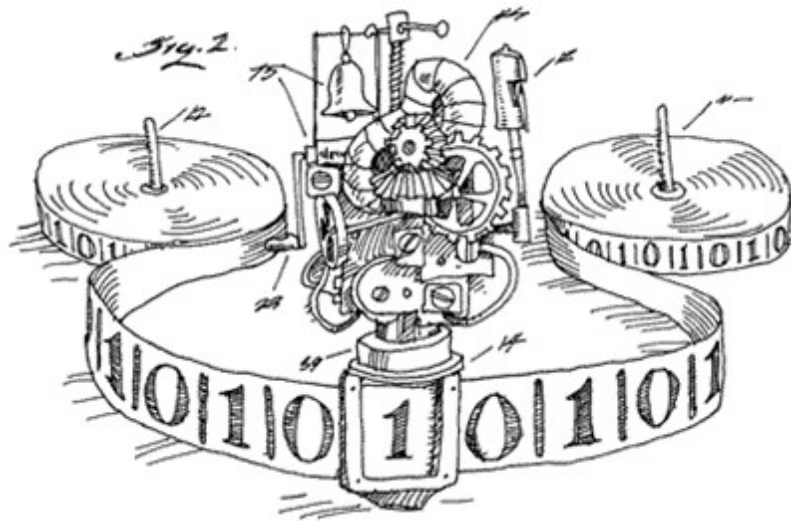


# CSE 311: Foundations of Computing

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## Lecture 28: Undecidability, Reductions, and Turing Machines



# Final exam

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- **Monday** at either **2:30-4:20 p.m.** or **4:30-6:20 p.m.**
  - **Sieg Hall 134**
  - You need to fill out **Catalyst Survey** to say which you are taking by **midnight Sunday** night.
  - Bring your **UW ID** and have it out and ready during the exam
- **Comprehensive** coverage. If you had a homework question on it, it is fair game. See link on webpage.
  - Includes pre-midterm topics, e.g. formal proofs. Will contain the same sheets at end.
- **Review session: Sunday 3:30-5:00 p.m. EEB 105**
  - **Bring your questions !!**

# Review: Countability vs Uncountability

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- To prove a set A countable you must show
    - There exists a listing  $x_1, x_2, x_3, \dots$  such that every element of A is in the list.
  - To prove a set B uncountable you must show
    - For every listing  $x_1, x_2, x_3, \dots$  there exists some element in B that is not in the list.
    - The diagonalization proof shows how to describe a missing element  $d$  in B based on the listing  $x_1, x_2, x_3, \dots$ .
- Important: the proof produces a  $d$  no matter what the listing is.*

$$f: \mathbb{N} \rightarrow S$$

# Last time: Undecidability of the Halting Problem

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**CODE(P)** means “the code of the program **P**”

## The Halting Problem

**Given:** - CODE(P) for any program **P**  
- input **x**

**Output:** **true** if **P** halts on input **x**  
**false** if **P** does not halt on input **x**

**Theorem [Turing]: There is no program that solves the Halting Problem**

**Proof:** By contradiction.

Assume that a program **H** solving the Halting program does exist. Then program **D** must exist

Does **D**(CODE(**D**)) halt?

```
public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
    }  
    else {  
        return; /* halt */  
    }  
}
```

**H** solves the halting problem implies that

**H**(CODE(**D**),x) is **true** iff **D**(x) halts, **H**(CODE(**D**),CODE(**D**)) is **true** iff **D**(CODE(**D**)) halts

Suppose that **D**(CODE(**D**)) halts.

Then, by definition of **H** it must be that

**H**(CODE(**D**),CODE(**D**)) is **true**

Which by the definition of **D** means

**D**(CODE(**D**)) doesn't halt

Suppose that **D**(CODE(**D**)) doesn't halt.

Then, by definition of **H** it must be that

**H**(CODE(**D**),CODE(**D**)) is **false**

Which by the definition of **D** means **D**(CODE(**D**)) halts

**The ONLY assumption was the program **H** exists so that assumption must have been false.**

$T \rightarrow F$

$\neg A \rightarrow F$

**Contradiction!**

# SCOOPING THE LOOP SNOOPER

## A proof that the Halting Problem is undecidable

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by Geoffrey K. Pullum (U. Edinburgh)

*No general procedure for bug checks succeeds.*

Now, I won't just assert that, I'll show where it leads:  
I will prove that although you might work till you drop,  
you cannot tell if computation will stop.

For imagine we have a procedure called *P*  
that for specified input permits you to see  
whether specified source code, with all of its faults,  
defines a routine that eventually halts.

You feed in your program, with suitable data,  
and *P* gets to work, and a little while later  
(in finite compute time) correctly infers  
whether infinite looping behavior occurs...

# SCOOPING THE LOOP SNOOPER

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...

Here's the trick that I'll use – and it's simple to do.  
I'll define a procedure, which I will call *Q*,  
that will use *P*'s predictions of halting success  
to stir up a terrible logical mess.

...

And this program called *Q* wouldn't stay on the shelf;  
I would ask it to forecast its run on *itself*.  
When it reads its own source code, just what will it do?  
What's the looping behavior of *Q* run on *Q*?

...

Full poem at:

<http://www.lel.ed.ac.uk/~gpullum/loopsnoop.html>

# The Halting Problem isn't the only hard problem

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- Can use the fact that the Halting Problem is undecidable to show that other problems are undecidable

## General method:

Prove that if there were a program deciding **B** then there would be a way to build a program deciding the Halting Problem.

“**B** decidable  $\rightarrow$  Halting Problem decidable”

Contrapositive:

“Halting Problem undecidable  $\rightarrow$  **B** undecidable”

Therefore **B** is undecidable

*Contrapositive*

## Last time: A CSE 141 assignment

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**Students should write a Java program that:**

- Prints “Hello” to the console
- Eventually exits

**Gradel, Practicel, etc. need to grade the students.**

**How do we write that grading program?**

**WE CAN'T: THIS IS IMPOSSIBLE!**

## A related undecidable problem

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- **HelloWorldTesting Problem:**
  - Input: **CODE(Q)** and **x**
  - Output:
    - True** if **Q** outputs “HELLO WORLD” on input **x**
    - False** if **Q** does not output “HELLO WORLD” on input **x**
- **Theorem:** The HelloWorldTesting Problem is undecidable.
- **Proof idea:** Show that if there is a program **T** to decide HelloWorldTesting then there is a program **H** to decide the Halting Problem for code(P) and x.

# A related undecidable problem

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- Suppose there is a program **T** that solves the HelloWorldTesting problem. Define program **H** that takes input **CODE(P)** and **x** and does the following:

- Creates CODE(Q) from **CODE(P)** by

- (1) removing all output statements from **CODE(P)**, and
- (2) adding a `System.out.println("HELLO WORLD")` immediately before any spot where **P** could halt

Then runs **T** on input **CODE(Q)** and **x**.

- If **P** halts on input **x** then **Q** prints HELLO WORLD and halts and so **H** outputs **true** (because **T** outputs true on input **CODE(Q)**)
- If **P** doesn't halt on input **x** then **Q** won't print anything since we removed any other print statement from **CODE(Q)** so **H** outputs **false**

We know that such an **H** cannot exist. Therefore **T** cannot exist.

*removes  
System.out*


# The HaltsNoInput Problem

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- Input: **CODE(R)** for program **R**
- Output: **True** if **R** halts without reading input  
**False** otherwise.

**Theorem:** HaltsNoInput is undecidable


General idea “hard-coding the input”:

- Show how to use **CODE(P)** and **x** to build **CODE(R)** so  
**P** halts on input **x**  $\Leftrightarrow$  **R** halts without reading input
- 

# The HaltsNoInput Problem

---

## “Hard-coding the input”:

- Show how to use **CODE(P)** and **x** to build **CODE(R)** so  
**P halts on input x**  $\Leftrightarrow$  **R halts without reading input**  

- Replace input statement in **CODE(P)** that reads input **x** into variable **var**, by a hard-coded assignment statement:

**var = x**

to produce **CODE(R)**.

- So if we have a program **N** to decide **HaltsNoInput** then we can use it as a subroutine as follows to decide the Halting Problem, which we know is impossible:
  - On input **CODE(P)** and **x**, produce **CODE(R)**. Then run **N** on input **CODE(Q)** and output the answer that **N** gives.

- 
- **The impossibility of writing the CSE 141 grading program follows by combining the ideas from the undecidability of HaltsNoInput and HelloWorld.**

# More Reductions

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- Can use undecidability of these problems to show that other problems are undecidable.
- For instance:  
**EQUIV( $P, Q$ ) :**    **True**    if  $P(x)$  and  $Q(x)$  have the same behavior for every input  $x$   
                                 **False**   otherwise

$Q(x)$  prints "Hello World"

$P(x)$  input to HelloWorldTesting.

# Rice's theorem

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Not every problem on programs is undecidable!

Which of these is decidable?

- Input CODE ( **P** ) and **x**  
Output: **true** if **P** prints “ERROR” on input **x**  
after less than 100 steps  
**false** otherwise
- Input CODE ( **P** ) and **x**  
Output: **true** if **P** prints “ERROR” on input **x**  
after more than 100 steps  
**false** otherwise

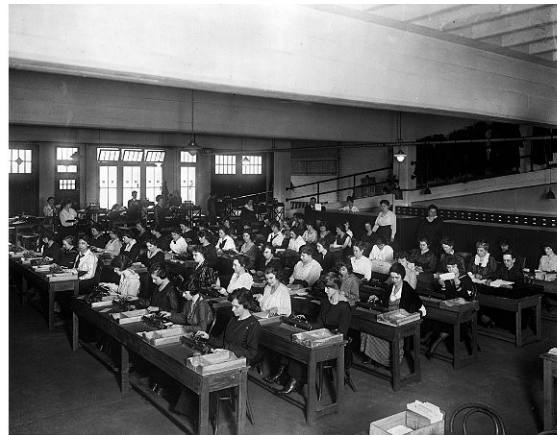
**Rice's Theorem (a.k.a. Compilers Suck Theorem - informal):**

Any “non-trivial” property of the input-output behavior of Java programs is undecidable.

# Computers and algorithms

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- Does Java (or any programming language) cover all possible computation? Every possible algorithm?
- There was a time when computers were people who did calculations on sheets paper to solve computational problems



- Computers as we know them arose from trying to understand everything these people could do.

# Before Java

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1930's:

How can we formalize what algorithms are possible?

- **Turing machines** (Turing, Post)
  - basis of modern computers
- **Lambda Calculus** (Church)
  - basis for functional programming, LISP
- **$\mu$ -recursive functions** (Kleene)
  - alternative functional programming basis

# Turing machines

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## **Church-Turing Thesis:**

Any reasonable model of computation that includes all possible algorithms is equivalent in power to a Turing machine

## **Evidence**

- Intuitive justification
- Huge numbers of models based on radically different ideas turned out to be equivalent to TMs

# Turing machines

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- **Finite Control**
  - Brain/CPU that has only a finite # of possible “states of mind”
- **Recording medium** (memory)
  - An unlimited supply of blank “scratch paper” on which to write & read symbols, each chosen from a finite set of possibilities
  - Input also supplied on the scratch paper
- **Focus of attention**
  - Finite control can only focus on a small portion of the recording medium at once
  - Focus of attention can only shift a small amount at a time


# Turing machines

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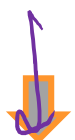
- **Recording medium**
  - An infinite read/write “tape” marked off into cells
  - Each cell can store one symbol or be “blank”
  - Tape is initially all blank except a few cells of the tape containing the input string
  - Read/write head can scan one cell of the tape - starts on input
- **In each step**, a Turing machine
  1. Reads the currently scanned cell
  2. Based on current state and scanned symbol
    - i. Overwrites symbol in scanned cell
    - ii. Moves read/write head left or right one cell
    - iii. Changes to a new state
- Each Turing Machine is specified by its **finite set of rules**

# Turing machines

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	-	0	1
s <sub>1</sub>	(1, L, s <sub>3</sub> )	(1, L, s <sub>4</sub> )	(0, R, s <sub>2</sub> )
s <sub>2</sub>	(0, R, s <sub>1</sub> )	(1, R, s <sub>1</sub> )	(0, R, s <sub>1</sub> )
s <sub>3</sub>			
s <sub>4</sub>			



-	-	1	1	0	1	1	-	-
---	---	---	---	---	---	---	---	---

# UW CSE's Steam-Powered Turing Machine



Original in Sieg Hall stairwell

# Turing machines

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## Ideal Java/C programs:

- Just like the Java/C you're used to programming with, except you never run out of memory
  - Constructor methods always succeed
  - **malloc** in C never fails

## Equivalent to Turing machines except a lot easier to program:

- Turing machine definition is useful for breaking computation down into simplest steps
- We only care about high level so we use programs

# Turing's big idea part 1: Machines as data

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## Original Turing machine definition:

- A different “machine” **M** for each task
- Each machine **M** is defined by a finite set of possible operations on finite set of symbols
- So... **M** has a finite description as a sequence of symbols, its “code”, which we denote <M>

You already are used to this idea with the notion of the program code or text but this was a new idea in Turing's time.

## Turing's big idea part 2: A Universal TM

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- A Turing machine interpreter **U**
  - On input  $\langle \mathbf{M} \rangle$  and its input **x**,  
**U** outputs the same thing as **M** does on input **x**
  - At each step it decodes which operation **M** would have performed and simulates it.
- One Turing machine is enough
  - Basis for modern stored-program computer
  - Von Neumann studied Turing's UTM design



## Takeaway from undecidability

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- **You can't rely on the idea of improved compilers and programming languages to eliminate major programming errors**
  - truly safe languages can't possibly do general computation
- **Document your code**
  - there is no way you can expect someone else to figure out what your program does with just your code; since in general it is provably impossible to do this!

# **We've come a long way!**

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- **Propositional Logic.**
- **Boolean logic and circuits.**
- **Boolean algebra.**
- **Predicates, quantifiers and predicate logic.**
- **Inference rules and formal proofs for propositional and predicate logic.**
- **English proofs.**
- **Set theory.**
- **Modular arithmetic.**
- **Prime numbers.**
- **GCD, Euclid's algorithm, modular inverse, and exponentiation.**

# **We've come a long way!**

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- **Induction and Strong Induction.**
- **Recursively defined functions and sets.**
- **Structural induction.**
- **Regular expressions.**
- **Context-free grammars and languages.**
- **Relations and composition.**
- **Transitive-reflexive closure.**
- **Graph representation of relations and their closures.**

# We've come a long way!

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- DFAs, NFAs and language recognition.
- Product construction for DFAs.
- Finite state machines with outputs at states.
- Minimization algorithm for finite state machines
- Conversion of regular expressions to NFAs.
- Subset construction to convert NFAs to DFAs.
- Equivalence of DFAs, NFAs, Regular Expressions
- Finite automata for pattern matching.
- Method to prove languages not accepted by DFAs.
- Cardinality, countability and diagonalization
- Undecidability: Halting problem and evaluating properties of programs.

# What's next? ...after the final exam...

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- **Foundations II (312)**
  - Fundamentals of counting, discrete probability, applications of randomness to computing, statistical algorithms and analysis
  - Ideas critical for machine learning, algorithms
- **Data Abstractions (332)**
  - Data structures, a few key algorithms, parallelism
  - Brings programming and theory together
  - Makes heavy use of induction and recursive defns

# Course Evaluation Online

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- **Fill this out by Sunday night!**
  - Your ability to fill it out will disappear at **11:59 p.m. on Sunday.**
  - It will be worth your while to fill it out!

# Final exam

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