

## 1. Structural Induction

- (a) Consider the following recursive definition of strings  $\Sigma^*$  over an alphabet  $\Sigma$ .

**Basis Step:**  $\epsilon$  is a string

**Recursive Step:** If  $w$  is a string and  $a \in \Sigma$  is a character then  $wa$  is a string.

Recall the following recursive definition of the function  $\text{len}$ :

$$\begin{aligned}\text{len}(\epsilon) &= 0 \\ \text{len}(wa) &= 1 + \text{len}(w)\end{aligned}$$

Now, consider the following recursive definition:

$$\begin{aligned}\text{double}(\epsilon) &= \epsilon \\ \text{double}(wa) &= \text{double}(w)aa.\end{aligned}$$

Prove that for any string  $x$ ,  $\text{len}(\text{double}(x)) = 2\text{len}(x)$ .

**Solution:**

For a string  $x$ , let  $P(x)$  be “ $\text{len}(\text{double}(x)) = 2\text{len}(x)$ ”. We prove  $P(x)$  for all strings  $x \in \Sigma^*$  by structural induction.

**Base Case.** We show  $P(\epsilon)$  holds. By definition  $\text{len}(\text{double}(\epsilon)) = \text{len}(\epsilon) = 0$ . On the other hand,  $2\text{len}(\epsilon) = 0$  as desired.

**Induction Hypothesis.** Suppose  $P(w)$  holds for some arbitrary string  $w \in \Sigma^*$ .

**Induction Step.** We show that  $P(wa)$  holds for any character  $a \in \Sigma$ .

$$\begin{aligned}\text{len}(\text{double}(wa)) &= \text{len}(\text{double}(w)aa) && \text{[By Definition of double]} \\ &= 1 + \text{len}(\text{double}(w)a) && \text{[By Definition of len]} \\ &= 1 + 1 + \text{len}(\text{double}(w)) && \text{[By Definition of len]} \\ &= 2 + 2\text{len}(w) && \text{[By IH]} \\ &= 2(1 + \text{len}(w)) && \text{[Algebra]} \\ &= 2(\text{len}(wa)) && \text{[By Definition of len]}\end{aligned}$$

This proves  $P(wa)$ .

Thus,  $P(x)$  holds for all strings  $x \in \Sigma^*$  by structural induction.

- (b) Consider the following definition of a (rooted binary) **Tree**:

**Basis Step:**  $\bullet$  is a **Tree**.

**Recursive Step:** If  $L$  is a **Tree** and  $R$  is a **Tree** then  $\text{Tree}(\bullet, L, R)$  is a **Tree**.

The function  $\text{leaves}$  returns the number of leaves of a **Tree**. It is defined as follows:

$$\begin{aligned}\text{leaves}(\bullet) &= 1 \\ \text{leaves}(\text{Tree}(\bullet, L, R)) &= \text{leaves}(L) + \text{leaves}(R)\end{aligned}$$

Also, recall the definition of  $\text{size}$  on trees:

$$\begin{aligned}|\bullet| &= 1 \\ |\text{Tree}(\bullet, L, R)| &= 1 + |L| + |R|\end{aligned}$$

Prove that  $\text{leaves}(T) \geq |T|/2 + 1/2$  for all **Trees**  $T$ .

**Solution:**

For a rooted binary tree  $T$ , let  $P(T)$  be  $\text{leaves}(T) \geq |T|/2 + 1/2$ . We prove  $P(T)$  for all rooted binary trees  $T$  by structural induction.

**Base Case.** We show that  $P(\bullet)$  holds. By definition of  $\text{leaves}(\cdot)$ ,  $\text{leaves}(\bullet) = 1$  and  $|\bullet| = 1$ . So,  $\text{leaves}(\bullet) = 1 \geq 1/2 + 1/2 = |\bullet|/2 + 1/2$ .

**Induction Hypothesis:** Suppose  $P(L)$  and  $P(R)$  hold for some arbitrary rooted binary trees  $L$  and  $R$ .

**Induction Step:** We prove that  $P(\text{Tree}(\bullet, L, R))$  holds.

$$\begin{aligned} \text{leaves}(\text{Tree}(\bullet, L, R)) &= \text{leaves}(L) + \text{leaves}(R) && \text{[By Definition of leaves]} \\ &\geq (|L|/2 + 1/2) + (|R|/2 + 1/2) && \text{[By IH]} \\ &= (|L| + |R| + 1)/2 + 1/2 \\ &= |\text{Tree}(\bullet, L, R)|/2 + 1/2 && \text{[By Definition of size]} \end{aligned}$$

This proves  $P(\text{Tree}(\bullet, L, R))$ .

Thus, the  $P(T)$  holds for all rooted binary trees  $T$ .

**2. Regular Expressions**

- (a) Write a regular expression that matches base 10 non-negative numbers (e.g., there should be no leading zeroes).

**Solution:**

$$0 \cup ((1 \cup 2 \cup 3 \cup 4 \cup 5 \cup 6 \cup 7 \cup 8 \cup 9)(0 \cup 1 \cup 2 \cup 3 \cup 4 \cup 5 \cup 6 \cup 7 \cup 8 \cup 9)^*)$$

- (b) Write a regular expression that matches all non-negative base-3 numbers that are divisible by 3.

**Solution:**

$$0 \cup ((1 \cup 2)(0 \cup 1 \cup 2)^*0)$$

- (c) Write a regular expression that matches all binary strings that contain the substring “111”, but not the substring “000”.

**Solution:**

$$(01 \cup 001 \cup 1^*)^*(0 \cup 00 \cup \varepsilon)111(01 \cup 001 \cup 1^*)^*(0 \cup 00 \cup \varepsilon)$$

(If you don't want the substring 000, the only way you can produce 0s is if there are only one or two 0s in a row, and they are immediately followed by a 1 or the end of the string.)