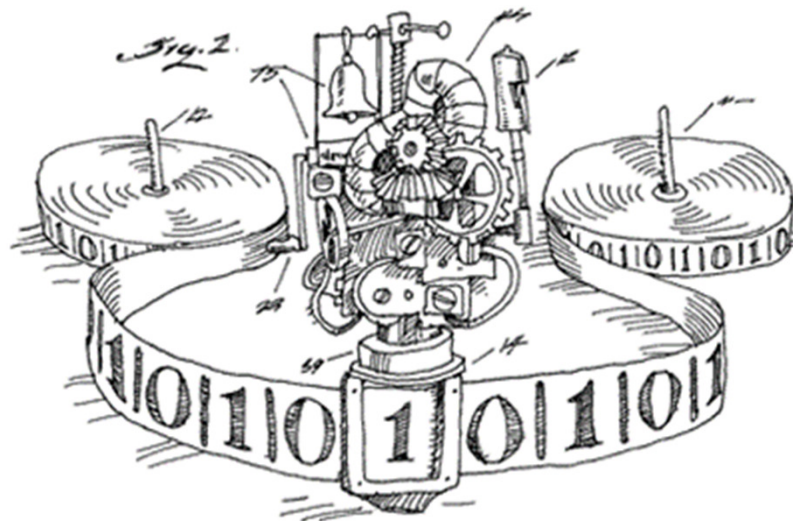


CSE 311: Foundations of Computing

Lecture 28: Undecidability, Reductions, and Turing Machines



Final exam

- **Monday** at either **2:30-4:20 p.m.** or **4:30-6:20 p.m.**
 - **Sieg Hall 134**
 - You need to fill out **Catalyst Survey** to say which you are taking by **midnight Sunday** night.
 - Bring your **UW ID** and have it out and ready during the exam
- **Comprehensive** coverage. If you had a homework question on it, it is fair game. See link on webpage.
 - Includes pre-midterm topics, e.g. formal proofs. Will contain the same sheets at end.
- **Review session: Sunday 3:30-5:00 p.m. EEB 105**
 - **Bring your questions !!**

Review: Countability vs Uncountability

- To prove a set A countable you must show
 - There exists a listing x_1, x_2, x_3, \dots such that every element of A is in the list.
- To prove a set B uncountable you must show
 - For every listing x_1, x_2, x_3, \dots there exists some element in B that is not in the list.
 - The diagonalization proof shows how to describe a missing element d in B based on the listing x_1, x_2, x_3, \dots .
Important: the proof produces a d no matter what the listing is.

Last time: Undecidability of the Halting Problem

CODE(P) means “the code of the program **P**”

The Halting Problem

Given: - CODE(P) for any program **P**
- input **x**

Output: **true** if **P** halts on input **x**
false if **P** does not halt on input **x**

Theorem [Turing]: There is no program that solves the Halting Problem

Proof: By contradiction.

Assume that a program **H** solving the Halting program does exist. Then program **D** must exist

Does **D**(CODE(**D**)) halt?

```
public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
    }  
    else {  
        return; /* halt */  
    }  
}
```

H solves the halting problem implies that **H**(CODE(**D**),x) is true iff **D**(x) halts, **H**(CODE(**D**),CODE(**D**)) is not

Suppose that **D**(CODE(**D**)) halts.

Then, by definition of **H** it must be that

H(CODE(**D**), CODE(**D**)) is true

Which by the definition of **D** means **D**(CODE(**D**)) doesn't halt

Suppose that **D**(CODE(**D**)) doesn't halt.

Then, by definition of **H** it must be that

H(CODE(**D**), CODE(**D**)) is false

Which by the definition of **D** means **D**(CODE(**D**)) halts

The ONLY assumption was the program H exists so that assumption must have been false.

Contradiction!

SCOOPING THE LOOP SNOOPER

A proof that the Halting Problem is undecidable

by **Geoffrey K. Pullum (U. Edinburgh)**

No general procedure for bug checks succeeds.

Now, I won't just assert that, I'll show where it leads:
I will prove that although you might work till you drop,
you cannot tell if computation will stop.

For imagine we have a procedure called *P*
that for specified input permits you to see
whether specified source code, with all of its faults,
defines a routine that eventually halts.

You feed in your program, with suitable data,
and *P* gets to work, and a little while later
(in finite compute time) correctly infers
whether infinite looping behavior occurs...

SCOOPING THE LOOP SNOOPER

...

Here's the trick that I'll use – and it's simple to do.
I'll define a procedure, which I will call *Q*,
that will use *P*'s predictions of halting success
to stir up a terrible logical mess.

...

And this program called *Q* wouldn't stay on the shelf;
I would ask it to forecast its run on *itself*.
When it reads its own source code, just what will it do?
What's the looping behavior of *Q* run on *Q*?

...

Full poem at:

<http://www.lel.ed.ac.uk/~gpullum/loopsnoop.html>

The Halting Problem isn't the only hard problem

- Can use the fact that the Halting Problem is undecidable to show that other problems are undecidable

General method:

Prove that if there were a program deciding **B** then there would be a way to build a program deciding the Halting Problem.

“**B** decidable \rightarrow Halting Problem decidable”

Contrapositive:

“Halting Problem undecidable \rightarrow **B** undecidable”

Therefore **B** is undecidable

Last time: A CSE 141 assignment

Students should write a Java program that:

- Prints “Hello” to the console
- Eventually exits

Gradelit, Practicelit, etc. need to grade the students.

How do we write that grading program?

WE CAN'T: THIS IS IMPOSSIBLE!

A related undecidable problem

- **HelloWorldTesting Problem:**
 - **Input:** $\text{CODE}(Q)$ and x
 - **Output:**
 - True** if Q outputs “HELLO WORLD” on input x
 - False** if Q does not output “HELLO WORLD” on input x
- **Theorem:** The HelloWorldTesting Problem is undecidable.
- **Proof idea:** Show that if there is a program **T** to decide HelloWorldTesting then there is a program **H** to decide the Halting Problem for code(P) and x .

A related undecidable problem

- Suppose there is a program **T** that solves the HelloWorldTesting problem. Define program **H** that takes input **CODE(P)** and **x** and does the following:
 - Creates **CODE(Q)** from **CODE(P)** by
 - (1) removing all output statements from **CODE(P)**, and
 - (2) adding a `System.out.println("HELLO WORLD")` immediately before any spot where **P** could halt
- Then runs **T** on input **CODE(Q)** and **x**.
- If **P** halts on input **x** then **Q** prints HELLO WORLD and halts and so **H** outputs **true** (because **T** outputs true on input **CODE(Q)**)
- If **P** doesn't halt on input **x** then **Q** won't print anything since we removed any other print statement from **CODE(Q)** so **H** outputs **false**

We know that such an **H** cannot exist. Therefore **T** cannot exist.

The HaltsNoInput Problem

- **Input:** $\text{CODE}(R)$ for program R
- **Output:** True if R halts without reading input
False otherwise.

Theorem: HaltsNoInput is undecidable

General idea “hard-coding the input”:

- Show how to use $\text{CODE}(P)$ and x to build $\text{CODE}(R)$ so
 P halts on input $x \iff R$ halts without reading input

The HaltsNoInput Problem

“Hard-coding the input”:

- Show how to use **CODE(P)** and **x** to build **CODE(R)** so **P halts on input x** \Leftrightarrow **R halts without reading input**
- Replace input statement in **CODE(P)** that reads input **x** into variable **var**, by a hard-coded assignment statement:

var = x

to produce **CODE(R)**.

- So if we have a program **N** to decide **HaltsNoInput** then we can use it as a subroutine as follows to decide the Halting Problem, which we know is impossible:
 - On input **CODE(P)** and **x**, produce **CODE(R)**. Then run **N** on input **CODE(Q)** and output the answer that **N** gives.

-
- **The impossibility of writing the CSE 141 grading program follows by combining the ideas from the undecidability of HaltsNoInput and HelloWorld.**

Rice's theorem

Not every problem on programs is undecidable!

Which of these is decidable?

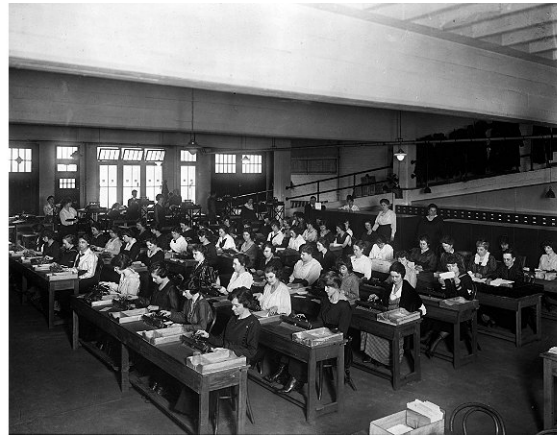
- Input $\text{CODE}(P)$ and x
Output: **true** if P prints "ERROR" on input x
after less than 100 steps
false otherwise
- Input $\text{CODE}(P)$ and x
Output: **true** if P prints "ERROR" on input x
after more than 100 steps
false otherwise

Rice's Theorem (a.k.a. Compilers Suck Theorem - informal):

Any "non-trivial" property of the input-output behavior of Java programs is undecidable.

Computers and algorithms

- Does Java (or any programming language) cover all possible computation? Every possible algorithm?
- There was a time when computers were people who did calculations on sheets paper to solve computational problems



- Computers as we know them arose from trying to understand everything these people could do.

Before Java

1930's:

How can we formalize what algorithms are possible?

- **Turing machines** (Turing, Post)
 - basis of modern computers
- **Lambda Calculus** (Church)
 - basis for functional programming, LISP
- **μ -recursive functions** (Kleene)
 - alternative functional programming basis

Turing machines

Church-Turing Thesis:

Any reasonable model of computation that includes all possible algorithms is equivalent in power to a Turing machine

Evidence

- Intuitive justification
- Huge numbers of models based on radically different ideas turned out to be equivalent to TMs

Turing machines

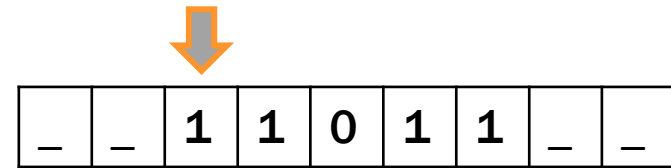
- **Finite Control**
 - Brain/CPU that has only a finite # of possible “states of mind”
- **Recording medium**
 - An unlimited supply of blank “scratch paper” on which to write & read symbols, each chosen from a finite set of possibilities
 - Input also supplied on the scratch paper
- **Focus of attention**
 - Finite control can only focus on a small portion of the recording medium at once
 - Focus of attention can only shift a small amount at a time

Turing machines

- **Recording medium**
 - An infinite read/write “tape” marked off into cells
 - Each cell can store one symbol or be “blank”
 - Tape is initially all blank except a few cells of the tape containing the input string
 - Read/write head can scan one cell of the tape - starts on input
- **In each step, a Turing machine**
 1. Reads the currently scanned cell
 2. Based on current state and scanned symbol
 - i. Overwrites symbol in scanned cell
 - ii. Moves read/write head left or right one cell
 - iii. Changes to a new state
- Each Turing Machine is specified by its **finite set of rules**

Turing machines

	-	0	1
s_1	(1, L, s_3)	(1, L, s_4)	(0, R, s_2)
s_2	(0, R, s_1)	(1, R, s_1)	(0, R, s_1)
s_3			
s_4			



UW CSE's Steam-Powered Turing Machine



Original in Sieg Hall stairwell

Turing machines

Ideal Java/C programs:

- Just like the Java/C you're used to programming with, except you never run out of memory
 - Constructor methods always succeed
 - **malloc** in C never fails

Equivalent to Turing machines except a lot easier to program:

- Turing machine definition is useful for breaking computation down into simplest steps
- We only care about high level so we use programs

Turing's big idea part 1: Machines as data

Original Turing machine definition:

- A different “machine” **M** for each task
- Each machine **M** is defined by a finite set of possible operations on finite set of symbols
- So... **M** has a finite description as a sequence of symbols, its “code”, which we denote **<M>**

You already are used to this idea with the notion of the program code or text but this was a new idea in Turing's time.

Turing's big idea part 2: A Universal TM

- A Turing machine interpreter **U**
 - On input $\langle M \rangle$ and its input x ,
U outputs the same thing as **M** does on input x
 - At each step it decodes which operation **M** would have performed and simulates it.
- One Turing machine is enough
 - Basis for modern stored-program computer
Von Neumann studied Turing's UTM design



Takeaway from undecidability

- **You can't rely on the idea of improved compilers and programming languages to eliminate major programming errors**
 - truly safe languages can't possibly do general computation
- **Document your code**
 - there is no way you can expect someone else to figure out what your program does with just your code; since in general it is provably impossible to do this!

We've come a long way!

- **Propositional Logic.**
- **Boolean logic and circuits.**
- **Boolean algebra.**
- **Predicates, quantifiers and predicate logic.**
- **Inference rules and formal proofs for propositional and predicate logic.**
- **English proofs.**
- **Set theory.**
- **Modular arithmetic.**
- **Prime numbers.**
- **GCD, Euclid's algorithm, modular inverse, and exponentiation.**

We've come a long way!

- **Induction and Strong Induction.**
- **Recursively defined functions and sets.**
- **Structural induction.**
- **Regular expressions.**
- **Context-free grammars and languages.**
- **Relations and composition.**
- **Transitive-reflexive closure.**
- **Graph representation of relations and their closures.**

We've come a long way!

- DFAs, NFAs and language recognition.
- Product construction for DFAs.
- Finite state machines with outputs at states.
- Minimization algorithm for finite state machines
- Conversion of regular expressions to NFAs.
- Subset construction to convert NFAs to DFAs.
- Equivalence of DFAs, NFAs, Regular Expressions
- Finite automata for pattern matching.
- Method to prove languages not accepted by DFAs.
- Cardinality, countability and diagonalization
- Undecidability: Halting problem and evaluating properties of programs.

What's next? ...after the final exam...

- **Foundations II (312)**
 - Fundamentals of counting, discrete probability, applications of randomness to computing, statistical algorithms and analysis
 - Ideas critical for machine learning, algorithms
- **Data Abstractions (332)**
 - Data structures, a few key algorithms, parallelism
 - Brings programming and theory together
 - Makes heavy use of induction and recursive defns

Course Evaluation Online

- **Fill this out by Sunday night!**
 - Your ability to fill it out will disappear at **11:59 p.m. on Sunday.**
 - It will be worth your while to fill it out!

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