

# CSE 311: Foundations of Computing

## Lecture 27: Uncountable Sets, Uncomputable Functions

AUTHOR KATHARINE GATES RECENTLY ATTEMPTED  
TO MAKE A CHART OF ALL SEXUAL FETISHES.

LITTLE DID SHE KNOW THAT RUSSELL AND WHITEHEAD  
HAD ALREADY FAILED AT THIS SAME TASK.

\*54.43.  $\vdash : \alpha, \beta \in 1. \supset : \alpha \cap \beta = \Lambda. \equiv. \alpha \cup \beta \in 2$

Dem.

$\vdash . *54.26. \supset \vdash : \alpha = t'x. \beta = t'y. \supset : \alpha \cup \beta \in 2. \equiv. x \neq y.$

[\*51.231]  $\equiv. t'x \cap t'y = \Lambda.$

[\*13.12]  $\equiv. \alpha \cap \beta = \Lambda$  (1)

$\vdash . (1). *11.11.35. \supset$

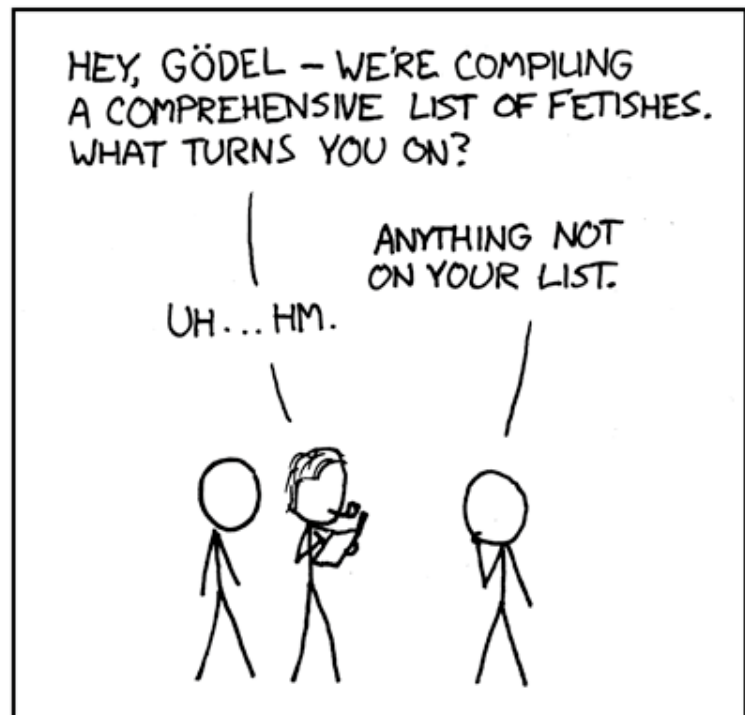
$\vdash : (\exists x, y). \alpha = t'x. \beta = t'y. \supset : \alpha \cup \beta \in 2. \equiv. \alpha \cap \beta = \Lambda$  (2)

$\vdash . (2). *11.54. *52.1. \supset \vdash . \text{Prop}$

From this proposition it will follow, when arithmetical addition has been defined, that  $1 + 1 = 2$ .

[proved on page 86 of Volume II of Russell and  
Whitehead's "Principia Mathematica":

"The above proposition is occasionally useful."]



# Last time: Countable sets

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A set  **$S$**  is **countable** iff we can order the elements of  **$S$**  as

$$S = \{x_1, x_2, x_3, \dots\}$$

## Countable sets:

$\mathbb{N}$  - the natural numbers

$\mathbb{Z}$  - the integers

$\mathbb{Q}$  - the rationals

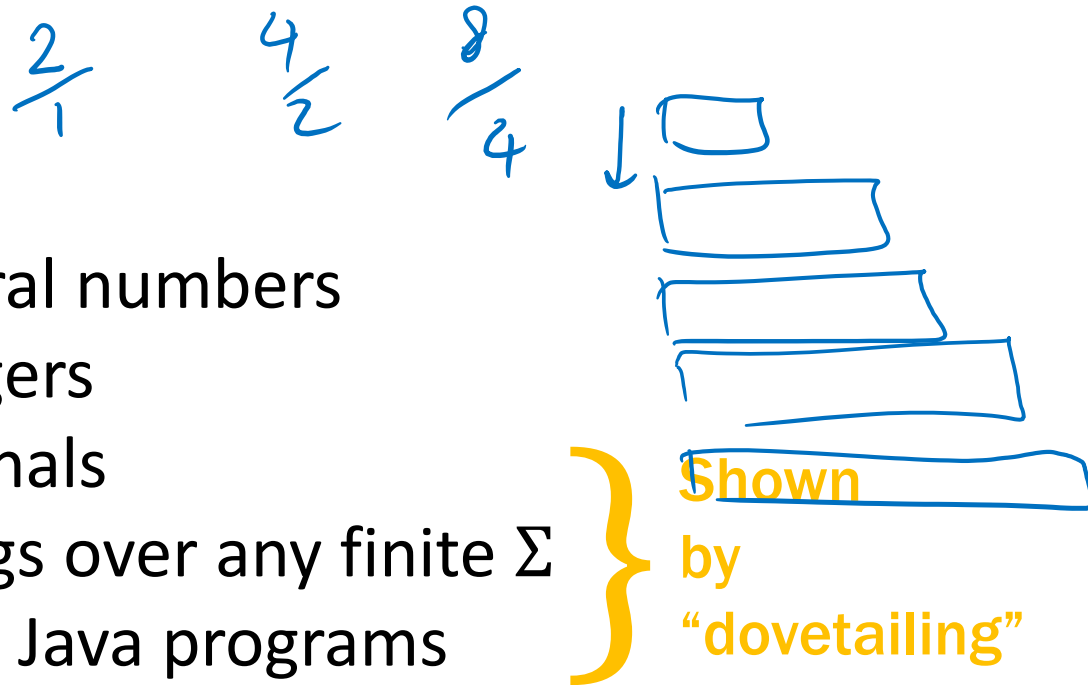
$\Sigma^*$  - the strings over any finite  $\Sigma$

The set of all Java programs

Shown

by

“dovetailing”



# Not every set is countable

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**Theorem [Cantor]:**

The set of real numbers between 0 and 1 is **not** countable.

Proof will be by contradiction. Using a new method called diagonalization.

# Real numbers between 0 and 1: $[0,1)$

Every number between 0 and 1 has an infinite decimal expansion:

[illegible]

**Representation is unique except for the cases that the decimal expansion ends in all 0's or all 9's. We will never use the all 9's representation.**

# Proof that $[0,1)$ is not countable

---

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4	5	6	7	8	9	...
$r_1$	0.	5	0	0	0	0	0	0	0	...	...
$r_2$	0.	3	3	3	3	3	3	3	3	...	...
$r_3$	0.	1	4	2	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8	2	...	...
$r_8$	0.	6	1	8	0	3	3	9	4	...	...
...	....	...	....	....	...	...	...	...	...	...	...

# Proof that $[0,1)$ is not countable

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Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4	5	6	7	8	9	...
$r_1$	0.	5	0	0	0	0	0	0	0	...	...
$r_2$	0.	3	3	3	3	3	3	3	3	...	...
$r_3$	0.	1	4	2	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8	2	...	...
$r_8$	0.	6	1	8	0	3	3	9	4	...	...
...	....	...	....	....	...	...	...	...	...	...	...

# Proof that $[0,1)$ is not countable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4	<div>Flipping rule: Only if the other driver deserves it.</div>					
$r_1$	0.	5	0	0	0						
$r_2$	0.	3	3	3	3						
$r_3$	0.	1	4	2	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8	2	...	...
$r_8$	0.	6	1	8	0	3	3	9	4	...	...
...	....	...	....	....	...	...	...	...	...	...	...

# Proof that $[0,1)$ is not countable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4	<div>Flipping rule: If digit is 5, make it 1. If digit is not 5, make it 5.</div>					
$r_1$	0.	5 <sup>1</sup>	0	0	0						
$r_2$	0.	3	3 <sup>5</sup>	3	3						
$r_3$	0.	1	4	2 <sup>5</sup>	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	<del>5</del> <sup>1</sup>	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	<del>2</del> <sup>5</sup>	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0 <sup>5</sup>	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8 <sup>5</sup>	2	...	...
$r_8$	0.	6	1	8	0	3	3	9	4 <sup>5</sup>	...	...
...	....	1	5	5	1	5	5	5	5	...	...



# Proof that $[0,1)$ is not countable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4						
$r_1$	0.	5 <sup>1</sup>	0	0	0						
$r_2$	0.	3	3 <sup>5</sup>	3	3						
$r_3$	0.	1	4	2 <sup>5</sup>	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5 <sup>1</sup>	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2 <sup>5</sup>	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0 <sup>5</sup>	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8 <sup>5</sup>	2	...	...

## Flipping rule:

If digit is 5, make it 1.

If digit is not 5, make it 5.

If diagonal element is  $0.x_{11}x_{22}x_{33}x_{44}x_{55}\dots$  then the flipped diagonal number call it  $d = 0.\hat{x}_{11}\hat{x}_{22}\hat{x}_{33}\hat{x}_{44}\hat{x}_{55}\dots$  is also a real number in  $[0,1)$ .

# Proof that $[0,1)$ is not countable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4
$r_1$	0.	5 <sup>1</sup>	0	0	0
$r_2$	0.	3	3 <sup>5</sup>	3	3
$r_3$	0.	1	4	2 <sup>5</sup>	8
$r_4$	0.	1	4	1	5 <sup>1</sup>

**Flipping rule:**

If digit is **5**, make it **1**.

If digit is not **5**, make it **5**.

For every  $n \geq 1$ :

$r_n \neq d = 0.\hat{x}_{11}\hat{x}_{22}\hat{x}_{33}\hat{x}_{44}\hat{x}_{55} \dots$

because the numbers differ on the  $n$ -th digit!

5	7	1	4	...	...
9	2	6	5	...	...
2 <sup>5</sup>	1	2	2	...	...
0	0 <sup>5</sup>	0	0	...	...
8	1	8 <sup>5</sup>	2	...	...

If diagonal element is  $0.x_{11}x_{22}x_{33}x_{44}x_{55} \dots$  then the flipped diagonal number call it  $d = 0.\hat{x}_{11}\hat{x}_{22}\hat{x}_{33}\hat{x}_{44}\hat{x}_{55} \dots$  is also a real number in  $[0,1)$ .

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$r_4$	0.	1	4	1	5 <sup>1</sup>

## Flipping rule:

If digit is 5, make it 1.

If digit is not 5, make it 5.

For every  $n \geq 1$ :

$r_n \neq d = 0.\hat{x}_{11}\hat{x}_{22}\hat{x}_{33}\hat{x}_{44}\hat{x}_{55}\dots$

because the numbers differ on the  $n$ -th digit!

5	7	1	4	...	...
9	2	6	5	...	...
2 <sup>5</sup>	1	2	2	...	...
0	0 <sup>5</sup>	0	0	...	...
8	1	8 <sup>5</sup>	2	...	...

So the list is incomplete, which is a contradiction.

Thus the real numbers between 0 and 1 are **not countable**: “uncountable”



# A note on this proof

---

- The set of rational numbers in  $[0,1)$  also have decimal representations like this
  - The only difference is that rational numbers always have repeating decimals in their expansions  $0.33333...$  or  $.25000000...$
- So why wouldn't the same proof show that this set of rational numbers is uncountable?

# A note on this proof

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  - The only difference is that rational numbers always have repeating decimals in their expansions  $0.33333\dots$  or  $.25000000\dots$
- So why wouldn't the same proof show that this set of rational numbers is uncountable?
  - Given any listing (even one that is good like the dovetailing listing) we could create the flipped diagonal number *d* as before

# A note on this proof

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- The set of rational numbers in  $[0,1)$  also have decimal representations like this
  - The only difference is that rational numbers always have repeating decimals in their expansions  $0.33333\dots$  or  $.25000000\dots$
- So why wouldn't the same proof show that this set of rational numbers is uncountable?
  - Given any listing (even one that is good like the dovetailing listing) we could create the flipped diagonal number ***d*** as before
  - However, ***d*** would not have a repeating decimal expansion and so wouldn't be a rational #  
It would not be a “missing” number, so no contradiction.

The set of all functions  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  is uncountable

$$f(x) = x \bmod 10.$$

# The set of all functions $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$ is uncountable

Supposed listing of all the functions:

[illegible]



**The set of all functions  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  is uncountable**

Supposed listing of all the functions:

[illegible]

# The set of all functions $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$ is uncountable

Supposed listing of all the functions:

	1	2	3	4						
$f_1$	5 <sup>1</sup>	0	0	0	<div>Flipping rule:</div> <div>If <math>f_n(n) = 5</math>, set <math>D(n) = 1</math></div> <div>If <math>f_n(n) \neq 5</math>, set <math>D(n) = 5</math></div>					
$f_2$	3	3 <sup>5</sup>	3	3						
$f_3$	1	4	2 <sup>5</sup>	8						
$f_4$	1	4	1	5 <sup>1</sup>						
$f_5$	1	2	1	2	2 <sup>5</sup>	1	2	2	...	...
$f_6$	2	5	0	0	0	0 <sup>5</sup>	0	0	...	...
$f_7$	7	1	8	2	8	1	8 <sup>5</sup>	2	...	...

For all  $n$ , we have  $D(n) \neq f_n(n)$ . Therefore  $D \neq f_n$  for any  $n$  and the list is incomplete!  $\Rightarrow \{f \mid f: \mathbb{N} \rightarrow \{0, 1, \dots, 9\}\}$  is **not** countable

# Uncomputable functions

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We have seen that:

- The set of all (Java) programs is countable
- The set of all functions  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  is not countable

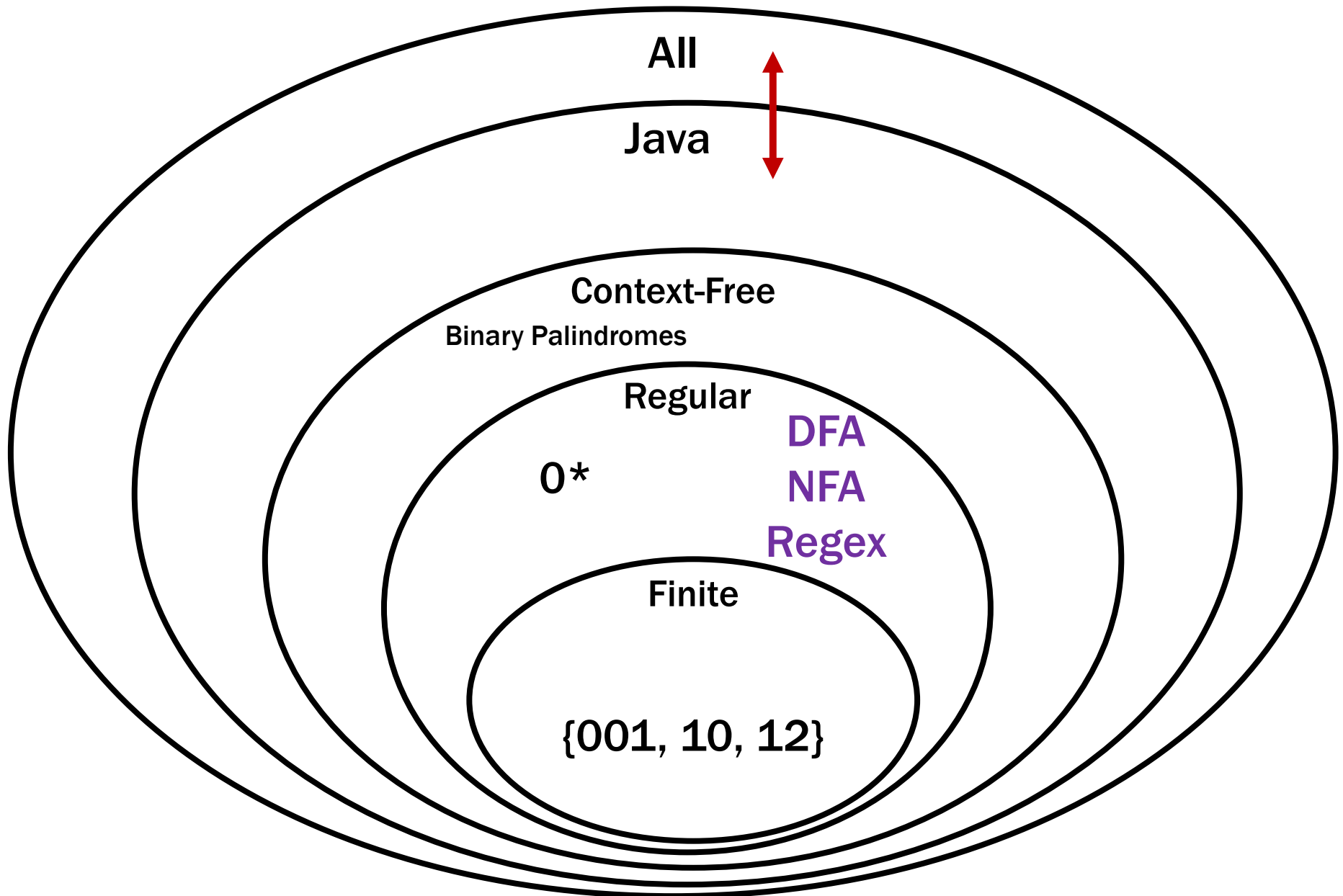
So: There must be some function  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  that is not computable by any program!

Interesting... maybe.

Can we come up with an explicit function that is uncomputable?

# Recall our language picture

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# Some Notation

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We're going to be talking about *Java code*.

**CODE(P)** will mean “the code of the program **P**”

So, consider the following function:

```
public String P(String x) {  
    return new String(Arrays.sort(x.toCharArray()));  
}
```

What is **P(CODE(P))**?

“((( )))..;AACPSSaaabceeggghiiiiInnnnnnooprrrrrrrrrrssstttttuuwxxyy{ }”

# The Halting Problem

---

**Given:** - CODE(**P**) for any program **P**  
- input **x**

**Output:** **true** if **P** halts on input **x**  
**false** if **P** does not halt on input **x**

It turns out that it isn't possible to write a program that solves the Halting Problem!

# Proof by contradiction

---

- Suppose that **H** is a Java program that solves the Halting problem. Then we can write this program:

```
public static void D(x) {  
    if (H(x,x) == true) {  
        → while (true);    /* don't halt */  
    }  
    else {  
        return;           /* halt */  
    }  
}
```

$H(\text{CODE}(\mathbf{D}), \text{CODE}(\mathbf{D})) = \text{True}$

$H(\text{CODE}(\mathbf{D}), \text{CODE}(\mathbf{D})) = \text{False}$

- Does **D**(CODE(**D**)) halt?

## Halting Problem

**Given:** - CODE(**P**) for any program **P**  
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**Output:** **true** if **P** halts on input **x**  
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**H** solves the halting problem implies that

**H**(CODE(**D**),**x**) is **true** iff **D**(**x**) halts, **H**(CODE(**D**),**x**) is **false** iff not



Does **D**(CODE(**D**)) halt?

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public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
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        return; /* halt */  
    }  
}
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**H** solves the halting problem implies that

**H**(CODE(**D**),**x**) is **true** iff **D**(**x**) halts, **H**(CODE(**D**),**x**) is **false** iff not

Suppose that **D**(CODE(**D**)) **halts**.

Then, by definition of **H** it must be that

**H**(CODE(**D**), CODE(**D**)) is **true**

Which by the definition of **D** means **D**(CODE(**D**)) **doesn't halt**

Does **D**(CODE(**D**)) halt?

```
public static void D(x) {  
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Suppose that **D**(CODE(**D**)) **doesn't halt**.

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**H**(CODE(**D**), CODE(**D**)) is **false**

Which by the definition of **D** means **D**(CODE(**D**)) **halts**

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public static void D(x) {  
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        while (true); /* don't halt */  
    }  
    else {  
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}
```

**H** solves the halting problem implies that  
**H**(CODE(**D**),**x**) is **true** iff **D**(**x**) halts, **H**(CODE(**D**),**x**) is **false** iff not

Suppose that **D**(CODE(**D**)) **halts**.

Then, by definition of **H** it must be that

**H**(CODE(**D**), CODE(**D**)) is **true**

Which by the definition of **D** means **D**(CODE(**D**)) **doesn't halt**

Suppose that **D**(CODE(**D**)) **doesn't halt**.

Then, by definition of **H** it must be that

**H**(CODE(**D**), CODE(**D**)) is **false**

Which by the definition of **D** means **D**(CODE(**D**)) **halts**

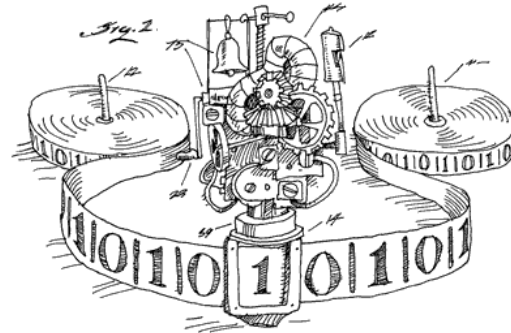


**Contradiction!**

# Done

---

- **We proved that there is no computer program that can solve the Halting Problem.**
  - There was nothing special about Java\*  
[Church-Turing thesis]



- This tells us that there is no compiler that can check our programs and guarantee to find any infinite loops they might have.

# Connection to diagonalization

Write **<P>** for CODE(**P**)

Some possible inputs **x**

All programs **P**

	<b>&lt;P<sub>1</sub>&gt;</b>	<b>&lt;P<sub>2</sub>&gt;</b>	<b>&lt;P<sub>3</sub>&gt;</b>	<b>&lt;P<sub>4</sub>&gt;</b>	<b>&lt;P<sub>5</sub>&gt;</b>	<b>&lt;P<sub>6</sub>&gt;</b>	....					
<b>P<sub>1</sub></b>	0	1	1	0	1	1	1	0	0	0	1	...
<b>P<sub>2</sub></b>	1	1	0	1	0	1	1	0	1	1	1	...
<b>P<sub>3</sub></b>	1	0	1	0	0	0	0	0	0	0	1	...
<b>P<sub>4</sub></b>	0	1	1	0	1	0	1	1	0	1	0	...
<b>P<sub>5</sub></b>	0	1	1	1	1	1	1	0	0	0	1	...
<b>P<sub>6</sub></b>	1	1	0	0	0	1	1	0	1	1	1	...
<b>P<sub>7</sub></b>	1	0	1	1	0	0	0	0	0	0	1	...
<b>P<sub>8</sub></b>	0	1	1	1	1	0	1	1	0	1	0	...
<b>P<sub>9</sub></b>	.	.	.	.	.	.	.	.	.	.	.	...
.	.	.	.	.	.	.	.	.	.	.	.	...
.	.	.	.	.	.	.	.	.	.	.	.	...

(**P,x**) entry is **1** if program **P** halts on input **x**  
and **0** if it runs forever

# Connection to diagonalization

Write **<P>** for CODE(**P**)

Some possible inputs **x**

All programs **P**

	<b>&lt;P<sub>1</sub>&gt;</b>	<b>&lt;P<sub>2</sub>&gt;</b>	<b>&lt;P<sub>3</sub>&gt;</b>	<b>&lt;P<sub>4</sub>&gt;</b>	<b>&lt;P<sub>5</sub>&gt;</b>	<b>&lt;P<sub>6</sub>&gt;</b>	....
P <sub>1</sub>	<b>0</b> <sup>1</sup>	1	1	0	1		
P <sub>2</sub>	1	<b>1</b> <sup>0</sup>	0	1	0		
P <sub>3</sub>	1	0	<b>1</b> <sup>0</sup>	0	0		
P <sub>4</sub>	0	1	1	<b>0</b> <sup>1</sup>	1	0	1
P <sub>5</sub>	0	1	1	1	<b>1</b> <sup>0</sup>	1	1
P <sub>6</sub>	1	1	0	0	0	<b>1</b> <sup>0</sup>	1
P <sub>7</sub>	1	0	1	1	0	0	<b>0</b> <sup>1</sup>
P <sub>8</sub>	0	1	1	1	1	0	<b>1</b> <sup>0</sup>
P <sub>9</sub>	.	.	.	.	.	.	.
.	.	.	.	.	.	.	.
.	.	.	.	.	.	.	.

Behavior of program **D** would be like the flipped diagonal, so it can't be in the list of all programs.

**Contradiction!**

(**P**,**x**) entry is **1** if program **P** halts on input **x**  
and **0** if it runs forever