

# CSE 311: Foundations of Computing

---

## Lecture 19: Regular Expressions & Context-Free Grammars



[Audience looks around]

“What is going on? There must be some context we’re missing”

# Administrivia

---

- **HW7 out tomorrow**

- longer than usual

- Problem 1 may be the hardest proof so far (we'll see)

- **Part due next Friday**

- Rest due Monday after**

- won't get through all the material until Wed

- start early!

# Review: Context-Free Grammars

---

- A Context-Free Grammar (CFG) is given by a finite set of substitution rules involving
  - A finite set  $\mathbf{V}$  of *variables* that can be replaced
  - Alphabet  $\Sigma$  of *terminal symbols* that can't be replaced
  - One variable, usually  $\mathbf{S}$ , is called the *start symbol*
- The substitution rules involving a variable  $\mathbf{A}$ , written as

$$\mathbf{A} \rightarrow w_1 \mid w_2 \mid \cdots \mid w_k$$

where each  $w_i$  is a string of variables and terminals

- that is  $w_i \in (\mathbf{V} \cup \Sigma)^*$

# Review: How CFGs generate strings

---

- Begin with start symbol **S**
- If there is some variable **A** in the current string you can replace it by one of the  $w$ 's in the rules for **A**
  - $A \rightarrow w_1 \mid w_2 \mid \cdots \mid w_k$
  - Write this as  $xAy \Rightarrow xwy$
  - Repeat until no variables left
- The set of strings the CFG generates are all strings produced in this way (after a finite number of steps) that have no variables

# Example Context-Free Grammars

---

**Example:**  $S \rightarrow 0S0 \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

The set of all binary palindromes.

E.g., to see that 001101100 is in the language:

$S \Rightarrow 0S0$   
 $\Rightarrow 00S00$   
 $\Rightarrow 001S100$   
 $\Rightarrow 0011S1100$   
 $\Rightarrow 001101100$

# Example Context-Free Grammars

---

**Grammar for  $\{0^n 1^n : n \geq 0\}$**

(all strings with same # of 0's and 1's with all 0's before 1's)

$$S \rightarrow 0S1 \mid \varepsilon$$

**HW7 Problem 1:**

binary strings with an equal number of 0s and 1s

# Simple Arithmetic Expressions

---

$E \rightarrow E + E \mid E * E \mid (E) \mid x \mid y \mid z \mid 0 \mid 1 \mid 2 \mid 3 \mid 4$   
 $\mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

Generate  $(2 * x) + y$

# Simple Arithmetic Expressions

---

$E \rightarrow E + E \mid E * E \mid (E) \mid x \mid y \mid z \mid 0 \mid 1 \mid 2 \mid 3 \mid 4$   
 $\mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

Generate  $(2 * x) + y$

$E \Rightarrow E + E \Rightarrow (E) + E \Rightarrow (E * E) + E \Rightarrow (2 * E) + E \Rightarrow (2 * x) + E \Rightarrow (2 * x) + y$

Six different ways to do  
 $(E * E) + E \Rightarrow \dots \Rightarrow (2 * x) + y$



# Parse Trees

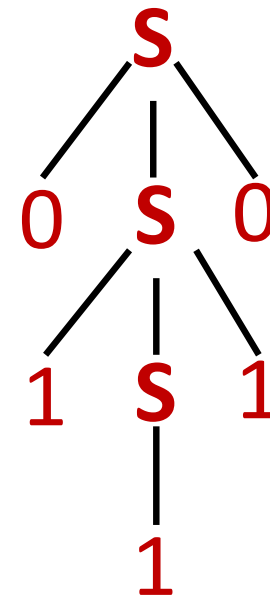
Parse tree shows the substitutions but not the exact order in which they were performed

Suppose that grammar  $G$  generates a string  $x$

- A *parse tree* of  $x$  for  $G$  has
  - Root labeled  $S$  (start symbol of  $G$ )
  - The children of any node labeled  $A$  are labeled by symbols of  $w$  left-to-right for some rule  $A \rightarrow w$
  - The symbols of  $x$  label the leaves ordered left-to-right

$S \rightarrow OSO \mid 1S1 \mid 0 \mid 1 \mid \varepsilon$

Parse tree of  $01110$



# Simple Arithmetic Expressions

---

$E \rightarrow E + E \mid E * E \mid (E) \mid x \mid y \mid z \mid 0 \mid 1 \mid 2 \mid 3 \mid 4$   
 $\mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

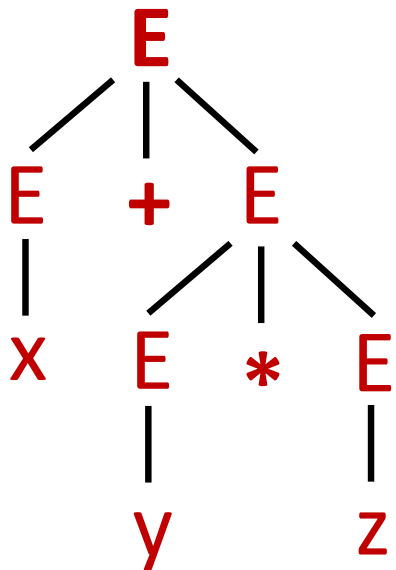
Generate  $x + y * z$  in two ways that give two *different* parse trees

# Simple Arithmetic Expressions

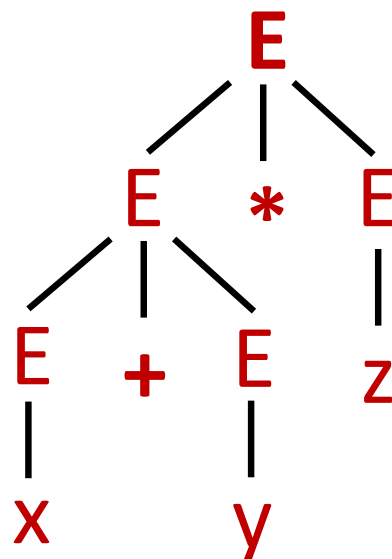
Different parse trees give different meanings (here, order of operations)

$E \rightarrow E + E \mid E * E \mid (E) \mid x \mid y \mid z \mid 0 \mid 1 \mid 2 \mid 3 \mid 4$   
 $\mid 5 \mid 6 \mid 7 \mid 8 \mid 9$

Generate  $x+y*z$  in ways that give two *different* parse trees



$E \Rightarrow E + E \Rightarrow x + E \Rightarrow x + E * E \Rightarrow x + y * E \Rightarrow x + y * z$   
(multiply  $y$  with  $z$  and then add to  $x$ )



$E \Rightarrow E * E \Rightarrow E + E * E \Rightarrow x + E * E$   
 $\Rightarrow x + y * E \Rightarrow x + y * z$   
(add  $x$  to  $y$ , then multiply by  $z$ )

# Building precedence in simple arithmetic expressions

---

- **E** – expression (start symbol)
- **T** – term   **F** – factor   **I** – identifier   **N** - number

**E** → **T** | **E+T**

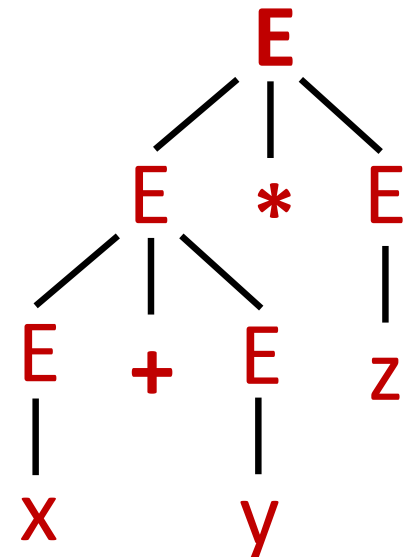
**T** → **F** | **F\*T**

**F** → (**E**) | **I** | **N**

**I** → **x** | **y** | **z**

**N** → **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9**

No longer  
allows:



# Building precedence in simple arithmetic expressions

---

- **E** – expression (start symbol)
- **T** – term   **F** – factor   **I** – identifier   **N** - number

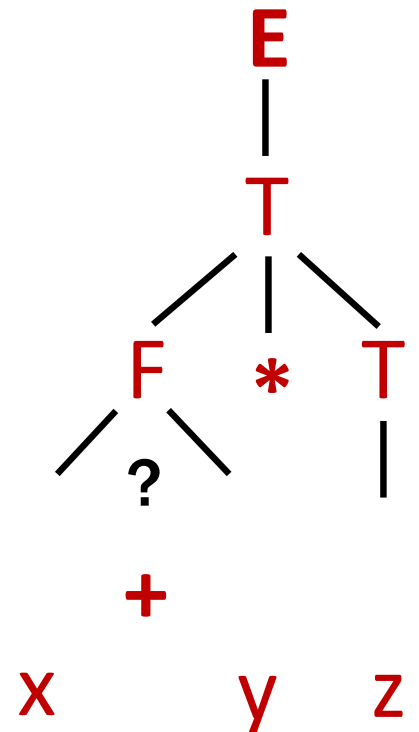
**E** → **T** | **E+T**

**T** → **F** | **F\*T**

**F** → (**E**) | **I** | **N**

**I** → **x** | **y** | **z**

**N** → **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9**



# Building precedence in simple arithmetic expressions

---

- **E** – expression (start symbol)
- **T** – term   **F** – factor   **I** – identifier   **N** - number

**E** → **T** | **E+T**

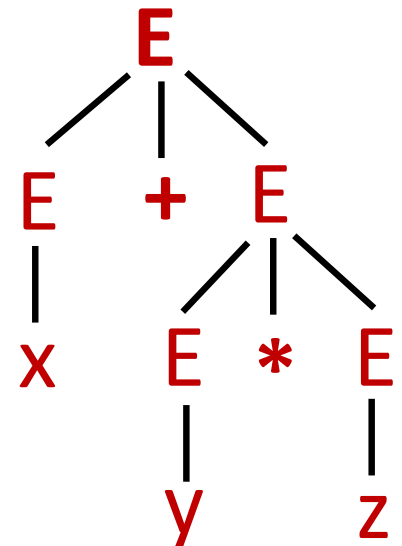
**T** → **F** | **F\*T**

**F** → (**E**) | **I** | **N**

**I** → **x** | **y** | **z**

**N** → **0** | **1** | **2** | **3** | **4** | **5** | **6** | **7** | **8** | **9**

Still  
allows:



# Building precedence in simple arithmetic expressions

---

- **E** – expression (start symbol)
- **T** – term   **F** – factor   **I** – identifier   **N** - number

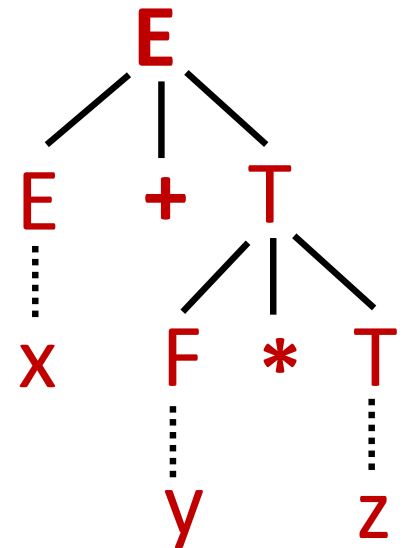
**E** → **T** | **E+T**

**T** → **F** | **F\*T**

**F** → (**E**) | **I** | **N**

**I** → **x** | **y** | **z**

**N** → 0 | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 | 9



# CFGs and recursively-defined sets of strings

---

- A CFG with the start symbol **S** as its only variable recursively defines the set of strings of terminals that **S** can generate
  - i.e., CFGs translate into recursively defined sets of strings
  - set of parse trees is also a recursively defined set
- A CFG with more than one variable is a simultaneous recursive definition of the sets of strings generated by *each* of its variables
  - sometimes necessary to use more than one



# CFGs and regular expressions

---

**Theorem:** For any set of strings (language)  $A$  described by a regular expression, there is a CFG that recognizes  $A$ .

**Proof idea:** Structural induction based on the recursive definition of regular expressions...

# Regular Expressions over $\Sigma$

---

- **Basis:**
  - $\varepsilon$  is a regular expression
  - $a$  is a regular expression for any  $a \in \Sigma$
- **Recursive step:**
  - If **A** and **B** are regular expressions then so are:
    - $(A \cup B)$
    - $(AB)$
    - $A^*$

# CFGs are more general than REs

---

- CFG to match RE  $\epsilon$

$$S \rightarrow \epsilon$$

- CFG to match RE  $a$  (for any  $a \in \Sigma$ )

$$S \rightarrow a$$

# CFGs are more general than REs

---

Suppose CFG with start symbol  $S_1$  matches RE **A**

CFG with start symbol  $S_2$  matches RE **B**

- CFG to match RE **A**  $\cup$  **B**

$$S \rightarrow S_1 \mid S_2$$

- CFG to match RE **AB**

$$S \rightarrow S_1 S_2$$

# CFGs are more general than REs

---

Suppose CFG with start symbol  $S_1$  matches RE **A**

- CFG to match RE **A<sup>\*</sup>** ( $= \varepsilon \cup \mathbf{A} \cup \mathbf{AA} \cup \mathbf{AAA} \cup \dots$ )

$$S \rightarrow S_1 S \mid \varepsilon$$

# Backus-Naur Form (The same thing...)

---

## BNF (Backus-Naur Form) grammars

- Originally used to define programming languages
- Variables denoted by long names in angle brackets, e.g.
  - <identifier>, <if-then-else-statement>,  
<assignment-statement>, <condition>
  - ::= used instead of  $\rightarrow$

# BNF for C

---

```
statement:
  ((identifier | "case" constant-expression | "default") ":")*
  (expression? ";" |
  block |
  "if" "(" expression ")" statement |
  "if" "(" expression ")" statement "else" statement |
  "switch" "(" expression ")" statement |
  "while" "(" expression ")" statement |
  "do" statement "while" "(" expression ")" ";" |
  "for" "(" expression? ";" expression? ";" expression? ")" statement |
  "goto" identifier ";" |
  "continue" ";" |
  "break" ";" |
  "return" expression? ";"
)

block: "{" declaration* statement* "}"

expression:
  assignment-expression%

assignment-expression: (
  unary-expression (
    "=" | "*=" | "/=" | "%=" | "+=" | "-=" | "<<=" | ">>=" | "&=" |
    "^=" | "|="
  )
)* conditional-expression

conditional-expression:
  logical-OR-expression ( "?" expression ":" conditional-expression )?
```

# Parse Trees

---

Back to middle school:

**<sentence> ::= <noun phrase> <verb phrase>**

**<noun phrase> ::= <article> <adjective> <noun>**

**<verb phrase> ::= <verb> <adverb> | <verb> <object>**

**<object> ::= <noun phrase>**

Parse:

The yellow duck squeaked loudly

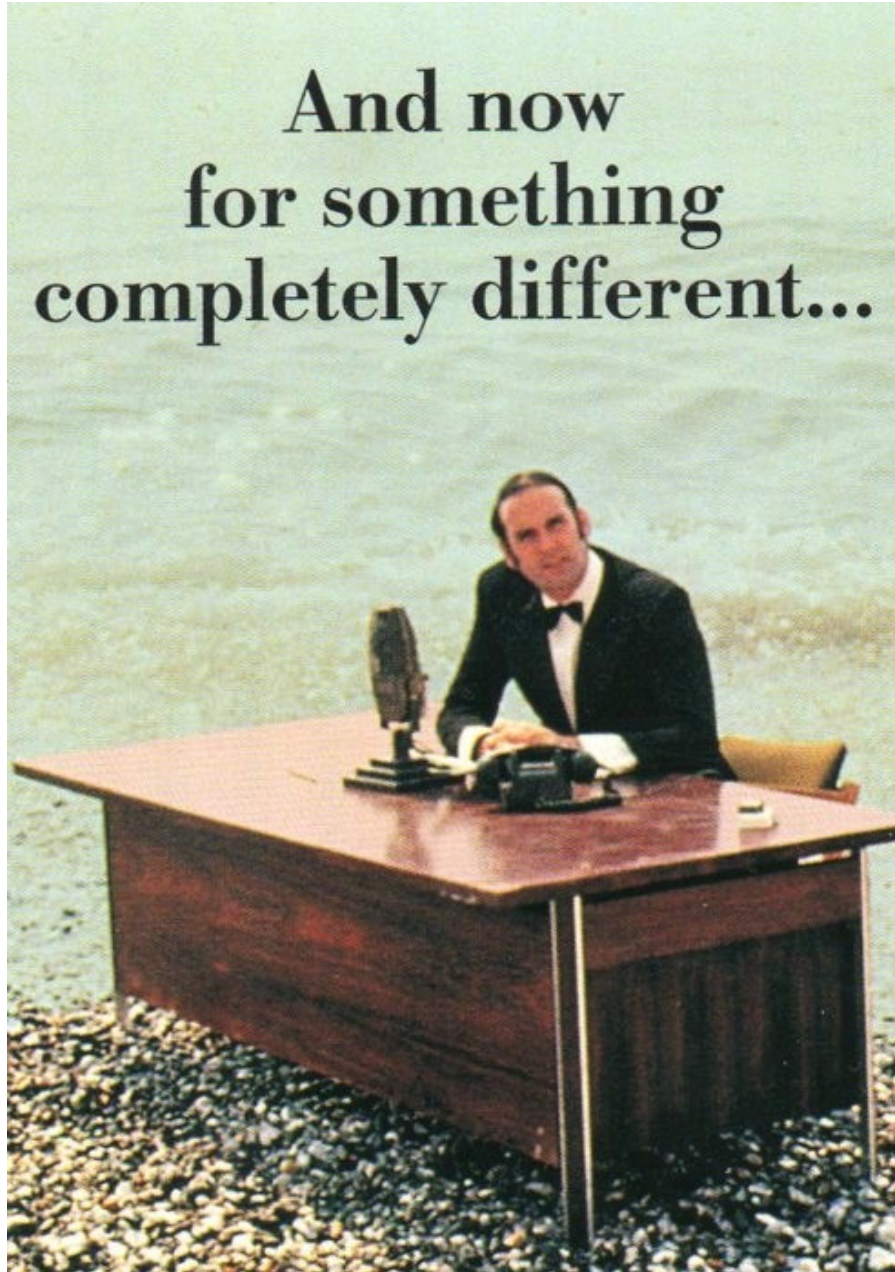
The red truck hit a parked car



# Relations and Directed Graphs

---

And now  
for something  
completely different...



# Relations

---

Let  $A$  and  $B$  be sets,

A **binary relation from  $A$  to  $B$**  is a subset of  $A \times B$

Let  $A$  be a set,

A **binary relation on  $A$**  is a subset of  $A \times A$

# Relations You Already Know!

---

$\geq$  on  $\mathbb{N}$

That is:  $\{(x,y) : x \geq y \text{ and } x, y \in \mathbb{N}\}$

$<$  on  $\mathbb{R}$

That is:  $\{(x,y) : x < y \text{ and } x, y \in \mathbb{R}\}$

$=$  on  $\Sigma^*$

That is:  $\{(x,y) : x = y \text{ and } x, y \in \Sigma^*\}$

$\subseteq$  on  $\mathcal{P}(U)$  for universe  $U$

That is:  $\{(A,B) : A \subseteq B \text{ and } A, B \in \mathcal{P}(U)\}$

# More Relation Examples

---

$$R_1 = \{(a, 1), (a, 2), (b, 1), (b, 3), (c, 3)\}$$

$$R_2 = \{(x, y) \mid x \equiv y \pmod{5}\}$$

$$R_3 = \{(c_1, c_2) \mid c_1 \text{ is a prerequisite of } c_2\}$$

$$R_4 = \{(s, c) \mid \text{student } s \text{ has taken course } c\}$$

# Properties of Relations

---

Let  $R$  be a relation on  $A$ .

$R$  is **reflexive** iff  $(a,a) \in R$  for every  $a \in A$

$R$  is **symmetric** iff  $(a,b) \in R$  implies  $(b,a) \in R$

$R$  is **antisymmetric** iff  $(a,b) \in R$  and  $a \neq b$  implies  $(b,a) \notin R$

$R$  is **transitive** iff  $(a,b) \in R$  and  $(b,c) \in R$  implies  $(a,c) \in R$

# Which relations have which properties?

---

$\geq$  on  $\mathbb{N}$  :

$<$  on  $\mathbb{R}$  :

$=$  on  $\Sigma^*$  :

$\subseteq$  on  $\mathcal{P}(U)$ :

$R_2 = \{(x, y) \mid x \equiv y \pmod{5}\}$  :

$R_3 = \{(c_1, c_2) \mid c_1 \text{ is a prerequisite of } c_2\}$  :

$R$  is **reflexive** iff  $(a, a) \in R$  for every  $a \in A$

$R$  is **symmetric** iff  $(a, b) \in R$  implies  $(b, a) \in R$

$R$  is **antisymmetric** iff  $(a, b) \in R$  and  $a \neq b$  implies  $(b, a) \notin R$

$R$  is **transitive** iff  $(a, b) \in R$  and  $(b, c) \in R$  implies  $(a, c) \in R$

# Which relations have which properties?

---

$\geq$  on  $\mathbb{N}$  : Reflexive, Antisymmetric, Transitive

$<$  on  $\mathbb{R}$  : Antisymmetric, Transitive

$=$  on  $\Sigma^*$  : Reflexive, Symmetric, Antisymmetric, Transitive

$\subseteq$  on  $\mathcal{P}(U)$ : Reflexive, Antisymmetric, Transitive

$R_2 = \{(x, y) \mid x \equiv y \pmod{5}\}$  : Reflexive, Symmetric, Transitive

$R_3 = \{(c_1, c_2) \mid c_1 \text{ is a prerequisite of } c_2\}$  : Antisymmetric

R is **reflexive** iff  $(a,a) \in R$  for every  $a \in A$

R is **symmetric** iff  $(a,b) \in R$  implies  $(b,a) \in R$

R is **antisymmetric** iff  $(a,b) \in R$  and  $a \neq b$  implies  $(b,a) \notin R$

R is **transitive** iff  $(a,b) \in R$  and  $(b,c) \in R$  implies  $(a,c) \in R$