{k+1} \geq 2^{(k+1)/2 - 1}$   
We have  $f_{k+1} = f_k + f_{k-1}$  by definition since  $k+1 \geq 2$   
 $\geq 2^{k/2 - 1} + 2^{(k-1)/2 - 1}$  by the IH since  $k-1 \geq 2$   
 $\geq 2^{(k-1)/2 - 1} + 2^{(k-1)/2 - 1} = 2^{(k-1)/2} = 2^{(k+1)/2 - 1}$   
so  $P(k+1)$  is true.
5. Therefore by strong induction,  $f_n \geq 2^{n/2 - 1}$  for all integers  $n \geq 2$ .

$$\begin{aligned} f_0 &= 0 & f_1 &= 1 \\ f_n &= f_{n-1} + f_{n-2} & \text{for all } n \geq 2 \end{aligned}$$

# Running time of Euclid's algorithm

---

**Theorem:** Suppose that Euclid's Algorithm takes  $n$  steps for  $\gcd(a, b)$  with  $a \geq b > 0$ . Then,  $a \geq f_{n+1}$ .

# Running time of Euclid's algorithm

---

**Theorem:** Suppose that Euclid's Algorithm takes  $n$  steps for  $\gcd(a, b)$  with  $a \geq b > 0$ . Then,  $a \geq f_{n+1}$ .

Why does this help us bound the running time of Euclid's Algorithm?

We already proved that  $f_n \geq 2^{n/2 - 1}$  so  $f_{n+1} \geq 2^{(n-1)/2}$

Therefore: if Euclid's Algorithm takes  $n$  steps for  $\gcd(a, b)$  with  $a \geq b > 0$  then  $a \geq 2^{(n-1)/2}$

so  $(n - 1)/2 \leq \log_2 a$  or  $n \leq 1 + 2 \log_2 a$   
i.e., # of steps  $\leq 1 + \text{twice the \# of bits in } a$ .

# Running time of Euclid's algorithm

---

**Theorem:** Suppose that Euclid's Algorithm takes  $n$  steps for  $\gcd(a, b)$  with  $a \geq b > 0$ . Then,  $a \geq f_{n+1}$ .

An informal way to get the idea: Consider an  $n$  step gcd calculation starting with  $r_{n+1}=a$  and  $r_n=b$ :

$$r_{n+1} = q_n r_n + r_{n-1}$$

$$r_n = q_{n-1} r_{n-1} + r_{n-2}$$

...

$$r_3 = q_2 r_2 + r_1$$

$$r_2 = q_1 r_1$$

For all  $k \geq 2$ ,  $r_{k-1} = r_{k+1} \bmod r_k$

Now  $r_1 \geq 1$  and each  $q_k$  must be  $\geq 1$ . If we replace all the  $q_k$ 's by 1 and replace  $r_1$  by 1, we can only reduce the  $r_k$ 's. After that reduction,  $r_k = f_k$  for every  $k$ .

# Running time of Euclid's algorithm

---

**Theorem:** Suppose that Euclid's Algorithm takes  $n$  steps for  $\gcd(a, b)$  with  $a \geq b > 0$ . Then,  $a \geq f_{n+1}$ .

We go by strong induction on  $n$ .

Let  $P(n)$  be “ $\gcd(a, b)$  with  $a \geq b > 0$  takes  $n$  steps  $\rightarrow a \geq f_{n+1}$ ” for all  $n \geq 1$ .

Base Case:  $n=1$  Suppose Euclid's Algorithm with  $a \geq b > 0$  takes 1 step. By assumption,  $a \geq b \geq 1 = f_2$  so  $P(1)$  holds.

Induction Hypothesis: Suppose that for some integer  $k \geq 1$ ,  $P(j)$  is true for all integers  $j$  s.t.  $1 \leq j \leq k$

Inductive Step: We want to show: if  $\gcd(a, b)$  with  $a \geq b > 0$  takes  $k+1$  steps, then  $a \geq f_{k+2}$ .



# Running time of Euclid's algorithm

---

Induction Hypothesis: Suppose that for some integer  $k \geq 1$ ,  $P(j)$  is true for all integers  $j$  s.t.  $1 \leq j \leq k$

Inductive Step: Goal: if  $\gcd(a,b)$  with  $a \geq b > 0$  takes  $k+1$  steps, then  $a \geq f_{k+2}$ .

Now if  $k+1=2$ , then Euclid's algorithm on  $a$  and  $b$  can be written as

$$a = q_2 b + r_1$$

$$b = q_1 r_1$$

and  $r_1 > 0$ .

Also, since  $a \geq b > 0$ , we must have  $q_2 \geq 1$  and  $b \geq 1$ .

So  $a = q_2 b + r_1 \geq b + r_1 \geq 1+1 = 2 = f_3 = f_{k+2}$  as required.

# Running time of Euclid's algorithm

---

**Induction Hypothesis:** Suppose that for some integer  $k \geq 1$ ,  $P(j)$  is true for all integers  $j$  s.t.  $1 \leq j \leq k$

**Inductive Step:** Goal: if  $\gcd(a,b)$  with  $a \geq b > 0$  takes  $k+1$  steps, then  $a \geq f_{k+2}$ .

Next suppose that  $k+1 \geq 3$  so for the first 3 steps of Euclid's algorithm on  $a$  and  $b$  we have

$$a = q_{k+1} b + r_k$$

$$b = q_k r_k + r_{k-1}$$

$$r_k = q_{k-1} r_{k-1} + r_{k-2}$$

and there are  $k-2$  more steps after this. Note that this means that the  $\gcd(b, r_k)$  takes  $k$  steps and  $\gcd(r_k, r_{k-1})$  takes  $k-1$  steps.

So since  $k, k-1 \geq 1$ , by the IH we have  $b \geq f_{k+1}$  and  $r_k \geq f_k$ .

Also, since  $a \geq b$ , we must have  $q_{k+1} \geq 1$ .

So  $a = q_{k+1} b + r_k \geq b + r_k \geq f_{k+1} + f_k = f_{k+2}$  as required. ■

## Last time: Recursive definitions of functions

- $0! = 1$ ;  $(n + 1)! = (n + 1) \cdot n!$  **for all**  $n \geq 0$ .
- $F(0) = 0$ ;  $F(n + 1) = F(n) + 1$  **for all**  $n \geq 0$ .
- $G(0) = 1$ ;  $G(n + 1) = 2 \cdot G(n)$  **for all**  $n \geq 0$ .
- $H(0) = 1$ ;  $H(n + 1) = 2^{H(n)}$  **for all**  $n \geq 0$ .

## **Last time: Recursive definitions of functions**

---

- **Recursive functions allow general computation**
  - saw examples not expressible with simple expressions
- **So far, we have considered only simple data**
  - inputs and outputs were just integers
- **We need general data as well...**
  - these will also be described *recursively*
  - will allow us to describe data of real programs  
e.g., strings, lists, trees, expressions, propositions, ...
- **We'll start simple: sets of numbers**

# Recursive Definitions of Sets (Data)

---

## Natural numbers

**Basis:**  $0 \in S$

**Recursive:** If  $x \in S$ , then  $x+1 \in S$

## Even numbers

**Basis:**  $0 \in S$

**Recursive:** If  $x \in S$ , then  $x+2 \in S$

# Recursive Definition of Sets

---

## Recursive definition of set $S$

- **Basis Step:**  $0 \in S$
- **Recursive Step:** If  $x \in S$ , then  $x + 2 \in S$
- **Exclusion Rule:** Every element in  $S$  follows from the basis step and a finite number of recursive steps.

We need the exclusion rule because otherwise  $S = \mathbb{N}$  would satisfy the other two parts. However, we won't always write it down on these slides.

# Recursive Definitions of Sets

---

## Natural numbers

Basis:  $0 \in S$

Recursive: If  $x \in S$ , then  $x+1 \in S$

## Even numbers

Basis:  $0 \in S$

Recursive: If  $x \in S$ , then  $x+2 \in S$

## Powers of 3:

Basis:  $1 \in S$

Recursive: If  $x \in S$ , then  $3x \in S$ .

Basis:  $(0, 0) \in S, (1, 1) \in S$

Recursive: If  $(n-1, x) \in S$  and  $(n, y) \in S$ ,  
then  $(n+1, x + y) \in S$ . ?

# Recursive Definitions of Sets

---

## Natural numbers

**Basis:**  $0 \in S$

**Recursive:** If  $x \in S$ , then  $x+1 \in S$

## Even numbers

**Basis:**  $0 \in S$

**Recursive:** If  $x \in S$ , then  $x+2 \in S$

## Powers of 3:

**Basis:**  $1 \in S$

**Recursive:** If  $x \in S$ , then  $3x \in S$ .

**Basis:**  $(0, 0) \in S, (1, 1) \in S$

**Recursive:** If  $(n-1, x) \in S$  and  $(n, y) \in S$ ,  
then  $(n+1, x + y) \in S$ .

**Fibonacci numbers**



## **Last time: Recursive definitions of functions**

---

- **Before, we considered only simple data**
  - inputs and outputs were just integers
- **Proved facts about those functions with induction**
  - $n! \leq n^n$
  - $f_n < 2^n$  and  $f_n \geq 2^{n/2-1}$
- **How do we prove facts about functions that work with more complex (recursively defined) data?**
  - we need a more sophisticated form of induction

# Structural Induction

---

How to prove  $\forall x \in S, P(x)$  is true:

**Base Case:** Show that  $P(u)$  is true for all specific elements  $u$  of  $S$  mentioned in the *Basis step*

**Inductive Hypothesis:** Assume that  $P$  is true for some arbitrary values of *each* of the existing named elements mentioned in the *Recursive step*

**Inductive Step:** Prove that  $P(w)$  holds for each of the new elements  $w$  constructed in the *Recursive step* using the named elements mentioned in the Inductive Hypothesis

**Conclude** that  $\forall x \in S, P(x)$

# Structural Induction vs. Ordinary Induction

---

**Structural induction follows from ordinary induction:**

Define  $Q(n)$  to be “for all  $x \in S$  that can be constructed in at most  $n$  recursive steps,  $P(x)$  is true.”

**Ordinary induction is a special case of structural induction:**

Recursive definition of  $\mathbb{N}$

**Basis:**  $0 \in \mathbb{N}$

**Recursive step:** If  $k \in \mathbb{N}$  then  $k + 1 \in \mathbb{N}$

# Using Structural Induction

---

- Let  $S$  be given by...
  - **Basis:**  $6 \in S$ ;  $15 \in S$
  - **Recursive:** if  $x, y \in S$  then  $x + y \in S$ .

**Claim:** Every element of  $S$  is divisible by 3.

**Claim:** Every element of  $S$  is divisible by 3.

---

1. Let  $P(x)$  be “ $3 \mid x$ ”. We prove that  $P(x)$  is true for all  $x \in S$  by structural induction.

**Basis:**  $6 \in S$ ;  $15 \in S$

**Recursive:** if  $x, y \in S$  then  $x + y \in S$

**Claim:** Every element of  $S$  is divisible by 3.

---

1. Let  $P(x)$  be " $3 \mid x$ ". We prove that  $P(x)$  is true for all  $x \in S$  by structural induction.
2. Base Case:  $3 \mid 6$  and  $3 \mid 15$  so  $P(6)$  and  $P(15)$  are true

**Basis:**  $6 \in S$ ;  $15 \in S$

**Recursive:** if  $x, y \in S$  then  $x + y \in S$

**Claim:** Every element of  $S$  is divisible by 3.

---

1. Let  $P(x)$  be " $3 \mid x$ ". We prove that  $P(x)$  is true for all  $x \in S$  by structural induction.
2. Base Case:  $3 \mid 6$  and  $3 \mid 15$  so  $P(6)$  and  $P(15)$  are true
3. Inductive Hypothesis: Suppose that  $P(x)$  and  $P(y)$  are true for some arbitrary  $x, y \in S$
4. Inductive Step: Goal: Show  $P(x+y)$

**Basis:**  $6 \in S$ ;  $15 \in S$

**Recursive:** if  $x, y \in S$  then  $x + y \in S$

**Claim:** Every element of  $S$  is divisible by 3.

---

1. Let  $P(x)$  be " $3 \mid x$ ". We prove that  $P(x)$  is true for all  $x \in S$  by structural induction.

2. Base Case:  $3 \mid 6$  and  $3 \mid 15$  so  $P(6)$  and  $P(15)$  are true

3. Inductive Hypothesis: Suppose that  $P(x)$  and  $P(y)$  are true for some arbitrary  $x, y \in S$

4. Inductive Step: **Goal: Show  $P(x+y)$**

Since  $P(x)$  is true,  $3 \mid x$  and so  $x=3m$  for some integer  $m$  and since  $P(y)$  is true,  $3 \mid y$  and so  $y=3n$  for some integer  $n$ .

Therefore  $x+y=3m+3n=3(m+n)$  and thus  $3 \mid (x+y)$ .

Hence  $P(x+y)$  is true.

5. Therefore by induction  $3 \mid x$  for all  $x \in S$ .

**Basis:**  $6 \in S$ ;  $15 \in S$

**Recursive:** if  $x, y \in S$  then  $x + y \in S$



# Using Structural Induction

---

- Let  $T$  be given by...
  - **Basis:**  $6 \in T$ ;  $15 \in T$
  - **Recursive:** if  $x \in T$ , then  $x + 6 \in T$  and  $x + 15 \in T$
- Two base cases and two *recursive* cases

**Claim:** Every element of  $T$  is also in  $S$ .

**Claim:** Every element of  $S$  is divisible by 3.

---

1. Let  $P(x)$  be “ $x \in S$ ”. We prove that  $P(x)$  is true for all  $x \in T$  by structural induction.

**Basis:**  $6 \in S$ ;  $15 \in S$

**Recursive:** if  $x, y \in S$ ,  
then  $x + y \in S$

**Basis:**  $6 \in T$ ;  $15 \in T$

**Recursive:** if  $x \in T$ , then  $x + 6 \in T$   
and  $x + 15 \in T$

**Claim:** Every element of  $S$  is divisible by 3.

---

1. Let  $P(x)$  be " $x \in S$ ". We prove that  $P(x)$  is true for all  $x \in T$  by structural induction.
2. Base Case:  $6 \in S$  and  $15 \in S$  so  $P(6)$  and  $P(15)$  are true

**Basis:**  $6 \in S$ ;  $15 \in S$

**Recursive:** if  $x, y \in S$ ,  
then  $x + y \in S$

**Basis:**  $6 \in T$ ;  $15 \in T$

**Recursive:** if  $x \in T$ , then  $x + 6 \in T$   
and  $x + 15 \in T$

**Claim:** Every element of  $S$  is divisible by 3.

---

1. Let  $P(x)$  be " $x \in S$ ". We prove that  $P(x)$  is true for all  $x \in T$  by structural induction.
2. Base Case:  $6 \in S$  and  $15 \in S$  so  $P(6)$  and  $P(15)$  are true
3. Inductive Hypothesis: Suppose that  $P(x)$  is true for some arbitrary  $x \in T$

**Basis:**  $6 \in S$ ;  $15 \in S$

**Recursive:** if  $x, y \in S$ ,  
then  $x + y \in S$

**Basis:**  $6 \in T$ ;  $15 \in T$

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**Claim:** Every element of  $S$  is divisible by 3.

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5. Therefore  $P(x)$  for all  $x \in T$  by induction.

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