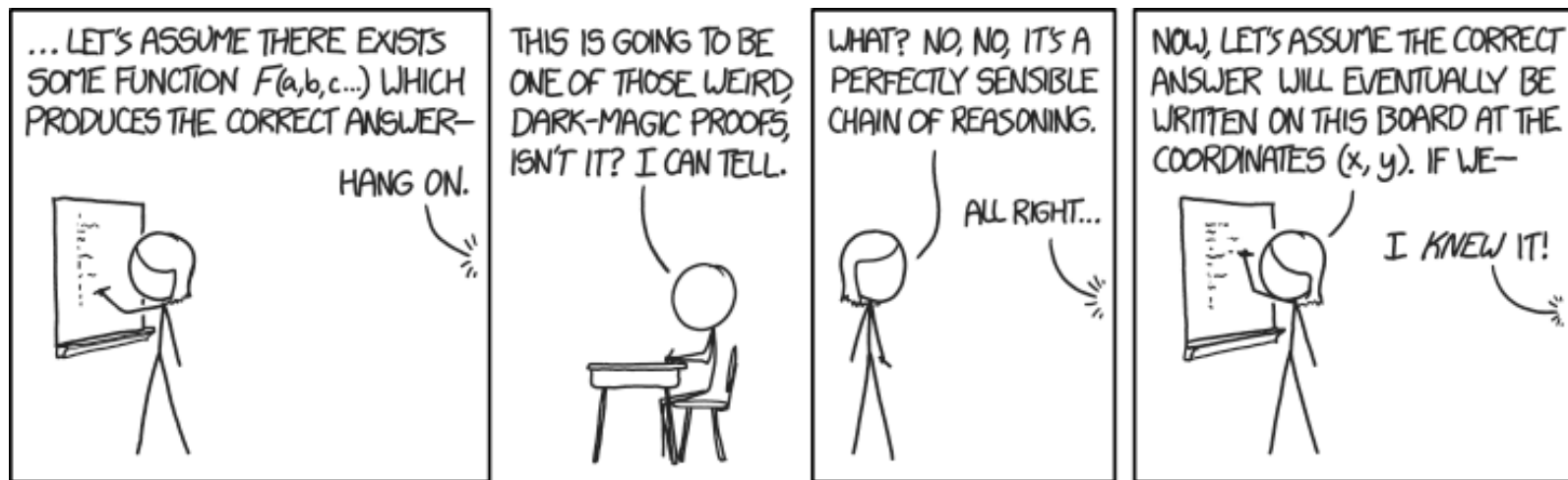


# CSE 311: Foundations of Computing

---

## Lecture 9: Set Theory



# Last Time: Proof Strategies: Counterexamples

---

To prove  $\neg \forall x P(x)$ , prove  $\exists \neg P(x)$  :

- Works by de Morgan's Law:  $\neg \forall x P(x) \equiv \exists x \neg P(x)$
- All we need to do that is find an  $x$  where  $P(x)$  is false
- This example is called a *counterexample* to  $\forall x P(x)$ .

e.g. Prove “Not every prime number is odd”

**Proof:** 2 is prime but not odd, a counterexample to the claim that every prime number is odd. ■

# Last Time: Proof Strategies: Proof by Contrapositive

---

If we assume  $\neg q$  and derive  $\neg p$ , then we have proven  $\neg q \rightarrow \neg p$ , which is equivalent to proving  $p \rightarrow q$ .

1.1.  $\neg q$       Assumption

...

1.3.  $\neg p$

1.  $\neg q \rightarrow \neg p$       Direct Proof Rule

2.  $p \rightarrow q$       Contrapositive: 1

# Last Time: Proof Strategies: Proof by Contrapositive

---

If we assume  $\neg q$  and derive  $\neg p$ , then we have proven  $\neg q \rightarrow \neg p$ , which is equivalent to proving  $p \rightarrow q$ .

We will prove the contrapositive.

Suppose  $\neg q$ .

...

Thus,  $\neg p$ .

1.1.  $\neg q$

Assumption

...

1.3.  $\neg p$

1.  $\neg q \rightarrow \neg p$

Direct Proof Rule

2.  $p \rightarrow q$

Contrapositive: 1

## Last Time: Proof by Contradiction: One way to prove $\neg p$

---

If we assume  $p$  and derive  $F$  (a contradiction), then we have proven  $\neg p$ .

1.1.  $p$  Assumption

...

1.3.  $F$

1.  $p \rightarrow F$  Direct Proof rule
2.  $\neg p \vee F$  Law of Implication: 1
3.  $\neg p$  Identity: 2

# Last Time: Proof Strategies: Proof by Contradiction

---

If we assume  $p$  and derive  $F$  (a contradiction), then we have proven  $\neg p$ .

We will argue by contradiction.

Suppose  $p$ .

...

This shows  $F$ , a contradiction.

1.1.  $p$  Assumption

...

1.3.  $F$

1.  $p \rightarrow F$  Direct Proof rule

2.  $\neg p \vee F$  Law of Implication: 1

3.  $\neg p$  Identity: 2

# Even and Odd

## Predicate Definitions

$$\text{Even}(x) \equiv \exists y (x = 2y)$$

$$\text{Odd}(x) \equiv \exists y (x = 2y + 1)$$

## Domain of Discourse

Integers

Prove: “No integer is both even and odd.”

Formally, prove  $\neg \exists x (\text{Even}(x) \wedge \text{Odd}(x))$

# Even and Odd

## Predicate Definitions

$$\text{Even}(x) \equiv \exists y (x = 2y)$$

$$\text{Odd}(x) \equiv \exists y (x = 2y + 1)$$

## Domain of Discourse

Integers

Prove: “No integer is both even and odd.”

Formally, prove  $\neg \exists x (\text{Even}(x) \wedge \text{Odd}(x))$

**Proof:** We work by contradiction. Suppose that  $x$  is an integer that is both even and odd.

Then,  $x=2a$  for some integer  $a$  and  $x=2b+1$  for some integer  $b$ . This means  $2a=2b+1$  and hence  $a=b+\frac{1}{2}$ .

But two integers cannot differ by  $\frac{1}{2}$ , so this is a contradiction. ■



# Strategies

---

- **Simple proof strategies already do a lot**
  - counter examples
  - proof by contrapositive
  - proof by contradiction
- **Later we will cover a specific strategy that applies to loops and recursion (mathematical induction)**

# Applications of Predicate Logic

---

- Remainder of the course will use predicate logic to prove important properties of interesting objects
  - start with math objects that are widely used in CS
  - eventually more CS-specific objects
- Encode domain knowledge in predicate definitions
- Then apply predicate logic to infer useful results

Domain of Discourse

Integers

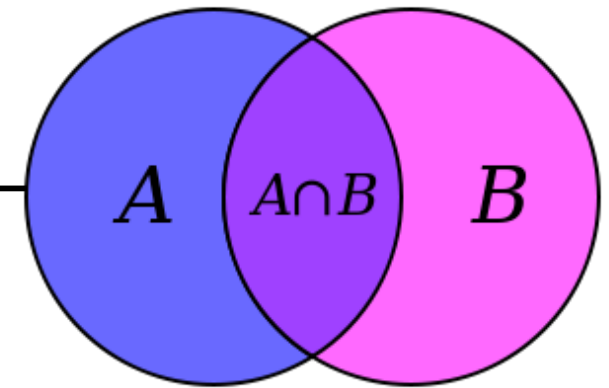
Predicate Definitions

$$\text{Even}(x) \equiv \exists y (x = 2 \cdot y)$$

$$\text{Odd}(x) \equiv \exists y (x = 2 \cdot y + 1)$$

# Set Theory

---



Sets are collections of objects called **elements**.

Write  $a \in B$  to say that  $a$  is an element of set  $B$ ,  
and  $a \notin B$  to say that it is not.

Some simple examples

$$A = \{1\}$$

$$B = \{1, 3, 2\}$$

$$C = \{\square, 1\}$$

$$D = \{\{17\}, 17\}$$

$$E = \{1, 2, 7, \text{cat}, \text{dog}, \emptyset, \alpha\}$$

## Some Common Sets

---

$\mathbb{N}$  is the set of **Natural Numbers**;  $\mathbb{N} = \{0, 1, 2, \dots\}$

$\mathbb{Z}$  is the set of **Integers**;  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$

$\mathbb{Q}$  is the set of **Rational Numbers**; e.g.  $\frac{1}{2}$ ,  $-17$ ,  $\frac{32}{48}$

$\mathbb{R}$  is the set of **Real Numbers**; e.g.  $1$ ,  $-17$ ,  $\frac{32}{48}$ ,  $\pi$ ,  $\sqrt{2}$

$[n]$  is the set  $\{1, 2, \dots, n\}$  when  $n$  is a natural number

$\{\} = \emptyset$  is the **empty set**; the *only* set with no elements

# Sets can be elements of other sets

---

For example

$$A = \{\{1\}, \{2\}, \{1,2\}, \emptyset\}$$

$$B = \{1,2\}$$

Then  $B \in A$ .

# Definitions

---

- **A and B are *equal* if they have the same elements**

$$A = B \equiv \forall x (x \in A \leftrightarrow x \in B)$$

- **A is a *subset* of B if every element of A is also in B**

$$A \subseteq B \equiv \forall x (x \in A \rightarrow x \in B)$$

- **Note:  $(A = B) \equiv (A \subseteq B) \wedge (B \subseteq A)$**

# Definition: Equality

---

A and B are *equal* if they have the same elements

$$A = B \equiv \forall x (x \in A \leftrightarrow x \in B)$$

$$A = \{1, 2, 3\}$$

$$B = \{3, 4, 5\}$$

$$C = \{3, 4\}$$

$$D = \{4, 3, 3\}$$

$$E = \{3, 4, 3\}$$

$$F = \{4, \{3\}\}$$

Which sets are equal to each other?

# Definition: Subset

---

**A is a *subset* of B if every element of A is also in B**

$$A \subseteq B \equiv \forall x (x \in A \rightarrow x \in B)$$

$$A = \{1, 2, 3\}$$

$$B = \{3, 4, 5\}$$

$$C = \{3, 4\}$$

## QUESTIONS

$$\emptyset \subseteq A?$$

$$A \subseteq B?$$

$$C \subseteq B?$$



# Building Sets from Predicates

---

**S** = the set of all\* **x** for which **P(x)** is true

$$S = \{x : P(x)\}$$

**S** = the set of all **x** in **A** for which **P(x)** is true

$$S = \{x \in A : P(x)\}$$

\*in the domain of **P**, usually called the “universe” **U**

# Set Operations

---

$$A \cup B = \{ x : (x \in A) \vee (x \in B) \}$$
 **Union**

$$A \cap B = \{ x : (x \in A) \wedge (x \in B) \}$$
 **Intersection**

$$A \setminus B = \{ x : (x \in A) \wedge (x \notin B) \}$$
 **Set Difference**

$$A = \{1, 2, 3\}$$

$$B = \{3, 5, 6\}$$

$$C = \{3, 4\}$$

## QUESTIONS

Using A, B, C and set operations, make...

$$[6] =$$

$$\{3\} =$$

$$\{1,2\} =$$

# More Set Operations

---

$$A \oplus B = \{x : (x \in A) \oplus (x \in B)\}$$

**Symmetric  
Difference**

$$\bar{A} = \{x : x \notin A\}$$

(with respect to universe U)

**Complement**

$$A = \{1, 2, 3\}$$

$$B = \{1, 2, 4, 6\}$$

Universe:

$$U = \{1, 2, 3, 4, 5, 6\}$$

$$A \oplus B = \{3, 4, 6\}$$

$$\bar{A} = \{4, 5, 6\}$$

# It's Boolean algebra again

---

- Definition for  $\cup$  based on  $\vee$
- Definition for  $\cap$  based on  $\wedge$
- Complement works like  $\neg$

# De Morgan's Laws

---

$$\overline{A \cup B} = \bar{A} \cap \bar{B}$$

$$\overline{A \cap B} = \bar{A} \cup \bar{B}$$

# De Morgan's Laws

---

Prove that  $(A \cup B)^C = A^C \cap B^C$

Formally, prove  $\forall x (x \in (A \cup B)^C \leftrightarrow x \in A^C \cap B^C)$

**Proof:** Let  $x$  be an arbitrary object.

Suppose  $x \in (A \cup B)^C$ . Then, by definition of complement, we have  $\neg(x \in A \cup B)$ . The latter is equivalent to  $\neg(x \in A \vee x \in B)$ , which is equivalent to  $\neg(x \in A) \wedge \neg(x \in B)$  by De Morgan's law. We then have  $x \in A^C$  and  $x \in B^C$ , by the definition of complement, so we have  $x \in A^C \cap B^C$  by the definition of intersection.

Proof technique:  
To show  $C = D$  show  
 $x \in C \rightarrow x \in D$  and  
 $x \in D \rightarrow x \in C$

# De Morgan's Laws

---

Prove that  $(A \cup B)^C = A^C \cap B^C$

Formally, prove  $\forall x (x \in (A \cup B)^C \leftrightarrow x \in A^C \cap B^C)$

**Proof:** Let  $x$  be an arbitrary object.

Suppose  $x \in (A \cup B)^C$ .... Then,  $x \in A^C \cap B^C$ .

Suppose  $x \in A^C \cap B^C$ . Then, by definition of intersection, we have  $x \in A^C$  and  $x \in B^C$ . That is, we have  $\neg(x \in A) \wedge \neg(x \in B)$ , which is equivalent to  $\neg(x \in A \vee x \in B)$  by De Morgan's law. The last is equivalent to  $\neg(x \in A \cup B)$ , by the definition of union, so we have shown  $x \in (A \cup B)^C$ , by the definition of complement. ■

# De Morgan's Laws

---

Prove that  $(A \cup B)^C = A^C \cap B^C$

Formally, prove  $\forall x (x \in (A \cup B)^C \leftrightarrow x \in A^C \cap B^C)$

**Proof:** Let  $x$  be an arbitrary object.

The stated bi-condition holds since:

$$\begin{aligned} x \in (A \cup B)^C &\equiv \neg(x \in A \cup B) && \text{def of } ^C \\ &\equiv \neg(x \in A \vee x \in B) && \text{def of } \cup \\ &\equiv \neg(x \in A) \wedge \neg(x \in B) && \text{De Morgan} \\ &\equiv x \in A^C \wedge x \in B^C && \text{def of } ^C \\ &\equiv x \in A^C \cap B^C && \text{def of } \cap \end{aligned}$$

Chains of equivalences  
are often easier to read  
like this rather than as  
English text

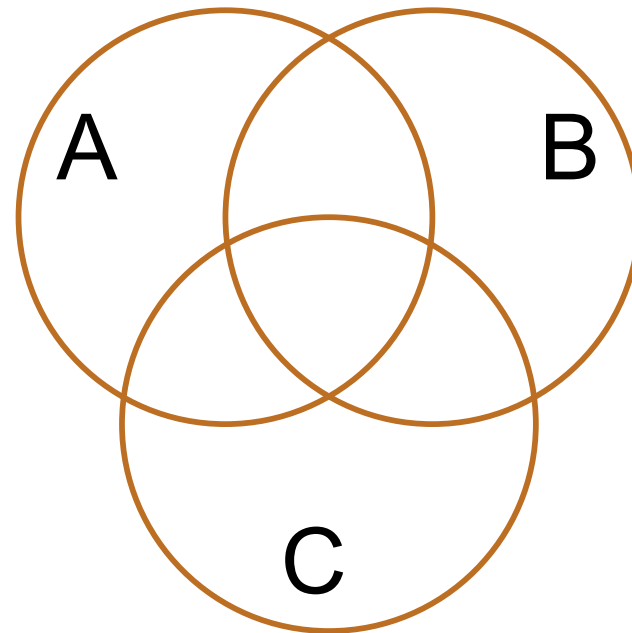
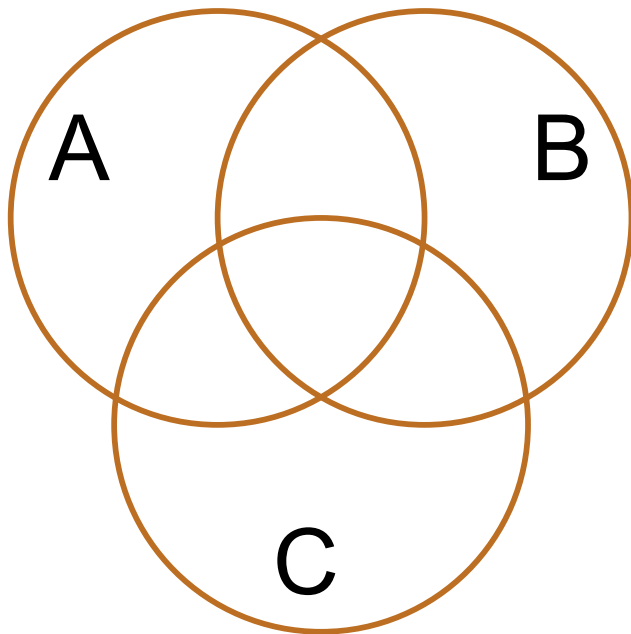


# Distributive Laws

---

$$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$$

$$A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$$



# Power Set

---

- Power Set of a set  $A$  = set of all subsets of  $A$

$$\mathcal{P}(A) = \{ B : B \subseteq A \}$$

- e.g., let  $\text{Days} = \{M, W, F\}$  and consider all the possible sets of days in a week you could ask a question in class

$$\mathcal{P}(\text{Days}) = ?$$

$$\mathcal{P}(\emptyset) = ?$$

# Power Set

---

- Power Set of a set  $A$  = set of all subsets of  $A$

$$\mathcal{P}(A) = \{ B : B \subseteq A \}$$

- e.g., let  $\text{Days} = \{M, W, F\}$  and consider all the possible sets of days in a week you could ask a question in class

$$\mathcal{P}(\text{Days}) = \{ \{M, W, F\}, \{M, W\}, \{M, F\}, \{W, F\}, \{M\}, \{W\}, \{F\}, \emptyset \}$$

$$\mathcal{P}(\emptyset) = \{ \emptyset \} \neq \emptyset$$

# Cartesian Product

---

$$A \times B = \{ (a, b) : a \in A, b \in B \}$$

$\mathbb{R} \times \mathbb{R}$  is the real plane. You've seen ordered pairs before.

These are just for arbitrary sets.

$\mathbb{Z} \times \mathbb{Z}$  is “the set of all pairs of integers”

If  $A = \{1, 2\}$ ,  $B = \{a, b, c\}$ , then  $A \times B = \{(1,a), (1,b), (1,c), (2,a), (2,b), (2,c)\}$ .

# Cartesian Product

---

$$A \times B = \{ (a, b) : a \in A, b \in B \}$$

$\mathbb{R} \times \mathbb{R}$  is the real plane. You've seen ordered pairs before.

These are just for arbitrary sets.

$\mathbb{Z} \times \mathbb{Z}$  is “the set of all pairs of integers”

If  $A = \{1, 2\}$ ,  $B = \{a, b, c\}$ , then  $A \times B = \{(1,a), (1,b), (1,c), (2,a), (2,b), (2,c)\}$ .

What is  $A \times \emptyset$ ?

# Cartesian Product

---

$$A \times B = \{ (a, b) : a \in A, b \in B \}$$

$\mathbb{R} \times \mathbb{R}$  is the real plane. You've seen ordered pairs before.

These are just for arbitrary sets.

$\mathbb{Z} \times \mathbb{Z}$  is “the set of all pairs of integers”

If  $A = \{1, 2\}$ ,  $B = \{a, b, c\}$ , then  $A \times B = \{(1,a), (1,b), (1,c), (2,a), (2,b), (2,c)\}$ .

$$A \times \emptyset = \{(a, b) : a \in A \wedge b \in \emptyset\} = \{(a, b) : a \in A \wedge \mathbf{F}\} = \emptyset$$

# Representing Sets Using Bits

---

- Suppose universe  $U$  is  $\{1, 2, \dots, n\}$
- Can represent set  $B \subseteq U$  as a vector of bits:  
 $b_1 b_2 \dots b_n$  where  $b_i = 1$  when  $i \in B$   
 $b_i = 0$  when  $i \notin B$ 
  - Called the *characteristic vector* of set  $B$
- Given characteristic vectors for  $A$  and  $B$ 
  - What is characteristic vector for  $A \cup B$ ?  $A \cap B$ ?

# Bitwise Operations

---

$$\begin{array}{r} 01101101 \\ \vee \ 00110111 \\ \hline 01111111 \end{array}$$

Java:  $z = x | y$

$$\begin{array}{r} 00101010 \\ \wedge \ 00001111 \\ \hline 00001010 \end{array}$$

Java:  $z = x \& y$

$$\begin{array}{r} 01101101 \\ \oplus \ 00110111 \\ \hline 01011010 \end{array}$$

Java:  $z = x \wedge y$



# A Useful Identity

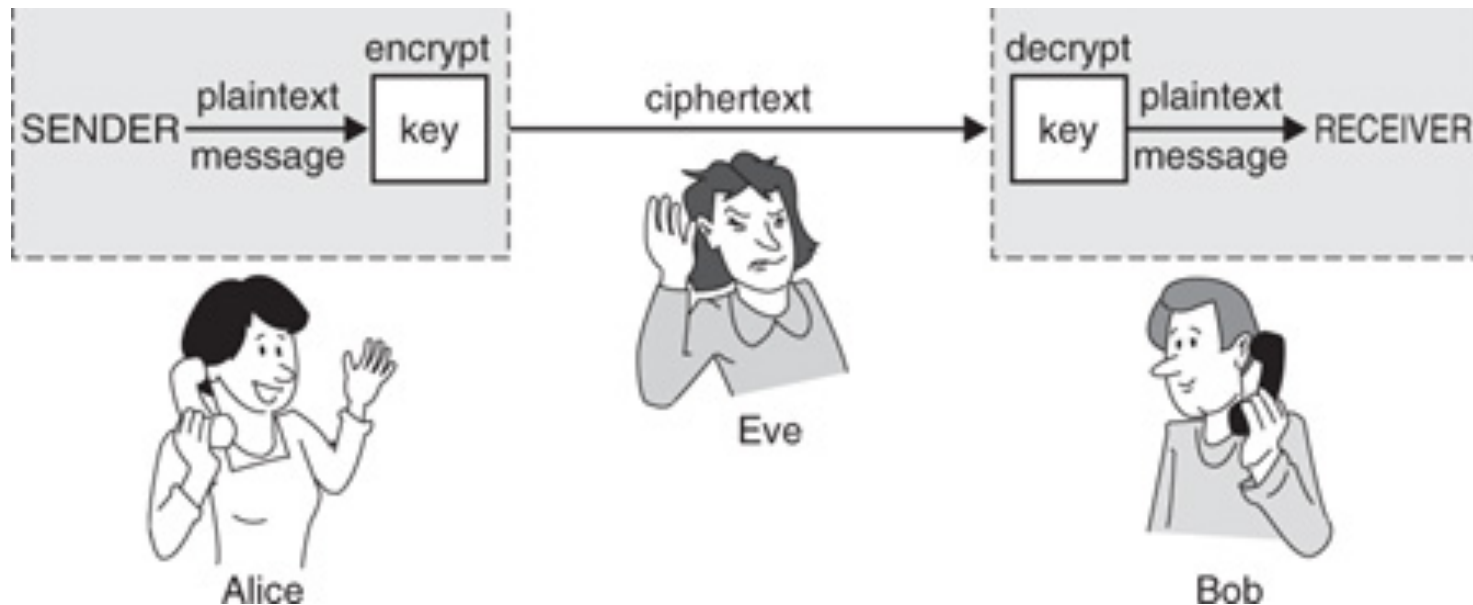
---

- If  $x$  and  $y$  are bits:  $(x \oplus y) \oplus y = ?$
- What if  $x$  and  $y$  are bit-vectors?

# Private Key Cryptography

---

- **Alice** wants to communicate message secretly to **Bob** so that eavesdropper **Eve** who hears their conversation cannot tell what **Alice's** message is.
- **Alice** and **Bob** can get together and privately share a secret key **K** ahead of time.



# One-Time Pad

---

- **Alice and Bob privately share random n-bit vector  $K$** 
  - Eve does not know  $K$
- **Later, Alice has n-bit message  $m$  to send to Bob**
  - Alice computes  $C = m \oplus K$
  - Alice sends  $C$  to Bob
  - Bob computes  $m = C \oplus K$  which is  $(m \oplus K) \oplus K$
- **Eve cannot figure out  $m$  from  $C$  unless she can guess  $K$**



# Russell's Paradox

---

$$S = \{ x : x \notin x \}$$

Suppose for contradiction that  $S \in S$ ...

# Russell's Paradox

---

$$S = \{ x : x \notin x \}$$

Suppose for contradiction that  $S \in S$ . Then, by definition of  $S$ ,  $S \notin S$ , but that's a contradiction.

Suppose for contradiction that  $S \notin S$ . Then, by definition of the set  $S$ ,  $S \in S$ , but that's a contradiction, too.

This is reminiscent of the truth value of the statement "This statement is false."