

**CSE  
31F**

# Foundations of Computing I

\* All slides are a combined effort between  
previous instructors of the course

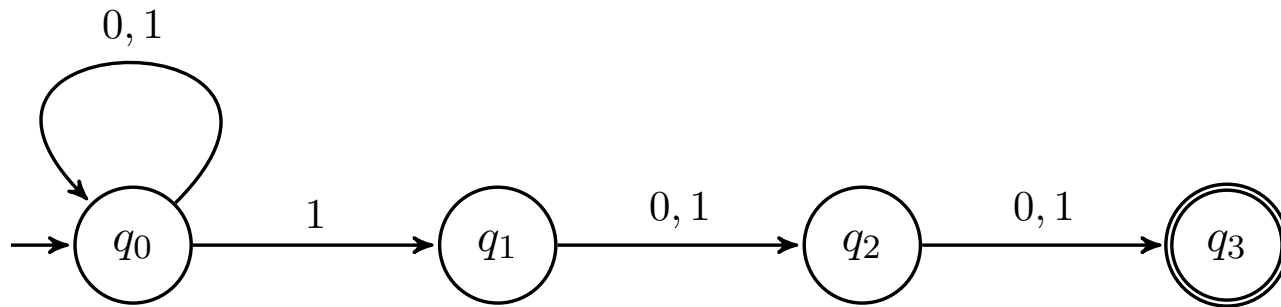
Construct an NFA for binary strings with a 1 three positions from the end



0100

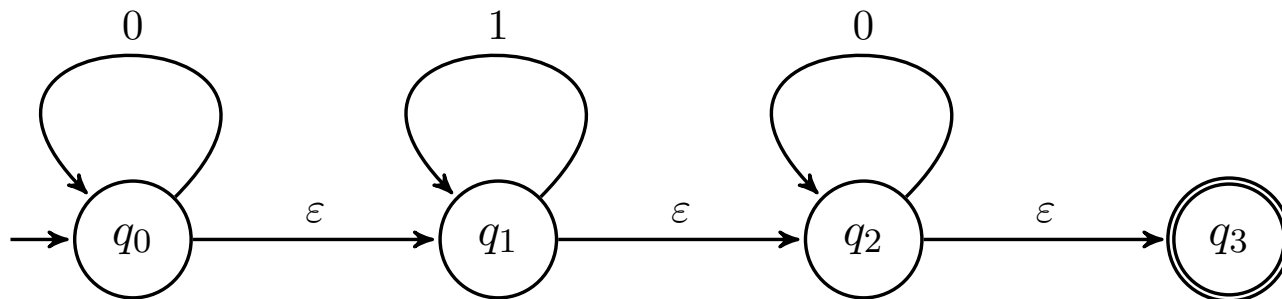
(.....)1 \_ \_

Construct an NFA for binary strings with a 1 three positions from the end



# Epsilon Transitions

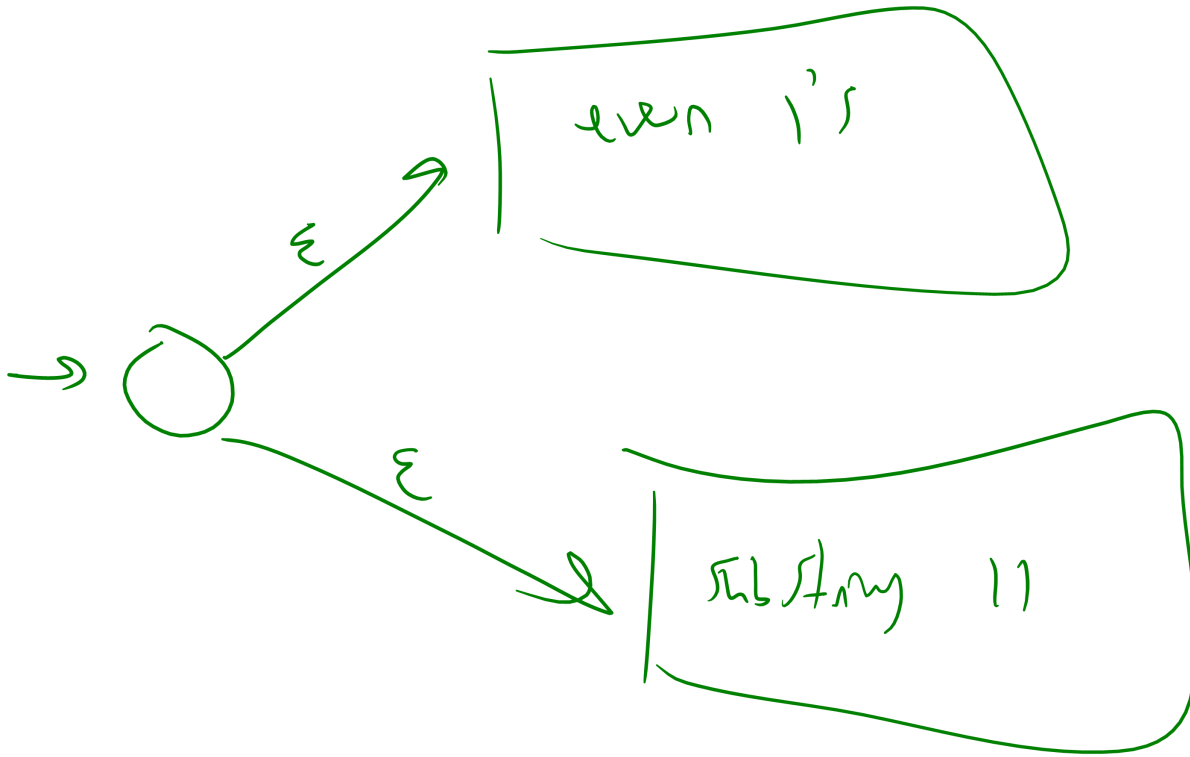
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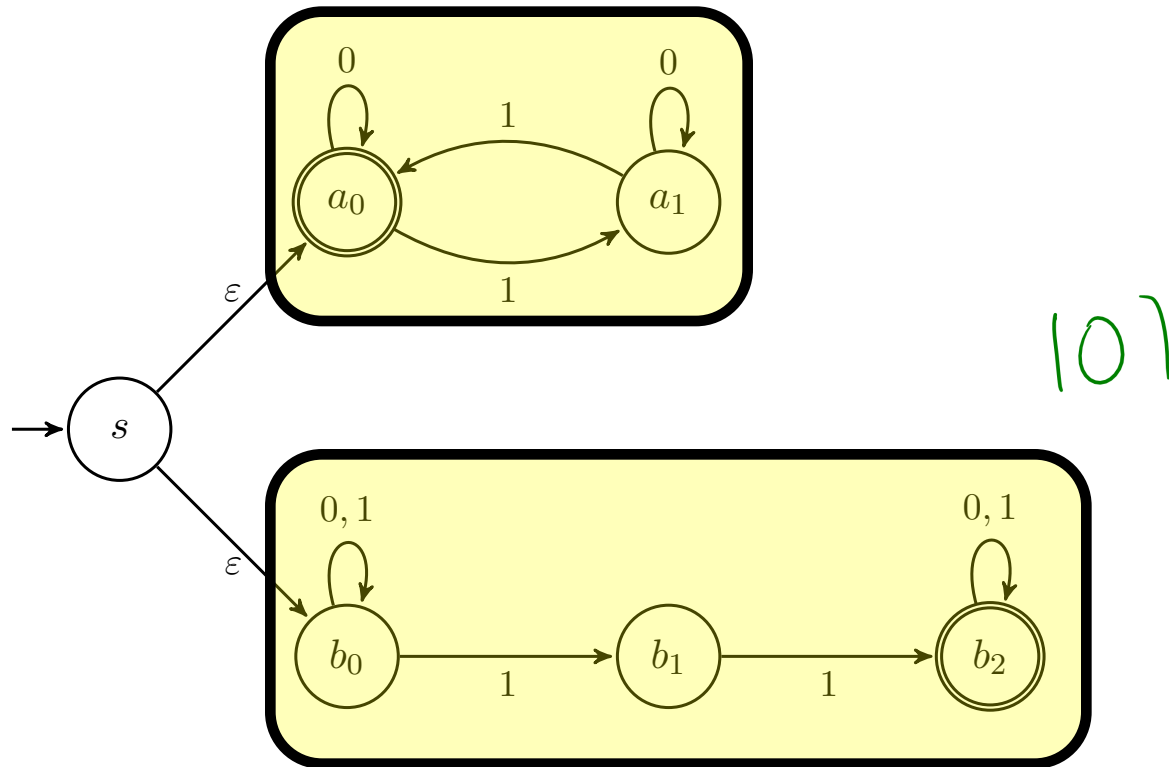
An “epsilon transition” is a transition in an NFA that **doesn't eat any of the string**. In other words, we may take it for free.

This NFA accepts the language  $0^*1^*0^*$ .

Construct an NFA for binary strings with an even # of 1's or the substring 11



# Construct an NFA for binary strings with an even # of 1's or the substring 11



The top machine accepts strings with an even number of 1's

The bottom machine accepts strings with the substring 11.

Since we have epsilon transitions to each, it's the union machine!

# CSE 311: Foundations of Computing

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## Lecture 23: NFAs, Regular expressions, and NFA→DFA



# Three ways of thinking about NFAs

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- **Outside observer:** Is there a path labeled by  $x$  from the start state to some final state?
- **Perfect guesser:** The NFA has input  $x$  and whenever there is a choice of what to do it magically guesses a good one (if one exists)
- **Parallel exploration:** The NFA computation runs all possible computations on  $x$  step-by-step at the same time in parallel





# NFAs and regular expressions

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**Theorem:** For any set of strings (language)  $A$  described by a regular expression, there is an NFA that recognizes  $A$ .

**Proof idea:** Structural induction based on the recursive definition of regular expressions...

$$\text{REG} \rightarrow \emptyset \mid \varepsilon \mid a \mid \text{REG}^* \mid \text{REG} \cup \text{REG} \mid \text{REG} \text{ REG}$$

# Regular Expressions over $\Sigma$

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- **Basis:**

- $\emptyset$ ,  $\epsilon$  are regular expressions
- $a$  is a regular expression for any  $a \in \Sigma$

- **Recursive step:**

- If **A** and **B** are regular expressions then so are:

**(A  $\cup$  B)**

**(AB)**

**A\***

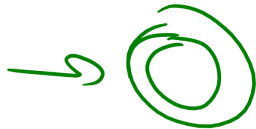
# Base Case

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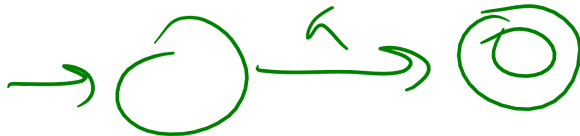
- **Case  $\emptyset$ :**



- **Case  $\varepsilon$ :**



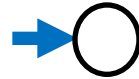
- **Case **a**:**



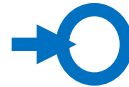
# Base Case

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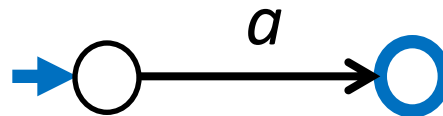
- Case  $\emptyset$ :



- Case  $\epsilon$ :



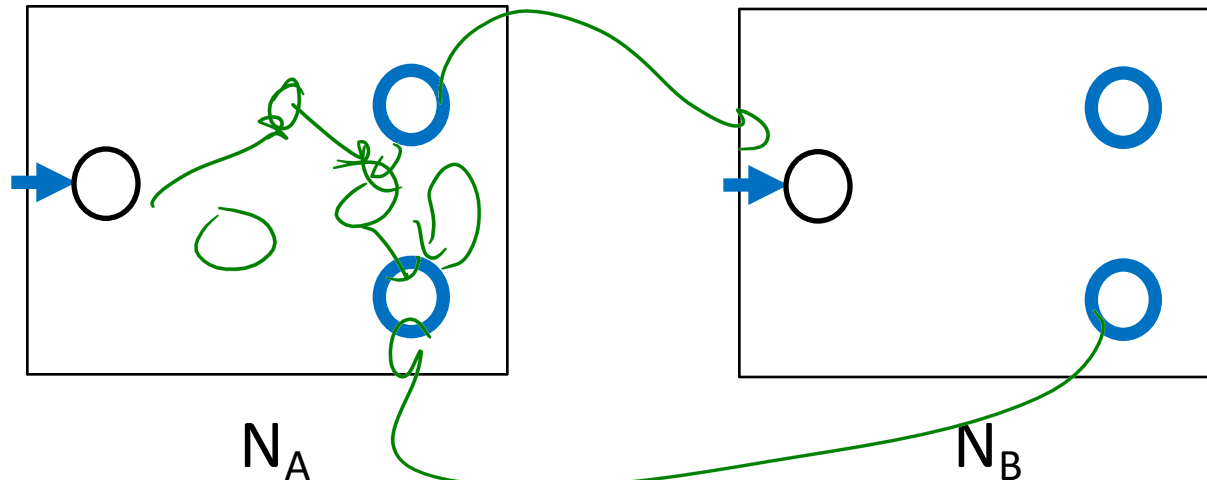
- Case  $a$ :



# Inductive Hypothesis

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- Suppose that for some regular expressions  $A$  and  $B$  there exist NFAs  $N_A$  and  $N_B$  such that  $N_A$  recognizes the language given by  $A$  and  $N_B$  recognizes the language given by  $B$

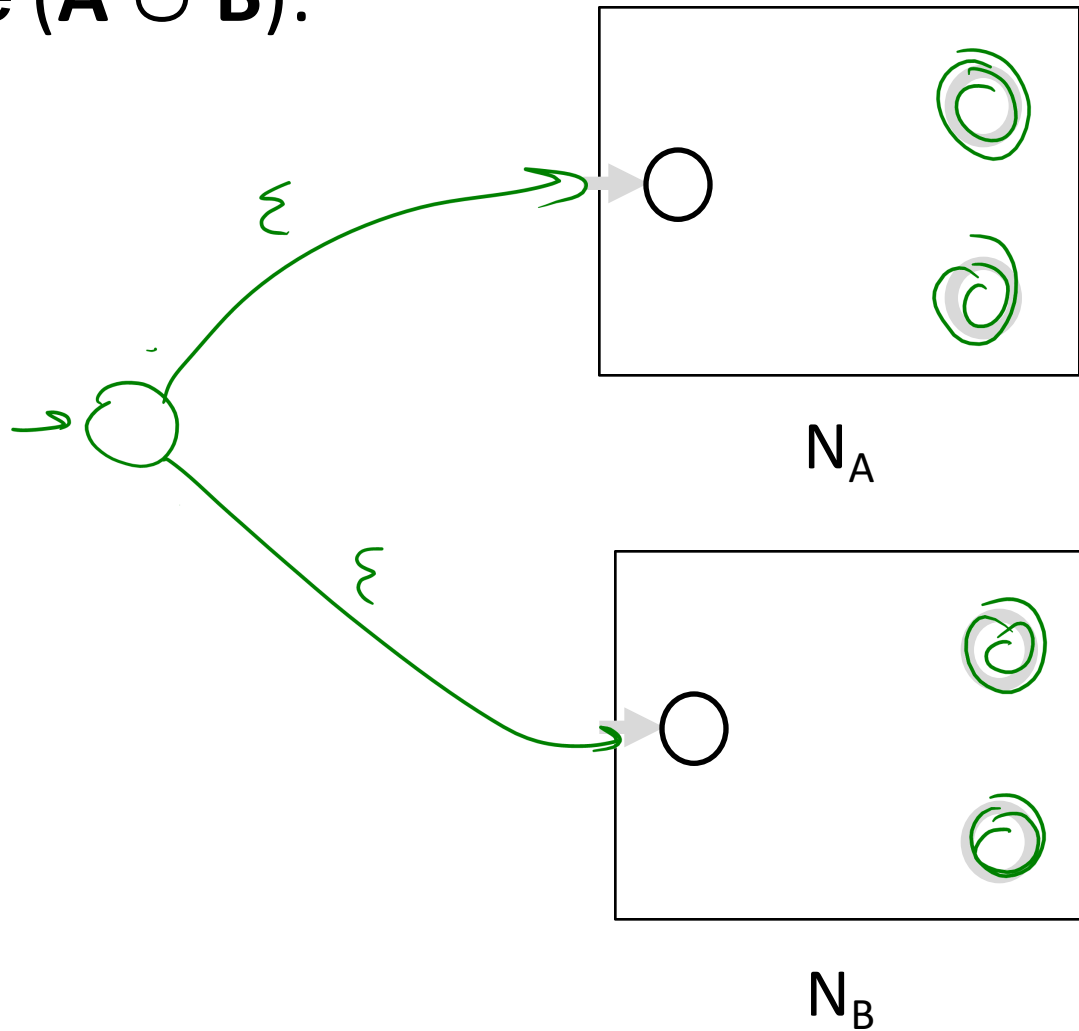


- Start
- Accept
- "Combine"

# Inductive Step

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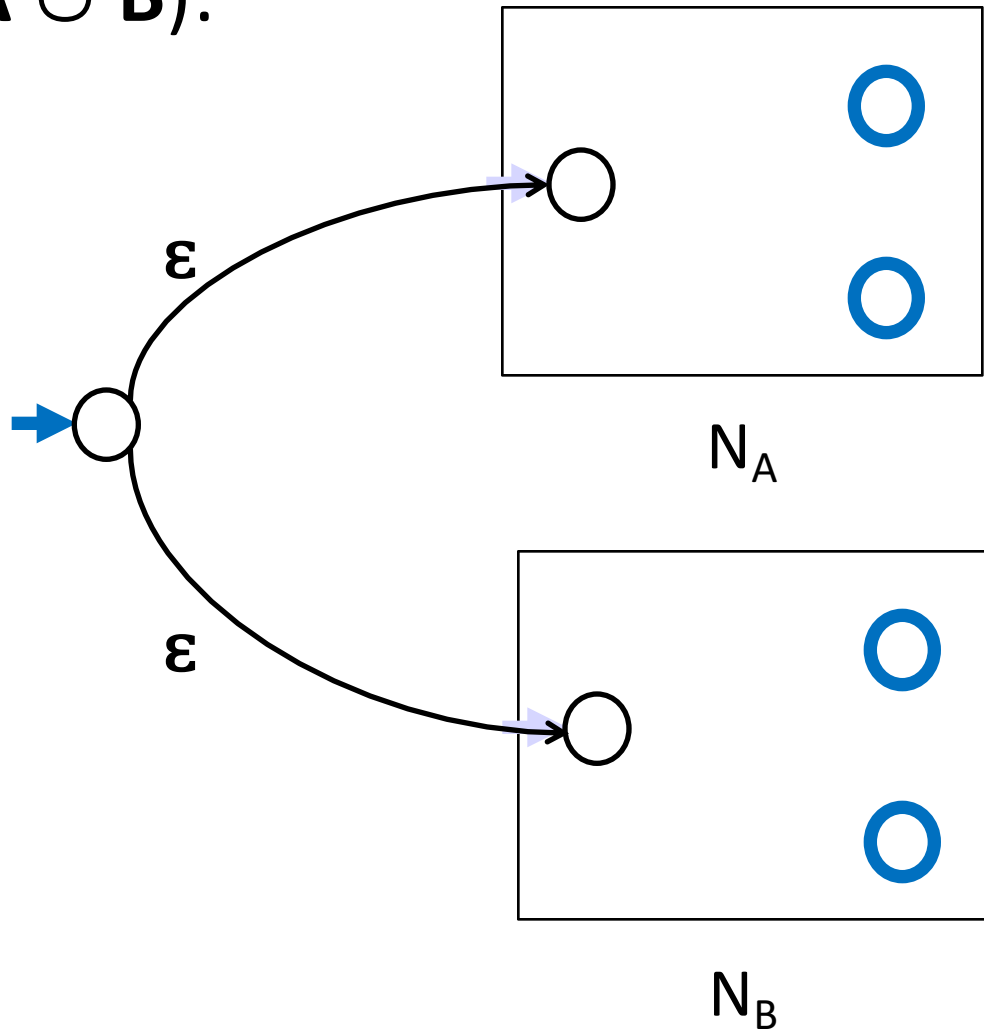
Case  $(A \cup B)$ :



# Inductive Step

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Case ( $A \cup B$ ):



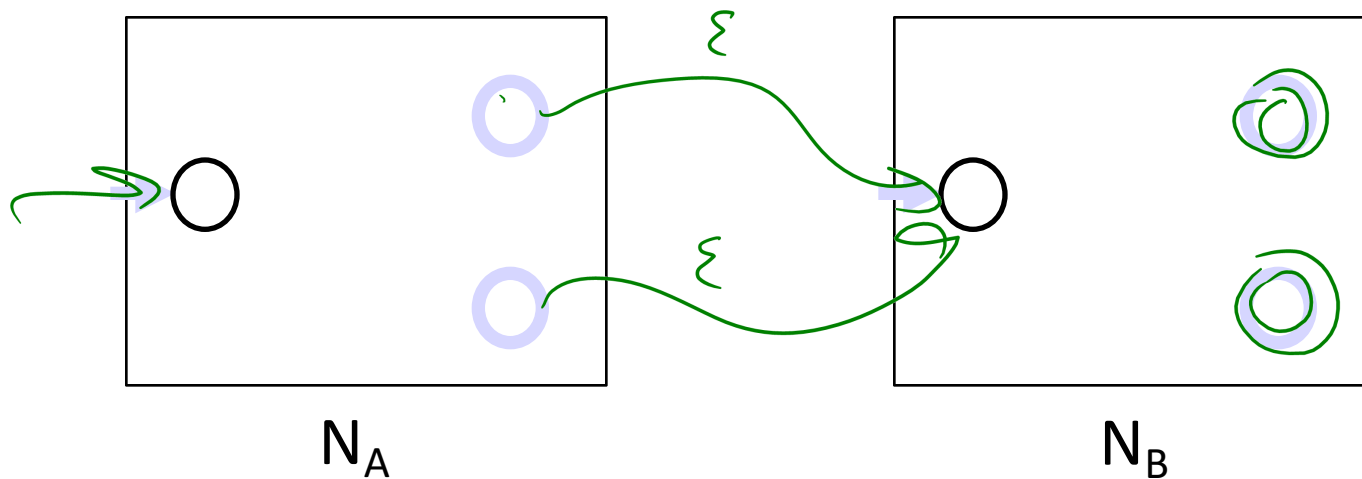
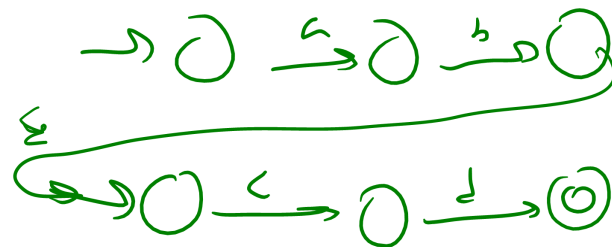
# Inductive Step

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Case (AB):

$$A = ab$$

$$B = cd$$

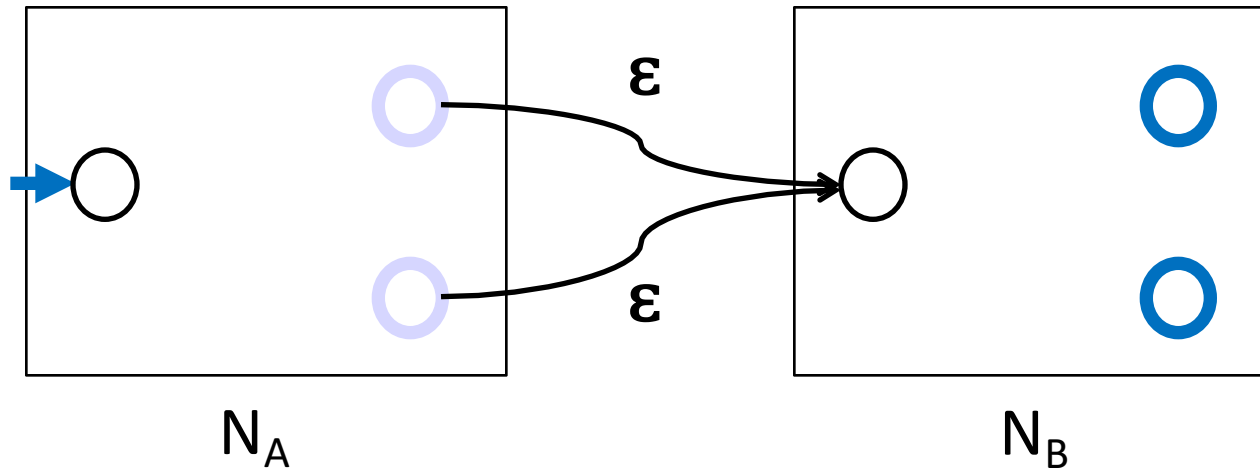




# Inductive Step

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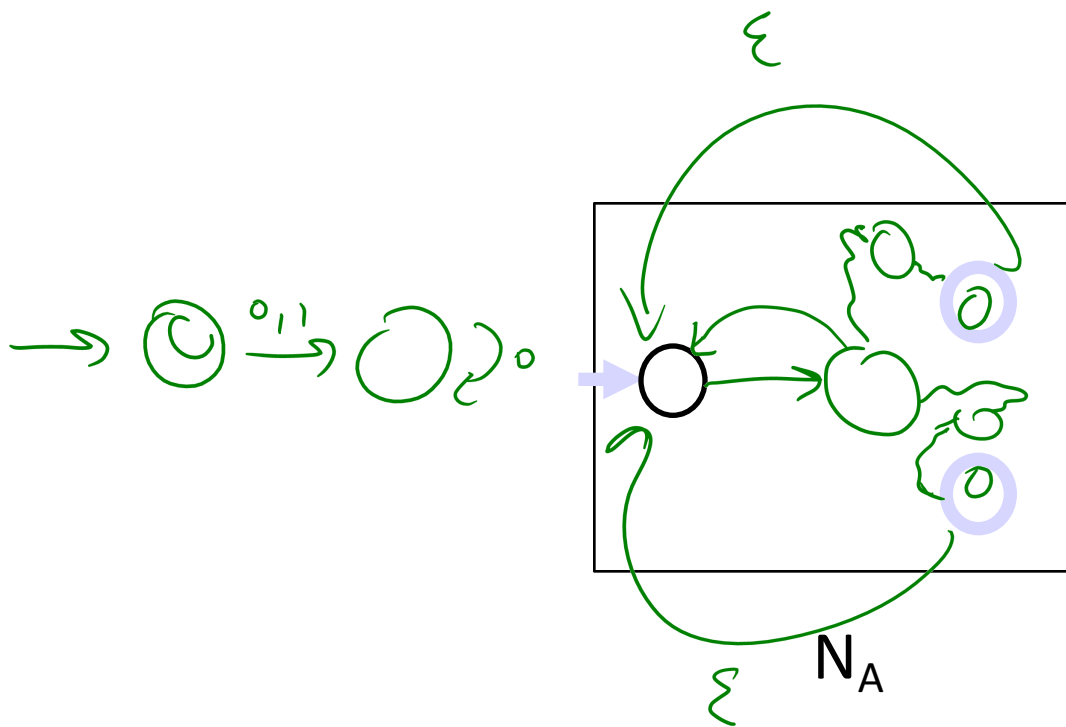
Case (AB):



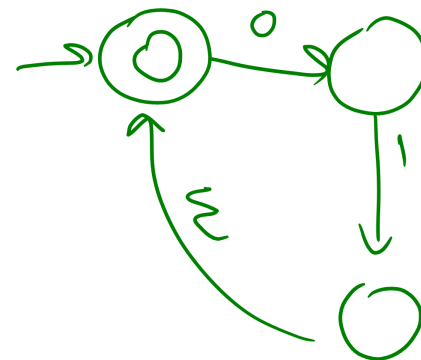
# Inductive Step

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## Case A\*



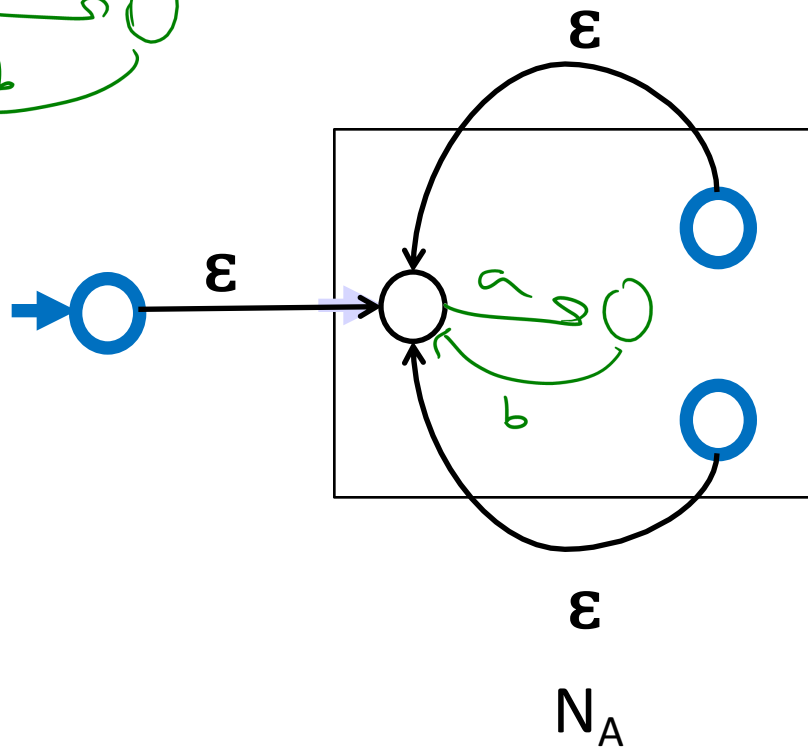
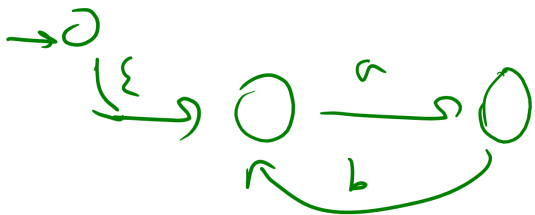
$(0,1)^*$



# Inductive Step

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Case A\*



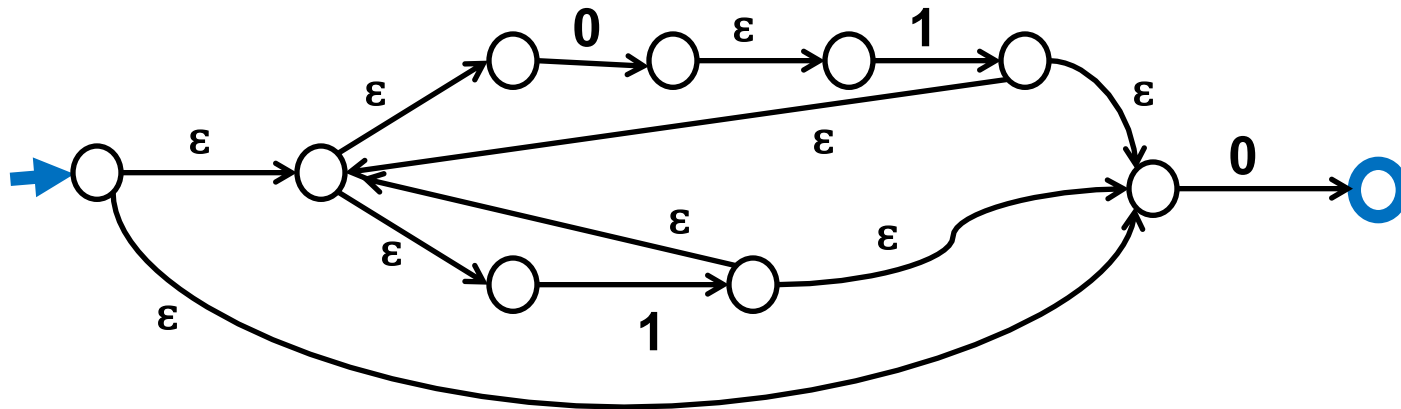
**Build an NFA for  $(01 \cup 1)^*0$**

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# Solution

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$(01 \cup 1)^*0$



# NFAs and DFAs

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**Every DFA is an NFA**

- DFAs have requirements that NFAs don't have

**Can NFAs recognize more languages?**

# NFAs and DFAs

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Every DFA is an NFA

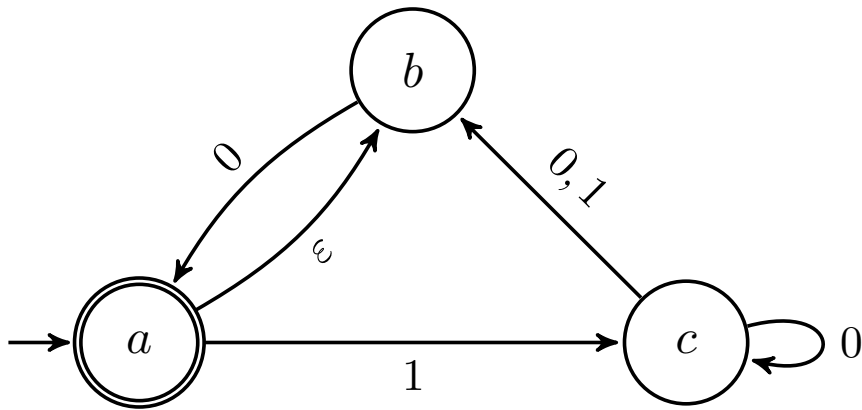
- DFAs have requirements that NFAs don't have

Can NFAs recognize more languages? No!

**Theorem:** For every NFA there is a DFA that recognizes exactly the same language

# Example: NFA to DFA

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NFA

DFA



# Conversion of NFAs to a DFAs

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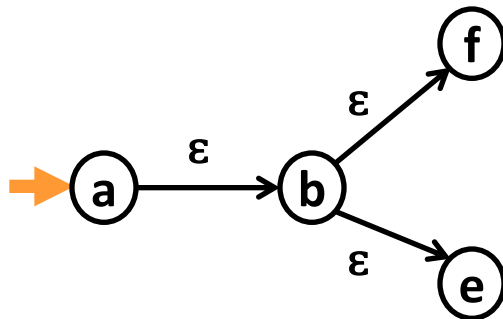
- **Proof Idea:**
  - The DFA keeps track of **ALL** the states that the part of the input string read so far can reach in the NFA
  - There will be one state in the DFA for each *subset* of states of the NFA that can be reached by some string

# Conversion of NFAs to a DFAs

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## New start state for DFA

- The set of all states reachable from the start state of the NFA using only edges labeled  $\epsilon$



NFA



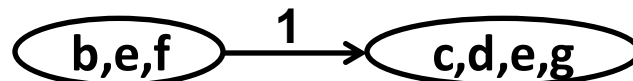
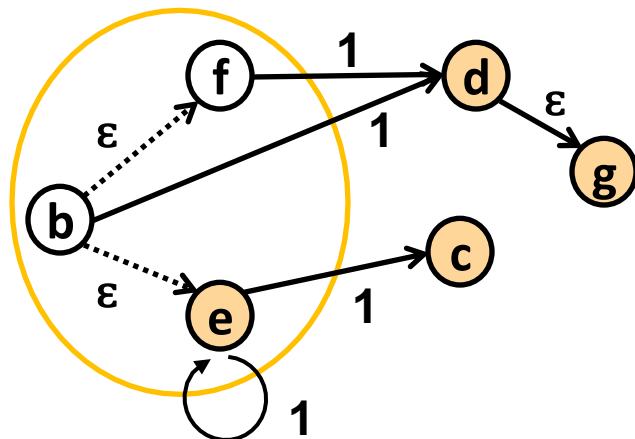
DFA

# Conversion of NFAs to a DFAs

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**For each state of the DFA corresponding to a set  $S$  of states of the NFA and each symbol  $s$**

- Add an edge labeled  $s$  to state corresponding to  $T$ , the set of states of the NFA reached by starting from some state in  $S$ , then following one edge labeled by  $s$ , and then following some number of edges labeled by  $\epsilon$
- $T$  will be  $\emptyset$  if no edges from  $S$  labeled  $s$  exist

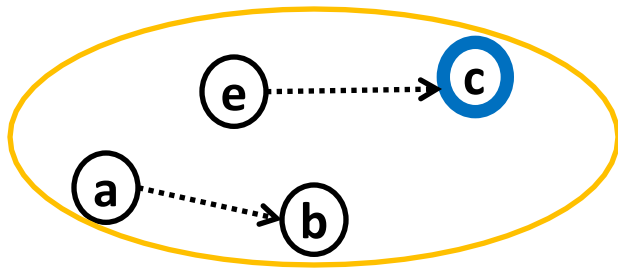


# Conversion of NFAs to a DFAs

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## Final states for the DFA

- All states whose set contain some final state of the NFA



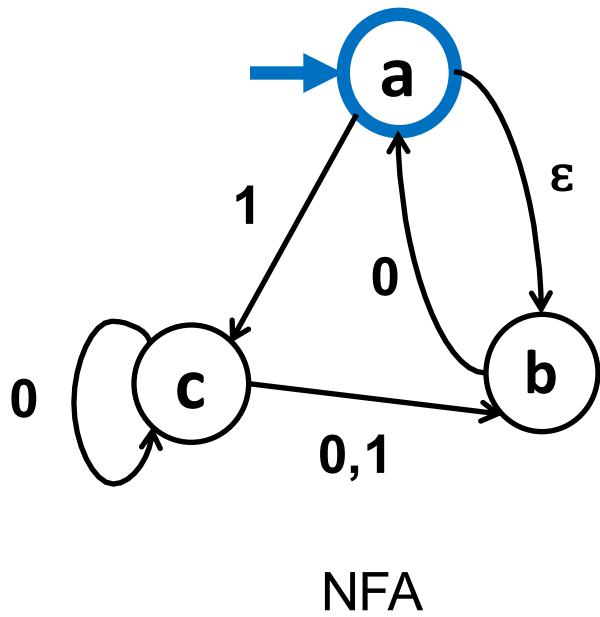
NFA



DFA

# Example: NFA to DFA

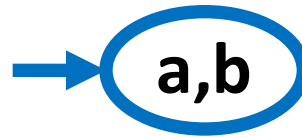
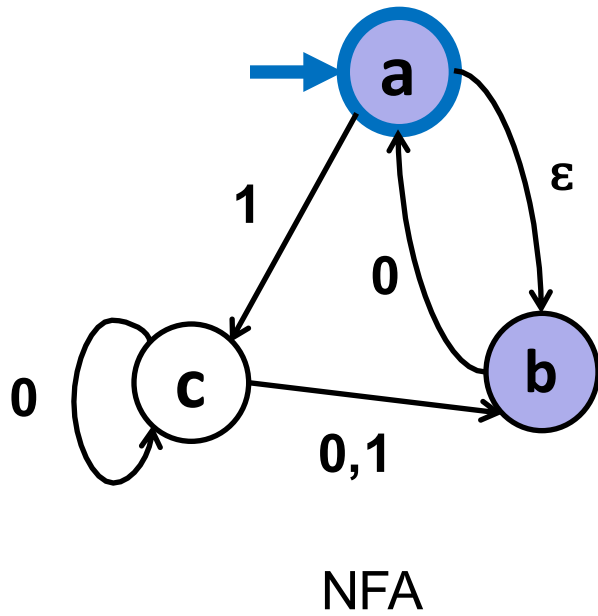
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DFA

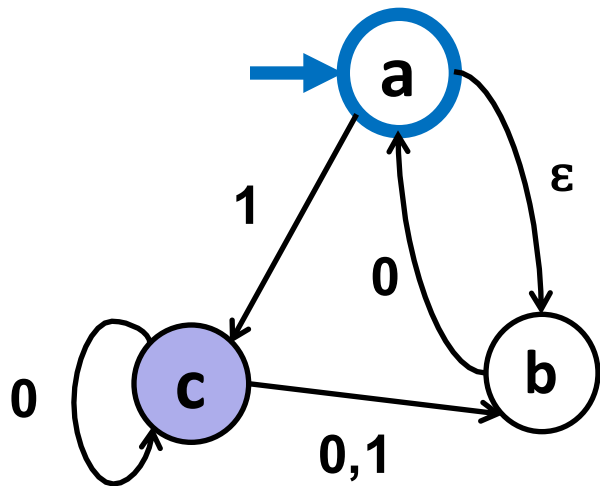
# Example: NFA to DFA

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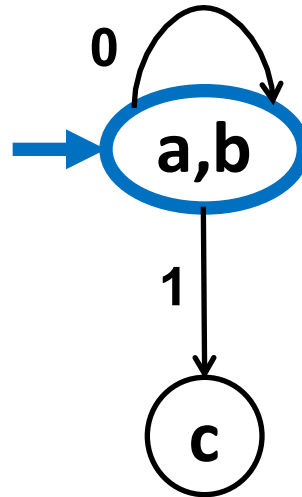


# Example: NFA to DFA

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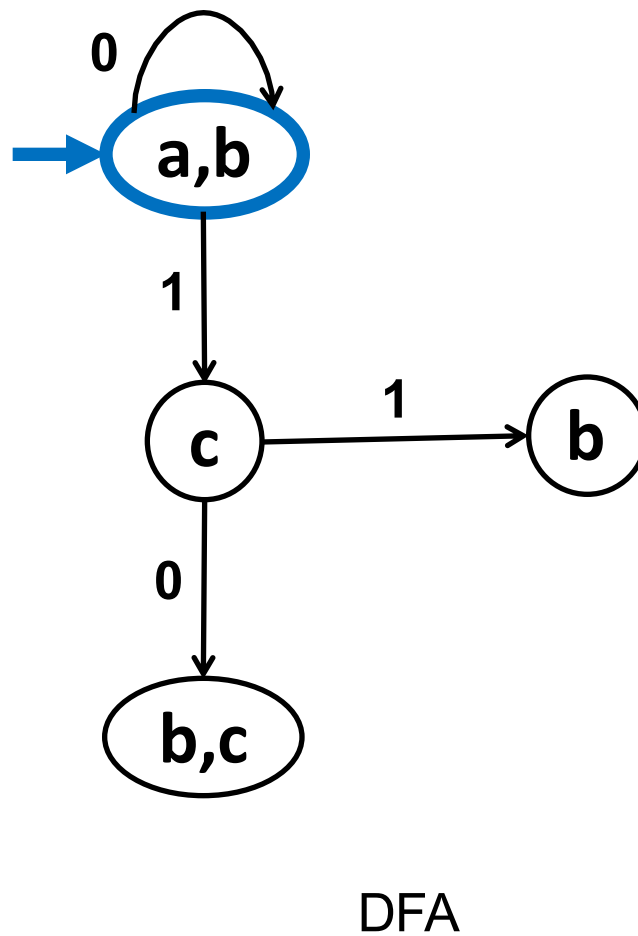
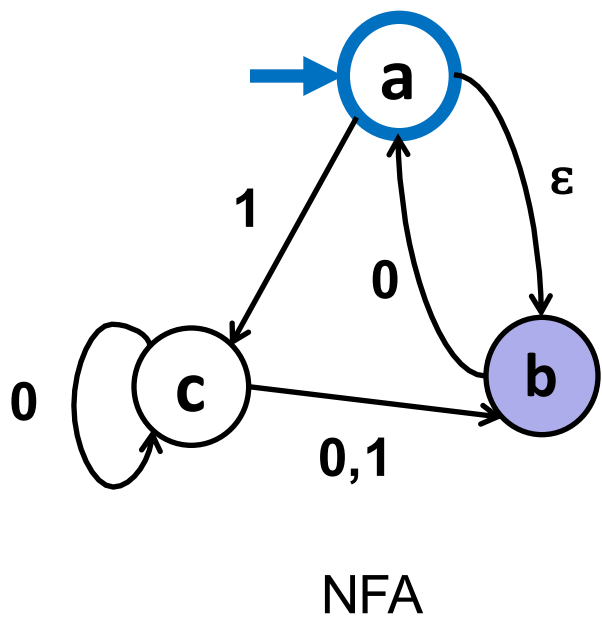
NFA



DFA

# Example: NFA to DFA

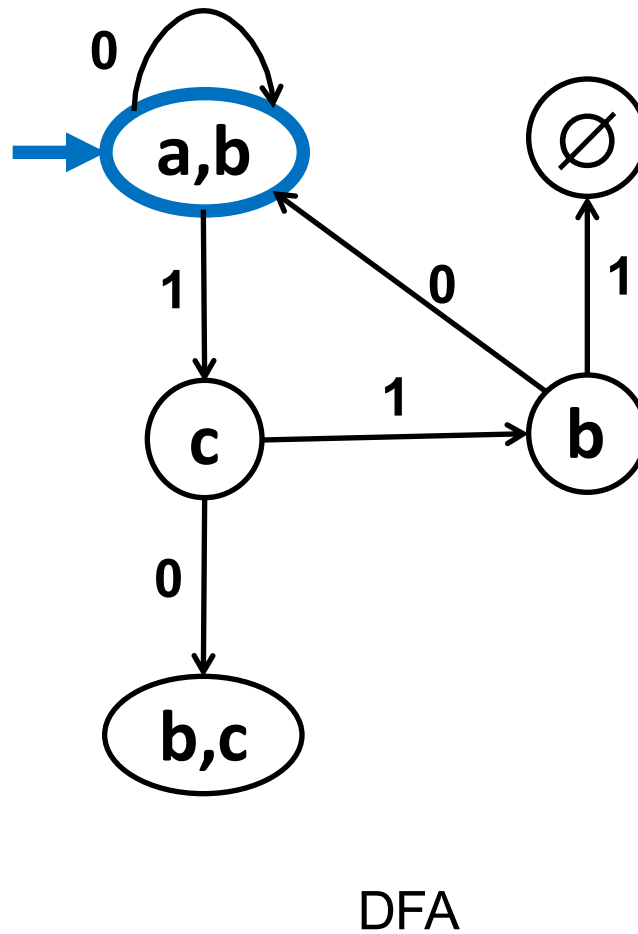
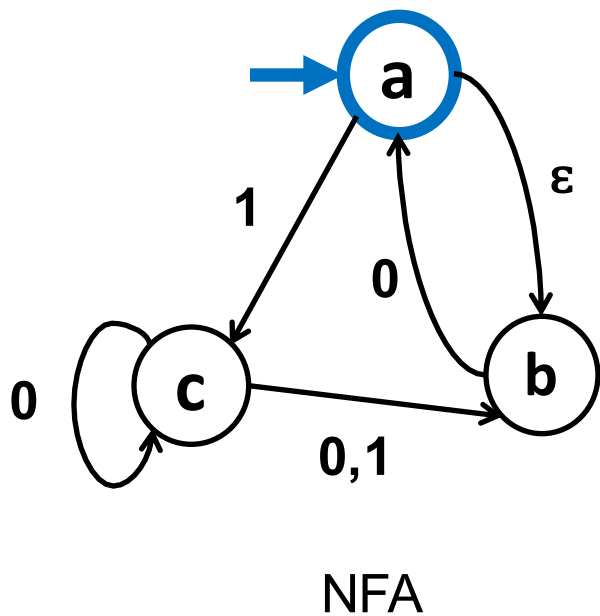
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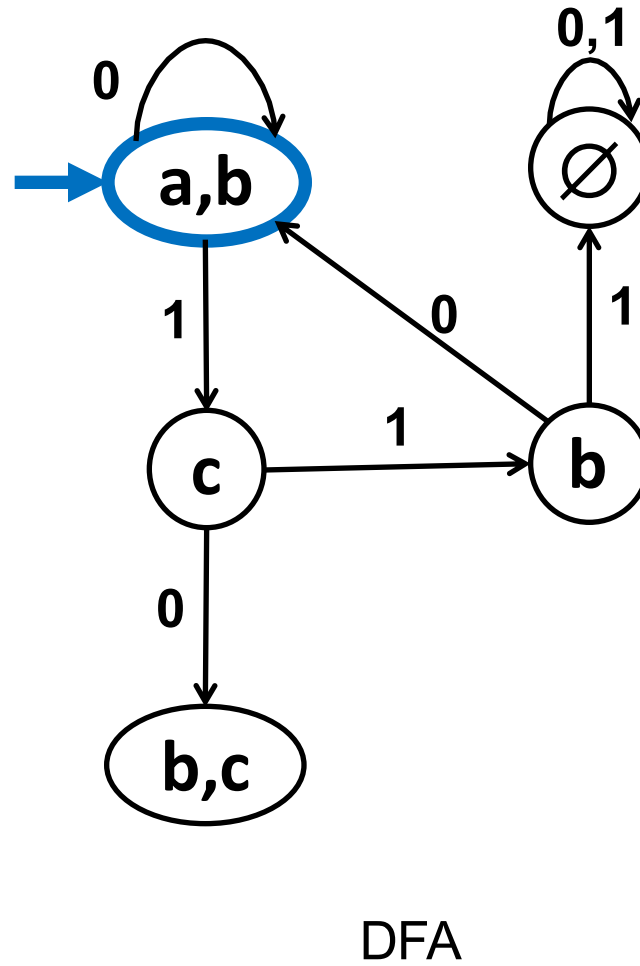
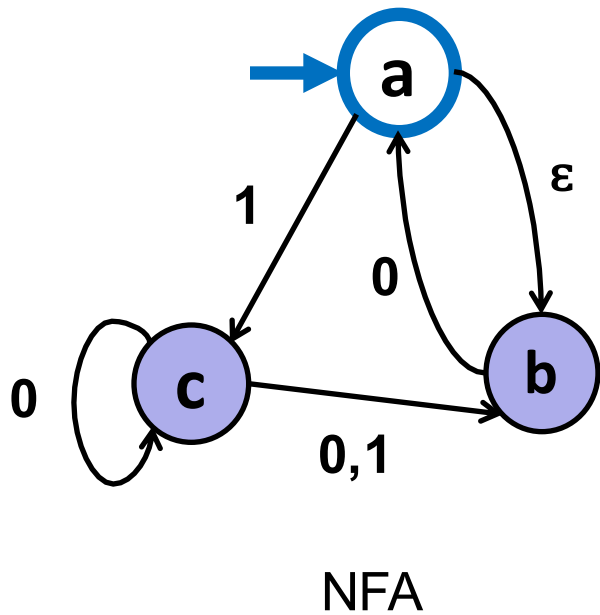
# Example: NFA to DFA

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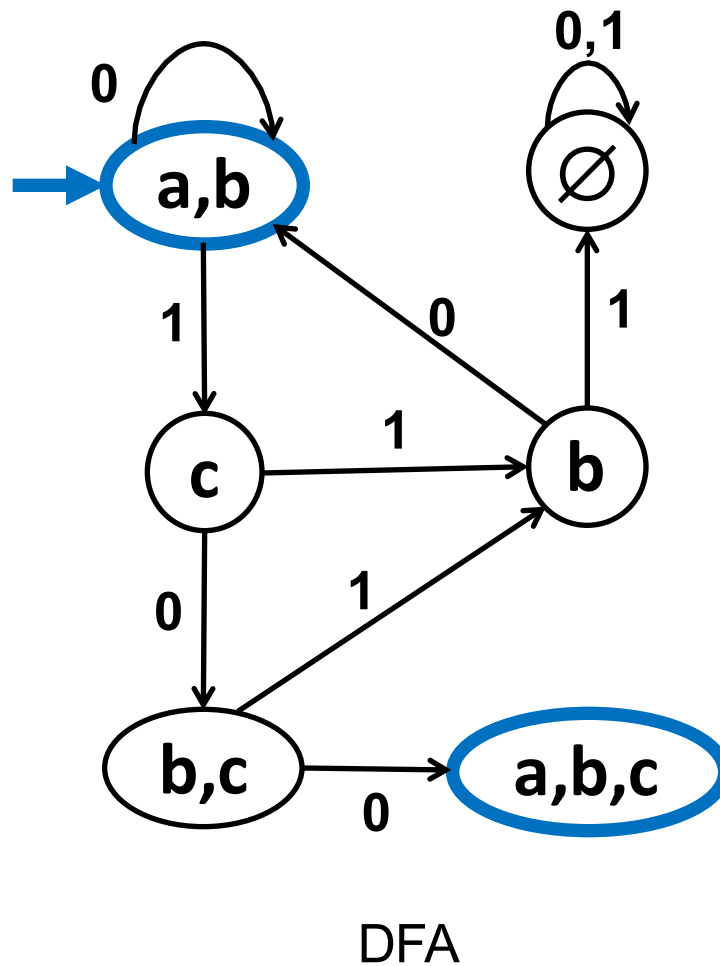
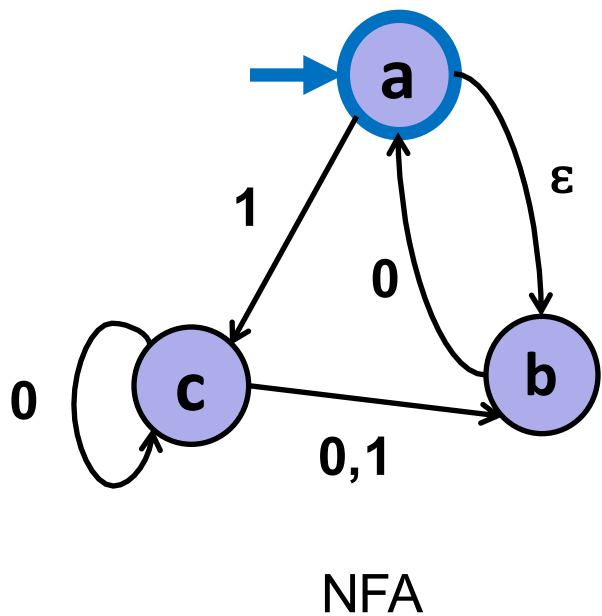
# Example: NFA to DFA

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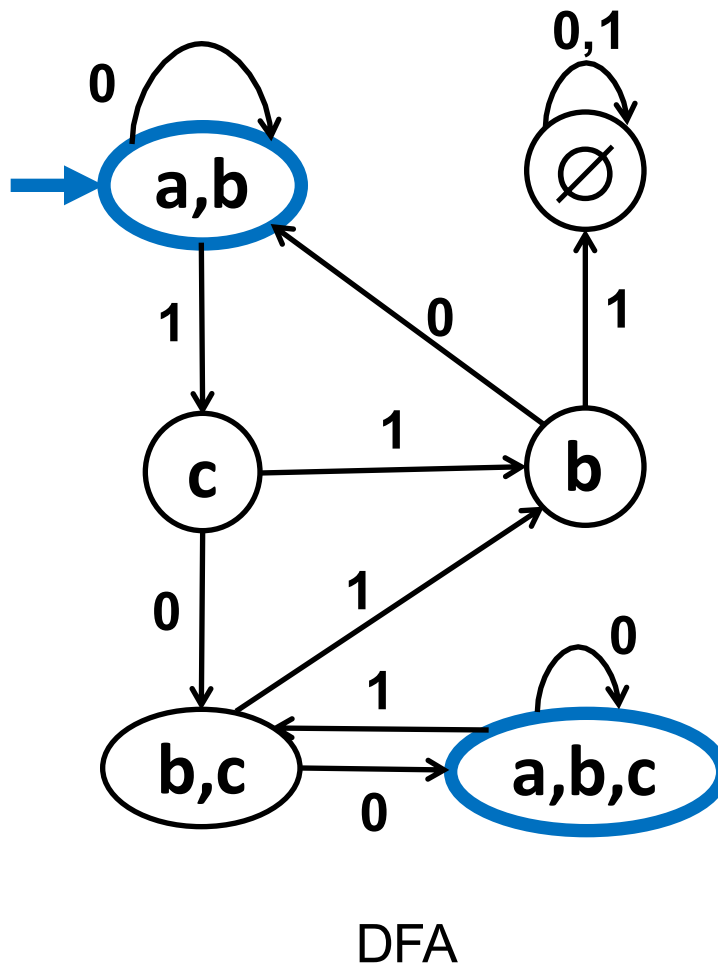
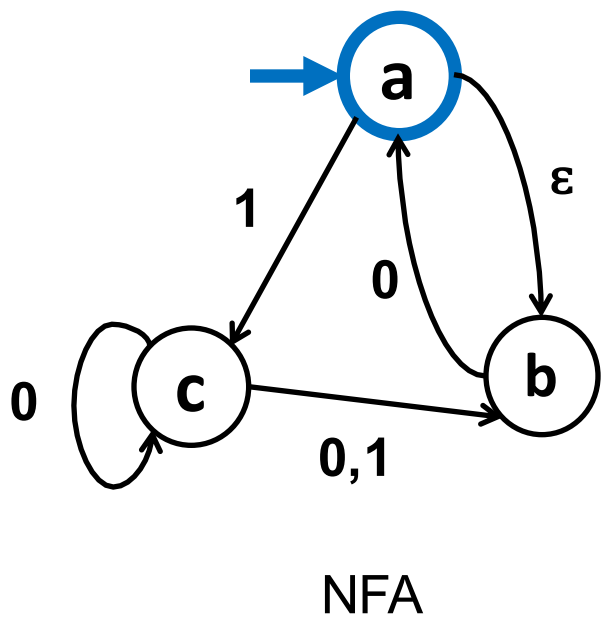
# Example: NFA to DFA

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# Example: NFA to DFA

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# Exponential Blow-up in Simulating Nondeterminism

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- **In general the DFA might need a state for every subset of states of the NFA**
  - Power set of the set of states of the NFA
  - $n$ -state NFA yields DFA with at most  $2^n$  states
  - We saw an example where roughly  $2^n$  is necessary  
Is the  $n^{\text{th}}$  char from the end a 1?
- **The famous “P=NP?” question asks whether a similar blow-up is always necessary to get rid of nondeterminism for polynomial-time algorithms**