



## cse 311: foundations of computing

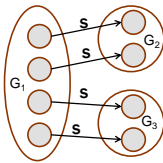
Spring 2015

## Lecture 23: State minimization and NFAs

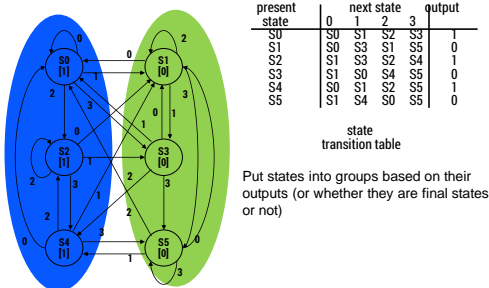


## state minimization algorithm

1. Put states into groups based on their outputs (or whether they are final states or not)
2. Repeat the following until no change happens
  - a. If there is a symbol  $s$  so that not all states in a group  $G$  agree on which group  $s$  leads to, split  $G$  into smaller groups based on which group the states go to on  $s$



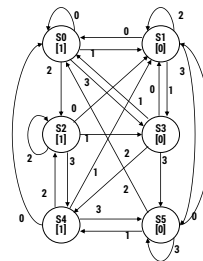
## state minimization example



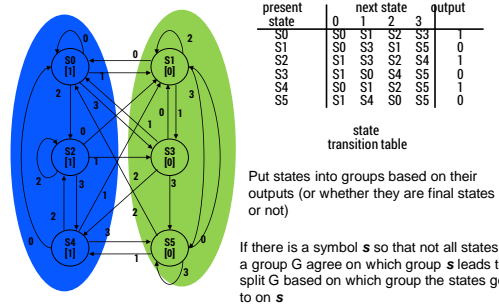
## state minimization

- Many different FSMs (DFAs) for the same problem
- Take a given FSM and try to reduce its state set by combining states
  - Algorithm will always produce the unique minimal equivalent machine (up to renaming of states) but we won't prove this

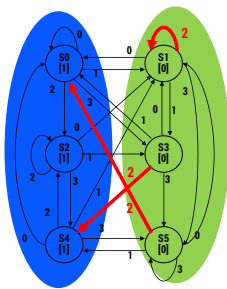
## state minimization example



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## state minimization example



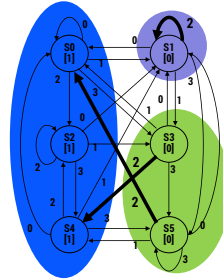
present state	0	1	2	3	output
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

state transition table

Put states into groups based on their outputs (or whether they are final states or not)

If there is a symbol  $s$  so that not all states in a group  $G$  agree on which group  $s$  leads to, split  $G$  based on which group the states go to on  $s$

## state minimization example



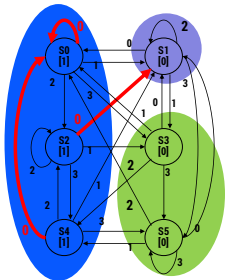
present state	0	1	2	3	output
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

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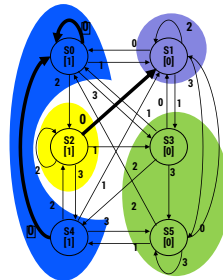
present state	0	1	2	3	output
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
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state transition table

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## state minimization example



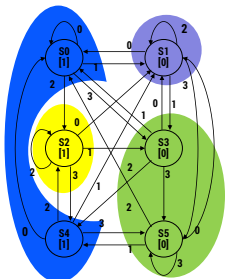
present state	0	1	2	3	output
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S5	0
S2	S1	S3	S2	S4	1
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state transition table

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## state minimization example



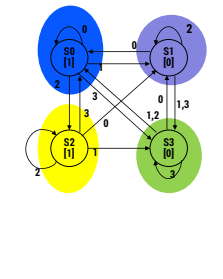
present state	0	1	2	3	output
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S2	S1	S3	S2	S4	1
S3	S1	S0	S4	S5	0
S4	S0	S1	S2	S5	1
S5	S1	S4	S0	S5	0

state transition table

Can combine states S0-S4 and S3-S5.

In table replace all S4 with S0 and all S5 with S3

## minimized machine



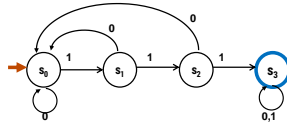
present state	0	1	2	3	output
S0	S0	S1	S2	S3	1
S1	S0	S3	S1	S3	0
S2	S1	S3	S2	S0	1
S3	S1	S0	S0	S3	0

state transition table

### another way to look at DFAs

**Definition:** The label of a path in a DFA is the concatenation of all the labels on its edges in order

**Lemma:**  $x$  is in the language recognized by a DFA iff  $x$  labels a path from the start state to some final state



### goal: NFA to recognize...

binary strings that have even # of 1's or contain the substring 111

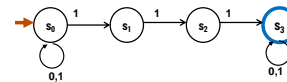
### NFAs and regular expressions

**Theorem:** For any set of strings (language)  $A$  described by a regular expression, there is an NFA that recognizes  $A$ .

Proof idea: Structural induction based on the recursive definition of regular expressions...

### nondeterministic finite automaton (NFA)

- Graph with start state, final states, edges labeled by symbols (like DFA) but
  - Not required to have exactly 1 edge out of each state labeled by each symbol--- can have 0 or >1
  - Also can have edges labeled by empty string  $\epsilon$
- Definition:**  $x$  is in the language recognized by an NFA if and only if  $x$  labels a path from the start state to some final state



### three ways of thinking about NFAs

- Outside observer: Is there a path labeled by  $x$  from the start state to some final state?
- Perfect guesser: The NFA has input  $x$  and whenever there is a choice of what to do it magically guesses a good one (if one exists)
- Parallel exploration: The NFA computation runs all possible computations on  $x$  step-by-step at the same time in parallel

### regular expressions over $\Sigma$

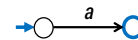
- Basis:**
  - $\emptyset, \epsilon$  are regular expressions
  - $a$  is a regular expression for any  $a \in \Sigma$
- Recursive step:**
  - If  $A$  and  $B$  are regular expressions then so are:
    - $(A \cup B)$
    - $(AB)$
    - $A^*$

## base case

- Case  $\emptyset$ :
- Case  $\epsilon$ :
- Case  $a$ :

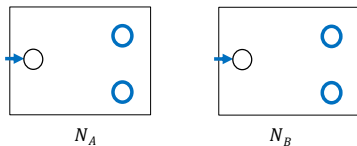
## base case

- Case  $\emptyset$ :
- Case  $\epsilon$ :
- Case  $a$ :

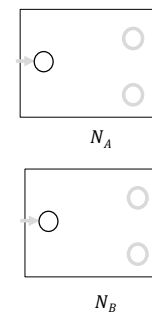


## inductive hypothesis

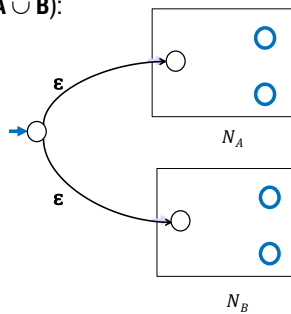
- Suppose that for some regular expressions  $A$  and  $B$  there exist NFAs  $N_A$  and  $N_B$  such that  $N_A$  recognizes the language given by  $A$  and  $N_B$  recognizes the language given by  $B$



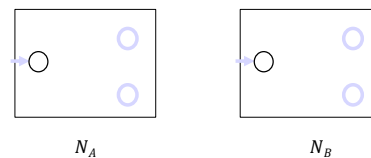
## inductive step

Case  $(A \cup B)$ :

## inductive step

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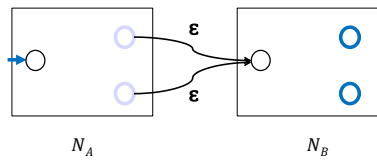
## inductive step

Case  $(AB)$ :

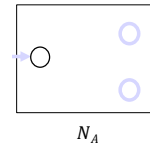
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 inductive step

Case (AB):




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 inductive step
Case  $A^*$ 


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 inductive step
Case  $A^*$ 