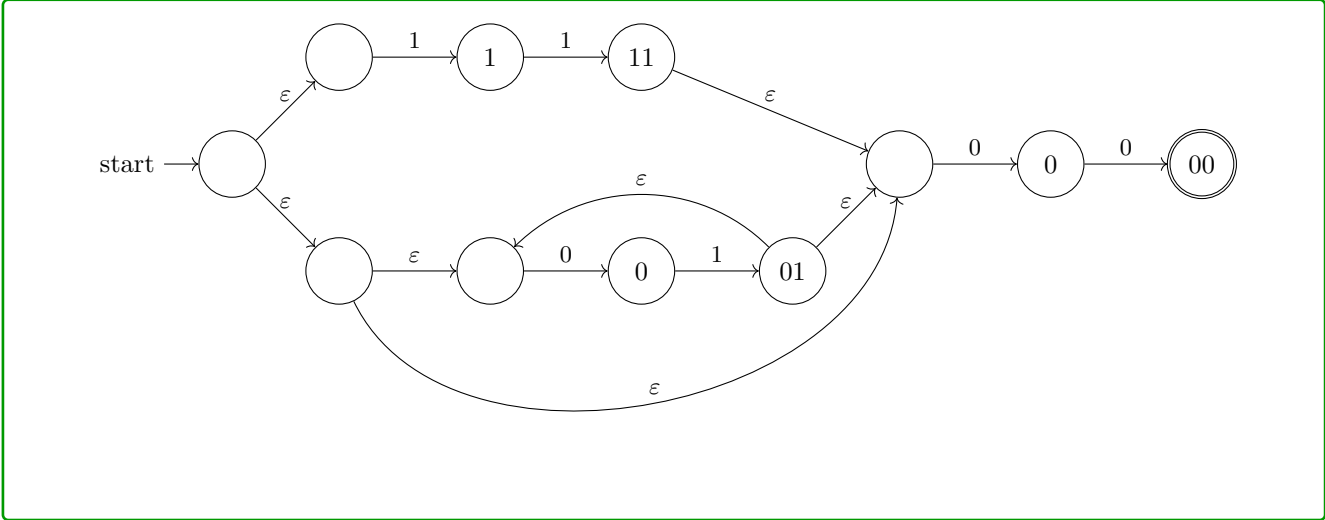


# Section 09: Solutions

## 1. RE to NFA

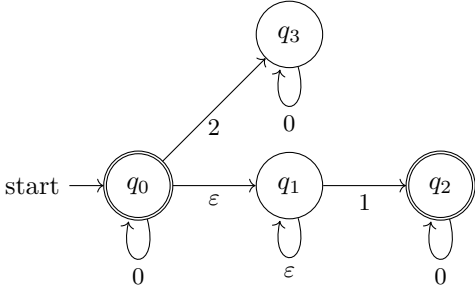
Convert the regular expression “ $(11 \cup (01)^*)00$ ” to an NFA using the algorithm from lecture.

**Solution:**

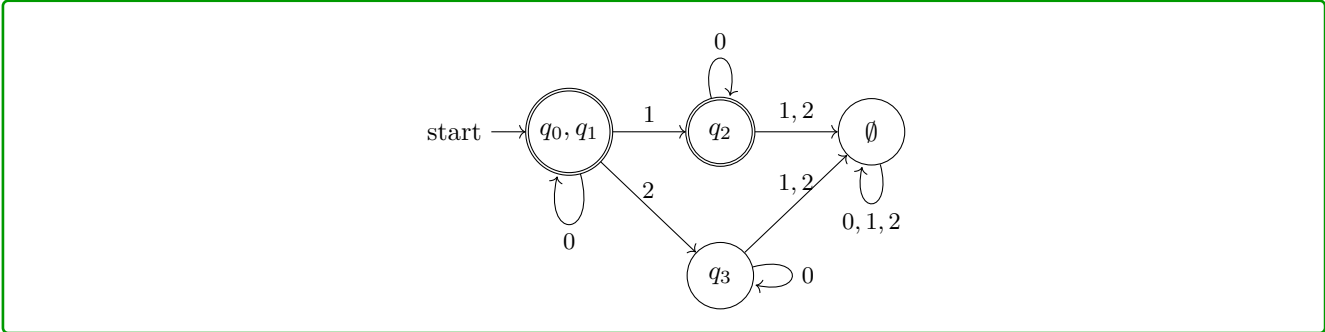


## 2. Subset Construction

Convert the following NFA to a DFA:

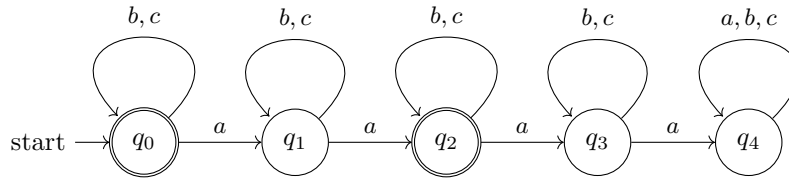


**Solution:**



### 3. DFA Minimization

(a) Minimize the following DFA:



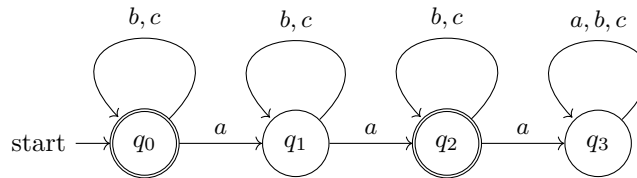
**Solution:**

**Step 1:**  $q_0, q_2$  are final states and the rest are not final. So, we start with the initial partition with the following groups: group 1 is  $\{q_0, q_2\}$  and group 2 is  $\{q_1, q_3, q_4\}$ .

**Step 2:**  $q_1$  is sending  $a$  to group 1 while  $q_3, q_4$  are sending  $a$  to group 2. So, we divide group 2. We get the following groups: group 1 is  $\{q_0, q_2\}$ , group 3 is  $\{q_1\}$  and group 4 is  $\{q_3, q_4\}$ .

**Step 3:**  $q_0$  is sending  $a$  to group 3 and  $q_2$  is sending  $a$  to group 4. So, we divide group 1. We will have the following groups: group 3 is  $\{q_1\}$ , group 4 is  $\{q_3, q_4\}$ , group 5 is  $\{q_0\}$  and group 6 is  $\{q_2\}$ .

The minimized DFA is the following:



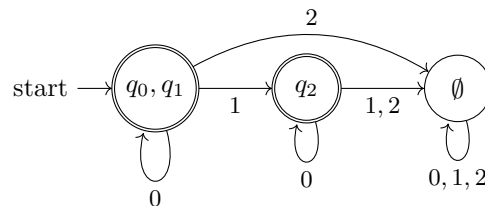
(b) Minimize your solution to problem 1.

**Solution:**

**Step 1:**  $\{q_0, q_1\}$  and  $\{q_2\}$  are final states and the rest are not final. So, we start with the initial partition with the following groups: group 1 is  $\{\{q_0, q_1\}, \{q_2\}\}$  and group 2 is  $\{\{q_3\}, \emptyset\}$ .

**Step 2:**  $\{q_0, q_1\}$  sends 1 to group 1 while  $\{q_2\}$  sends 1 to group 2. So, we divide group 1. We get the following groups: group 1 is now just  $\{\{q_0, q_1\}\}$ , group 2 is  $\{\{q_3\}, \emptyset\}$ , and the new group 3 is  $\{\{q_2\}\}$ .

Collapsing group 2 into the single state  $\emptyset$  gives us this minimized DFA:



## 4. Irregularity

- (a) Let  $\Sigma = \{0, 1\}$ . Prove that  $\{0^n 1^n 0^n : n \geq 0\}$  is not regular.

**Solution:**

Let  $L = \{0^n 1^n 0^n : n \geq 0\}$ . Let  $D$  be an arbitrary DFA, and suppose for contradiction that  $D$  accepts  $L$ . Consider  $S = \{0^n 1^n : n \geq 0\}$ . Since  $S$  contains infinitely many strings and  $D$  has a finite number of states, two strings in  $S$  must end up in the same state. These strings are the form  $0^i 1^i$  and  $0^j 1^j$  for some  $i, j \geq 0$ , and we have  $i \neq j$  since these are two different strings.

Now, we append the string  $0^i$  to both of these strings. The two resulting strings are:

$$a = 0^i 1^i 0^i \quad \text{Note that } a \in L.$$

$$b = 0^j 1^j 0^i \quad \text{Note that } b \notin L, \text{ since } i \neq j.$$

Since  $a$  and  $b$  end up in the same state,  $D$  must either accept both strings or reject both strings. However, since  $a \in L$  and  $b \notin L$ , it must give the wrong answer for one string, which contradicts that it accepts  $L$ . Thus, our only remaining assumption, that  $D$  exists, must be false.

Since  $D$  was arbitrary, there is no DFA that recognizes  $L$ , so  $L$  is not regular.

- (b) Let  $\Sigma = \{0, 1, 2\}$ . Prove that  $\{0^n (12)^m : n \geq m \geq 0\}$  is not regular.

**Solution:**

Let  $L = \{0^n (12)^m : n \geq m \geq 0\}$ . Let  $D$  be an arbitrary DFA, and suppose for contradiction that  $D$  accepts  $L$ . Consider  $S = \{0^n : n \geq 0\}$ . Since  $S$  contains infinitely many strings and  $D$  has a finite number of states, two strings in  $S$  must end up in the same state. These strings are of the form  $0^i$  and  $0^j$  for some  $i, j \geq 0$ , and since they are different strings we must have  $i \neq j$ .

Suppose first that  $i > j$ . In that case, we append the string  $(12)^i$  to both of these strings. The two resulting strings are:

$$a = 0^i (12)^i \quad \text{Note that } a \in L.$$

$$b = 0^j (12)^i \quad \text{Note that } b \notin L, \text{ since } i > j.$$

Since  $a$  and  $b$  end up in the same state,  $D$  must either accept both strings or reject both strings. However, since  $a \in L$  and  $b \notin L$ , it must give the wrong answer for one string, which contradicts that it accepts  $L$ .

Now, suppose that  $i < j$ . In that case, we append the string  $(12)^j$  to both of these strings. Here, we will have  $0^i (12)^j \notin L$  and  $0^j (12)^j \in L$  but both strings are taken to the same state, so we again get a contradiction.

Since  $i \neq j$ , we must have either  $i > j$  or  $i < j$ , so we have proven a contradiction by cases. Thus, our only remaining assumption, that  $D$  exists, must be false.

Since  $D$  was arbitrary, there is no DFA that recognizes  $L$ , so  $L$  is not regular.

## 5. Cardinality

- (a) You are a pirate. You begin in a square on a 2D grid which is infinite in all directions. In other words, wherever you are, you may move up, down, left, or right. Some single square on the infinite grid has treasure on it. Find a way to ensure you find the treasure in finitely many moves.

**Solution:**

Explore the square you are currently on. Explore the unexplored perimeter of the explored region until you find the treasure (your path will look a bit like a spiral).

- (b) Prove that  $\{3x : x \in \mathbb{N}\}$  is countable.

**Solution:**

We can enumerate the set as follows:

$$f(0) = 0$$

$$f(1) = 3$$

$$f(2) = 6$$

$$f(i) = 3i$$

Since every natural number appears on the left, and every number in  $S$  appears on the right, this enumeration spans both sets, so  $S$  is countable.

- (c) Prove that the set of irrational numbers is uncountable.

**Hint:** Use the fact that the rationals are countable and that the reals are uncountable.

**Solution:**

We first prove that the union of two countable sets is countable. Consider two arbitrary countable sets  $C_1$  and  $C_2$ . We can enumerate  $C_1 \cup C_2$  by mapping even natural numbers to  $C_1$  and odd natural numbers to  $C_2$ .

Now, assume that the set of irrationals is countable. Then the reals would be countable, since the reals are the union of the irrationals (countable by assumption) and the rationals (countable). However, we have already shown that the reals are uncountable, which is a contradiction. Therefore, our assumption that the set of irrationals is countable is false, and the irrationals must be uncountable.

- (d) Prove that  $\mathcal{P}(\mathbb{N})$  is uncountable.

**Solution:**

Assume for the sake of contradiction that  $\mathcal{P}(\mathbb{N})$  is countable.

This means we can define an enumeration of elements  $S_i$  in  $\mathcal{P}$ .

Let  $s_i$  be the binary set representation of  $S_i$  in  $\mathbb{N}$ . For example, for the set  $0, 1, 2$ , the binary set representation would be  $111000\dots$

We then construct a new subset  $X \subset \mathbb{N}$  such that  $x[i] = \neg s_i[i]$  (that is,  $x[i]$  is 1 if  $s_i[i]$  is 0, and  $x[i]$  is 0 otherwise).

Note that  $X$  is not any of  $S_i$ , since it differs from  $S_i$  on the  $i$ th natural number. However,  $X$  still represents a valid subset of the natural numbers, which means our enumeration is incomplete, which is a contradiction. Since the above proof works for any listing of  $\mathcal{P}(\mathbb{N})$ , *no* listing can be created for  $\mathcal{P}(\mathbb{N})$ , and therefore  $\mathcal{P}(\mathbb{N})$  is uncountable.

## 6. Countable Unions

- (a) Show that  $\mathbb{N} \times \mathbb{N}$  is countable.

Hint: How did we show the rationals were countable?

**Solution:**

We use dovetailing to create a sequence of elements of  $\mathbb{N} \times \mathbb{N}$  that includes the entirety of  $\mathbb{N} \times \mathbb{N}$ .

For a fixed integer  $k \geq 2$ , consider subset  $S_k$  of  $\mathbb{N} \times \mathbb{N}$  consisting of the elements  $(a, b)$  such that  $a + b = k$ . There can be at most  $k - 1$  such elements because for each value of  $a = 1, 2, \dots, k - 1$ , there can only be one possible value for  $b$ , namely  $k - a$ . Thus, if we create a sequence consisting of all the elements of  $S_2$ , then  $S_3$ , then  $S_4$ , etc. because each set is of finite size, any pair  $(a, b) \in \mathbb{N} \times \mathbb{N}$  will eventually show up in this sequence in  $S_{a+b}$ .

Thus, because we can enumerate the elements of  $\mathbb{N} \times \mathbb{N}$ , it must be countable.

- (b) Show that the countable union of countable sets is countable. That is, given a collection of sets  $S_1, S_2, S_3, \dots$  such that  $S_i$  is countable for all  $i \in \mathbb{N}$ , show that

$$S = S_1 \cup S_2 \cup \dots = \{x : x \in S_i \text{ for some } i\}$$

is countable.

Hint: Find a way labeling the elements and see if you can apply the previous part to construct an onto function from  $\mathbb{N}$  to  $S$ .

**Solution:**

Because each  $S_i$  is countable, the elements can be enumerated. Let the elements of  $S_i$  be  $a_{i,1}, a_{i,2}, a_{i,3}, \dots$ . Next, because  $\mathbb{N} \times \mathbb{N}$  is countable, there exists an onto function  $f : \mathbb{N} \rightarrow \mathbb{N} \times \mathbb{N}$ . Then define the function  $g : \mathbb{N} \rightarrow S$  as follows. For each  $n \in \mathbb{N}$ , let  $(i_n, j_n) = f(n)$ . Then define  $g(n)$  to be  $a_{i_n, j_n}$ .

I claim  $g$  is onto. Indeed, let  $a_{i,j}$  be an arbitrary element of  $S$ . Because  $f$  is onto, there exists an  $n$  such that  $f(n) = (i, j)$ . Then  $g(n) = a_{i,j}$ . This shows  $g$  is onto and thus  $S$  is countable.

## 7. Uncomputability

- (a) Let  $\Sigma = \{0, 1\}$ . Prove that the set of palindromes is decidable.

**Solution:**

We can implement the function that takes a string as input and reverses that string, using the recursive definition of string reverse given in class. So on input  $x$  we run that reversing program to create the string  $y = x^R$ . Then we compare  $x$  against  $y$  character by character and output yes iff we find that  $x = y$ .

- (b) Prove that the set  $\{(\text{CODE}(R), x, y) : R \text{ is a program and } R(x) \neq R(y)\}$  is undecidable where  $R(x)$  is the output string that  $R$  produces on input  $x$  if  $R$  halts and we write  $R(x) = \uparrow$  if  $R$  runs forever.

**Solution:**

Let  $S$  be the set  $\{(\text{CODE}(R), x, y) : R \text{ is a program and } R(x) \neq R(y)\}$ . Assume for the sake of contradiction that  $S$  is decidable. Then there exists some program  $Q(\text{String input}, \text{String } x, \text{String } y)$  which returns `true` iff  $(\text{CODE}(R), x, y) \in S$ .

Let  $P()$  be some arbitrary program. We will show that we can use  $Q$  to determine if  $P$  halts.

We first write a program  $I(\text{String input})$  that incorporates the code of  $P$ :

```
String I(String input) {
    if (input.equals("kittens")) {
        // Run forever
        while (true) {
        }
    } else {
        // Execute P
        <Code of P>
    }
}
```

Note that this program will always run forever when the input is "kittens" OR  $P$  runs forever, but will otherwise return whatever  $P$  returns.

Now, we can write  $\text{DOESHHALT}()$ :

```
boolean DOESHHALT() {
    return Q(CODE(I), "kittens", "bunnies");
}
```

If  $Q(\text{CODE}(I), \text{"kittens"}, \text{"bunnies"})$  returns `true`, then  $I(\text{"kittens"}) \neq I(\text{"bunnies"})$ , so  $P$  does not run forever, so  $P$  halts.

If  $Q(\text{CODE}(I), \text{"kittens"}, \text{"bunnies"})$  returns `false`, then  $I(\text{"kittens"}) = I(\text{"bunnies"})$ , so  $P$  runs forever, so  $P$  does not halt.

Since  $P$  was arbitrary, we can construct a program using  $Q()$  like  $\text{DOESHHALT}()$  for *any* program, which allows us to decide the halting set. Since we can use  $Q$  to decide the halting set, but the halting set is undecidable,  $Q$  cannot exist.

Since  $Q$  was an arbitrary function that decides  $S$ , no function that decides  $S$  can exist, and therefore  $S$  is undecidable.