



CSE 311 Lecture 26: Limitations of DFAs, NFAs, and Regular Expressions

Emina Torlak and Kevin Zatloukal

Topics

From NFAs to DFAs

A quick wrap-up of [Lecture 25](#).

DFAs \equiv NFAs \equiv regular expressions

They are all the same and represent *regular languages*.

Languages and representations

Regular, context-free, and other languages.

Proving irregularity

A proof template for showing that a language is not regular.

From NFAs to DFAs

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NFAs and DFAs

Every DFA is an NFA.

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We'll see how to construct the start state, remaining states and transitions, and the final states of the DFA.

NFAs to DFAs: the start state

The start state of the DFA represents the following set of states in the NFA:

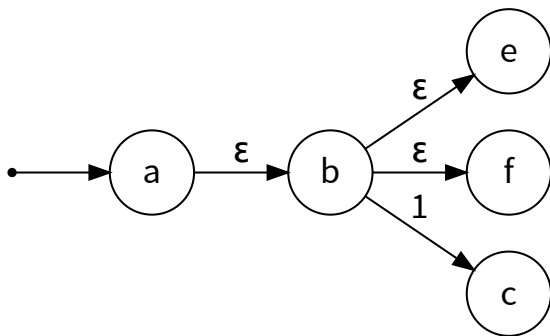
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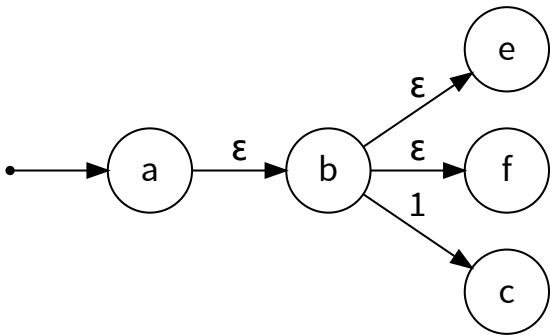


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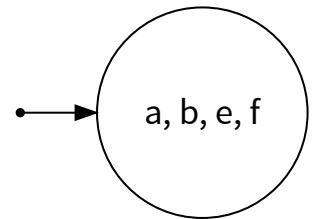
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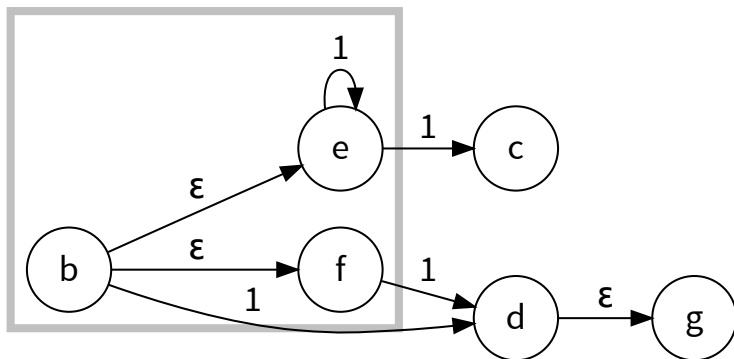
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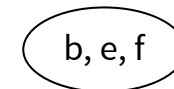
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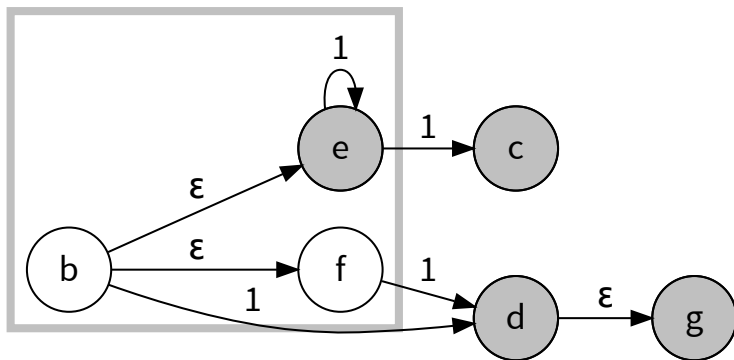
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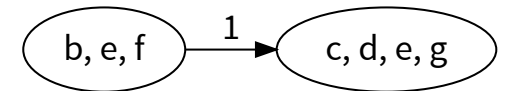
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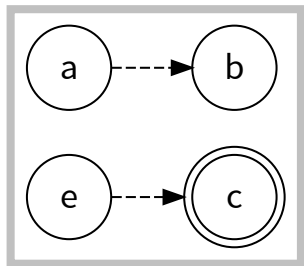


NFAs to DFAs: final states

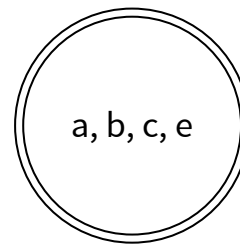
The final states of the DFA:

Every DFA state that represents a set of NFA states containing a final state.

NFA



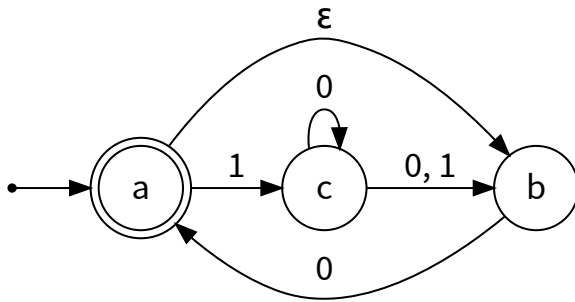
DFA



Example: NFA to DFA conversion

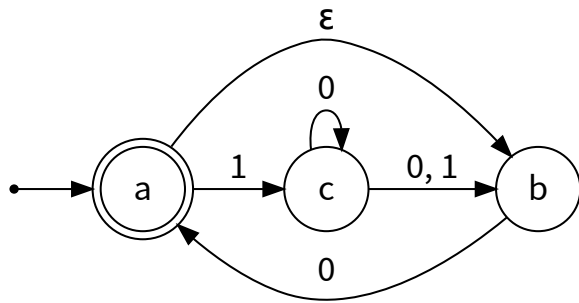
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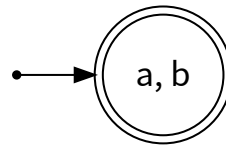


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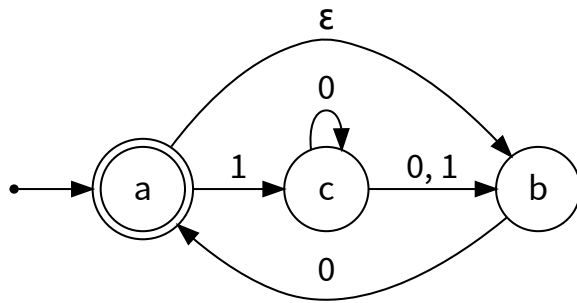


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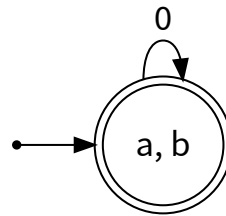


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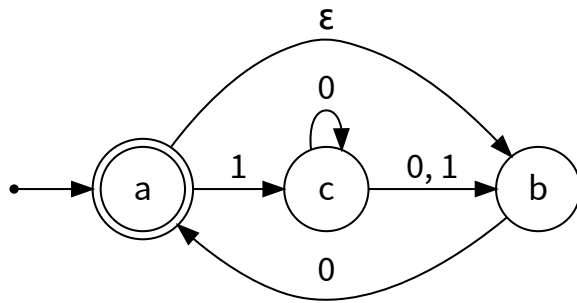


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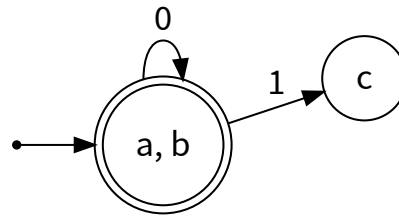


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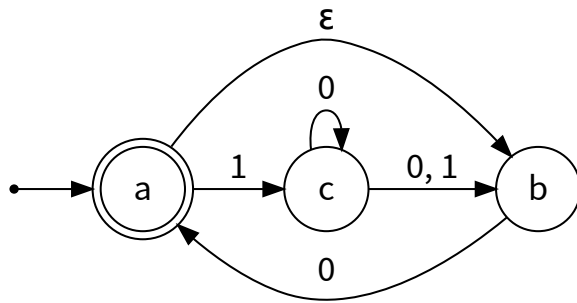


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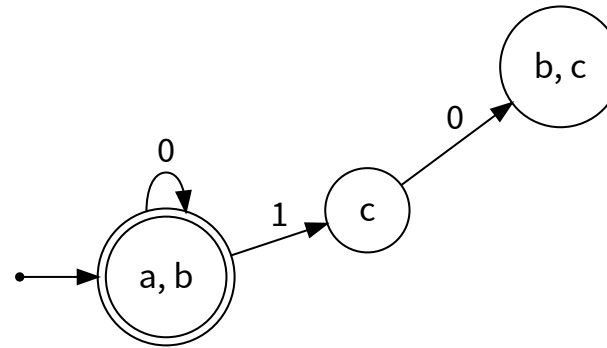


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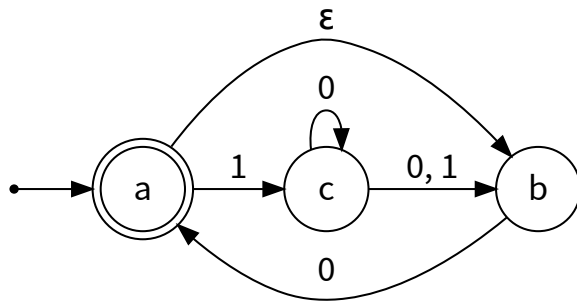


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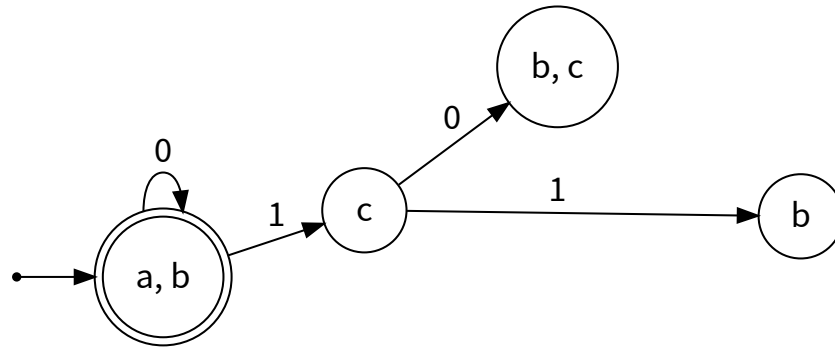


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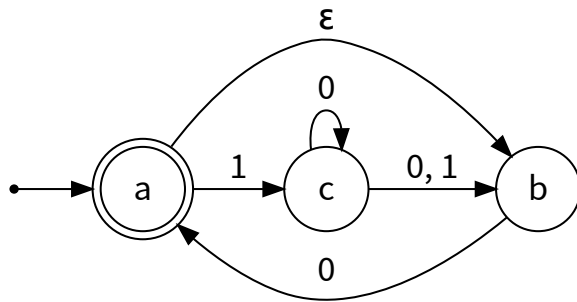


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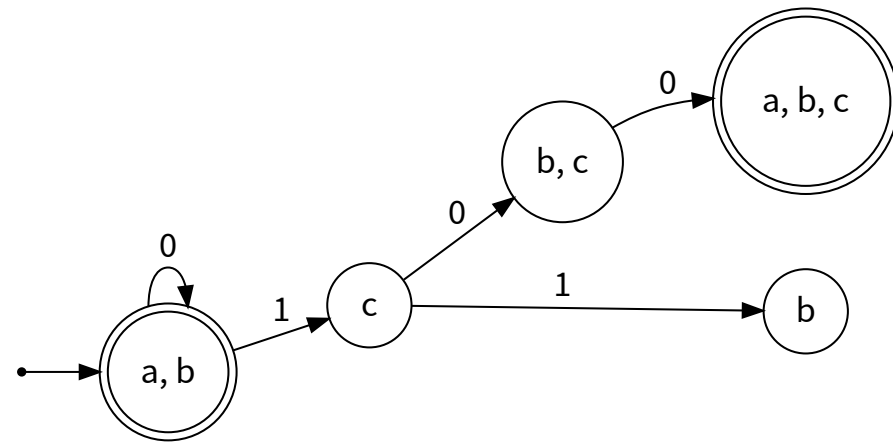


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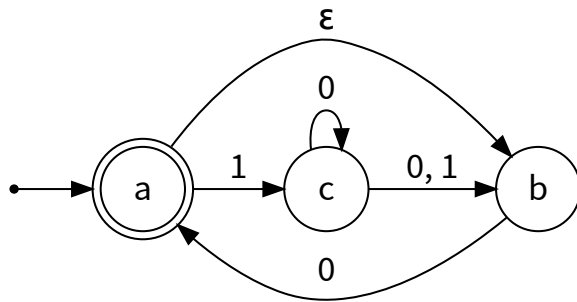


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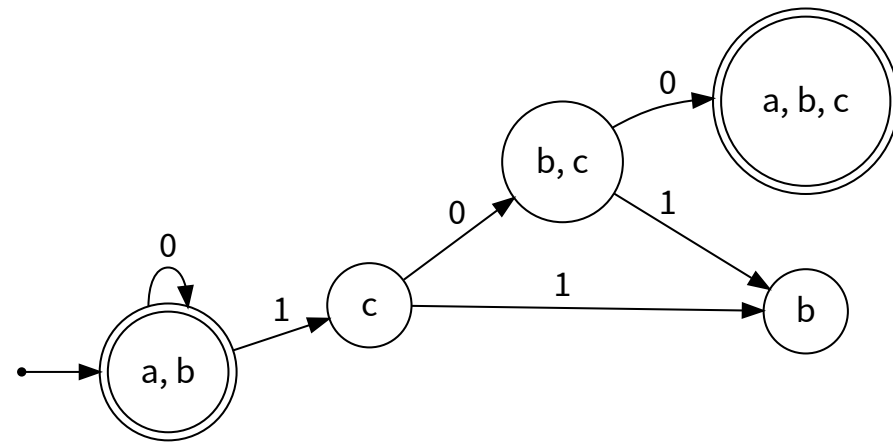


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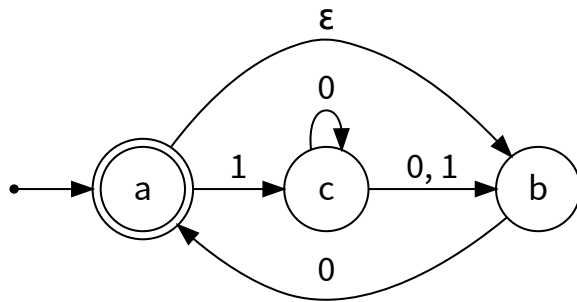


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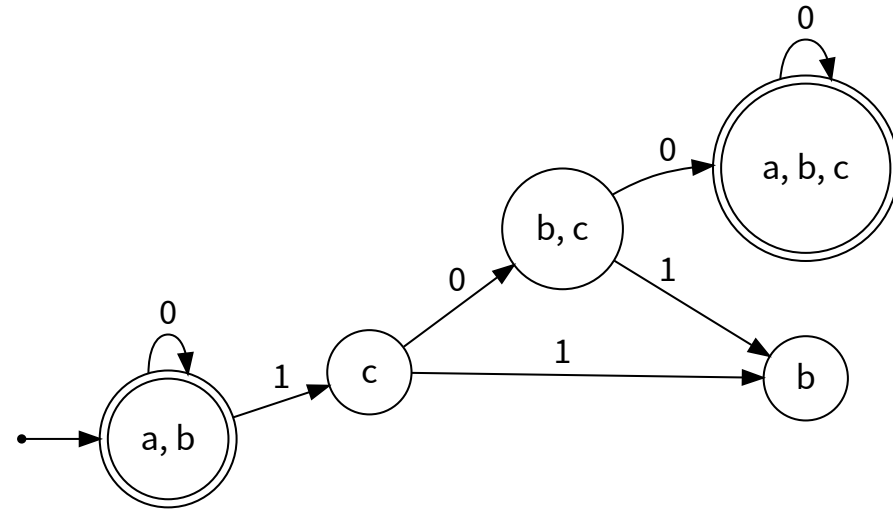


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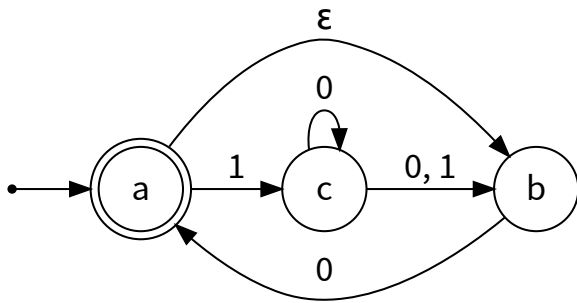


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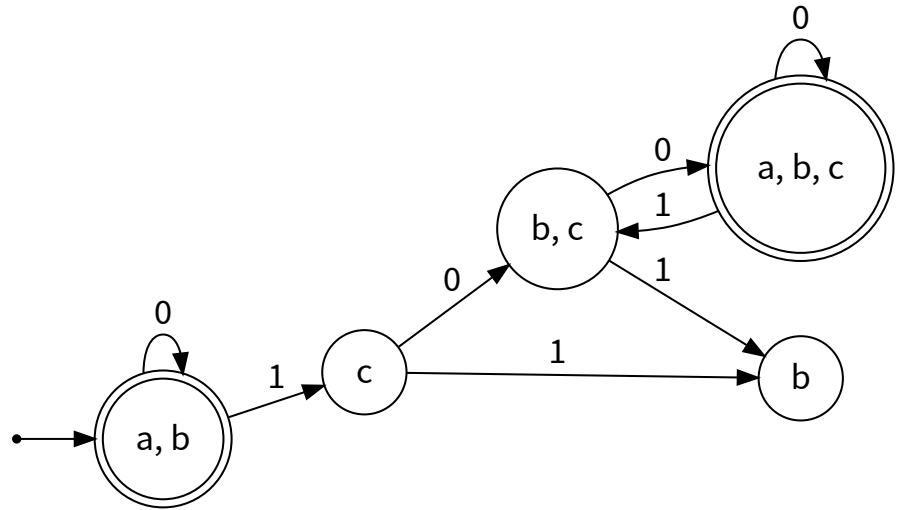


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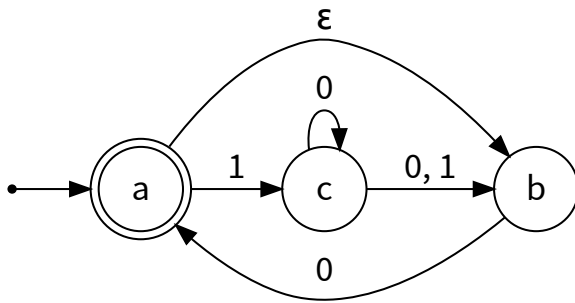


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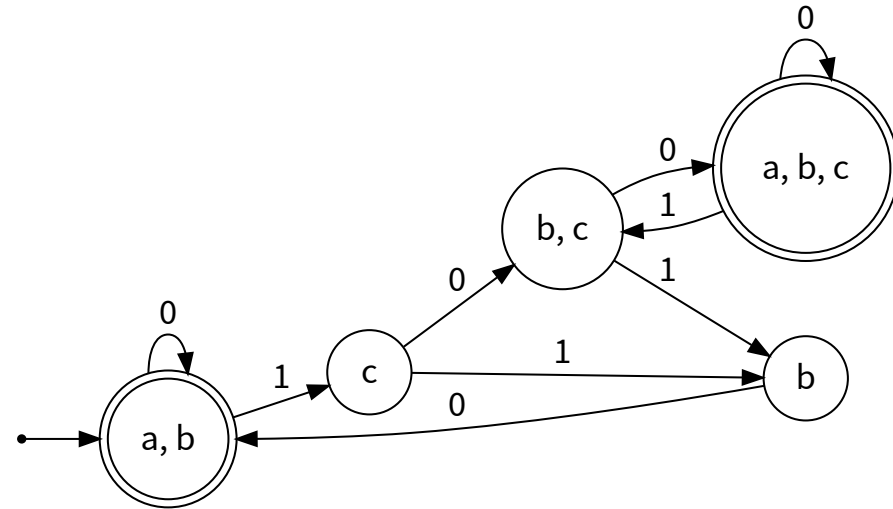


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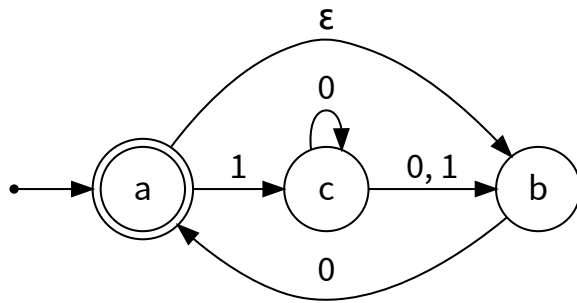


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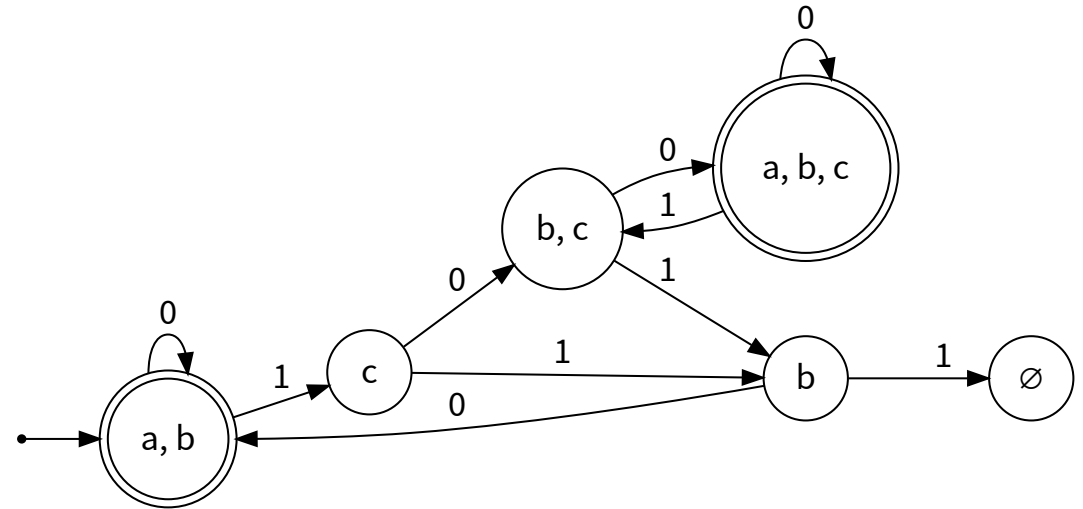


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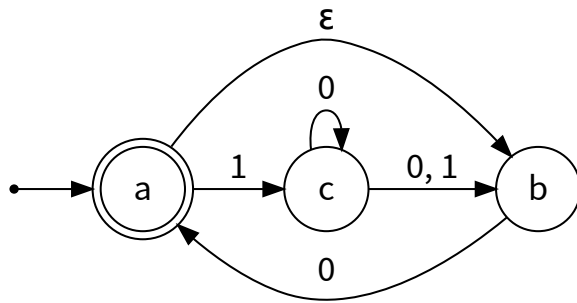


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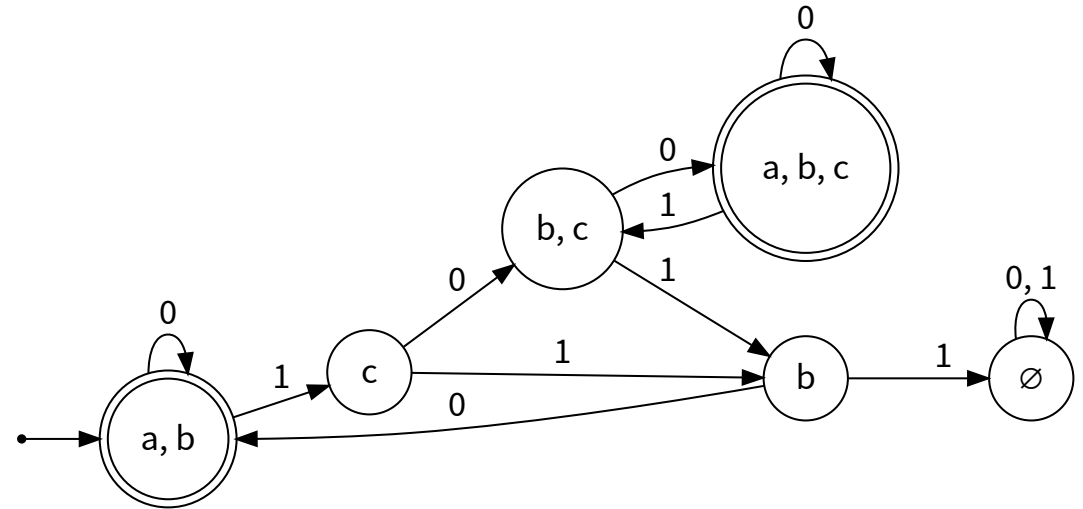


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Exponential blow-up in simulating nondeterminism

In general the DFA might need a state for every subset of states of the NFA.

Power set of the set of states of the NFA.

n -state NFA yields DFA with up to 2^n states.

We saw an example of this worst case outcome.

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We saw an example of this worst case outcome.

The famous “P=NP?” question asks whether a similar blow-up is always necessary to get rid of nondeterminism for polynomial-time algorithms.

DFAs \equiv NFAs \equiv regular expressions

They are all the same and represent *regular languages*.

Equivalence of DFAs, NFAs, and regular expressions

We have shown how to build an optimal DFA for every regular expression.

Build an NFA.

Convert the NFA to a DFA using the subset construction.

Minimize the resulting DFA.

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A language is recognized by a DFA (or NFA) if and only if it has a regular expression.

You need to know this fact but we won't ask you anything about the “only if” direction from DFAs/NFAs to regular expressions.

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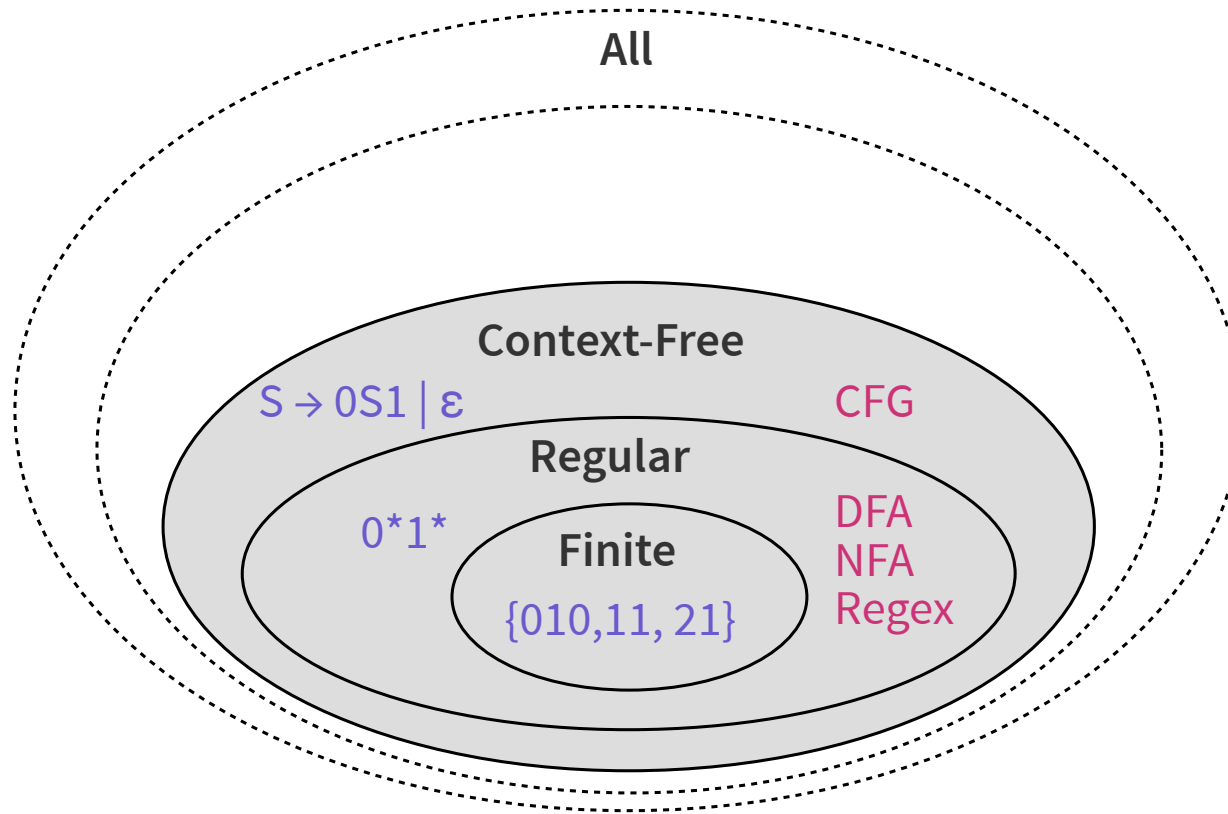
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Languages represented by NFAs, DFAs, and regular expressions are called *regular languages*.

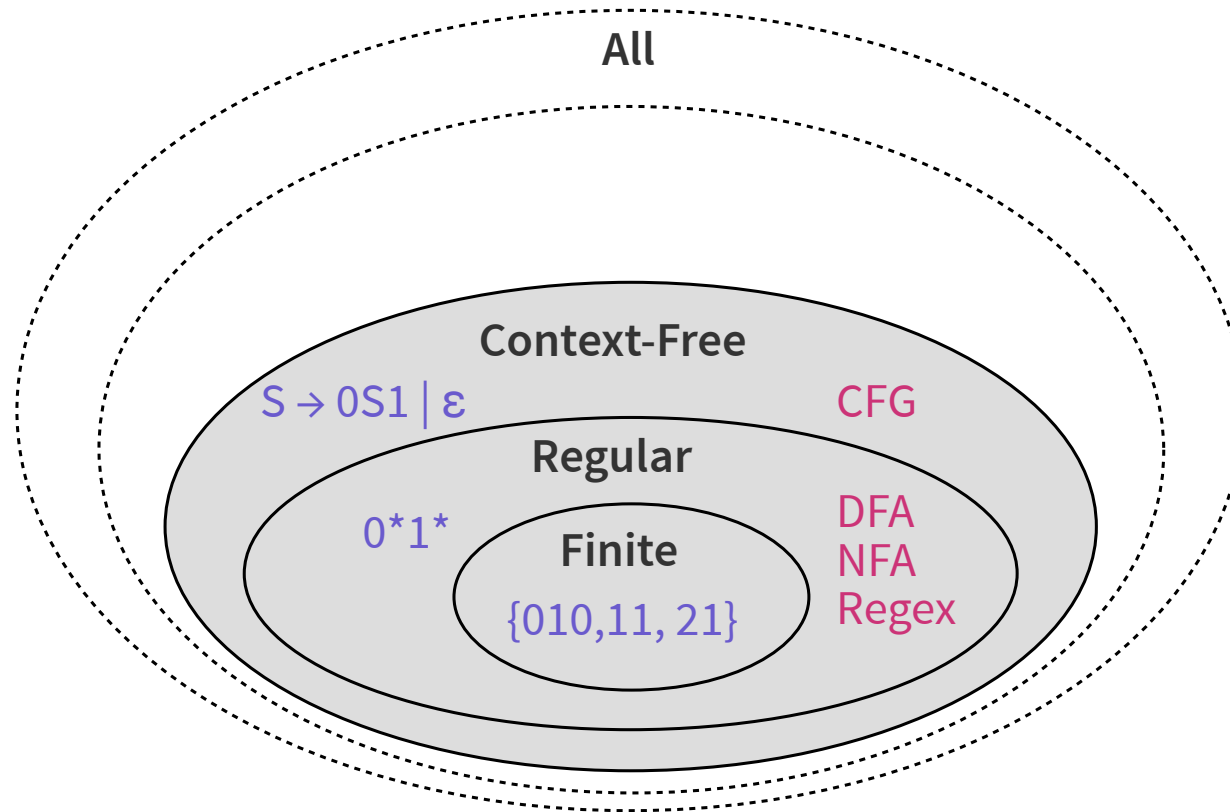
Languages and representations

Regular, context-free, and other languages.

A hierarchy of languages and representations

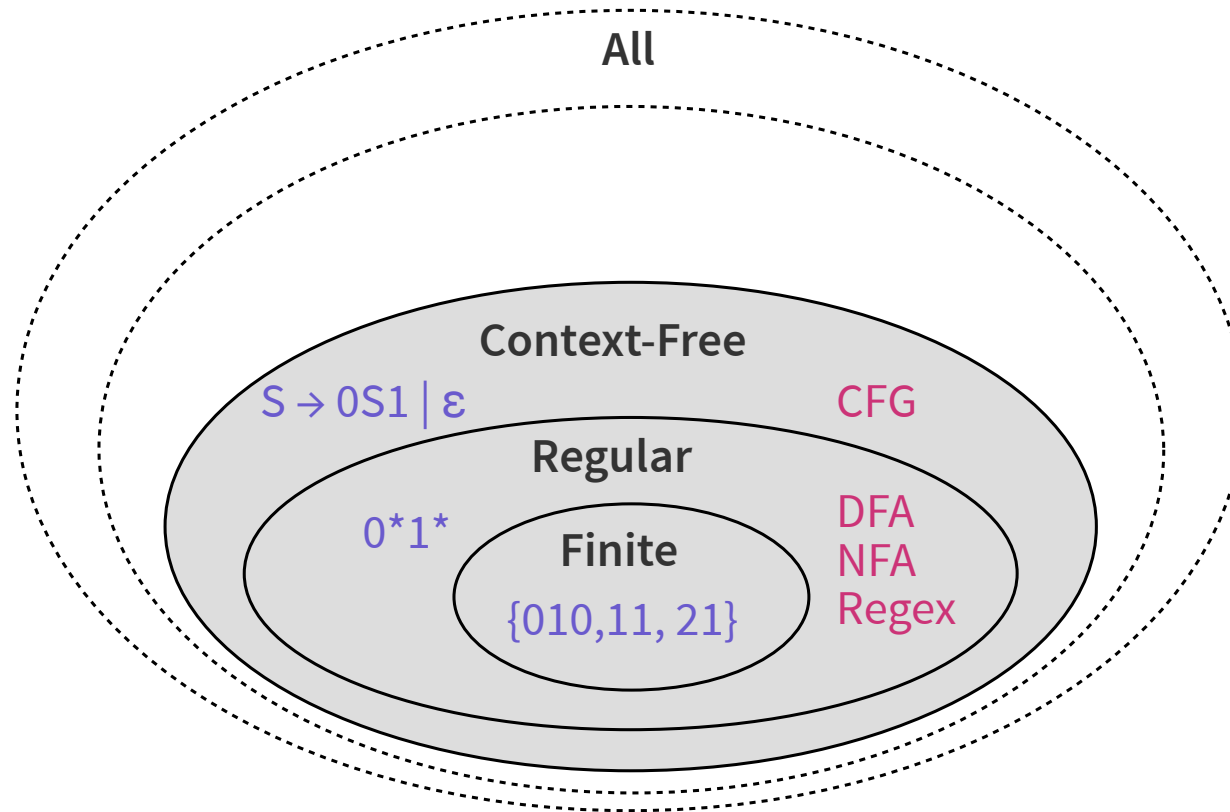


A hierarchy of languages and representations



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Converting a finite language to a regular expression

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Convert each string in the language L to a regular expression.

This is just each string itself.

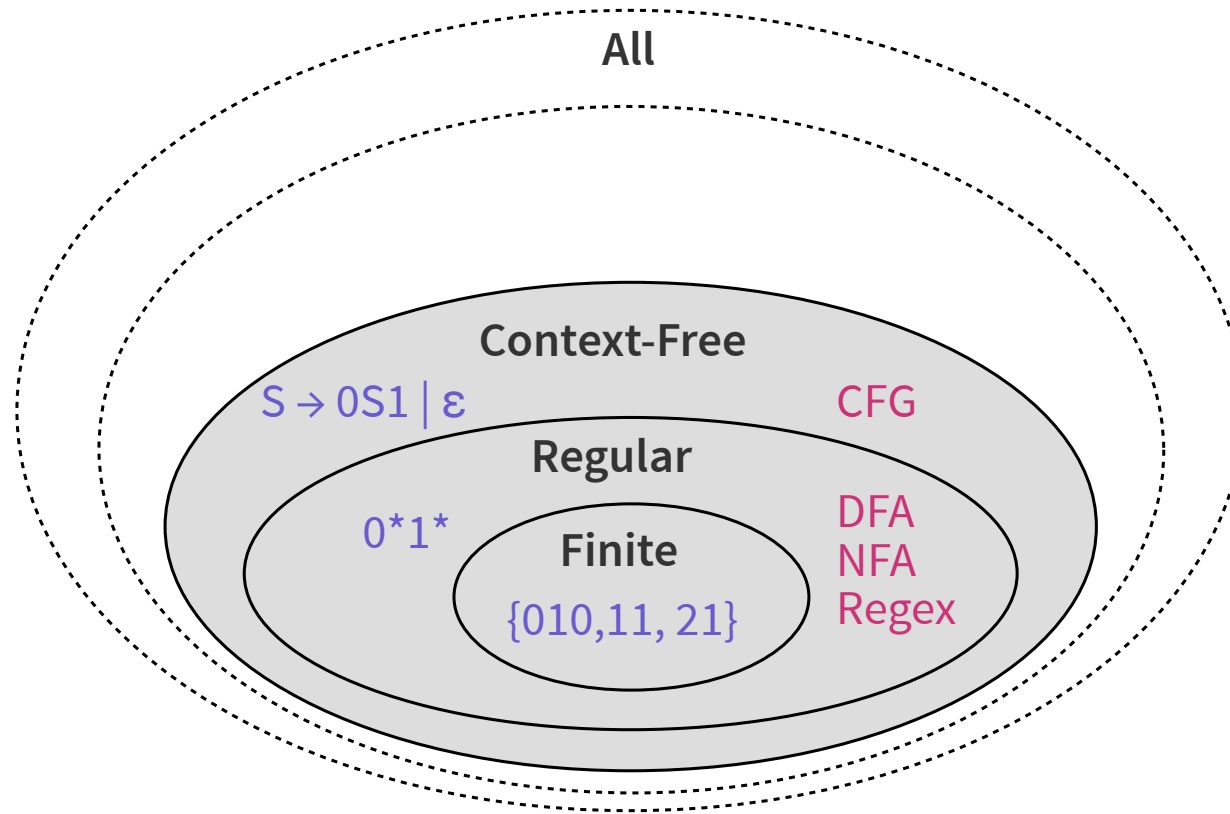
Then put these regular expressions together using the \cup operator.

The resulting regular expression accepts exactly the strings in L .

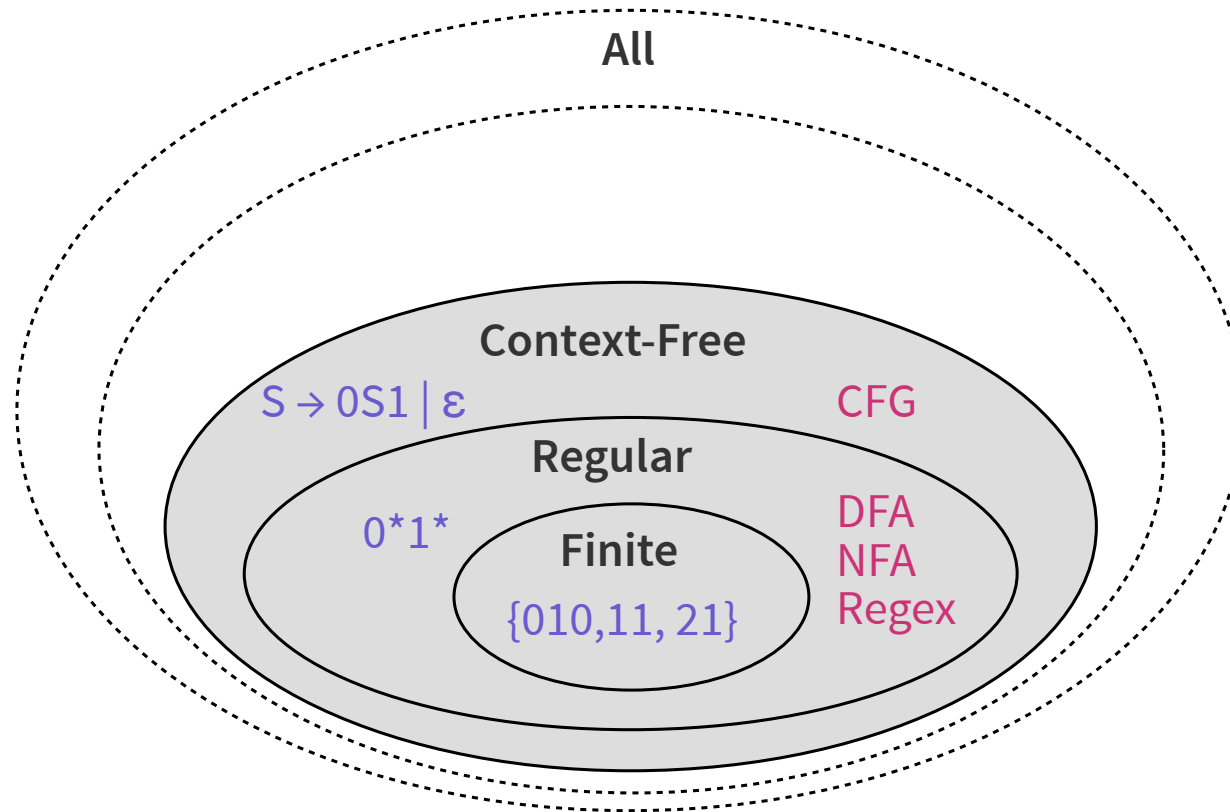
Example

$$\{010, 11, 21\} \longrightarrow 010 \cup 11 \cup 21$$

Back to languages and representations ...



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We saw in [Lecture 21](#) that the language B of all binary palindromes can be represented by a CFG. We also said that B can't be represented by any regular expression. How would you prove that?

Why isn't **B** (binary palindromes) a regular language?

If **B** were regular, we could express it as a DFA/NFA/RegEx.

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This is the intuition for why B is not regular. Let's see how to turn this intuition into a formal proof.

A strategy for proving that B is not regular

Proof by contradiction:

Assume that B is regular.

Therefore, there is a DFA M that recognizes B .

Show that M accepts or rejects a string it shouldn't.

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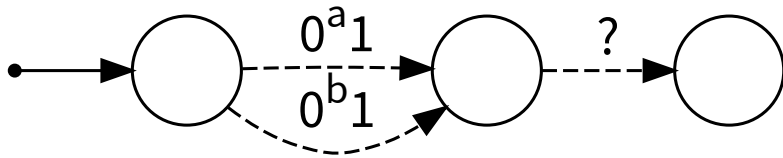
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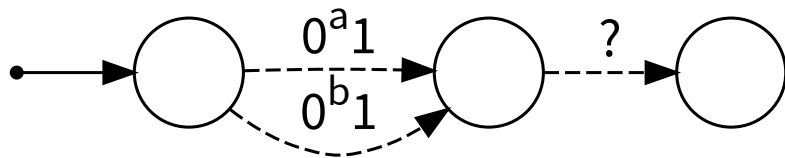
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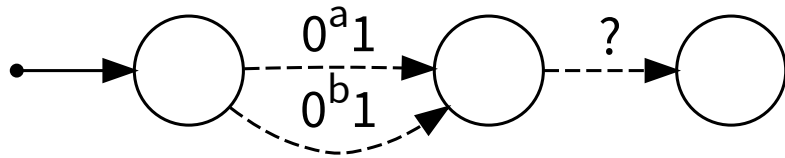
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Key Idea 2

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We choose an **infinite** set S of prefixes. This choice must ensure that for every pair of prefixes in $s_a \neq s_b \in S$, there is a suffix t such that **one of** $s_a t, s_b t$ is in B but not the other.

$$S = \{1, 01, 001, 0001, \dots\}$$
$$= \{0^n 1 : n \geq 0\}$$

Proving that **B** is not regular

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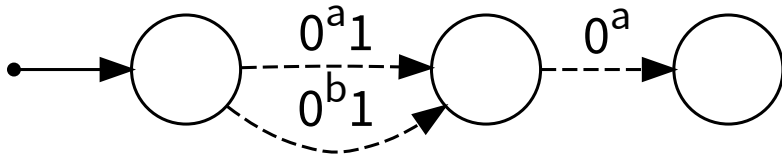
Important: We don't get to choose a and b ! We just know they exist.

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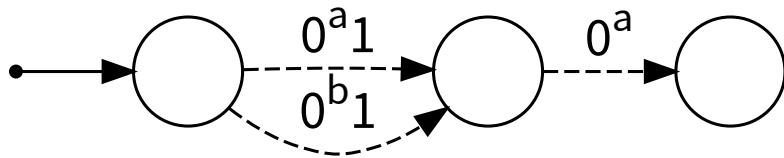


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Since $0^a 1$ and $0^b 1$ end in the same state, so do $0^a 1 0^a$ and $0^b 1 0^a$, call it q . But then M must make a mistake: q needs to be an accept state since $0^a 1 0^a \in B$, but then M would accept $0^b 1 0^a \notin B$, which is an error. \square

Proving irregularity

A proof template for showing that a language is not regular.

A template for proving that a language L is not regular

① Suppose for contradiction that some DFA M recognizes L .

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S must be **infinite**, and for every pair of prefixes $s_a \neq s_b \in S$, there is a suffix t such that **one of** $s_a t, s_b t$ is in L but not the other.

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- ⑥ Since M was arbitrary, no DFA recognizes L .

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Example: prove that $L = \{0^n 1^n : n \geq 0\}$ is not regular

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Example: prove that $L = \{(n)^n : n \geq 0\}$ is not regular

Suppose for contradiction that some DFA M recognizes L .

Consider the set $S = \{(n)^n : n \geq 0\}$.

Since S is infinite and M has finitely many states, there must be two strings $(a)^a, (b)^b \in S$ with $a \neq b$ that both end in the same state of M .

Example: prove that $L = \{(\binom{n}{n})^n : n \geq 0\}$ is not regular

Suppose for contradiction that some DFA M recognizes L .

Consider the set $S = \{\binom{n}{n} : n \geq 0\}$.

Since S is infinite and M has finitely many states, there must be two strings $(^a, (^b \in S$ with $a \neq b$ that both end in the same state of M .

Consider appending $(^a$ to both $(^a$ and $(^b$.

Example: prove that $L = \{(\binom{n}{n})^n : n \geq 0\}$ is not regular

Suppose for contradiction that some DFA M recognizes L .

Consider the set $S = \{(\binom{n}{n})^n : n \geq 0\}$.

Since S is infinite and M has finitely many states, there must be two strings $(\binom{a}{a})^a, (\binom{b}{b})^b \in S$ with $a \neq b$ that both end in the same state of M .

Consider appending $(\binom{a}{a})^a$ to both $(\binom{a}{a})^a$ and $(\binom{b}{b})^b$.

Example: prove that $L = \{(n)^n : n \geq 0\}$ is not regular

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Consider the set $S = \{(n) : n \geq 0\}$.

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Since $(^a$ and $(^b$ end in the same state of M , then $(^a)^a$ and $(^b)^a$ also end in the same state q of M . Since $(^a)^a \in L$ and $(^b)^a \notin L$, M does not recognize L .

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A fun fact about this proof method

Suppose that for a language L , the set S is a *largest* set of prefix strings with the property that for every pair $s_a \neq s_b \in S$, there is some string t such that one of $s_a t, s_b t$ is in L but the other isn't.

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If S is infinite, then L is not regular.

If S is finite, then the minimal DFA for L has precisely $|S|$ states, one reached by each member of S .

Summary

DFA \equiv NFA \equiv regular expressions.

We've shown how to go from a regular expression to an NFA to a DFA.

(And going from an NFA to a DFA can lead to exponential blow-up.)

But we won't show how to go from an NFA/DFA to a regular expression.

Finite \subset regular \subset context-free languages.

To show that a language is regular, construct a DFA/NFA/RegEx for it.

To show that it is not regular, use the proof method from this lecture.