



# CSE 311 Lecture 09: Proof Strategies

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# Topics

## Predicate logic proofs

A review and continuation of [Lecture 08](#).

## Natural language proofs

From formal proofs to natural language proofs.

## Proof strategies

Proof by contrapositive, counterexamples, and proof by contradiction.

# Predicate logic proofs

A review and continuation of [Lecture 08](#).



# Inference rules for quantifiers

$$\text{Elim } \forall \frac{\forall x. P(x)}{\therefore P(a) \text{ for any } a}$$

$$\text{Intro } \forall \frac{P(a); a \text{ is arbitrary}}{\therefore \forall x. P(x)}$$

$$\text{Intro } \exists \frac{P(c) \text{ for some } c}{\therefore \exists x. P(x)}$$

$$\text{Elim } \exists \frac{\exists x. P(x)}{\therefore P(c) \text{ for a specific } c}$$

The name  $a$  stands for an arbitrary value in the domain. No other name in  $P$  depends on  $a$ .

The name  $c$  is **fresh** and stands for a value in the domain where  $P(c)$  is true. List all dependencies for  $c$ .

# Predicate logic proofs can use ...

## Predicate logic inference rules

Applied to whole formulas only.

## Predicate logic equivalences

Even on subformulas.

## Propositional logic inference rules

Applied to whole formulas only.

## Propositional logic equivalences

Even on subformulas.

# Key takeaways from last lecture: domain properties

Proofs for a specific domain can use the properties of the domain.

- If a predicate is defined with a logical formula, use that formula in your proof.
- Otherwise, use domain properties to establish that a predicate is true.

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- |   |  |
|---|--|
| 1. $2 = 2 \cdot 1$                                    | <b>Arithmetic</b>                      |
| 2. $\exists y. 2 = 2 \cdot y$                         | <b>Intro <math>\exists</math>: 1</b>   |
| 3. $\text{Even}(2)$                                   | <b>Definition of Even: 2</b>           |
| 4. $\text{Prime}(2)$                                  | <b>Property of integer 2</b>           |
| 5. $\text{Even}(2) \wedge \text{Prime}(2)$            | <b>Intro <math>\wedge</math>: 3, 4</b> |
| 6. $\exists x. \text{Even}(x) \wedge \text{Prime}(x)$ | <b>Intro <math>\exists</math>: 5</b>   |

## Domain of discourse

Integers

## Predicate definitions

$\text{Even}(x) ::= \exists y. x = 2 \cdot y$

$\text{Prime}(x) ::= \text{“}x \text{ is prime”}$



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| 3. $\text{Even}(2)$                                   | <b>Definition of Even: 2</b>           |
| 4. $\text{Prime}(2)$                                  | <b>Property of integer 2</b>           |
| 5. $\text{Even}(2) \wedge \text{Prime}(2)$            | <b>Intro <math>\wedge</math>: 3, 4</b> |
| 6. $\exists x. \text{Even}(x) \wedge \text{Prime}(x)$ | <b>Intro <math>\exists</math>: 5</b>   |

## Domain of discourse

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$\text{Even}(x) ::= \exists y. x = 2 \cdot y$

$\text{Prime}(x) ::= \text{“}x \text{ is prime”}$

We are using the logic definition of Even to establish that 2 is Even, and we are using domain property to establish that 2 is Prime.

# Key takeaways from last lecture: Elim $\forall$ & Intro $\exists$

$$\text{Elim } \forall \frac{\forall x. P(x)}{\therefore P(a) \text{ for any } a}$$

$$\text{Intro } \exists \frac{P(c) \text{ for some } c}{\therefore \exists x. P(x)}$$

When applying Elim  $\forall$  to  $\forall x. P(x)$ , you *have to replace all occurrences* of the universal variable  $x$  in  $P(x)$  with the arbitrary name  $a$ .

But when applying Intro  $\exists$  to  $P(c)$ , you *don't have to replace all occurrences* of  $c$  in  $P(c)$  with the existential variable  $x$ .

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1.  $\forall x. x = x$       **Given**
2.  $a = a$       **Elim  $\forall$ : 1,  $a$  is arbitrary**
3.  $\exists z. a = z$       **Intro  $\exists$ : 2**
4.  $\forall y. \exists z. y = z$       **Intro  $\forall$ : 3**

Domain of discourse  
Integers

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Domain of discourse  
Integers

Elim  $\forall$  at 2 replaces all occurrences of  $x$  at 1, but Intro  $\exists$  at 3 replaces only one occurrence of  $a$  at 2.

# A square example

Prove that the square of every even number is even:  $\forall x. \text{Even}(x) \rightarrow \text{Even}(x^2)$ .

Domain of discourse

Integers

Predicate definitions

$\text{Even}(x) ::= \exists y. x = 2 \cdot y$

**Elim  $\forall$**   $\frac{\forall x. P(x)}{\therefore P(a) \text{ for any } a}$

**Intro  $\forall$**   $\frac{P(a); a \text{ is arbitrary}}{\therefore \forall x. P(x)}$

**Intro  $\exists$**   $\frac{P(c) \text{ for some } c}{\therefore \exists x. P(x)}$

**Elim  $\exists$**   $\frac{\exists x. P(x)}{\therefore P(c) \text{ for a specific } c}$

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Prove that the square of every even number is even:  $\forall x. \text{Even}(x) \rightarrow \text{Even}(x^2)$ .

1. Let  $a$  be an arbitrary integer.

- Use Intro  $\forall$  on 1 and 2.

3.  $\text{Even}(a) \rightarrow \text{Even}(a^2)$

4.  $\forall x. \text{Even}(x) \rightarrow \text{Even}(x^2)$

**Intro  $\forall$ : 1, 3**

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# A square example

Prove that the square of every even number is even:  $\forall x. \text{Even}(x) \rightarrow \text{Even}(x^2)$ .

1. Let  $a$  be an arbitrary integer.

2.1.  $\text{Even}(a)$

**Assumption**

2.2.

2.3.

2.4.

2.5.

2.6.  $\text{Even}(a^2)$

3.  $\text{Even}(a) \rightarrow \text{Even}(a^2)$

**Direct Proof Rule**

4.  $\forall x. \text{Even}(x) \rightarrow \text{Even}(x^2)$

**Intro  $\forall$ : 1, 3**

- Use Intro  $\forall$  on 1 and 2.
- $\rightarrow$  so use DRP to get 3.

**Domain of discourse**

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**Predicate definitions**

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1. Let  $a$  be an arbitrary integer.

- 2.1.  $\text{Even}(a)$
- 2.2.  $\exists y. a = 2y$
- 2.3.
- 2.4.
- 2.5.  $\exists y. a^2 = 2y$
- 2.6.  $\text{Even}(a^2)$

**Assumption**

**Definition of Even: 2.1**

**Definition of Even: 2.5**

3.  $\text{Even}(a) \rightarrow \text{Even}(a^2)$

4.  $\forall x. \text{Even}(x) \rightarrow \text{Even}(x^2)$

**Direct Proof Rule**

**Intro  $\forall$ : 1, 3**

- Use Intro  $\forall$  on 1 and 2.
- $\rightarrow$  so use DRP to get 3.
- Use definition of Even to break down 2.1 and 2.6.

**Domain of discourse**

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**Predicate definitions**

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1. Let  $a$  be an arbitrary integer.

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- 2.3.  $a = 2b$
- 2.4.
- 2.5.  $\exists y. a^2 = 2y$
- 2.6.  $\text{Even}(a^2)$

**Assumption**

**Definition of Even: 2.1**

**Elim  $\exists$ : 2.2,  $b$  depends on  $a$**

**Definition of Even: 2.5**

**Direct Proof Rule**

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4.  $\forall x. \text{Even}(x) \rightarrow \text{Even}(x^2)$

- Use Intro  $\forall$  on 1 and 2.
- $\rightarrow$  so use DRP to get 3.
- Use definition of Even to break down 2.1 and 2.6.
- Use Elim  $\exists$  on 2.2.

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**Algebra**

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- Use algebra on 2.3 to match the body of 2.5.

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**Assumption**

**Definition of Even: 2.1**

**Elim  $\exists$ : 2.2,  $b$  depends on  $a$**

**Algebra**

**Intro  $\exists$ : 2.4**

**Definition of Even: 2.5**

**Direct Proof Rule**

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- Use algebra on 2.3 to match the body of 2.5.
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# Why list dependencies? To avoid **incorrect proofs**.

Over the integer domain:  $\forall x. \exists y. y \geq x$  is **True** but  $\exists y. \forall x. y \geq x$  is **False**.

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1.  $\forall x. \exists y. y \geq x$
- 2.
- 3.
- 4.
- 5.
6.  $\exists y. \forall x. y \geq x$

**Given**

Example: an **incorrect proof**.

**Elim  $\forall$**   $\frac{\forall x. P(x)}{\therefore P(a) \text{ for any } a}$

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1.  $\forall x. \exists y. y \geq x$  **Given**

2. Let  $a$  be an arbitrary integer.

3.

4.

5.

6.  $\exists y. \forall x. y \geq x$  **Intro  $\exists$ : 5**

Example: an **incorrect proof**.

**Elim  $\forall$**   $\frac{\forall x. P(x)}{\therefore P(a) \text{ for any } a}$

**Intro  $\forall$**   $\frac{P(a); a \text{ is arbitrary}}{\therefore \forall x. P(x)}$

**Intro  $\exists$**   $\frac{P(c) \text{ for some } c}{\therefore \exists x. P(x)}$

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1.  $\forall x. \exists y. y \geq x$  **Given**
2. Let  $a$  be an arbitrary integer.
3.  $\exists y. y \geq a$  **Elim  $\forall$ : 1**
- 4.
- 5.
6.  $\exists y. \forall x. y \geq x$  **Intro  $\exists$ : 5**

Example: an **incorrect proof**.

$$\text{Elim } \forall \frac{\forall x. P(x)}{\therefore P(a) \text{ for any } a}$$

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3.  $\exists y. y \geq a$

4.  $b \geq a$

5.

6.  $\exists y. \forall x. y \geq x$

**Given**

**Elim  $\forall$ : 1**

**Elim  $\exists$ : 3,  $b$  depends on  $a$**

**Intro  $\exists$ : 5**

Example: an **incorrect proof**.

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5.  $\forall x. b \geq x$
6.  $\exists y. \forall x. y \geq x$

**Given**

**Elim  $\forall$ : 1**

**Elim  $\exists$ : 3,  $b$  depends on  $a$**

**Intro  $\forall$ : 2, 4**

**Intro  $\exists$ : 5**

Example: an **incorrect proof**.

Can't get rid of  $a$  since another name,  $b$ , in the same formula depends on it!

**Elim  $\forall$**   $\frac{\forall x. P(x)}{\therefore P(a) \text{ for any } a}$

**Intro  $\forall$**   $\frac{P(a); a \text{ is arbitrary}}{\therefore \forall x. P(x)}$

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# Natural language proofs

From formal proofs to natural language proofs.

# Natural language versus (predicate) logic proofs

**We often write proofs in English rather than as fully formal proofs.**

They are easier for people to read.

(But theorem provers prefer fully formal proofs. :)

**English proofs follow the structure of the corresponding formal proofs.**

Formal proof methods help to understand how proofs work in English.

And they give clues for how to produce the proofs in English.

# A not so odd example in English

Prove that there is an even integer.

1.  $2 = 2 \cdot 1$

2.  $\exists y. 2 = 2 \cdot y$

3.  $\text{Even}(2)$

4.  $\exists x. \text{Even}(x)$

Arithmetic

Intro  $\exists$ : 1

Definition of Even: 2

Intro  $\exists$ : 3

Domain of discourse

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$\text{Even}(x) ::= \exists y. x = 2 \cdot y$

# A not so odd example in English

Prove that there is an even integer.

$$2 = 2 \cdot 1$$

so 2 equals 2 times an integer.

1.  $2 = 2 \cdot 1$

2.  $\exists y. 2 = 2 \cdot y$

3.  $\text{Even}(2)$

4.  $\exists x. \text{Even}(x)$

Arithmetic

Intro  $\exists$ : 1

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Predicate definitions

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$$\text{Even}(x) ::= \exists y. x = 2 \cdot y$$

# A not so odd example in English

Prove that there is an even integer.

$$2 = 2 \cdot 1$$

so 2 equals 2 times an integer.

Therefore 2 is even.

1.  $2 = 2 \cdot 1$

2.  $\exists y. 2 = 2 \cdot y$

3.  $\text{Even}(2)$

4.  $\exists x. \text{Even}(x)$

Arithmetic

Intro  $\exists$ : 1

Definition of Even: 2

Intro  $\exists$ : 3

Domain of discourse

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Domain of discourse

Integers

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$$\text{Even}(x) ::= \exists y. x = 2 \cdot y$$

# A square example in English

Prove that the square of every even number is even.

Let  $a$  be an arbitrary even integer.

Then, by definition,  $a = 2b$

for some integer  $b$ , depending on  $a$ .

Squaring both sides, we get  $a^2 = 4b^2 = 2(2b^2)$ .

Since  $2b^2$  is an integer, by definition,

$a^2$  is even.

Since  $a$  was arbitrary, it follows that

the square of every even number is even.  $\square$

1. Let  $a$  be an arbitrary integer.

2.1.  $\text{Even}(a)$

2.2.  $\exists y. a = 2y$

2.3.  $a = 2b$

2.4.  $a^2 = 4b^2 = 2(2b^2)$

2.5.  $\exists y. a^2 = 2y$

2.6.  $\text{Even}(a^2)$

3.  $\text{Even}(a) \rightarrow \text{Even}(a^2)$

4.  $\forall x. \text{Even}(x) \rightarrow \text{Even}(x^2)$

**Assumption**

**Definition of Even: 2.1**

**Elim  $\exists$ : 2.2,  $b$  depends on  $a$**

**Algebra**

**Intro  $\exists$ : 2.4**

**Definition of Even: 2.5**

**Direct Proof Rule**

**Intro  $\forall$ : 1, 3**

**Domain of discourse**

Integers

**Predicate definitions**

$\text{Even}(x) ::= \exists y. x = 2 \cdot y$

# An odd square example in English

Prove that the square of every odd number is odd.

**Domain of discourse**

Integers

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$\text{Odd}(x) ::= \exists y. x = 2 \cdot y + 1$

# An odd square example in English

Prove that the square of every odd number is odd.

## Proof

Let  $b$  be an arbitrary odd number.

Domain of discourse

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# An odd square example in English

Prove that the square of every odd number is odd.

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Let  $b$  be an arbitrary odd number.

Then,  $b = 2c + 1$  for some integer  $c$  (depending on  $b$ ).

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Therefore,  $b^2 = (2c + 1)^2 = 4c^2 + 4c + 1 = 2(2c^2 + 2c) + 1$ .

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Since  $2c^2 + 2c$  is an integer,  $b^2$  is odd.

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Since  $2c^2 + 2c$  is an integer,  $b^2$  is odd.

The statement follows since  $b$  was arbitrary.  $\square$

Domain of discourse

Integers

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$\text{Odd}(x) ::= \exists y. x = 2 \cdot y + 1$



# A rational example in English

A real number  $x$  is rational iff there exist integers  $p$  and  $q$  with  $q \neq 0$  such that  $x = p/q$ .

Prove: “If  $x$  and  $y$  are arbitrary rational numbers then  $xy$  is rational.”

**Domain of discourse**

Reals

**Predicate definitions**

$\text{Rational}(x) \equiv \exists p. \exists q. x = \frac{p}{q} \wedge \text{Integer}(p) \wedge \text{Integer}(q) \wedge q \neq 0$

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## Proof

By the definition of rational,  $x = a/b$  for some integers  $a, b$ , where  $b \neq 0$ , and  $y = c/d$  for some integers  $c, d$ , where  $d \neq 0$ .

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Since  $b$  and  $d$  are both non-zero, so is  $bd$ ; furthermore,  $ac$  and  $bd$  are integers.

Domain of discourse

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It follows that  $xy$  is rational, by definition of rational.

Domain of discourse

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# Benefits of English proofs

This is more work to write

```
%a = add %i, 1
%b = mod %a, %n
%c = add %arr, %b
%d = load %c
%e = add %arr, %i
store %e, %d
```

than this

```
arr[i] = arr[(i+1) % n];
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Higher level language is easier because it skips details.

Formal proofs are the low level language: each part must be spelled out in precise detail.

English proofs are the high level language.

An English proof is correct if the *reader* is convinced they can “compile” it to a formal proof if necessary.



# Proof strategies

Proof by contrapositive, counterexamples, and proof by contradiction.

# Proof by contrapositive

If we assume  $\neg q$  and derive  $\neg p$ , then we have proven that  $\neg q \rightarrow \neg p$ , which is equivalent to proving  $p \rightarrow q$ .

1.1.  $\neg q$      **Assumption**

...

1.3.  $\neg p$

2.  $\neg q \rightarrow \neg p$      **Direct Proof Rule**

3.  $p \rightarrow q$      **Contrapositive: 2**

# Counterexamples

To *disprove*  $\forall \mathbf{x}. \mathbf{P}(\mathbf{x})$ , prove  $\exists \mathbf{x}. \neg \mathbf{P}(\mathbf{x})$ .

Works by DeMorgan's Law:  $\neg \forall x. P(x) \equiv \exists x. \neg P(x)$ .

All we need to do is find an  $x$  for which  $P(x)$  is false.

This  $x$  is called a *counterexample*.

**Example: disprove that “Every prime number is odd”.**

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**Example: disprove that “Every prime number is odd”.**

2 is a prime number that is not odd.

# Proof by contradiction

If we assume  $p$  and derive  $F$  (a contradiction), then we have proven  $\neg p$ .

1.1.  $p$       **Assumption**

...

1.3.  $F$

2.  $p \rightarrow F$       **Direct Proof Rule**

3.  $\neg p \vee F$       **Law of Implication: 2**

4.  $\neg p$       **Identity: 3**

# An example proof by contradiction

Prove that “No integer is both even and odd.”

English proof:  $\neg\exists x. \text{Even}(x) \wedge \text{Odd}(x) \equiv \forall x. \neg(\text{Even}(x) \wedge \text{Odd}(x))$ .

**Proof by contradiction**

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Let  $x$  be an arbitrary integer and suppose that it is both even and odd.

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Let  $x$  be an arbitrary integer and suppose that it is both even and odd.

Then  $x = 2a$  for some integer  $a$  and  $x = 2b + 1$  for some integer  $b$ .

Therefore  $2a = 2b + 1$  and hence  $a = b + \frac{1}{2}$ .

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But two integers cannot differ by  $\frac{1}{2}$  so this is a contradiction.

Therefore no integer is both even and odd.  $\square$

# Fun strategy: proof by computer

Use an automated theorem prover:

```
; No integer is both even and odd.

(define-fun even ((x Int)) Bool
  (exists ((y Int)) (= x (* 2 y))))

(define-fun odd ((x Int)) Bool
  (exists ((y Int)) (= x (+ (* 2 y) 1))))

(define-fun claim () Bool
  (not (exists ((x Int)) (and (even x) (odd x)))))

(assert (not claim)) ; proof by contradiction

(check-sat)
```

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While this example works, proofs of arbitrary formulas in predicate logic *cannot* be automated. But *interactive theorem provers* can still help by checking your formal proof and filling in some low-level details for you.

# Fun fact: counterexamples & contradiction in verification

Automated verifiers work by counterexample and contradiction proofs.

Recall that program verification involves proving that a program  $P$  satisfies a specification  $S$  on all inputs  $x$ :  $\forall x. p(x) \rightarrow s(x)$ , where  $p$  and  $s$  are formulas encoding the semantics of  $P$  and  $S$ .

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The program verifier sends the formula  $\exists \mathbf{x}. \mathbf{p}(\mathbf{x}) \wedge \neg \mathbf{s}(\mathbf{x})$  to the prover.

$\neg \forall x. p(x) \rightarrow s(x) \equiv \exists x. \neg(p(x) \rightarrow s(x)) \equiv \exists x. \neg(\neg p(x) \vee s(x)) \equiv \exists x. p(x) \wedge \neg s(x)$ .

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**If the prover finds a counterexample, we know the program is incorrect.**

The counterexample is a concrete input (test case) on which the program violates the spec.



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**If the prover finds a counterexample, we know the program is incorrect.**

The counterexample is a concrete input (test case) on which the program violates the spec.

**If no counterexample exists, we know the program is correct.**

Because this is proof by contradiction! The prover assumed  $\exists x. p(x) \wedge \neg s(x)$  and arrived at false (“unsat”).

# Summary

**Formal (logic) proofs follow well-defined rules and are easy to check.**

They can be checked mechanically.

And are used in the construction of critical software.

**English proofs correspond to those rules but are easier for people to read.**

Easily checkable in principle.

**Simple proof strategies already do a lot.**

Later we will cover a specific strategy that applies to loops and recursion (mathematical induction).