

# cse 311: foundations of computing

Spring 2015

## Lecture 27: Infinities and diagonalization

\*54.43.  $\vdash : \alpha, \beta \in 1. \supset : \alpha \cap \beta = \Lambda. \equiv . \alpha \cup \beta \in 2$

Dem.

$\vdash . *54.26. \supset \vdash : \alpha = \iota'x. \beta = \iota'y. \supset : \alpha \cup \beta \in 2. \equiv . x \neq y.$

[\*51.231]  $\equiv . \iota'x \cap \iota'y = \Lambda.$

[\*13.12]  $\equiv . \alpha \cap \beta = \Lambda$  (1)

$\vdash . (1). *11.11.35. \supset$

$\vdash : (\exists x, y). \alpha = \iota'x. \beta = \iota'y. \supset : \alpha \cup \beta \in 2. \equiv . \alpha \cap \beta = \Lambda$  (2)

$\vdash . (2). *11.54. *52.1. \supset \vdash . \text{Prop}$

From this proposition it will follow, when arithmetical addition has been defined, that  $1 + 1 = 2$ .

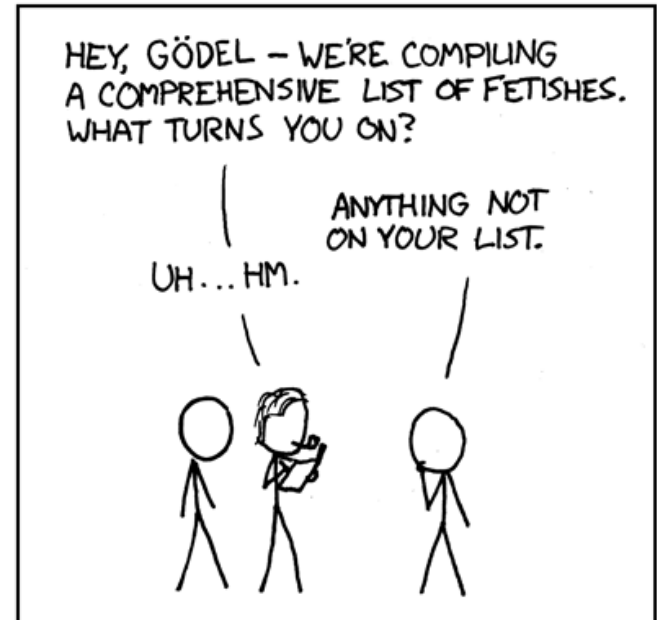
[proved on page 86 of Volume II:  
"The above proposition is  
occasionally useful."]

Russell's paradox: The set  $S$  of all sets that do not contain themselves.

$$S \in S \Rightarrow S \notin S \Rightarrow S \in S$$

AUTHOR KATHARINE GATES RECENTLY ATTEMPTED TO MAKE A CHART OF ALL SEXUAL FETISHES.

LITTLE DID SHE KNOW THAT RUSSELL AND WHITEHEAD HAD ALREADY FAILED AT THIS SAME TASK.



# computers from thought

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Computers as we know them grew out of a desire to avoid bugs in mathematical reasoning.

Hilbert gave a famous speech at the International Congress of Mathematicians in 1900.

His goal was to **mechanize all of mathematics**.

In the 1930s, work of Gödel and Turing showed that Hilbert's program is **impossible**.

Gödel's incompleteness theorem

Undecidability of the Halting Problem

Both of these employ an idea we will see today called **diagonalization**.

The ideas are simple but so revolutionary that the inventor Georg Cantor was shunned by the mathematical leaders of the time:

**Poincaré referred to them as a "grave disease infecting mathematics."**

**Kronecker fought to keep Cantor's papers out of his journals.**



Cantor spent the last 30 years of his life battling depression, living often in "sanatoriums" (psychiatric hospitals).

# cardinality

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What does it mean that two sets have the same size?



# cardinality

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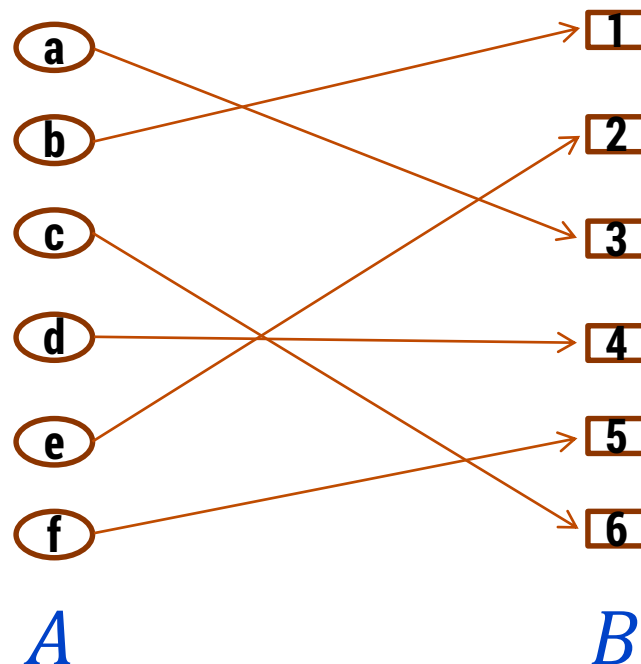
What does it mean that two sets have the same size?



# cardinality

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**Definition:** Two sets  $A$  and  $B$  have the same **cardinality** if there is a one-to-one correspondence between the elements of  $A$  and those of  $B$ .  
More precisely, if there is a **1-1 and onto** function  $f : A \rightarrow B$ .



The definition also makes sense for infinite sets!

Do the natural numbers and the even natural numbers have the same cardinality?

Yes!

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14
0	2	4	6	8	10	12	14	16	18	20	22	24	26	28

What's the map  $f : \mathbb{N} \rightarrow 2\mathbb{N}$  ?

$$f(n) = 2n$$

# countable sets

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**Definition:** A set is **countable** iff it has the same cardinality as  $\mathbb{N}$ .

**Equivalent:** A set  $S$  is countable iff there is an 1-1 and onto function

$$g : \mathbb{N} \rightarrow S$$

**Equivalent:** A set  $S$  is countable iff we can order the elements

$$S = \{x_1, x_2, x_3, \dots\}$$

**Question:**

If  $g : \mathbb{N} \rightarrow S$  is just **onto**, do we still know that  $S$  is countable?

$$g : \mathbb{N} \rightarrow \{0, 1\} \quad g(n) = n \bmod 2$$

**Definition:** A set  $S$  is “at most countable” if it is finite or countable.

## the set $\mathbb{Z}$ of all integers

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$\{0, 1, -1, 2, -2, 3, -3, 4, -4, \dots\}$

$g: \mathbb{N} \rightarrow \mathbb{Z}$

$$g(n) = \begin{cases} -\frac{n}{2} & \text{if } n \text{ is even} \\ \frac{n+1}{2} & \text{if } n \text{ is odd} \end{cases}$$

$\implies \mathbb{Z}$  is countable.



## the set $\mathbb{Q}$ of rational numbers

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$$\mathbb{Q} = \left\{ \frac{p}{q} : \begin{array}{l} p \in \mathbb{Z} \\ q \in \mathbb{N}, q \neq 0 \end{array} \right\}$$

We can't do the same thing we did for the integers.

Between any two rational numbers there are an infinite number of others.

# the set of positive rational numbers

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<b>1/1</b>	<b>1/2</b>	<b>1/3</b>	<b>1/4</b>	<b>1/5</b>	<b>1/6</b>	<b>1/7</b>	<b>1/8</b>	...
<b>2/1</b>	<b>2/2</b>	<b>2/3</b>	<b>2/4</b>	<b>2/5</b>	<b>2/6</b>	<b>2/7</b>	<b>2/8</b>	...
<b>3/1</b>	<b>3/2</b>	<b>3/3</b>	<b>3/4</b>	<b>3/5</b>	<b>3/6</b>	<b>3/7</b>	<b>3/8</b>	...
<b>4/1</b>	<b>4/2</b>	<b>4/3</b>	<b>4/4</b>	<b>4/5</b>	<b>4/6</b>	<b>4/7</b>	<b>4/8</b>	...
<b>5/1</b>	<b>5/2</b>	<b>5/3</b>	<b>5/4</b>	<b>5/5</b>	<b>5/6</b>	<b>5/7</b>	...	
<b>6/1</b>	<b>6/2</b>	<b>6/3</b>	<b>6/4</b>	<b>6/5</b>	<b>6/6</b>	...		
<b>7/1</b>	<b>7/2</b>	<b>7/3</b>	<b>7/4</b>	<b>7/5</b>	....			
...	...	...	...	...				

$$g: \mathbb{N} \rightarrow \mathbb{Q}^+$$

# the set of positive rational numbers

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The set of all positive rational numbers is **countable**.

$$\mathbb{Q}^+ = \{1/1, 2/1, 1/2, 3/1, 2/2, 1/3, 4/1, 2/3, 3/2, 1/4, 5/1, 4/2, 3/3, 2/4, 1/5, \dots\}$$

List elements in order of numerator+denominator, breaking ties according to denominator.

Only  $k$  numbers have total of sum of  $k + 1$ , so every positive rational number comes up some point.

Technique is called “dovetailing.”

Notice that repeats are OK because we can skip over them.

Formal statement:

A set  $S$  is **countable** iff  $S$  is infinite and there is an onto map  $g : \mathbb{N} \rightarrow S$ .

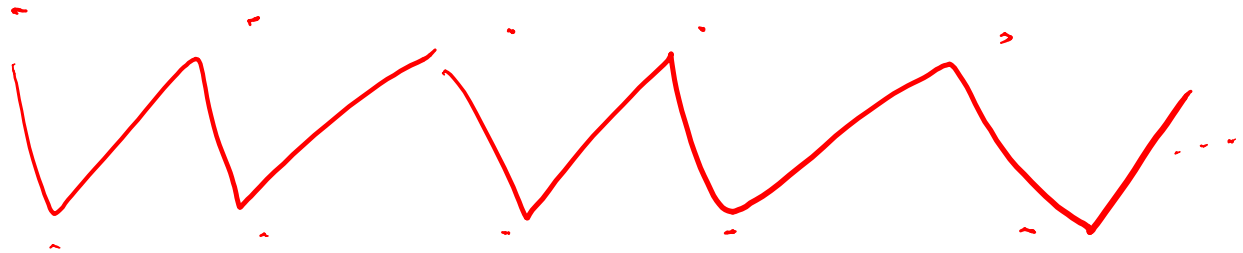
# the set $\mathbb{Q}$ of rational numbers

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$\mathbb{Q}$  is countable.

$\mathbb{Q}^+$

$\mathbb{Q}^-$



Claim:  $\Sigma^*$  is countable for every finite  $\Sigma$

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Pf.:

Order  $\Sigma = \{x_1, x_2, \dots, x_n\}$

$k=0$

$\epsilon$

$k=1$

$x_1 \quad x_2 \quad x_3 \quad \dots \quad x_n$

$k=2$

$x_1x_1 \quad x_1x_2 \quad x_1x_3 \dots \quad x_1x_n \quad x_2x_1 \quad x_2x_2 \dots$

$\vdots$   
 $\vdots$   
 $\vdots$   
 $\vdots$

# the set of all Java programs is countable

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$$\text{Java} \subseteq \Sigma^*$$



$\Sigma^*$  is countable

$g: \mathbb{N} \rightarrow \Sigma^*$  onto

ex: ?

# ok ok, everything is countable except your mom

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“Your mamma so fat she couldn’t be put into one to one correspondence with the natural numbers.”

Burn.

# are the real numbers countable?

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## Theorem [Cantor]:

The set of real numbers between 0 and 1 is **not** countable.

Proof will be by contradiction. Uses a new method called diagonalization.



# real numbers between 0 and 1: $[0,1)$

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Every number between 0 and 1 has an infinite decimal expansion:

$$1/2 = 0.500000000000000000000000000000...$$

$$1/3 = 0.333333333333333333333333333333...$$

$$1/7 = 0.14285714285714285714285714285...$$

$$\pi-3 = 0.14159265358979323846264...$$

$$1/5 = 0.199999999999999999999999999999...$$

$$= 0.200000000000000000000000000000...$$

Representation is unique except for the cases that the decimal expansion ends in all 0's or all 9's.

# proof that $[0,1)$ is uncountable

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Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4	5	6	7	8	9	...
$r_1$	0.	5	0	0	0	0	0	0	0	...	...
$r_2$	0.	3	3	3	3	3	3	3	3	...	...
$r_3$	0.	1	4	2	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8	2	...	...
$r_8$	0.	6	1	8	0	3	3	9	4	...	...
...	.....	...	.....	.....	...	...	...	...	...	...	...

# proof that $[0,1)$ is uncountable

---

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4	5	6	7	8	9	...
$r_1$	0.	5	0	0	0	0	0	0	0	...	...
$r_2$	0.	3	3	3	3	3	3	3	3	...	...
$r_3$	0.	1	4	2	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8	2	...	...
$r_8$	0.	6	1	8	0	3	3	9	4	...	...
...	.....	...	.....	.....	...	...	...	...	...	...	...

# proof that $[0,1)$ is uncountable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4						
$r_1$	0.	5	0	0	0						
$r_2$	0.	3	3	3	3						
$r_3$	0.	1	4	2	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8	2	...	...
$r_8$	0.	6	1	8	0	3	3	9	4	...	...
...	....	...	....	....	...	...	...	...	...	...	...

**Flipping rule:**  
Only if the other driver deserves it.

# proof that $[0,1)$ is uncountable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4						
$r_1$	0.	5 <sup>1</sup>	0	0	0						
$r_2$	0.	3	3 <sup>5</sup>	3	3						
$r_3$	0.	1	4	2 <sup>5</sup>	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5 <sup>1</sup>	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2 <sup>5</sup>	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0 <sup>5</sup>	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8 <sup>5</sup>	2	...	...
$r_8$	0.	6	1	8	0	3	3	9	4 <sup>5</sup>	...	...
...	....	...	....	....	...	...	...	...	...	...	...

**Flipping rule:**

If digit is **5**, make it **1**.

If digit is not **5**, make it **5**.

# proof that $[0,1)$ is uncountable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4						
$r_1$	0.	5 <sup>1</sup>	0	0	0						
$r_2$	0.	3	3 <sup>5</sup>	3	3						
$r_3$	0.	1	4	2 <sup>5</sup>	8	5	7	1	4	...	...
$r_4$	0.	1	4	1	5 <sup>1</sup>	9	2	6	5	...	...
$r_5$	0.	1	2	1	2	2 <sup>5</sup>	1	2	2	...	...
$r_6$	0.	2	5	0	0	0	0 <sup>5</sup>	0	0	...	...
$r_7$	0.	7	1	8	2	8	1	8 <sup>5</sup>	2	...	...

**Flipping rule:**  
 If digit is **5**, make it **1**.  
 If digit is not **5**, make it **5**.

If diagonal element is  $0. x_{11}x_{22}x_{33}x_{44}x_{55} \dots$  then let's call the flipped number  $0. \hat{x}_{11}\hat{x}_{22}\hat{x}_{33}\hat{x}_{44}\hat{x}_{55} \dots$  **It cannot appear anywhere on the list!**

# proof that $[0,1)$ is uncountable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4
$r_1$	0.	5 <sup>1</sup>	0	0	0
$r_2$	0.	3	3 <sup>5</sup>	3	3
$r_3$	0.	1	4	2 <sup>5</sup>	8
$r_4$	0.	1	4	1	5 <sup>1</sup>

**Flipping rule:**

If digit is **5**, make it **1**.

If digit is not **5**, make it **5**.

5	7	1	4	...	...
9	2	6	5	...	...
2 <sup>5</sup>	1	2	2	...	...
0	0 <sup>5</sup>	0	0	...	...
8	1	8 <sup>5</sup>	2	...	...

For every  $n \geq 1$ :

$$r_n \neq 0.\hat{x}_{11}\hat{x}_{22}\hat{x}_{33}\hat{x}_{44}\hat{x}_{55}\dots$$

because the numbers differ on the  $n$ th digit!

If diagonal element is  $0.x_{11}x_{22}x_{33}x_{44}x_{55}\dots$  then let's call the flipped number  $0.\hat{x}_{11}\hat{x}_{22}\hat{x}_{33}\hat{x}_{44}\hat{x}_{55}\dots$  **It cannot appear anywhere on the list!**

# proof that $[0,1)$ is uncountable

Suppose, for the sake of contradiction, that there is a list of them:

		1	2	3	4
$r_1$	0.	5 <sup>1</sup>	0	0	0
$r_2$	0.	3	3 <sup>5</sup>	3	3
$r_3$	0.	1	4	2 <sup>5</sup>	8
$r_4$	0.	1	4	1	5 <sup>1</sup>

**Flipping rule:**

If digit is **5**, make it **1**.

If digit is not **5**, make it **5**.

5	7	1	4	...	...
9	2	6	5	...	...
2 <sup>5</sup>	1	2	2	...	...
0	0 <sup>5</sup>	0	0	...	...
8	1	8 <sup>5</sup>	2	...	...

For every  $n \geq 1$ :

$$r_n \neq 0.\hat{x}_{11}\hat{x}_{22}\hat{x}_{33}\hat{x}_{44}\hat{x}_{55}\dots$$

because the numbers differ on the  $n$ th digit!

So the list is incomplete, which is a contradiction.

Thus the real numbers between 0 and 1 are **uncountable**.



the set of all functions  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  is uncountable

# uncomputable functions

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We have seen that:

- The set of all (Java) programs is countable
- The set of all functions  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  is not countable

So: There must be some function  $f : \mathbb{N} \rightarrow \{0, \dots, 9\}$  that is not computable by any program!

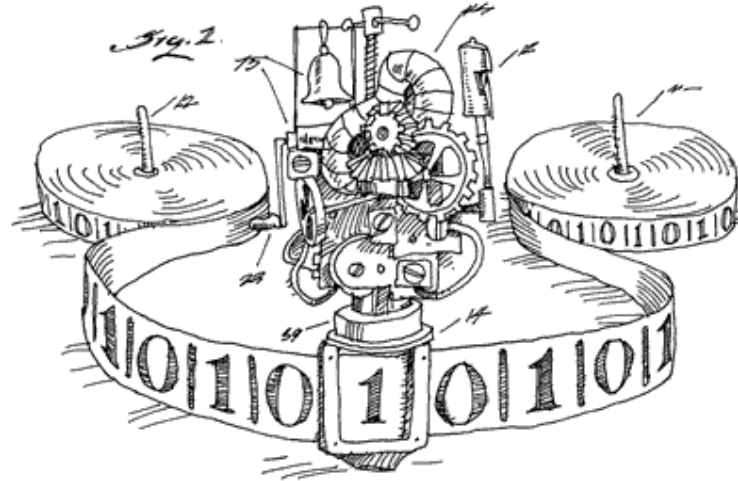
Interesting... maybe.

Can we come up with an explicit function that is uncomputable?

(Next time)

# Turing machines and universality

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Suppose we take our finite state machine model and we augment it with an infinite tape.