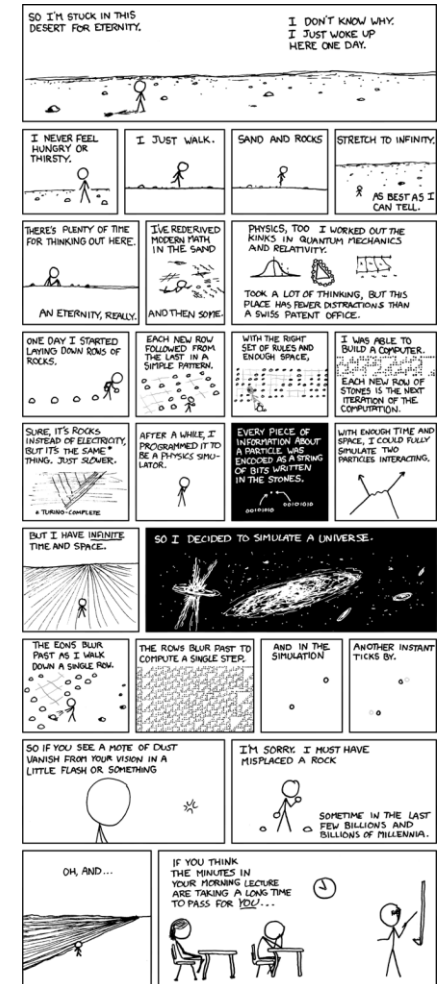
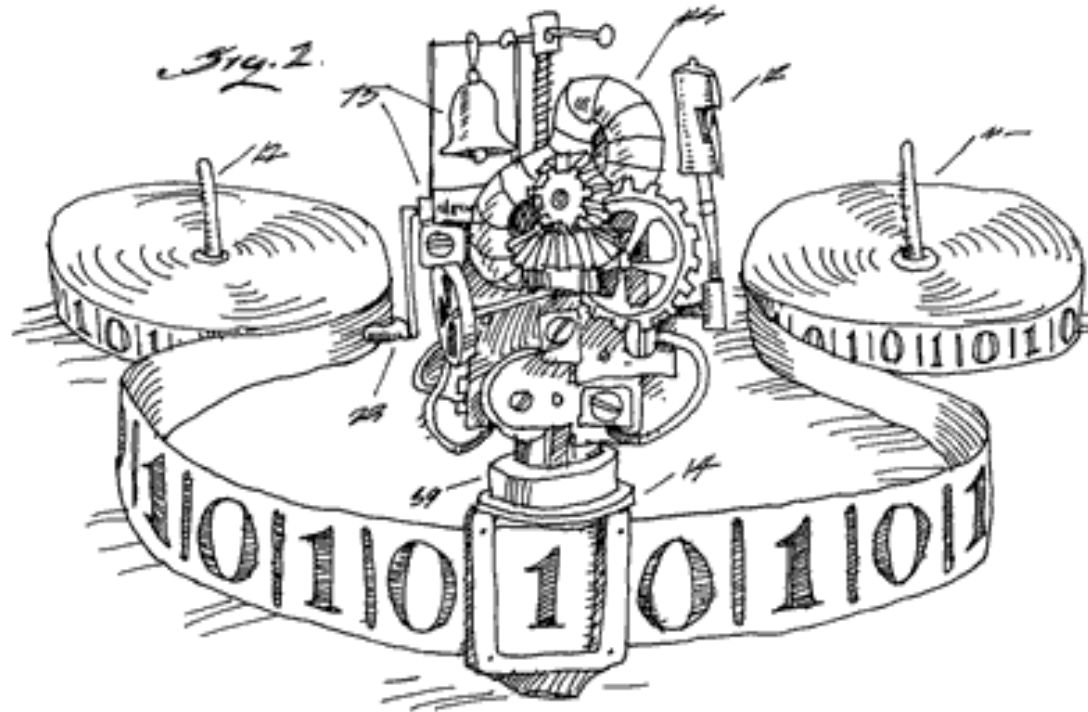


# cse 311: foundations of computing

Spring 2015

## Lecture 28: The halting problem and undecidability



We saw that the real numbers between 0 and 1 are **uncountable**.

Suppose, for the sake of contradiction, that there is a list of them:

|       |    | 1              | 2              | 3              | 4              |                |                |                |   |     |     |
|-------|----|----------------|----------------|----------------|----------------|----------------|----------------|----------------|---|-----|-----|
| $r_1$ | 0. | 5 <sup>1</sup> | 0              | 0              | 0              |                |                |                |   |     |     |
| $r_2$ | 0. | 3              | 3 <sup>5</sup> | 3              | 3              |                |                |                |   |     |     |
| $r_3$ | 0. | 1              | 4              | 2 <sup>5</sup> | 8              | 5              | 7              | 1              | 4 | ... | ... |
| $r_4$ | 0. | 1              | 4              | 1              | 5 <sup>1</sup> | 9              | 2              | 6              | 5 | ... | ... |
|       |    |                |                |                |                | 2 <sup>5</sup> | 1              | 2              | 2 | ... | ... |
|       |    |                |                |                |                | 0              | 0 <sup>5</sup> | 0              | 0 | ... | ... |
|       |    |                |                |                |                | 8              | 1              | 8 <sup>5</sup> | 2 | ... | ... |

**Flipping rule:**  
 If digit is 5, make it 1.  
 If digit is not 5, make it 5.

For every  $n \geq 1$ ,  
 $r_n \neq 0.\overset{1}{\cancel{1}}\overset{2}{\cancel{2}}\overset{3}{\cancel{3}}\overset{4}{\cancel{4}}\overset{5}{\cancel{5}}\dots$   
 because the numbers differ on  
 the  $n$ th digit!

So the list is incomplete, which is a contradiction.

Thus the real numbers between 0 and 1 are **uncountable**.



the set of all functions  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  is uncountable

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Supposed listing of all the functions:

|       | 1              | 2              | 3              | 4              |                |                |                |                |     |     |
|-------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|-----|-----|
| $f_1$ | 5 <sup>1</sup> | 0              | 0              | 0              |                |                |                |                |     |     |
| $f_2$ | 3              | 3 <sup>5</sup> | 3              | 3              |                |                |                |                |     |     |
| $f_3$ | 1              | 4              | 2 <sup>5</sup> | 8              | 5              | 7              | 1              | 4              | ... | ... |
| $f_4$ | 1              | 4              | 1              | 5 <sup>1</sup> | 9              | 2              | 6              | 5              | ... | ... |
| $f_5$ | 1              | 2              | 1              | 2              | 2 <sup>5</sup> | 1              | 2              | 2              | ... | ... |
| $f_6$ | 2              | 5              | 0              | 0              | 0              | 0 <sup>5</sup> | 0              | 0              | ... | ... |
| $f_7$ | 7              | 1              | 8              | 2              | 8              | 1              | 8 <sup>5</sup> | 2              | ... | ... |
| $f_8$ | 6              | 1              | 8              | 0              | 3              | 3              | 9              | 4 <sup>5</sup> | ... | ... |
| ...   | ...            | ...            | ...            | ...            | ...            | ...            | ...            | ...            | ... | ... |

**Flipping rule:**

If  $f_n(n) = 5$ , set  $D(n) = 1$   
 If  $f_n(n) \neq 5$ , set  $D(n) = 5$

the set of all functions  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  is uncountable

---

Supposed listing of all the functions:

|       | 1              | 2              | 3              | 4              |  |  |  |  |  |  |                |                |                |   |     |     |
|-------|----------------|----------------|----------------|----------------|--|--|--|--|--|--|----------------|----------------|----------------|---|-----|-----|
| $f_1$ | 5 <sup>1</sup> | 0              | 0              | 0              | <div style="border: 2px solid orange; border-radius: 15px; padding: 10px;"> <p><b>Flipping rule:</b><br/>                     If <math>f_n(n) = 5</math>, set <math>D(n) = 1</math><br/>                     If <math>f_n(n) \neq 5</math>, set <math>D(n) = 5</math></p> </div> |  |  |  |  |  |                |                |                |   |     |     |
| $f_2$ | 3              | 3 <sup>5</sup> | 3              | 3              |  |  |  |  |  |  |                |                |                |   |     |     |
| $f_3$ | 1              | 4              | 2 <sup>5</sup> | 8              |  |  |  |  |  |  | 5              | 7              | 1              | 4 | ... | ... |
| $f_4$ | 1              | 4              | 1              | 5 <sup>1</sup> |  |  |  |  |  |  | 9              | 2              | 6              | 5 | ... | ... |
| $f_5$ | 1              | 2              | 1              | 2              |  |  |  |  |  |  | 2 <sup>5</sup> | 1              | 2              | 2 | ... | ... |
| $f_6$ | 2              | 5              | 0              | 0              |  |  |  |  |  |  | 0              | 0 <sup>5</sup> | 0              | 0 | ... | ... |
| $f_7$ | 7              | 1              | 8              | 2              |  |  |  |  |  |  | 8              | 1              | 8 <sup>5</sup> | 2 | ... | ... |

For all  $n$ , we have  $D(n) \neq f_n(n)$ . Therefore  $D \neq f_n$  for any  $n$  and the list is incomplete!  
 $\Rightarrow \{f \mid f: \mathbb{N} \rightarrow \{0, 1, \dots, 9\}\}$  is **not** countable

# uncomputable functions

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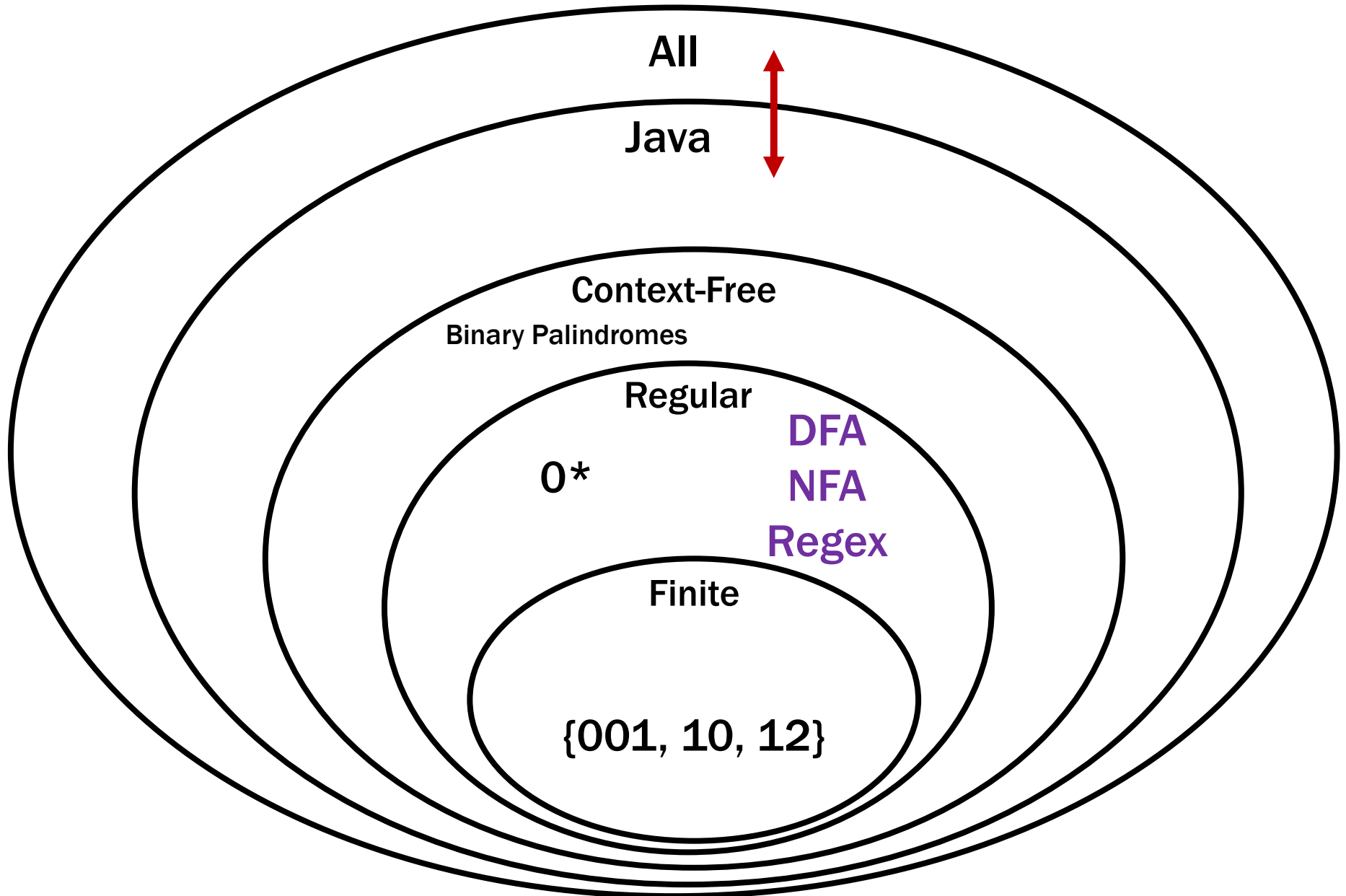
We have seen that:

- [last time] The set of all (Java) programs is countable
- The set of all functions  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  is not countable

So: There must be some function  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  that is not computable by any program!

# recall our language picture

---



**Students should write a Java program that:**

- Prints “Hello” to the console
- Eventually exits

**Gradel, Practicel, etc. need to grade the students.**

**How do we write that grading program?**



## What does this program do?

```
_( __, __, __ ) { __ / __ <= 1 ? _ ( __, __ + 1, __
_ ) : ! ( __ % __ ) ? _ ( __, __ + 1, 0 ) : __ % __ == __ /
__ && ! __ ? ( printf ( "%d\t", __ / __ ), _ ( __, __
__ + 1, 0 ) ) : __ % __ > 1 && __ % __ < __ / __ ? _ ( __, 1 +
__, __ + ! ( __ / __ % ( __ % __ ) ) ) : __ < __ *
? _ ( __, __ + 1, __ ) : 0 ; } main () { _ ( 100, 0, 0 ) ; }
```

## follow up question #2

---

```
public static void collatz(n) {  
    if (n == 1) {  
        return 1;  
    }  
    if (n % 2 == 0) {  
        return collatz(n/2)  
    }  
    else {  
        return collatz(3n + 1)  
    }  
}
```

5, 16, 8, 4, 2,  
1.

7, 22, 11, 34, 17,

52, 26, 13,

40, 20, 10,

5, 16, 8, 4, 2, 1

**What does this program do?**

... on  $n=5$ ?

... on  $n=1000000000000000000000001$ ?

**Students should write a Java program that:**

- Prints “Hello” to the console
- Eventually exits

**Gradelc, Practicelc, etc. need to grade the students.**

How do we write that grading program?

**IMPOSSIBLE**

We're going to be talking about *Java code*.

**CODE(P)** will mean “the code of the program P”

So, consider the following function:

```
public String P(String x) {  
    return new String(Arrays.sort(x.toCharArray()));  
}
```

What is  $P(\text{CODE}(P))$ ?

“((( )))..;AACPSSaaabceeggghiiiiInnnnnnooprrrrrrrrrrrrssstttttuuwxyy{”

# the Halting problem

---

**Given:** - CODE(**P**) for any program **P**  
- input **x**

**Output:** **true** if **P** halts on input **x**  
**false** if **P** does not halt on input **x**

**It turns out that it isn't possible to write a program that solves the Halting Problem.**

$H(\text{CODE}(P), x)$

proof by contradiction

- Suppose that **H** is a Java program that solves the Halting problem. Then we can write this program:

```
public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
    }  
    else {  
        return; /* halt */  
    }  
}
```

$x = \text{CODE}(D)$

$D(\text{CODE}(D))$   
does halt  
 $\Downarrow$   
 $D(\text{CODE}(D))$   
does not halt

- Does **D**(CODE(**D**)) halt?

A:  $D(\text{CODE}(D))$   
does not halt  
 $\Rightarrow D(\text{CODE}(D))$   
halts

Does **D**(CODE(**D**)) halt?

```
public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
    }  
    else {  
        return; /* halt */  
    }  
}
```

**H** solves the halting problem implies that

**H**(CODE(**D**),x) is **true** iff **D**(x) halts, **H**(CODE(**D**),x) is **false** iff not

Does **D**(CODE(**D**)) halt?

```
public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
    }  
    else {  
        return; /* halt */  
    }  
}
```

**H** solves the halting problem implies that

**H**(CODE(**D**),x) is **true** iff **D**(x) halts, **H**(CODE(**D**),x) is **false** iff not

Suppose **D**(CODE(**D**)) halts.

Then, we must be in the **second** case of the if.

So, **H**(CODE(**D**), CODE(**D**)) is **false**

Which means **D**(CODE(**D**)) **doesn't halt**



Does **D**(CODE(**D**)) halt?

```
public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
    }  
    else {  
        return; /* halt */  
    }  
}
```

**H** solves the halting problem implies that

**H**(CODE(**D**),x) is **true** iff **D**(x) halts, **H**(CODE(**D**),x) is **false** iff not

Suppose **D**(CODE(**D**)) halts.

Then, we must be in the **second** case of the if.

So, **H**(CODE(**D**), CODE(**D**)) is **false**

Which means **D**(CODE(**D**)) **doesn't halt**

Suppose **D**(CODE(**D**)) **doesn't halt**.

Then, we must be in the **first** case of the if.

So, **H**(CODE(**D**), CODE(**D**)) is **true**.

Which means **D**(CODE(**D**)) **halts**.

Does **D**(CODE(**D**)) halt?

```
public static void D(x) {  
    if (H(x,x) == true) {  
        while (true); /* don't halt */  
    }  
    else {  
        return; /* halt */  
    }  
}
```

**H** solves the halting problem implies that  
**H**(CODE(**D**),x) is **true** iff **D**(x) halts, **H**(CODE(**D**),x) is **false** iff not

Suppose **D**(CODE(**D**)) halts.

Then, we must be in the **second** case of the if.

So, **H**(CODE(**D**), CODE(**D**)) is **false**

Which means **D**(CODE(**D**)) **doesn't halt**

Suppose **D**(CODE(**D**)) **doesn't halt**.

Then, we must be in the **first** case of the if.

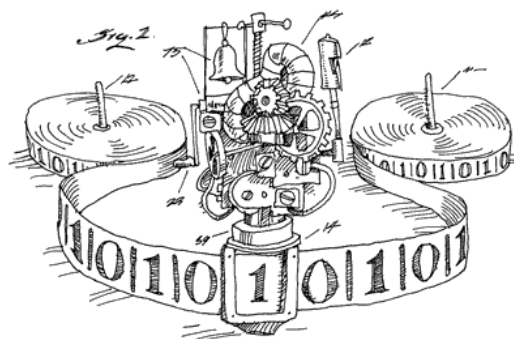
So, **H**(CODE(**D**), CODE(**D**)) is **true**.

Which means **D**(CODE(**D**)) **halts**.



**Contradiction!**

- We proved that there is no computer program that can solve the Halting Problem.
  - There was nothing special about Java\* [Church-Turing thesis]



FSM



|   |   |   |   |   |   |   |   |   |   |     |
|---|---|---|---|---|---|---|---|---|---|-----|
| 0 | 1 | 1 | 1 | 0 | 1 | 0 | # | # | # | ... |
|---|---|---|---|---|---|---|---|---|---|-----|

- This tells us that there is no compiler that can check our programs and guarantee to find any infinite loops they might have.

# connection to diagonalization

|       | $\langle P_1 \rangle$ | $\langle P_2 \rangle$ | $\langle P_3 \rangle$ | $\langle P_4 \rangle$ | $\langle P_5 \rangle$ | $\langle P_6 \rangle$ | ..... | Some possible inputs $x$ |   |   |   |     |
|-------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-------|--------------------------|---|---|---|-----|
| $P_1$ | 0                     | 1                     | 1                     | 0                     | 1                     | 1                     | 1     | 0                        | 0 | 0 | 1 | ... |
| $P_2$ | 1                     | 1                     | 0                     | 1                     | 0                     | 1                     | 1     | 0                        | 1 | 1 | 1 | ... |
| $P_3$ | 1                     | 0                     | 1                     | 0                     | 0                     | 0                     | 0     | 0                        | 0 | 0 | 1 | ... |
| $P_4$ | 0                     | 1                     | 1                     | 0                     | 1                     | 0                     | 1     | 1                        | 0 | 1 | 0 | ... |
| $P_5$ | 0                     | 1                     | 1                     | 1                     | 1                     | 1                     | 1     | 0                        | 0 | 0 | 1 | ... |
| $P_6$ | 1                     | 1                     | 0                     | 0                     | 0                     | 1                     | 1     | 0                        | 1 | 1 | 1 | ... |
| $P_7$ | 1                     | 0                     | 1                     | 1                     | 0                     | 0                     | 0     | 0                        | 0 | 0 | 1 | ... |
| $P_8$ | 0                     | 1                     | 1                     | 1                     | 1                     | 0                     | 1     | 1                        | 0 | 1 | 0 | ... |
| $P_9$ | .                     | .                     | .                     | .                     | .                     | .                     | .     | .                        | . | . | . | ... |
| .     | .                     | .                     | .                     | .                     | .                     | .                     | .     | .                        | . | . | . | ... |
| .     | .                     | .                     | .                     | .                     | .                     | .                     | .     | .                        | . | . | . | ... |

$(P,x)$  entry is **1** if program **P** halts on input **x**  
and **0** if it runs forever

# connection to diagonalization

|       | $\langle P_1 \rangle$ | $\langle P_2 \rangle$ | $\langle P_3 \rangle$ | $\langle P_4 \rangle$ | $\langle P_5 \rangle$ | $\langle P_6 \rangle$ | .....          | Some possible inputs $x$ |   |   |   |     |
|-------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|-----------------------|----------------|--------------------------|---|---|---|-----|
| $P_1$ | 0 <sup>1</sup>        | 1                     | 1                     | 0                     | 1                     | 1                     | 1              | 0                        | 0 | 0 | 1 | ... |
| $P_2$ | 1                     | 1 <sup>0</sup>        | 0                     | 1                     | 0                     | 1                     | 1              | 0                        | 1 | 1 | 1 | ... |
| $P_3$ | 1                     | 0                     | 1 <sup>0</sup>        | 0                     | 0                     | 0                     | 0              | 0                        | 0 | 0 | 1 | ... |
| $P_4$ | 0                     | 1                     | 1                     | 0 <sup>1</sup>        | 1                     | 0                     | 1              | 1                        | 0 | 1 | 0 | ... |
| $P_5$ | 0                     | 1                     | 1                     | 1                     | 1 <sup>0</sup>        | 1                     | 1              | 0                        | 0 | 0 | 1 | ... |
| $P_6$ | 1                     | 1                     | 0                     | 0                     | 0                     | 1 <sup>0</sup>        | 1              | 0                        | 1 | 1 | 1 | ... |
| $P_7$ | 1                     | 0                     | 1                     | 1                     | 0                     | 0                     | 0 <sup>1</sup> | 0                        | 0 | 0 | 1 | ... |
| $P_8$ | 0                     | 1                     | 1                     | 1                     | 1                     | 0                     | 1              | 1 <sup>0</sup>           | 0 | 1 | 0 | ... |
| $P_9$ | .                     | .                     | .                     | .                     | .                     | .                     | .              | .                        | . | . | . | ... |
| .     | .                     | .                     | .                     | .                     | .                     | .                     | .              | .                        | . | . | . | ... |
| .     | .                     | .                     | .                     | .                     | .                     | .                     | .              | .                        | . | . | . | ... |

$(P,x)$  entry is **1** if program **P** halts on input **x**  
and **0** if it runs forever

- Can use undecidability of the halting problem to show that other problems are undecidable.

- For instance:

**EQUIV**( $P, Q$ ) = **True** if  $P(x) = Q(x)$  for every input  $x$   
**False** otherwise

$Q_0(x)$  - Halts on  $x$

$\text{EQUIV}(P, Q_0)$

$\{ (m, n) : m, n \in \mathbb{Z} \}$  ← fair game  
Rice's theorem

---

Not every problem on programs is undecidable!

Which of these is decidable?

- Input CODE (P) and x  
Output: **true** if P prints "ERROR" on input x  
after less than 100 steps  
**false** otherwise  
DECIDABLE
- Input CODE (P) and x  
Output: **true** if P prints "ERROR" on input x  
after more than 100 steps  
**false** otherwise  
UNDECIDABLE

Compilers Suck Theorem (informal):

Any "non-trivial" property the **input-output behavior** of Java programs is undecidable.

# foundations I, complete (almost)

## What's next?

Foundations II: Probability, statistics, and uncertainty.

The **final exam** is Monday, Jun 8, 2015, 2:30-4:20 p.m. in MLR 301.

**Notes:** One page of notes allowed, front and back.

**Review sessions:**

- Saturday, June 6th, 2015: 1pm in EEB 105 (James)
- Sunday, June 7th, 2015: 2pm in EEB 105 (TAs)

And then... summer!

| DAY              | COND | HIGH | LOW | DESCRIPTION  | PRECIP | WIND      |
|------------------|------|------|-----|--------------|--------|-----------|
| TONIGHT<br>Jun 4 |      | --   | 52° | Mostly Clear | ✓ 0%   | NNE 7 mph |
| FRI<br>Jun 5     |      | 76°  | 54° | Sunny        | ✓ 0%   | N 10 mph  |
| SAT<br>Jun 6     |      | 80°  | 57° | Mostly Sunny | ✓ 0%   | N 9 mph   |
| SUN<br>Jun 7     |      | 82°  | 57° | Mostly Sunny | ✓ 0%   | NNW 8 mph |
| MON<br>Jun 8     |      | 79°  | 55° | Sunny        | ✓ 10%  | NNW 9 mph |
| TUE<br>Jun 9     |      | 78°  | 55° | Sunny        | ✓ 0%   | NNW 8 mph |
| WED<br>Jun 10    |      | 77°  | 54° | Sunny        | ✓ 0%   | WSW 6 mph |
| THU<br>Jun 11    |      | 77°  | 56° | Sunny        | ✓ 10%  | W 7 mph   |
| FRI<br>Jun 12    |      | 79°  | 56° | Sunny        | ✓ 0%   | NW 8 mph  |
| SAT<br>Jun 13    |      | 76°  | 55° | Sunny        | ✓ 0%   | WNW 8 mph |

